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House Armed Services Committee**

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**House Armed Services Committee
Subcommittee on Intelligence and Emerging Threats and Capabilities
Fiscal Year 2020 Budget Request for Department of Defense
Science and Technology Programs:
Maintaining a Robust Ecosystem for Our Technological Edge**

Thursday, March 28, 2019

1 DARPA plays a unique role in the DoD R&D community and in the broader U.S. technology
2 ecosystem. That role is to anticipate, create, and demonstrate breakthrough technologies that are
3 outside and beyond conventional approaches and timelines – technologies that hold the potential for
4 extraordinary advances in national security capabilities. This mission, our current work, and future
5 plans are the focus DARPA’s Fiscal Year 2020 budget request and are reflected in my testimony
6 today.

7

8 **Introduction**

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10 DARPA’s mission and philosophy have held steady and yielded breakthrough technologies and
11 capabilities for more than 6 decades, even as the world has changed dramatically – because the
12 Agency always targets the future. The rate at which those changes are arriving and affecting
13 national security has accelerated. What had been a fairly well-defined global order punctuated by
14 occasional surprises has transformed into an ever-shifting, complex, and less certain security
15 picture. Troubling technological, social, economic, and geopolitical movements threaten U.S.
16 preeminence and stability. These trends inform DARPA’s strategic priorities and investments into
17 the next decade and beyond. As those threats change, so too will the Agency’s focus.

18

19 Daunting challenges are on the national security landscape. On the technology front, the nation
20 must maintain domestic superiority even as sophisticated components and systems once available
21 almost exclusively to U.S. forces now are available on the global market. This new reality is largely
22 the result of economic forces that have made once proprietary products less expensive and more
23 accessible. The still nascent synthetic biology and biotechnology fields are prime examples. While
24 they remain specialized domains requiring well-equipped laboratories and skilled researchers, these
25 areas show early signs of a future in which off-the-shelf gene-editing kits will make the tools of
26 genetic engineering accessible to many, justifiably stoking biodefense concerns.

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28 DARPA’s role has never been more vital, and we have made important strides forward. The
29 following details the current threat environment and our plans for the next several years that will
30 create new national security capabilities by conceiving and delivering breakthrough technologies.

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33 **Strategic Priorities in a Global Context**

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35 DARPA’s strategy aligns with the National Defense Strategy (NDS) and the National Security
36 Strategy (NSS). As the NDS states, “The drive to develop new technologies is relentless, expanding
37 to more actors with lower barriers of entry, and moving at accelerating speed.”

38

39 The common theme in the NSS, NDS, and DARPA’s strategy is a focus on threat-based mission
40 scenarios. With myriad threats to national security, DARPA is working to achieve new,
41 revolutionary capabilities in the following four focus areas:

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- 43 • ***Defending the homeland*** from varied threats requires the development of cyber deterrence
44 capabilities, biosurveillance and biodefense techniques, and the ability to sense and defend
45 against weapons of mass terror/destruction.
- 46 • ***Deterring and prevailing against peer adversaries*** requires the development of new capabilities
47 across the land, sea, and air domains, in addition to enabling new capabilities in space and the
48 electromagnetic spectrum.
- 49 • ***Prosecuting stabilization efforts across the globe*** for more lethal fighting in varied
50 environments, including capabilities to address gray-zone conflict and 3D city-scale warfare,
51 along with the development of rigorous and reliable models to predict adversarial moves prior to
52 engagement, are critical investment areas.
- 53 • ***Pursuing foundational research*** in science and technology is what makes never-before-seen
54 capabilities possible. The goal of the Agency’s fundamental R&D investments is to ensure U.S.
55 warfighters have access to the most cutting-edge technologies. Research funded by DARPA in
56 the near term explores science and technology that will lead to “leap ahead” solutions for
57 current as well as future challenges to military readiness across multiple operational domains.

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65 *Defend the Homeland*

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67 DARPA’s mission is to conceive, develop, and demonstrate innovative technologies for national
68 security. Our mission is complete only when those technologies have transitioned into capabilities
69 that will protect our nation from existential threats.

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71 The following highlights recent DARPA developments at various stages of transition that promise to
72 keep the nation secure.

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74 Stopping Pandemics in 60 Days or Less

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76 Preventing pandemic outbreaks and mitigating the impact of a potential high-threat biological agent
77 release are national security priorities. The U.S. military supports U.S. Government responses to
78 public health emergencies such as Ebola, which can cause regional destabilization and spread
79 through global travel.

80

81 Warfighters must also operate in regions where diseases like chikungunya and dengue are endemic,
82 and even seemingly mild challenges like seasonal influenza affect force readiness. In addition to
83 these naturally occurring threats, terrorists and other adversaries possess a growing palette of
84 biological tools to engineer new biological threats. The threat of natural or engineered infectious
85 agents on U.S. and global national security will be mitigated if the Department of Defense (DoD)
86 can rapidly deploy near-immediate countermeasures for military personnel and civilian populations
87 for known and newly emerging pathogens.

88

89 Previous DARPA investments in gene encoded antibody technology demonstrated the ability to
90 protect animal models from a variety of pathogens, including those with pandemic potential—this
91 technology has advanced to human clinical safety trials. Based on this foundational effort, in 2017,
92 DARPA launched the Pandemic Prevention Platform (P3) program, which made significant
93 progress in the last year. Researchers made two major advances, demonstrating the antibody
94 discovery component of the 60-day response platform in less than 4 days. Additionally, research
95 teams developed technology that will protect against the seasonal influenza pathogen within 3 days
96 after administration in relevant animal models. These two programs are important steps in creating a

97 fully-integrated end-to-end platform that can stop pandemics early and more rapidly provide
98 countermeasures to our warfighters, first responders, and vulnerable populations.

99

100 Cybersecurity

101

102 The cyber domain is of critical national importance and must be protected against adversary
103 cyberattacks. DARPA is working to develop technologies to enhance cyber domain defense and is
104 collaborating with DoD cyber stakeholders to improve cyber deterrence capabilities. This includes a
105 variety of efforts with USCYBERCOM and the Military Services to participate in exercises,
106 develop concepts of operation, evolve prototype systems, mature the technology base, and transition
107 cyber-deterrence technologies to operations. In addition, DARPA is developing technologies to
108 create software systems that are secure by design, reducing constant patching in response to newly
109 discovered vulnerabilities, providing greater visibility into network operations for enterprises and
110 service providers, and enabling cyber response capabilities that are accurate, robust, and safe.

111

112 An example of DARPA research efforts that are significantly improving the way DoD and the
113 Intelligence Community conduct cyber threat intelligence is our Enhanced Attribution program,
114 which identifies and tracks malicious cyber actors at scale and in real-time. The program provides
115 high-fidelity visibility into all aspects of malicious cyber operator actions and increases the
116 Government's ability to reveal the actions of individual malicious cyber operators without
117 damaging sources and methods.

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129 *Deter and Prevail Against Peer Adversaries*

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131 The innovations highlighted below will play critical roles in the years ahead against growing threats
132 posed by peer adversaries. DARPA is leading the way in developing kinetic and non-kinetic strike
133 options, battle management command and control technologies, and new warfighting constructs to
134 deter peer adversaries from initiating offensive actions.

135

136 Hypersonics

137

138 Hypersonic vehicles fly through the atmosphere at incredibly high speeds, creating intense friction
139 with the surrounding air as they travel at Mach 5 or above – that is, at least five times faster than
140 sound travels. Developing structures that can withstand furnace-like temperatures at such high
141 speeds is a substantial technical challenge.

142

143 In 2018, DARPA addressed several outstanding technical challenges in two of its key hypersonics
144 development programs: Tactical Boost Glide (TBG) and Hypersonic Air-breathing Weapon
145 Concept (HAWC). On TBG, DARPA has been able to retire major technical risks following
146 successful replicated tests of a new design and leading-edge material testing. On the HAWC
147 program, the Agency also conducted successful risk reduction testing, which places the program on
148 path to demonstrate hypersonic cruise missile technologies.

149

150 Supplementing TBG and HAWC efforts is the combined DARPA and U.S. Army ground-based
151 Operational Fires (OpFires) program. OpFires will provide operational/theater-level commanders
152 with flexible capabilities to strike time-sensitive targets while providing persistent standoff from
153 unpredictable land-launch positions. This flexibility would restore combatant commander options in
154 force deployment and employment, enabling adaptable engagement at extended ranges. OpFires is
155 exploring the use of hypersonic payloads to provide a ground-launched weapon system for
156 persistent standoff.

157

158 On a more fundamental level, DARPA is aggressively researching novel materials to help cool
159 hypersonic vehicles as they cut through the atmosphere. In December 2018, DARPA announced its
160 Materials Architectures and Characterization for Hypersonics (MACH) program, which seeks to

161 develop and demonstrate new design and material solutions for sharp, shape-stable, cooled leading
162 edges for hypersonic vehicles.

163

164 Directed Energy

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166 High-powered lasers hold the potential to rapidly and effectively counter surface-to-air threats,
167 unmanned air vehicles, and armed swarms. Laser weapons also promise an offensive capability
168 featuring precise delivery to reduce the probability of collateral damage. Over the next few months,
169 DARPA will demonstrate a fiber laser array that offers significant size and weight savings
170 compared to previous, chemical-based laser technology. Fiber lasers are modular and, ultimately,
171 scalable and feature near-perfect beam quality and improved electrical efficiency.

172

173 Autonomy and Collaboration with Minimal Human Command

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175 In a recent test series, DARPA's Collaborative Operations in Denied Environment
176 (CODE) program demonstrated the ability of CODE-equipped unmanned aerial systems (UASs) to
177 adapt and respond to unexpected threats in an anti-access area denial (A2AD) environment. The
178 UASs efficiently shared information, cooperatively planned and allocated mission objectives, made
179 coordinated tactical decisions, and collaboratively reacted to a dynamic, high-threat environment
180 with minimal communication.

181

182 The DARPA team also advanced the infrastructure necessary to support further development,
183 integration, and testing of CODE as it transitions to future autonomous systems. CODE's scalable
184 capabilities could greatly enhance the survivability, flexibility, and effectiveness of existing air
185 platforms, as well as reduce the development times and costs of future systems. Further
186 development of CODE and associated infrastructure will continue under DARPA until the
187 conclusion of the program, followed by full transition of the CODE software repository to Naval
188 Air Systems Command.

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193 Responsive Space Operations

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195 The growing importance of space for national security is transforming this domain, with technology
196 advancing to the point where U.S. military success may depend upon our ability to place very
197 capable small satellites in low-earth orbit (LEO) and in larger constellations. Right now, satellites
198 critical to our national security and warfighting capabilities traditionally are custom-designed and
199 placed in geosynchronous orbit (GEO) to deliver persistent overhead access to any point on the
200 globe. In a contested space environment, these exquisite yet costly and monolithic systems have
201 become vulnerable targets that would take years to replace if degraded or destroyed; their long
202 development schedules preclude orbital systems that are responsive to new threats.

203

204 The evolution of commercial space has led to the design and manufacturing of LEO constellations
205 intended for broadband Internet service that could offer previously unavailable economies of scale.
206 DARPA is interested in leveraging these advances and driving them forward in order to
207 demonstrate military utility. A new program launched with the Air Force in 2017, Blackjack,
208 consists of collaborating with the commercial sector to achieve that goal and meet needs ranging
209 from command and control to intelligence, surveillance, and reconnaissance (ISR). Blackjack aims
210 to develop and demonstrate many of the critical technical elements of a global high-speed network
211 backbone in LEO. This network would enable highly-connected, resilient, and persistent DoD
212 payloads that provide over-the-horizon sensing, signals, and communication, and hold the ground,
213 surface, and air domains in constant global custody.

214 Networking Undersea Platforms

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216 No matter how capable, the most advanced vessel can only be in one place at a time, yet U.S. Navy
217 assets must cover vast regions around the globe. DARPA initiated the Hydra program to help
218 address this challenge. Hydra is bringing the U.S. Navy much closer to developing a distributed
219 undersea network of unmanned payloads and platforms to supplement manned vessels. The system
220 innovatively integrates existing and emerging technologies to deliver capabilities above, on, and
221 below the ocean's surface. By separating capabilities from the traditional platforms that deliver
222 them, Hydra's communications features would serve as a force multiplier, allowing asset
223 deployment wherever needed, faster, at the needed scale, and more cost effectively.

224

225 Hydra intends to enable other new capabilities not currently performed by manned platforms, such
226 as allowing for forward-deployed airborne ISR platforms or recharging hubs for undersea vehicles.
227 Separating capabilities from the platforms that deliver them, Hydra would equip naval forces to
228 deliver those capabilities much faster and more cost effectively, wherever needed.

229

230 Mosaic Warfare Construct

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232 Part of imagining future national security technologies and capabilities is the ability to conceive and
233 develop new constructs for operations in never-before-seen theaters of engagement. U.S. military
234 power has historically relied upon monolithic military systems where one type of aircraft, for
235 example, is designed to provide a single end-to-end capability, comprising all elements of a kill
236 chain. These traditional systems, tailored to a very specific warfighting context, offer powerful
237 warfighting capabilities but also provide an adversary with a single high-value target. In contrast,
238 DARPA is developing a concept that seeks to rapidly compose kill chains from a mix of available
239 sense, decide and act elements to provide a mosaic-like flexibility for any threat scenario. By using
240 less expensive systems brought together on demand as a conflict unfolds, this mosaic construct
241 would enable diverse, agile applications – from kinetic engagement in a remote desert setting, to
242 multiple small strike teams operating in a bustling megacity, or an information operation to counter
243 an adversary spreading false information in a population threatening friendly forces and strategic
244 objectives.

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246

247 DARPA’s mosaic strategy stands to enhance the effectiveness of existing military capabilities
248 across all domains — maritime, ground, air, space, and cyberspace — and enable new, low-cost
249 unmanned systems that the Services, DARPA, and the commercial sector anticipate building in the
250 future. The mosaic strategy will change the way the military thinks about designing and buying
251 future systems.

252

253 Our SoSite program, one of several efforts that fall under the mosaic portfolio of programs, is
254 moving this new warfare concept from theory to practice. Late last year, DARPA researchers
255 successfully demonstrated rapid integration of three different command and control systems to pass
256 mission planning, threat, and strike tasking data in real time. Integration of these systems was

257 completed in 2 days and further modified in just hours using DARPA’s automated integration tools.
258 Conventional, manual methods would have taken more than a year to complete the same tasks.

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260 Assault Breaker II

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262 The Assault Breaker II (ABII) program addresses challenges posed by our near peer competitors.
263 Patterned after the original Assault Breaker program in the late ’70s, a memorandum of agreement
264 was signed by DARPA and the vice chiefs of all four Services to establish a DARPA-led, joint
265 Service team creating technology solutions to these critical challenges. Interacting closely with the
266 intelligence, military operator, and technology communities, the team’s first objective is to architect
267 new warfighting operational constructs based on new and emerging technologies and capabilities.
268 The program’s second objective is developing an advanced modelling and simulation environment
269 to support analysis of true cross-domain (seafloor to space) cross-Service, warfighting constructs.
270 Finally, the program is tying the advanced modelling and simulation environment into an interactive
271 experiment environment to support exploration of highly complex, highly interdependent
272 warfighting approaches that characterize the future of warfighting.

273 As described earlier in the Mosaic Warfare discussion, modern warfare is becoming less about
274 singular platform and weapon capabilities, and more about combinations of systems that can be
275 rapidly developed and composed into more effective warfighting constructs. ABII helps organize
276 this evolution and acts as a conduit to both communicate technology solutions to the Services in a
277 warfighting context as well as articulate critical challenges to the technology development
278 community in a manner in which they can appreciate the larger warfighting picture. ABII will serve
279 as the technical baseline for multidomain operations moving forward.

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288 *Prosecute Stabilization Efforts*

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290 Our world is certainly becoming a competition among nation-states, and DARPA is addressing this
291 competition as seen above. However, the United States has been fighting for 20+ years now to
292 counter terrorist and insurgency movements, and we need to become more effective at doing so.
293 Ground troops are often at the frontline in these efforts and need new technologies to help them
294 fight at stand-off, understand gray zone operations, and better operate in large urban areas.

295

296 Squad X Experimentation

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298 DARPA's Squad X Experimentation (SXE) program is designed to provide more stand-off
299 capabilities to our Army and Marine Corps squads and has conducted several live experiments that
300 have fostered the evolution of both new technologies and tactics. In August 2018, the Marines
301 supported several weeks of training and testing that demonstrated autonomous systems working
302 within dismounted formations to achieve objectives within urban environments. These experiments
303 also demonstrated the capability of dispersed, collaborative radio frequency (RF) sensing systems to
304 provide significantly improved situational awareness when coupled with airborne and ground
305 robotics assets.

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307 Making Gray-Zone Activity More Black and White

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309 As "gray-zone activity" around the world becomes more sophisticated, the United States needs to
310 leverage artificial intelligence (AI) and other technologies to help commanders make effective
311 decisions to thwart an enemy's disruptive activity. Gray zone conflicts sit in a nebulous area
312 between peace and conventional warfare. An action and its intent are not openly declared or
313 defined, and it is slower and prosecuted more subtly – using social, psychological, religious,
314 information, cyber, and other means to achieve objectives with or without violence. The lack of
315 clear intent, the grayness, makes it challenging to detect, characterize, and counter an enemy
316 fighting this way.

317

318 DARPA's Media Forensics (MediFor) program is developing automated, scalable tools for detecting
319 the type of forged or manipulated visual media assets (VMAs) that are increasingly used in

320 information operations. Nation states have had the capability to manipulate media for decades and,
321 today, due to the shift from analog to digital media and the profusion of simple editing tools, it is
322 now easy to manipulate and distribute content. The ability to quickly detect compelling
323 manipulations has not kept pace. Currently, this type of analysis is performed by human experts
324 using complex tools and methods. MediFor is delivering new tools that measure the digital,
325 physical, and semantic aspects of VMA integrity and integrate that information to produce a single,
326 quantitative integrity score. DARPA is designing a cloud-based system to enable computation at
327 scale and to support transition to Government and commercial partners.

328

329 Subterranean Challenge: Make the Inaccessible Accessible

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331 Underground settings are increasingly relevant to global security and safety. Rising populations and
332 urbanization are requiring military and civilian first responders to perform their duties below ground
333 in human-made tunnels, underground urban spaces, and natural cave networks. However, one of the
334 main limitations is a lack of situational awareness. Recognizing that innovative, enhanced
335 technologies could accelerate development of critical lifesaving capabilities, DARPA is running the
336 Subterranean or “SubT” Challenge, which aims to explore new approaches to rapidly map,
337 navigate, and search underground environments.

338

339 Advances in robotics, autonomy, and even biological systems could permit us to explore and exploit
340 underground environments too dangerous for humans. Instead of avoiding caves and tunnels, we
341 can use surrogates to map and assess their suitability for use – coming up with new technologies
342 and concepts to make the inaccessible accessible.

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352 *Pursue Foundational Research and Technologies*

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354 DARPA’s core work has always involved discovering new physics and overcoming seemingly
355 insurmountable engineering barriers to change what is possible. By showing those daunting
356 problems to be tractable after all, DARPA breakthroughs in foundational research and technology
357 open new pathways to addressing the most pressing national security challenges. Maintaining
358 momentum in this essential specialty, DARPA is working to achieve new capabilities by applying
359 deep mathematics; inventing new chemistries, processes and materials; and harnessing quantum
360 physics.

361
362 Since its inception, DARPA has relied frequently on an open research model that involves pairing
363 with non-defense-oriented partners. Rather than relying on secrecy, which often is required in
364 military research, the investments the Agency has made in the fundamental sciences have allowed
365 the country to take the lead in pioneering several technologies. Nowhere is this more evident than in
366 the field of semiconductors and information technology. Thanks to DARPA’s long history of
367 investment in these spaces, we have helped build communities that allow ideas to be rigorously
368 developed, and then perfected and manufactured by industry – generating advancements that have
369 brought both economic and defense gains.

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371 *Electronics Resurgence Initiative*

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373 As Moore’s Inflection approaches, the U.S. Government has decided to take large-scale action by
374 investing \$1.5 billion over the next 5 years in the DARPA-led Electronics Resurgence Initiative
375 (ERI). This effort seeks to build a specialized, secure, and heavily automated innovation cycle that
376 will enable the U.S. electronics community to move from an era of generalized hardware to
377 specialized systems. Building on DARPA’s legacy of electronics invention, ERI aims to foster
378 forward-looking collaborations and novel approaches to usher in this new era of circuit
379 specialization. The large-scale initiative will apply DARPA’s open research model to the future of
380 microelectronics and bring together Government, academia, industry, the defense industrial base,
381 and DoD to create the environment needed for continued advancement.

382

383 In November 2018, DARPA expanded the program with the announcement of ERI Phase II, which
384 will further connect the technology needs and capabilities of the defense enterprise with commercial
385 and manufacturing realities of the electronics industry. ERI Phase II builds on existing ERI
386 programs with the goal of supporting domestic semiconductor manufacturers that can implement
387 specialized circuits. This effort aims to ensure those circuits can be trusted through the supply chain
388 and are built with security in mind, making certain that technological advances are ultimately
389 available to and applied to national security objectives.

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391 Artificial Intelligence/Machine Learning

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393 For more than 5 decades, DARPA has been a leader in generating groundbreaking research and
394 development that has facilitated the advancement and application of rule-based, so-called “first
395 wave” artificial intelligence (AI) technologies. The past few years have seen an explosion of
396 interest in a sub-field of AI dubbed “machine learning” that applies statistical and probabilistic
397 methods to large data sets to create generalized representations that can be applied to similar data ,
398 also known as “second wave” AI technologies. Today, DARPA continues to lead innovation in AI
399 technologies research as it funds a broad portfolio of R&D programs, ranging from basic research to
400 advanced technology development. DARPA envisions a future in which machines are more than
401 just tools that execute human-programmed rules or generalize from human-curated data sets.
402 Rather, the AI-enabled machines DARPA envisions will function more as colleagues than as tools.
403 Towards this end, DARPA is focusing its investments on a “third wave” of AI technologies that
404 brings forth machines that can reason in context.

405

406 DARPA announced in September 2018 a multi-year investment of more than \$2 billion in new and
407 existing programs called the “AI Next” campaign. Key areas include providing robust foundations
408 for second wave technologies, aggressively applying second wave AI technologies into appropriate
409 systems, and exploring and creating third wave AI science and technologies.

410

411 In addition to new and existing DARPA research, a key component of the AI Next Campaign will
412 be DARPA’s Artificial Intelligence Exploration (AIE) program, first announced in July 2018. AIE
413 constitutes a series of high-risk, high-payoff projects where researchers will work to establish the
414 feasibility of new AI concepts within 18 months of award. Leveraging streamlined contracting

415 procedures and funding mechanisms is enabling these efforts to move from proposal to project kick-
416 off within 3 months of an opportunity announcement. Already, DARPA has made 44 awards,
417 including 26 to universities and 10 to small businesses, all in under 90 days from posting the request
418 for research proposals, and there is a strong, almost overwhelming, interest on the part of the
419 research community to participate in these opportunities.

420

421 **Conclusion**

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423 The ultimate objective of the Agency's work is the achievement of major, unexpected advances in
424 national security capabilities. DARPA's record in this regard is unrivaled. Precision-guided
425 munitions, stealth technology, unmanned systems, advanced ISR, and infrared night vision
426 produced remarkable changes in how U.S. forces fight and win.

427

428 At the same time, the enabling technologies behind these military capabilities – new materials,
429 navigation and timing devices, specialized microelectronics, advanced networking and artificial
430 intelligence technologies, among others — helped lay a foundation for private-sector investments
431 that extends far beyond the battlefield.

432

433 I look forward to working with this subcommittee and others in Government to see that DARPA
434 remains true to its mission and continually delivers game-changing national security capabilities.