## Department of Defense Fiscal Year (FY) 2017 President's Budget Submission

February 2016



**Defense Advanced Research Projects Agency** 

Defense-Wide Justification Book Volume 1 of 1

Research, Development, Test & Evaluation, Defense-Wide

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Defense Advanced Research Projects Agency • President's Budget Submission FY 2017 • RDT&E Program

### **Table of Volumes**

| Defense Advanced Research Projects Agency | /olume 1 |
|---|----------|
| Missile Defense Agency                    | /olume 2 |
| Office of the Secretary Of Defense        | /olume 3 |
| Chemical and Biological Defense Program   | /olume 4 |
| Defense Contract Management Agency        | /olume 5 |
| DoD Human Resources Activity              | /olume 5 |
| Defense Information Systems Agency        |          |
| Defense Logistics Agency                  |          |
| Defense Security Cooperation Agency       | /olume 5 |
| Defense Security Service                  | /olume 5 |
| Defense Technical Information Center      | /olume 5 |
| Defense Threat Reduction Agency           | /olume 5 |
| The Joint Staff                           |          |
| United States Special Operations Command  | /olume 5 |
| Washington Headquarters Service           | /olume 5 |
| Operational Test and Evaluation, Defense  | /olume 5 |

Defense Advanced Research Projects Agency • President's Budget Submission FY 2017 • RDT&E Program

| Defense Geospatial Intelligence Agency | (see | ) NIP | and MIP | Justification Books) |
|--|------|-------|---------|----------------------|
| Defense Intelligence Agency            | (see | NIP   | and MIP | Justification Books) |
| National Security Agency               | (see | NIP   | and MIP | Justification Books) |

Defense Advanced Research Projects Agency • President's Budget Submission FY 2017 • RDT&E Program

### **Volume 1 Table of Contents**

| Comptroller Exhibit R-1  | Volume 1 - v    |
|--|-----------------|
| Program Element Table of Contents (by Budget Activity then Line Item Number) | Volume 1 - xiii |
| Program Element Table of Contents (Alphabetically by Program Element Title)  | Volume 1 - xv   |
| Exhibit R-2's  | Volume 1 - 1    |

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#### Department of Defense FY 2017 President's Budget Exhibit R-1 FY 2017 President's Budget Total Obligational Authority (Dollars in Thousands)

08 Jan 2016

| Appropriation                                  | FY 2015<br>(Base & OCO) | FY 2016<br>Base Enacted | FY 2016<br>OCO Enacted | FY 2016<br>Total Enacted | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total |
|--|-------------------------|-------------------------|------------------------|--------------------------|-----------------|----------------|------------------|
| Research, Development, Test & Eval, DW         | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |
| Total Research, Development, Test & Evaluation | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |

#### Department of Defense FY 2017 President's Budget Exhibit R-1 FY 2017 President's Budget Total Obligational Authority (Dollars in Thousands)

08 Jan 2016

| Summary Recap of Budget Activities             | FY 2015<br>(Base & OCO) | FY 2016<br>Base Enacted | FY 2016<br>OCO Enacted | FY 2016<br>Total Enacted | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total |
|--|-------------------------|-------------------------|------------------------|--------------------------|-----------------|----------------|------------------|
| Basic Research                                 | 381,371                 | 389,663                 |                        | 389,663                  | 420,088         |                | 420,088          |
| Applied Research                               | 1,136,845               | 1,163,380               |                        | 1,163,380                | 1,246,308       |                | 1,246,308        |
| Advanced Technology Development                | 1,241,088               | 1,243,667               |                        | 1,243,667                | 1,232,637       |                | 1,232,637        |
| Management Support                             | 156,628                 | 71,571                  |                        | 71,571                   | 74,003          |                | 74,003           |
| Total Research, Development, Test & Evaluation | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |
| Summary Recap of FYDP Programs                 |                         |                         |                        |                          |                 |                |                  |
| Research and Development                       | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |
| Total Research, Development, Test & Evaluation | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |

#### Defense-Wide FY 2017 President's Budget Exhibit R-1 FY 2017 President's Budget Total Obligational Authority (Dollars in Thousands)

08 Jan 2016

| Summary Recap of Budget Activities             | FY 2015<br>(Base & OCO) | FY 2016<br>Base Enacted | FY 2016<br>OCO Enacted | FY 2016<br>Total Enacted | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total |
|--|-------------------------|-------------------------|------------------------|--------------------------|-----------------|----------------|------------------|
| Basic Research                                 | 381,371                 | 389,663                 |                        | 389,663                  | 420,088         |                | 420,088          |
| Applied Research                               | 1,136,845               | 1,163,380               |                        | 1,163,380                | 1,246,308       |                | 1,246,308        |
| Advanced Technology Development                | 1,241,088               | 1,243,667               |                        | 1,243,667                | 1,232,637       |                | 1,232,637        |
| Management Support                             | 156,628                 | 71,571                  |                        | 71,571                   | 74,003          |                | 74,003           |
| Total Research, Development, Test & Evaluation | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |
| Summary Recap of FYDP Programs                 |                         |                         |                        |                          |                 |                |                  |
| Research and Development                       | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |
| Total Research, Development, Test & Evaluation | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |

#### Defense-Wide FY 2017 President's Budget Exhibit R-1 FY 2017 President's Budget Total Obligational Authority (Dollars in Thousands)

08 Jan 2016

.

| Appropriation                                  | FY 2015<br>(Base & OCO) | FY 2016<br>Base Enacted | FY 2016<br>OCO Enacted | FY 2016<br>Total Enacted | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total |
|--|-------------------------|-------------------------|------------------------|--------------------------|-----------------|----------------|------------------|
| Defense Advanced Research Projects Agency      | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |
| Total Research, Development, Test & Evaluation | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |

#### Defense-Wide FY 2017 President's Budget Exhibit R-1 FY 2017 President's Budget Total Obligational Authority (Dollars in Thousands)

Appropriation: 0400D Research, Development, Test & Eval, DW

| Line<br>No | Program<br>Element<br>Number | Item   | Act | FY 2015<br>(Base & OCO) | FY 2016<br>Base Enacted | FY 2016<br>OCO Enacted | FY 2016<br>Total Enacted | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | S<br>e<br>c |
|------------|------------------------------|--|-----|-------------------------|-------------------------|------------------------|--------------------------|-----------------|----------------|------------------|-------------|
| 2          | 0601101E                     | Defense Research Sciences                      | 01  | 322,030                 | 333,119                 |                        | 333,119                  | 362,297         |                | 362,297          | U           |
| 4          | 0601117E                     | Basic Operational Medical Research<br>Science  | 01  | 59,341                  | 56,544                  |                        | 56,544                   | 57,791          |                | 57,791           |             |
|            | Basic                        | Research                                       |     | 381,371                 | 389,663                 |                        | 389,663                  | 420,088         |                | 420,088          |             |
| 9          | 0602115E                     | Biomedical Technology                          | 02  | 164,589                 | 114,262                 |                        | 114,262                  | 115,213         |                | 115,213          | υ           |
| 13         | 0602303E                     | Information & Communications<br>Technology     | 02  | 315,923                 | 341,358                 | 341,358                |                          | 353,635         |                | 353,635          | υ           |
| 14         | 0602383E                     | Biological Warfare Defense                     | 02  | 42,447                  | 24,265                  |                        | 24,265                   | 21,250          |                | 21,250           | U           |
| 17         | 0602702E                     | Tactical Technology                            | 02  | 299,787                 | 302,582                 |                        | 302,582                  | 313,843         |                | 313,843          | υ           |
| 18         | 0602715E                     | Materials and Biological Technology            | 02  | 144,409                 | 206,115                 |                        | 206,115                  | 220,456         |                | 220,456          | U           |
| 19         | 0602716E                     | Electronics Technology                         | 02  | 169,690                 | 174,798                 |                        | 174,798                  | 221,911         |                | 221,911          | υ           |
|            | Appli                        | ed Research                                    |     | 1,136,845               | 1,163,380               |                        | 1,163,380                | 1,246,308       |                | 1,246,308        |             |
| 36         | 0603286E                     | Advanced Aerospace Systems                     | 03  | 123,292                 | 173,631                 |                        | 173,631                  | 182,327         |                | 182,327          | U           |
| 37         | 0603287E                     | Space Programs and Technology                  | 03  | 172,504                 | 126,692                 |                        | 126,692                  | 175,240         |                | 175,240          | U           |
| 55         | 0603739E                     | Advanced Electronics Technologies              | 03  | 81,119                  | 76,021                  |                        | 76,021                   | 49,807          |                | 49,807           | U           |
| 56         | 0603760E                     | Command, Control and Communications<br>Systems | 03  | 229,945                 | 201,335                 |                        | 201,335                  | 155,081         | ·              | 155,081          | U           |
| 57         | 0603766E                     | Network-Centric Warfare Technology             | 03  | 350,323                 | 425,861                 |                        | 425,861                  | 428,894         |                | 428,894          | U           |
| 58         | 0603767E                     | Sensor Technology                              | 03  | 283,905                 | 240,127                 |                        | 240,127                  | 241,288         |                | 241,288          | U           |
|            | Advan                        | ced Technology Development                     |     | 1,241,088               | 1,243,667               |                        | 1,243,667                | 1,232,637       |                | 1,232,637        |             |
| 138        | 0605001E                     | Mission Support                                | 06  |                         |                         |                        |                          | 69,244          |                | 69,244           | υ           |
| 154        | 0605502E                     | Small Business Innovative Research             | 06  | 85,266                  |                         |                        |                          |                 |                |                  | U           |

R-1C1: FY 2017 President's Budget (Published Version of PB Position), as of January 8, 2016 at 09:33:10

08 Jan 2016

#### Defense-Wide FY 2017 President's Budget Exhibit R-1 FY 2017 President's Budget Total Obligational Authority (Dollars in Thousands)

Appropriation: 0400D Research, Development, Test & Eval, DW

| Line<br>No         | Program<br>Element<br>Number | Item<br>                     | Act     | FY 2015<br>(Base & OCO) | FY 2016<br>Base Enacted | FY 2016<br>OCO Enacted | FY 2016<br>Total Enacted | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | S<br>e<br>c |
|--------------------|------------------------------|------------------------------|---------|-------------------------|-------------------------|------------------------|--------------------------|-----------------|----------------|------------------|-------------|
| 163                | 0605898E                     | Management HQ - R&D          | 06      | 71,362                  | 71,571                  |                        | 71,571                   | 4,759           |                | 4,759            | U           |
| Management Support |                              |                              | 156,628 | 71,571                  |                         | 71,571                 | 74,003                   |                 | 74,003         |                  |             |
| Tota               | l Research,                  | Development, Test & Eval, DW |         | 2,915,932               | 2,868,281               |                        | 2,868,281                | 2,973,036       |                | 2,973,036        |             |

R-1C1: FY 2017 President's Budget (Published Version of PB Position), as of January 8, 2016 at 09:33:10

08 Jan 2016

#### Defense Advanced Research Projects Agency FY 2017 President's Budget Exhibit R-1 FY 2017 President's Budget Total Obligational Authority (Dollars in Thousands)

Appropriation: 0400D Research, Development, Test & Eval, DW

|     | Program<br>Element<br>Number | Item   | Act | FY 2015<br>(Base & OCO) | FY 2016<br>Base Enacted | FY 2016<br>OCO Enacted | FY 2016<br>Total Enacted | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | S<br>c<br>c |
|-----|------------------------------|--|-----|-------------------------|-------------------------|------------------------|--------------------------|-----------------|----------------|------------------|-------------|
| 2   | 0601101E                     | Defense Research Sciences                      | 01  | 322,030                 | 333,119                 |                        | 333,119                  | 362,297         |                | 362,297          | U           |
| 4   | 0601117E                     | Basic Operational Medical Research<br>Science  | 01  | 59,341                  | 56,544                  |                        | 56,544                   | 57,791          |                | 57,791           |             |
| Ba  | asic Resear                  | rch  |     | 381,371                 | 389,663                 |                        | 389,663                  | 420,088         |                | 420,088          |             |
| 9   | 0602115E                     | Biomedical Technology                          | 02  | 164,589                 | 114,262                 |                        | 114,262                  | 115,213         |                | 115,213          | υ           |
| 13  | 0602303E                     | Information & Communications<br>Technology     | 02  | 315,923                 | 341,358                 |                        | 341,358                  | 353,635         |                | 353,635          | υ           |
| 14  | 0602383E                     | Biological Warfare Defense                     | 02  | 42,447                  | 24,265                  |                        | 24,265                   | 21,250          |                | 21,250           | U           |
| 17  | 0602702E                     | Tactical Technology                            | 02  | 299,787                 | 302,582                 |                        | 302,582                  | 313,843         |                | 313,843          | U           |
| 18  | 0602715E                     | Materials and Biological Technology            | 02  | 144,409                 | 206,115                 |                        | 206,115                  | 220,456         |                | 220,456          | U           |
| 19  | 0602716E                     | Electronics Technology                         | 02  | 169,690                 | 174,798                 |                        | 174,798                  | 221,911         |                | 221,911          | U           |
| AI  | Applied Research             |  |     | 1,136,845               | 1,163,380               |                        | 1,163,380                | 1,246,308       |                | 1,246,308        | •           |
| 36  | 0603286E                     | Advanced Aerospace Systems                     | 03  | 123,292                 | 173,631                 |                        | 173,631                  | 182,327         |                | 182,327          | U           |
| 37  | 0603287E                     | Space Programs and Technology                  | 03  | 172,504                 | 126,692                 |                        | 126,692                  | 175,240         |                | 175,240          | U           |
| 55  | 0603739E                     | Advanced Electronics Technologies              | 03  | 81,119                  | 76,021                  |                        | 76,021                   | 49,807          |                | 49,807           | U           |
| 56  | 0603760E                     | Command, Control and Communications<br>Systems | 03  | 229,945                 | 201,335                 |                        | 201,335                  | 155,081         |                | 155,081          | υ           |
| 57  | 0603766E                     | Network-Centric Warfare Technology             | 03  | 350,323                 | 425,861                 |                        | 425,861                  | 428,894         |                | 428,894          | υ           |
| 58  | 0603767E                     | Sensor Technology                              | 03  | 283,905                 | 240,127                 |                        | 240,127                  | 241,288         |                | 241,288          | υ           |
| Ac  | lvanced Tec                  | hnology Development                            |     | 1,241,088               | 1,243,667               |                        | 1,243,667                | 1,232,637       |                | 1,232,637        | ~           |
| 138 | 0605001E                     | Mission Support                                | 06  |                         |                         |                        |                          | 69,244          |                | 69,244           | U           |
| 154 | 0605502E                     | S Small Business Innovative Research 06 85,266 |     |                         |                         |                        |                          |                 |                | υ                |             |
|     | 0605898E                     | Management HQ - R&D                            | 06  | 71,362                  | 71,571                  |                        | 71,571                   | 4,759           |                | 4,759            | U           |

R-1C1: FY 2017 President's Budget (Published Version of PB Position), as of January 8, 2016 at 09:33:10

08 Jan 2016

#### Defense Advanced Research Projects Agency FY 2017 President's Budget Exhibit R-1 FY 2017 President's Budget Total Obligational Authority (Dollars in Thousands)

Appropriation: 0400D Research, Development, Test & Eval, DW

|      | Program   |      |     |              |              |             |               |           |         |           | s |
|------|---|------|-----|--------------|--------------|-------------|---------------|-----------|---------|-----------|---|
| Line | Element   |      |     | FY 2015      | FY 2016      | FY 2016     | FY 2016       | FY 2017   | FY 2017 | FY 2017   | е |
| No   | Number  | Item | Act | (Base & OCO) | Base Enacted | OCO Enacted | Total Enacted | Base      | oco     | Total     | С |
|      |   |      |     |              |              |             |               |           |         |           | - |
|      |   |      |     |              |              |             |               |           |         |           |   |
|      | Management Gumment                              |      |     |              |              |             |               |           |         |           |   |
| М    | Management Support                              |      |     | 156,628      | 71,571       |             | 71,571        | 74,003    |         | 74,003    |   |
|      |   |      |     |              |              |             |               |           |         |           |   |
|      |   |      |     |              |              |             |               |           |         |           |   |
| Tota | Total Defense Advanced Research Projects Agency |      |     | 2,915,932    | 2,868,281    |             | 2,868,281     | 2,973,036 |         | 2,973,036 |   |
|      |   |      |     |              |              |             |               |           |         |           |   |

R-1C1: FY 2017 President's Budget (Published Version of PB Position), as of January 8, 2016 at 09:33:10

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Defense Advanced Research Projects Agency • President's Budget Submission FY 2017 • RDT&E Program

### Program Element Table of Contents (by Budget Activity then Line Item Number)

Appropriation 0400: Research, Development, Test & Evaluation, Defense-Wide

| Line # | Budget Activit | y Program Element Number | Program Element Title Page             | ; |
|--------|----------------|--------------------------|--|---|
| 2      | 01             | 0601101E                 | DEFENSE RESEARCH SCIENCES Volume 1 - 1 | - |
| 4      | 01             | 0601117E                 | BASIC OPERATIONAL MEDICAL SCIENCE      | ) |

#### Appropriation 0400: Research, Development, Test & Evaluation, Defense-Wide

| Line # | Budget Activit | y Program Element Number | Program Element Title Pa                          | age  |
|--------|----------------|--------------------------|---|------|
| 9      | 02             | 0602115E                 | BIOMEDICAL TECHNOLOGY Volume 1 -                  | - 55 |
| 13     | 02             | 0602303E                 | INFORMATION & COMMUNICATIONS TECHNOLOGYVolume 1 - | - 67 |
| 14     | 02             | 0602383E                 | BIOLOGICAL WARFARE DEFENSEVolume 1 -              | 101  |
| 17     | 02             | 0602702E                 | TACTICAL TECHNOLOGY Volume 1 -                    | 105  |
| 18     | 02             | 0602715E                 | MATERIALS AND BIOLOGICAL TECHNOLOGYVolume 1 -     | 137  |
| 19     | 02             | 0602716E                 | ELECTRONICS TECHNOLOGY Volume 1 -                 | 155  |

Defense Advanced Research Projects Agency • President's Budget Submission FY 2017 • RDT&E Program

#### Appropriation 0400: Research, Development, Test & Evaluation, Defense-Wide

| Line # | Budget Activity | / Program Element Number | Program Element Title Pag                                 | je             |
|--------|-----------------|--------------------------|---|----------------|
| 36     | 03              | 0603286E                 | ADVANCED AEROSPACE SYSTEMS Volume 1 - 18                  | 31             |
| 37     | 03              | 0603287E                 | SPACE PROGRAMS AND TECHNOLOGYVolume 1 - 20                | )1             |
| 55     | 03              | 0603739E                 | ADVANCED ELECTRONICS TECHNOLOGIESVolume 1 - 22            | 21             |
| 56     | 03              | 0603760E                 | COMMAND, CONTROL AND COMMUNICATIONS SYSTEMS Volume 1 - 24 | 11             |
| 57     | 03              | 0603766E                 | NETWORK-CENTRIC WARFARE TECHNOLOGY Volume 1 - 26          | i5             |
| 58     | 03              | 0603767E                 | SENSOR TECHNOLOGY Volume 1 - 29                           | <del>)</del> 7 |

Appropriation 0400: Research, Development, Test & Evaluation, Defense-Wide

| Line # | Budget Activit | y Program Element Number | Program Element Title                       | Page  |
|--------|----------------|--------------------------|---|-------|
| 138    | 06             | 0605001E                 | MISSION SUPPORT                             | - 333 |
| 154    | 06             | 0605502E                 | SMALL BUSINESS INNOVATION RESEARCH Volume 1 | - 335 |
| 163    | 06             | 0605898E                 | MANAGEMENT HQ - R&D Volume 1                | - 337 |

### Defense Advanced Research Projects Agency • President's Budget Submission FY 2017 • RDT&E Program

### Program Element Table of Contents (Alphabetically by Program Element Title)

| Program Element Title                       | Program Element<br>Number | Line # | BA Page           |
|---|---------------------------|--------|-------------------|
| ADVANCED AEROSPACE SYSTEMS                  | 0603286E                  | 36     | 03Volume 1 - 181  |
| ADVANCED ELECTRONICS TECHNOLOGIES           | 0603739E                  | 55     | 03Volume 1 - 221  |
| BASIC OPERATIONAL MEDICAL SCIENCE           | 0601117E                  | 4      | 01Volume 1 - 49   |
| BIOLOGICAL WARFARE DEFENSE                  | 0602383E                  | 14     | 02Volume 1 - 101  |
| BIOMEDICAL TECHNOLOGY                       | 0602115E                  | 9      | 02Volume 1 - 55   |
| COMMAND, CONTROL AND COMMUNICATIONS SYSTEMS | 0603760E                  | 56     | 03Volume 1 - 241  |
| DEFENSE RESEARCH SCIENCES                   | 0601101E                  | 2      | 01Volume 1 - 1    |
| ELECTRONICS TECHNOLOGY                      | 0602716E                  | 19     | 02Volume 1 - 155  |
| INFORMATION & COMMUNICATIONS TECHNOLOGY     | 0602303E                  | 13     | 02Volume 1 - 67   |
| MANAGEMENT HQ - R&D                         | 0605898E                  | 163    | 06Volume 1 - 337  |
| MATERIALS AND BIOLOGICAL TECHNOLOGY         | 0602715E                  | 18     | 02Volume 1 - 137  |
| MISSION SUPPORT                             | 0605001E                  | 138    | 06Volume 1 - 333  |
| NETWORK-CENTRIC WARFARE TECHNOLOGY          | 0603766E                  | 57     | 03Volume 1 - 265  |
| SENSOR TECHNOLOGY                           | 0603767E                  | 58     | 03 Volume 1 - 297 |
| SMALL BUSINESS INNOVATION RESEARCH          | 0605502E                  | 154    | 06 Volume 1 - 335 |
| SPACE PROGRAMS AND TECHNOLOGY               | 0603287E                  | 37     | 03 Volume 1 - 201 |
| TACTICAL TECHNOLOGY                         | 0602702E                  | 17     | 02 Volume 1 - 105 |

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| Exhibit R-2, RDT&E Budget Item  | xhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Re |         |         |                 |                |                          |         |         |         | Date: February 2016 |                     |               |
|---|--|---------|---------|-----------------|----------------|--------------------------|---------|---------|---------|---------------------|---------------------|---------------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 1: Basi<br>Research |  |         |         | A 1: Basic      | -              | am Elemen<br>)1E / DEFEI | •       | ENCES   |         |                     |                     |               |
| COST (\$ in Millions)   | Prior<br>Years   | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total         | FY 2018 | FY 2019 | FY 2020 | FY 2021             | Cost To<br>Complete | Total<br>Cost |
| Total Program Element   | -  | 322.030 | 333.119 | 362.297         | -              | 362.297                  | 361.151 | 365.461 | 372.674 | 376.113             | -                   | -             |
| BLS-01: BIO/INFO/MICRO<br>SCIENCES  | -  | 14.000  | 6.127   | 0.000           | -              | 0.000                    | 0.000   | 0.000   | 0.000   | 0.000               | -                   | -             |
| CCS-02: MATH AND<br>COMPUTER SCIENCES   | -  | 111.223 | 144.290 | 149.065         | -              | 149.065                  | 158.762 | 165.583 | 163.036 | 167.036             | -                   | -             |
| CYS-01: CYBER SCIENCES  | -  | 48.178  | 50.428  | 45.000          | -              | 45.000                   | 47.219  | 27.000  | 10.000  | 10.000              | -                   | -             |
| ES-01: ELECTRONIC<br>SCIENCES   | -  | 39.947  | 40.824  | 49.553          | -              | 49.553                   | 38.151  | 40.996  | 44.883  | 44.883              | -                   | -             |
| MS-01: MATERIALS SCIENCES   | -  | 77.942  | 53.060  | 65.609          | -              | 65.609                   | 60.387  | 63.780  | 85.138  | 85.138              | -                   | -             |
| TRS-01: TRANSFORMATIVE<br>SCIENCES  | -  | 30.740  | 38.390  | 53.070          | -              | 53.070                   | 56.632  | 68.102  | 69.617  | 69.056              | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Defense Research Sciences Program Element is budgeted in the Basic Research Budget Activity because it provides the technical foundation for long-term National Security enhancement through the discovery of new phenomena and the exploration of the potential of such phenomena for Defense applications. It supports the scientific study and experimentation that is the basis for more advanced knowledge and understanding in information, electronic, mathematical, computer, biological and materials sciences.

The Bio/Info/Micro Sciences project will explore and develop potential technological breakthroughs that exist at the intersection of biology, information technology and micro/physical systems to exploit advances and leverage fundamental discoveries for the development of new technologies, techniques and systems of interest to the DoD. Programs in this project will draw upon information and physical sciences to discover properties of biological systems that cross multiple scales of biological architecture and function, from the molecular and genetic level through cellular, tissue, organ, and whole organism levels.

The Math and Computer Sciences project supports long term national security requirements through scientific research and experimentation in new computational models and mechanisms for reasoning and communication in complex, interconnected systems. The project is exploring novel means of leveraging computer capabilities, including: practical, logical, heuristic, and automated reasoning by machines; development of enhanced human-to-computer and computer-to-computer interaction technologies; innovative approaches to the composition of software; innovative computer architectures; mathematical programs and their potential for defense applications; and new learning mechanisms for systematically upgrading and improving these capabilities.

The Cyber Sciences project supports long term national security requirements through scientific research and experimentation in cybersecurity. Networked computing systems control virtually everything, from power plants and energy distribution, transportation systems, food and water distribution, financial systems, to defense

| hibit R-2, RDT&E Budget Item Justification: PB 2017  | Defense Advanced R   | esearch Projects   | s Agency   | Date  | e: February 20  | 16  |
|--|--|--|--|---|---|---|
| ppropriation/Budget Activity<br>00: Research, Development, Test & Evaluation, Defense-<br>esearch  | -Wide I BA 1: Basic  | PE 0601101E / L  |  | SCIENCES  |   |   |
| stems. Protecting the infrastructure on which these syste<br>lversary attempts to degrade, disrupt, or deny military con<br>usis for continuing progress in this area. Promising resear  | mputing, communicat  | ions, and networ   | rking systems. Basic re  | search in cyber secu                                | rity is required  |   |
| ne Electronic Sciences project explores and demonstrates<br>otions for meeting the information gathering, transmission<br>ecisions based on that knowledge to all forces in near-rea<br>ilitary systems providing these capabilities.  | i and processing requ  | ired to maintain   | near-real time knowledg  | ge of the enemy and t                               | the ability to co   | ommunicate  |
| e Materials Sciences project provides the fundamental revices, and electronics for DoD applications that greatly eweight ratio and ultra-low size, devices with ultra-low energy proved surveillance capabilities.   | enhance soldier aware  | eness, capability  | , security, and survivabi  | lity, such as materials                             | s with increase   | d strength-   |
|  |  |  |  |   |   |   |
| e Transformative Sciences project supports research an<br>mputing-reliant subareas of the social sciences, life scier<br>aptation to sudden changes in requirements, threats, and  | nces, manufacturing,   | and commerce.  | The project integrates t   | these diverse disciplir                             | nes to improve  | military  |
| e Transformative Sciences project supports research an<br>nputing-reliant subareas of the social sciences, life scier<br>aptation to sudden changes in requirements, threats, and  | nces, manufacturing,   | and commerce.  | The project integrates t   | these diverse disciplir                             | nes to improve  | military<br>ons.  |
| Transformative Sciences project supports research an<br>aputing-reliant subareas of the social sciences, life scier<br>ptation to sudden changes in requirements, threats, and   | nces, manufacturing,<br>d emerging/convergir   | and commerce.<br>ng trends, especi   | The project integrates t<br>ally trends that have the  | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u>           | military<br>ons.  |
| Transformative Sciences project supports research an<br>puting-reliant subareas of the social sciences, life scier<br>ptation to sudden changes in requirements, threats, and<br>rogram Change Summary (\$ in Millions)  | nces, manufacturing,<br>d emerging/convergir<br><u>FY 2015</u>   | and commerce.<br>ng trends, especi<br><u>FY 2016</u>   | The project integrates t<br>ially trends that have the<br><u>FY 2017 Base</u>                                  | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3      | military<br>ons.<br>7 Total   |
| Transformative Sciences project supports research an<br>oputing-reliant subareas of the social sciences, life scier<br>ptation to sudden changes in requirements, threats, and<br>rogram Change Summary (\$ in Millions)<br>Previous President's Budget  | nces, manufacturing,<br>d emerging/convergir<br><u>FY 2015</u><br>332.146  | and commerce.<br>ng trends, especi<br><u>FY 2016</u><br>333.119  | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362                      | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br><u>7 Total</u><br>28.362                        |
| Transformative Sciences project supports research an<br>aputing-reliant subareas of the social sciences, life scier<br>ptation to sudden changes in requirements, threats, and<br><b>rogram Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions  | nces, manufacturing,<br>d emerging/convergir<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000   | and commerce.<br>ng trends, especi<br><b>FY 2016</b><br>333.119<br>333.119<br>0.000<br>0.000   | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297           | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297                     |
| Transformative Sciences project supports research an<br>aputing-reliant subareas of the social sciences, life scier<br>ptation to sudden changes in requirements, threats, and<br><b>rogram Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions<br>• Congressional Directed Reductions   | nces, manufacturing,<br>d emerging/convergir<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000  | and commerce.<br>ng trends, especi<br><b>FY 2016</b><br>333.119<br>333.119<br>0.000<br>0.000<br>0.000  | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297           | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297                     |
| Transformative Sciences project supports research an<br>aputing-reliant subareas of the social sciences, life scier<br>ptation to sudden changes in requirements, threats, and<br><b>rogram Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions<br>• Congressional Directed Reductions<br>• Congressional Rescissions  | nces, manufacturing,<br>d emerging/convergin<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000<br>0.000   | and commerce.<br>ing trends, especie<br>FY 2016<br>333.119<br>333.119<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000   | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297           | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297                     |
| Transformative Sciences project supports research an<br>aputing-reliant subareas of the social sciences, life scier<br>ptation to sudden changes in requirements, threats, and<br><b>rogram Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions<br>• Congressional Directed Reductions<br>• Congressional Rescissions<br>• Congressional Adds  | nces, manufacturing,<br>d emerging/convergin<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000                                       | and commerce.<br>Ing trends, especies<br>FY 2016<br>333.119<br>333.119<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000   | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297           | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297                     |
| Transformative Sciences project supports research an<br>aputing-reliant subareas of the social sciences, life scien<br>ptation to sudden changes in requirements, threats, and<br><b>rogram Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions<br>• Congressional Directed Reductions<br>• Congressional Rescissions<br>• Congressional Adds<br>• Congressional Directed Transfers  | nces, manufacturing,<br>d emerging/convergir<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000                              | and commerce.<br>Ing trends, especies<br>FY 2016<br>333.119<br>333.119<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000   | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297           | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297                     |
| e Transformative Sciences project supports research an<br>nputing-reliant subareas of the social sciences, life scien<br>optation to sudden changes in requirements, threats, and<br><b>Program Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions<br>• Congressional Directed Reductions<br>• Congressional Rescissions<br>• Congressional Adds<br>• Congressional Directed Transfers<br>• Reprogrammings  | nces, manufacturing,<br>d emerging/convergin<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000                     | and commerce.<br>Ing trends, especies<br>FY 2016<br>333.119<br>333.119<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000  | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297           | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297                     |
| e Transformative Sciences project supports research an<br>nputing-reliant subareas of the social sciences, life scien<br>optation to sudden changes in requirements, threats, and<br><b>Program Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions<br>• Congressional Directed Reductions<br>• Congressional Rescissions<br>• Congressional Adds<br>• Congressional Directed Transfers<br>• Reprogrammings<br>• SBIR/STTR Transfer  | nces, manufacturing,<br>d emerging/convergir<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000                              | and commerce.<br>Ing trends, especies<br>FY 2016<br>333.119<br>333.119<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000   | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297<br>33.935 | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297<br>33.935           |
| <ul> <li>Transformative Sciences project supports research an aputing-reliant subareas of the social sciences, life scient ptation to sudden changes in requirements, threats, and the subareas of the social sciences, life scient ptation to sudden changes in requirements, threats, and the subareas of the social sciences, life scient ptation to sudden changes in requirements, threats, and the subareas of the social sciences, life scient ptation to sudden changes in requirements, threats, and the transfers is subareas of the social sciences, life scient ptation to sudden changes in requirements, threats, and the transfers is subareas of the social sciences, life scient ptation to sudden changes in requirements, threats, and the transfers is subareas of the social sciences, life scient ptation to sudden changes in requirements, threats, and the transfers is subareas of the social sciences, life scient ptations is sciences, life scient ptations, life scient ptating, life scient ptations, life scient ptations, life scient</li></ul> | nces, manufacturing,<br>d emerging/convergin<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000                     | and commerce.<br>Ing trends, especies<br>FY 2016<br>333.119<br>333.119<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000  | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297           | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297                     |
| e Transformative Sciences project supports research an<br>nputing-reliant subareas of the social sciences, life scien<br>aptation to sudden changes in requirements, threats, and<br><b>Program Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions<br>• Congressional Directed Reductions<br>• Congressional Rescissions<br>• Congressional Adds<br>• Congressional Directed Transfers<br>• Reprogrammings<br>• SBIR/STTR Transfer  | nces, manufacturing,<br>d emerging/convergin<br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>-10.116                                     | and commerce.<br>Ing trends, especies<br>FY 2016<br>333.119<br>333.119<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.00000<br>0.00000<br>0.00000<br>0.000000<br>0.00000000 | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297<br>33.935 | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297<br>33.935<br>33.935 |
| e Transformative Sciences project supports research an<br>nputing-reliant subareas of the social sciences, life scien<br>aptation to sudden changes in requirements, threats, and<br><b>Program Change Summary (\$ in Millions)</b><br>Previous President's Budget<br>Current President's Budget<br>Total Adjustments<br>• Congressional General Reductions<br>• Congressional Directed Reductions<br>• Congressional Rescissions<br>• Congressional Adds<br>• Congressional Directed Transfers<br>• Reprogrammings<br>• SBIR/STTR Transfer<br>• TotalOtherAdjustments   | nces, manufacturing,<br>d emerging/convergin<br><u>FY 2015</u><br>332.146<br>322.030<br>-10.116<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>-10.116<br>-<br>Iudes General Redu | and commerce.<br>Ing trends, especies<br>FY 2016<br>333.119<br>333.119<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.0000<br>0.00000<br>0.00000<br>0.00000<br>0.000000<br>0.00000000 | The project integrates to<br>ially trends that have the<br><u>FY 2017 Base</u><br>328.362<br>362.297<br>33.935 | these diverse disciplir<br>e potential to disrupt r | nes to improve<br>nilitary operation<br><u>FY 201</u><br>3<br>3 | military<br>ons.<br>7 Total<br>28.362<br>62.297<br>33.935           |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency Da  | ite: February 2016 |         |  |
|--|--|--------------------|---------|--|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 1: Basic<br>Research | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH SCIENCES</i> |                    |         |  |
| Congressional Add Details (\$ in Millions, and Includes General Red  | luctions)  | FY 2015            | FY 2016 |  |
|  | Congressional Add Subtotals for Project: CCS-0   | 2 3.334            |         |  |
| Project: CYS-01: CYBER SCIENCES  |  |                    |         |  |
| Congressional Add: Basic Research Congressional Add  |  | 3.334              |         |  |
|  | Congressional Add Subtotals for Project: CYS-0   | 1 3.334            |         |  |
| Project: ES-01: ELECTRONIC SCIENCES  |  |                    |         |  |
| Congressional Add: Basic Research Congressional Add  |  | 6.666              |         |  |
|  | Congressional Add Subtotals for Project: ES-0  | 1 6.666            |         |  |
| Project: MS-01: MATERIALS SCIENCES   |  |                    |         |  |
| Congressional Add: Basic Research Congressional Add  |  | 6.666              |         |  |
|  | Congressional Add Subtotals for Project: MS-0  | 1 6.666            |         |  |
|  | Congressional Add Totals for all Projec  | s 20.000           |         |  |

#### **Change Summary Explanation**

FY 2015: Decrease reflects the SBIR/STTR transfer.

FY 2016: N/A

FY 2017: Increase reflects expanded focus in Math and Computer sciences, Electronics, Materials and Transformative sciences.

| Exhibit R-2A, RDT&E Project J             |                | Date: February 2016 |         |                 |                |  |         |         |         |   |                     |               |  |
|---|----------------|---------------------|---------|-----------------|----------------|--|---------|---------|---------|---|---------------------|---------------|--|
| Appropriation/Budget Activity<br>0400 / 1 |                |                     |         |                 |                | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES |         |         |         | Project (Number/Name)<br>BLS-01 / BIO/INFO/MICRO SCIENCES |                     |               |  |
| COST (\$ in Millions)                     | Prior<br>Years | FY 2015             | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total   | FY 2018 | FY 2019 | FY 2020 | FY 2021   | Cost To<br>Complete | Total<br>Cost |  |
| BLS-01: BIO/INFO/MICRO<br>SCIENCES        | -              | 14.000              | 6.127   | 0.000           | -              | 0.000  | 0.000   | 0.000   | 0.000   | 0.000   | -                   | -             |  |

#### A. Mission Description and Budget Item Justification

This project is investigating and developing the intersections of biology, information technology and micro/physical systems to exploit important technological advances and leverage fundamental discoveries for the development of new technologies, techniques, and systems of interest to the DoD. This research is critical to the development of improved training and cognitive rehabilitation. Programs in this project will draw upon the information and physical sciences to discover properties of biological systems that cross multiple scales of biological architecture and function, from the molecular and genetic level through cellular, tissue, organ, and whole organism levels. This project will develop the basic research tools in biology that are unique to the application of biological-based solutions to critical Defense problems.

| B. Accomplishments/Planned Programs (\$ in Millions)  | FY 2015 | FY 2016 | FY 2017 |
|---|---------|---------|---------|
| Title: Quantitative Models of the Brain   | 9.600   | 6.127   | -       |
| <b>Description:</b> The Quantitative Models of the Brain program will establish a functional mathematical basis on which to build future advances in cognitive neuroscience, computing capability, and signal processing across the DoD. An important focus of this program will be determining how information is stored and recalled in the brain and other DoD-relevant signals, developing predictive, quantitative models of learning, memory, and measurement. Using this understanding, the program will develop powerful new symbolic computational capabilities for the DoD in a mathematical system that will provide the ability to understand complex and evolving signals and tasks while decreasing software and hardware requirements and other measurement resources. This includes a comprehensive mathematical theory to extract and leverage information in signals at multiple acquisition levels that would fundamentally generalize compressive sensing for multi-dimensional sources beyond domains typically used. New insights related to signal priors, task priors, and adaptation will enable these advances. This program will further exploit advances in the understanding and modeling of brain activity and organization to improve training of individuals and teams as well as identify new therapies for cognitive rehabilitation (e.g., Traumatic Brain Injury (TBI), Post Traumatic Stress Disorder (PTSD)). Critical to success will be the ability to detect cellular and network-level changes produced in the brain during the formation of new, hierarchically organized memories and memory classes, and to correlate those changes with memory function of animals during performance of behavioral tasks. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Quantified spatio-temporal patterns of neurophysiological activity underlying memory formation.</li> <li>Extended models and brain regions to account for hierarchical organization of memories (procedural, declarative/episodic).</li> <li>Demonstrated model prediction of knowledge and skill-based memory encoding.</li> <li>Developed model of memory encoding using non-invasively recorded neural signals.</li> </ul>  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | Date: February 2016   |   |         |         |  |  |  |
|--|---|---|---------|---------|--|--|--|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES  | Project (Number/Name)<br>BLS-01 / BIO/INFO/MICRO SCIENCES |         |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015   | FY 2016 | FY 2017 |  |  |  |
| <ul> <li>Developed sparse multiple input/multiple output nonlinear dynamical modelin<br/>electrophysiological recordings.</li> </ul>   | ng methodology for real-time application to   |   |         |         |  |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Build hippocampal-neocortical model of stimulation-based memory enhancer</li> <li>Develop and apply a new set of classification models for the prediction of bel patterns of electrophysiological recordings in the hippocampus.</li> <li>Develop initial computational model of integrated neural, physiological, and e acquisition, and subsequent memory recall.</li> </ul>  | havioral outcomes from the spatio-temporal  |   |         |         |  |  |  |
| <i>Title:</i> Bio Interfaces   |   | 4.400   | -       | -       |  |  |  |
| <ul> <li><b>Description:</b> The Bio Interfaces program supported scientific study and experi<br/>biology and the physical and mathematical/computer sciences. This unique infer-<br/>experimental tools for understanding biology in a way that allowed its application<br/>help exploit advances in the complex modeling of physical and biological pheno-<br/>fundamentals of biology will aid in developing tools to understand complex, nor<br/>fundamental nature of time in biology and medicine. This included mapping bar<br/>molecular level up through unique species level activities with a special empha<br/><i>FY 2015 Accomplishments:</i></li> <li>Investigated alternative strategies for treating disease by targeting clocking strategies for treating disease by targeting clocking strategies of the impact of time on drug efficator.</li> <li>Leveraged temporally collected data to test the impact of time on drug efficator.</li> <li>Discovered and tested novel compounds that target oscillatory networks to m<br/>model.</li> </ul> | teraction developed new mathematical and<br>on to a myriad of DoD problems. These tools wo<br>omena. It is also expected that understanding<br>n-linear networks. This program also explored<br>asic clock circuitry in biological systems from the<br>sis on the applicability to human biology.<br>Systems that drive temporal processes such as<br>Cy. | vill<br>the<br>the<br>e<br>cell                           |         |         |  |  |  |
|  | Accomplishments/Planned Programs Sub  | totals 14.000   | 6.127   | -       |  |  |  |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A<br><u>Remarks</u><br><u>D. Acquisition Strategy</u><br>N/A   |   |   |         |         |  |  |  |

| xhibit R-2A, RDT&E Project Justification: PB 2017 Defense      | Advanced Research Projects Agency   | Date: February 2016                                       |
|--|---|---|
| ppropriation/Budget Activity<br>400 / 1                        | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH</i><br>SCIENCES | Project (Number/Name)<br>BLS-01 / BIO/INFO/MICRO SCIENCES |
| Performance Metrics  |   | L.  |
| pecific programmatic performance metrics are listed above in t | he program accomplishments and plans section.   |   |
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| 0601101E: DEFENSE RESEARCH SCIENCES                            | UNCLASSIFIED  |   |

| Exhibit R-2A, RDT&E Project Ju            | Date: February 2016 |  |         |                 |                |   |         |         |         |         |                     |               |
|---|---------------------|--|---------|-----------------|----------------|---|---------|---------|---------|---------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 1 |                     | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES |         |                 |                | Project (Number/Name)<br>CCS-02 / MATH AND COMPUTER<br>SCIENCES |         |         |         |         |                     |               |
| COST (\$ in Millions)                     | Prior<br>Years      | FY 2015  | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total  | FY 2018 | FY 2019 | FY 2020 | FY 2021 | Cost To<br>Complete | Total<br>Cost |
| CCS-02: MATH AND<br>COMPUTER SCIENCES     | -                   | 111.223  | 144.290 | 149.065         | -              | 149.065   | 158.762 | 165.583 | 163.036 | 167.036 | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Math and Computer Sciences project supports scientific study and experimentation on new computational algorithms, models, and mechanisms in support of longterm national security requirements. The project is exploring novel means of leveraging computer capabilities, including: practical, logical, heuristic, and automated reasoning by machines; enhanced human-to-computer and computer-to-computer interaction technologies; innovative approaches to the composition of software; innovative computer architectures; mathematical programs and their potential for defense applications; and new learning mechanisms for systematically upgrading and improving these capabilities. Promising techniques will transition to both technology development and system-level projects.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: Big Mechanism   | 15.000  | 23.100  | 25.000  |
| <b>Description:</b> The Big Mechanism program is creating new approaches to automated computational intelligence applicable to diverse domains such as biology, cyber, economics, social science, and intelligence. Mastering these domains requires the capability to create abstract yet predictive - ideally causal - models from massive volumes of diverse data generated by human actors, physical sensors, and networked devices. Current modeling approaches are heavily reliant on human insight and expertise, but the complexity of these models is growing exponentially and has now, or will soon, exceed the capacity for human comprehension. Big Mechanism will create technologies to extract and normalize information for incorporation in flexible knowledge bases readily adapted to novel problem scenarios; powerful reasoning engines that can infer general rules from a collection of observations, apply general rules to specific instances, and generate (and compute the likelihood of) the most plausible explanations for a sequence of events; and knowledge synthesis techniques to derive abstract principles and/or create models of extreme complexity consistent with huge volumes of data. Big Mechanism applications will accommodate an operator-in-the-loop by accepting questions posed in human natural language, providing drill-down to reveal the basis for an answer, taking user inputs to improve/correct derived associations, weightings, and conclusions, and querying the operator to clarify ambiguities and reconcile detected inconsistencies. Big Mechanism techniques will integrate burgeoning data into causal models and explore these models for precise interventions. The program has adopted cancer modeling as an initial focus because the availability of experimental data, and the complexity of the problems are representative of challenges facing the DoD in areas such as cyber attribution, open-source intelligence, and economic indications and warning. |         |         |         |
| <b>FY 2015 Accomplishments:</b> - Developed model management techniques for storing, manipulating, and reasoning about tens of thousands of alternative causal models.   |         |         |         |
| - Developed techniques to generate plausible causal hypotheses that can be tested in the lab.  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense A  | dvanced Research Projects Agency  | Date:  | February 2016 | 6       |
|---|---|--|---------------|---------|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES  | Project (Number<br>CCS-02 / MATH /<br>SCIENCES | TER           |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015  | FY 2016       | FY 2017 |
| <ul> <li>Developed tools for operator drill-down, ambiguity clarification, a</li> <li>Demonstrated an initial capability to read thousands of published<br/>the specifics of the results (e.g., Ras model fragments) being repolarger consolidated model of Ras biochemical interactions.</li> </ul>  | d papers on various aspects of the Ras cancer pathway; e  |  |               |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate automated testing of machine-generated hypothes</li> <li>Create new modes for visualizing and exploring models of huge</li> <li>Develop causal models that relate phenotype to genotype using</li> <li>Formulate statistical approaches for uncovering causal relations sequences.</li> <li>Demonstrate prototype technologies in production mode by iden of cancer.</li> <li>Develop algorithms for early indications and/or tracking of medic injury, and cardio-vascular issues.</li> </ul>   | scope that in their entirety exceed human cognitive capal<br>biological big data.<br>hips in numerical data/time series and categorical data/sy<br>tifying drug targets and drugs for one or more specific cla  | rmbol<br>sses                                  |               |         |
| <ul> <li>FY 2017 Plans:</li> <li>Create interfaces and tools to support a public web-based resou</li> <li>Create utilities to add genomic information to machine-curated c</li> <li>Publish a high-fidelity simulation of the Ras cancer pathway.</li> <li>Explore the portability of Big Mechanism technologies to other d</li> <li>Explore the application of genotype-phenotype models to bioma</li> <li>Develop and implement scalable algorithms that reveal causality</li> </ul>  | ancer pathways.<br>omains.<br>nufacturing.  |  |               |         |
| <i>Title:</i> Building Resource Adaptive Software from Specifications (B<br><i>Description:</i> The Building Resource-Adaptive Software from Specifications that permits software systems to seamlessly adapt to c<br>environment. Effective adaptation is realized through rigorously de<br>assumptions and resource guarantees made by the environment.<br>patching, which is time-consuming, error-prone, and expensive. P<br>an application may encounter in its lifetime is problematic, and exis<br>use of specification-based adaptation will allow BRASS application<br>assumptions or guarantees are broken. This restructuring is optim<br>operation. BRASS will create tools to automatically discover and r | RASS)<br>cifications (BRASS) program is developing an automated<br>hanging resource conditions in an evolving operational<br>efined specifications that capture application resource<br>The current manual adaptation process is based on corre<br>redicting the myriad of possible environment changes that<br>sting reactive approaches are brittle and often incorrect.<br>Ins to be correctly restructured in real time whenever state<br>nized to trade off execution fidelity and functionality for con | ective<br>at<br>The<br>d<br>ntinued            | 5 15.500      | 20.919  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency   |        | Date: F  | ebruary 2016 |         |
|--|--|--------|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   | CCS-0  | roject (Number/Name)<br>CS-02 / MATH AND COMPUTER<br>CIENCES |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |        | FY 2015  | FY 2016      | FY 2017 |
| resource-based specifications, and implement compiler and runtime transformation changes.  | ations that can efficiently adapt to resource  |        |  |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed a preliminary evaluation framework to enable assessment of adaptive resource changes.</li> <li>Identified promising technical approaches to automatically discover and mon</li> </ul>   |  | ace of |  |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Integrate specifications within an operational environment to monitor resource invariants are violated.</li> <li>Develop compile-time and runtime transformations that ensure survivable op changes.</li> <li>Build validation tools that certify that transformed applications satisfy specific environment guarantees.</li> <li>Develop platform-specific challenge problems from different military domains</li> </ul> | eration in the face of unexpected environment<br>ation assumptions in the context of new opera   |        |  |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop new forms of resource-sensitive specifications capable of defining c and logical resources.</li> <li>Build new compiler and runtime infrastructure that are sensitive to ecosystem</li> <li>Incorporate lightweight monitoring tools capable of runtime verification of ada</li> <li>Evaluate the effectiveness of the developed systems in collaboration with point</li> </ul>                                   | n evolution.<br>aptive program transformations.  | cal    |  |              |         |
| Title: Quantifying Uncertainty in Physical Systems   |  |        | 4.350  | 16.947       | 19.357  |
| <b>Description:</b> The Quantifying Uncertainty in Physical Systems thrust will created quantify, propagate and manage multiple sources of (parametric and model) unalso design stochastic, complex DoD systems. In particular, this will include net (UQ) methods to multiscale/multiphysics DoD systems; techniques for correcting rare events; and new methods for decision making, control, and design under the systems.                              | ncertainty to make accurate predictions about a<br>ew methods for scaling Uncertainty Quantificat<br>ng model-form uncertainty and for understandi | ion    |  |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Initiated development of new dimensional reduction and surrogate model me<br/>uncertainty analysis of large-scale, coupled systems.</li> <li>Initiated development of a new theoretical framework for optimization in the p</li> </ul>  |  |        |  |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  |                               | Date: February 2016  |         |         |
|--|--|-------------------------------|--|---------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH</i><br><i>SCIENCES</i>   | CCS-021                       | roject (Number/Name)<br>CS-02 I MATH AND COMPUTER<br>CIENCES |         |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | F                             | Y 2015   | FY 2016 | FY 2017 |
| <ul> <li>Initiated development of new model from uncertainty approaches that outper<br/>Process approach for accurate estimation of quantities of interest in physical s</li> </ul>  |  |                               |  |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop scalable approximation methods with provable error bounds for option uncertain parameters.</li> <li>Develop scalable Bayesian inference algorithms for inverse methods with or known physical properties of DoD systems.</li> <li>Derive proofs and theoretical treatment of rare event detection algorithms with explore novel interfaces for computational design tools that incorporate mater design exploration and optimization under uncertainty.</li> </ul>  | ders of magnitude speed-up incorporating the thin risk-based optimization framework.   | ous                           |  |         |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop new mathematical design techniques for high-dimensional multi-phy<br/>uncertainty.</li> <li>Initiate design work on a specific DoD multi-fidelity and multi-physics challen</li> <li>Implement algorithms for estimation of quantities in physical systems in the p<br/>performance computing platforms.</li> <li>Develop new multi-fidelity techniques for model error estimation.</li> <li>Demonstrate the use of novel computational design interfaces that incorporal<br/>simultaneous design exploration and optimization under uncertainty.</li> </ul>  | ge problem.<br>presence of uncertainty on emerging high-   | onal                          |  |         |         |
| <i>Title:</i> Young Faculty Award (YFA)  |  |                               | 15.166   | 17.279  | 18.000  |
| <b>Description:</b> The goal of the Young Faculty Award (YFA) program is to encour<br>equivalent at non-profit science and technology research institutions to particip<br>augment capabilities for future defense systems. This program focuses on cut<br>microsystems technologies, biological technologies and defense sciences. Th<br>next generation of scientists, engineers, and mathematicians in key disciplines<br>on DoD and National Security issues. The aim is for YFA recipients to receive<br>programs, performers, and the user community. Current activities include rese<br>Science and Technology to Robotics and Supervised Autonomy, Mathematics<br>Biology. A key aspect of the YFA program is DARPA-sponsored military visits<br>participate in one or more military site visits to help them better understand Do | bate in sponsored research programs that will<br>thing-edge technologies for greatly enhancing<br>be long-term goal for this program is to develop<br>who will focus a significant portion of their card<br>deep interactions with DARPA program manage<br>earch in thirteen topic areas spanning from Qua<br>, Computing, and the Interface of Engineering a<br>; all YFA Principal Investigators are expected to | eers<br>gers,<br>Intum<br>and |  |         |         |
| FY 2015 Accomplishments:   |  |                               |  |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  |   | Date: February 2016 |         |         |
|--|--|---|---------------------|---------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   | Project (Number/Name)<br>CCS-02 / MATH AND COMPUTER<br>SCIENCES |                     |         | ER      |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |   | FY 2015             | FY 2016 | FY 2017 |
| <ul> <li>Awarded new FY 2015 grants for new two-year research efforts acr<br/>technologies to solve current DoD problems.</li> <li>Continued FY 2014 research on new concepts for microsystem tech<br/>exercising second year funding, and by providing continued mentorsh</li> <li>Awarded Director's Fellowships for top FY 2013 participants based<br/>transition plans.</li> </ul>   | nnologies, biological technologies and defense science   |   |                     |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Award new FY 2016 grants for new two-year research efforts across technologies to solve current DoD problems.</li> <li>Continue FY 2015 research on new concepts for microsystem techn exercising second year funding, and by providing continued mentorsh</li> <li>Award Director's Fellowships for top FY 2014 participants. During the technology further and align to DoD needs.</li> </ul>   | nologies, biological technologies and defense sciences<br>ip by program managers.  | , by  |                     |         |         |
| <ul> <li>FY 2017 Plans:</li> <li>Award new FY 2017 grants for new two-year research efforts across technologies to solve current DoD problems.</li> <li>Continue FY 2016 research on new concepts for microsystem techn exercising second year funding, and by providing continued mentorsh</li> <li>Award Director's Fellowships for top FY 2015 participants. During the technology further and align to DoD needs.</li> </ul>   | nologies, biological technologies and defense sciences<br>ip by program managers.  | , by  |                     |         |         |
| Title: Communicating With Computers (CWC)  |  |   | 5.250               | 13.576  | 16.213  |
| <b>Description:</b> The Communicating With Computers (CWC) program is<br>interaction by enabling computers to comprehend language, gesture,<br>context. Human language is inherently ambiguous and so humans de<br>context to make language comprehensible. CWC aims to provide cor<br>world, encode the physical world in a perceptual structure, and link lan<br>CWC will apply and extend research in language, vision, gesture reco<br>linguistics, and the psychology of visual encoding, which are essentia<br>will also work to extend the communication techniques developed for<br>constructs in the cyber domain. CWC advances will impact military approximation. | facial expression and other communicative modalities<br>epend strongly on perception of the physical world and<br>mputers with analogous capabilities to sense the physi<br>nguage to this perceptual encoding. To accomplish th<br>ognition and interpretation, dialog management, cogniti<br>I for human communication in the physical world. CWP<br>physical contexts to nonphysical contexts such as virtu | cal<br>s,<br>ve<br>C  |                     |         |         |
| FY 2015 Accomplishments:   |  |   |                     |         |         |

| Dibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency       Date: Fille         Date: Fille       Date: Fille   |   |   | ebruary 2016 |         |         |
|--|---|---|--------------|---------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES  | Project (Number/Name)<br>CCS-02 / MATH AND COMPUTER<br>SCIENCES |              |         | ER      |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | F   | Y 2015       | FY 2016 | FY 2017 |
| <ul> <li>Developed a hardware and a software platform for humans to communicate</li> <li>Formulated representations for the physical world that can capture the informannotation and modification by language-based inputs.</li> <li>Created a semantic framework for gesture, facial expression and other communication and modification by language.</li> </ul>  | nation in a visual scene in a form amenable to  |   |              |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Explore methods for determining whether transmitted communications have additional communications are most likely to result in success.</li> <li>Implement representations for the physical world and develop connectors to language synergies.</li> <li>Build a universal corpus of elementary composable ideas that in combination</li> </ul>   | large-scale knowledge bases to enable visual  |   |              |         |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop and demonstrate the capability to make computer inputs using gesture, facial expression and other communicative modalities.</li> <li>Implement initial techniques for confirming that communications have been successfully received and extrapolating potentially missing information.</li> <li>Demonstrate human-machine communication and collaboration on a physical problem solving task.</li> </ul>   |   |   |              |         |         |
| <i>Title:</i> Mining and Understanding Software Enclaves (MUSE)  |   |   | 8.000        | 12.200  | 16.000  |
| <b>Description:</b> The Mining and Understanding Software Enclaves (MUSE) prog<br>frameworks for improving the resilience and reliability of complex software app<br>machine learning algorithms to large software corpora to repair likely defects a<br>discover new programs that conform to desired behaviors and specifications.<br>of large-scale and data-intensive computations. Specific technical challenges<br>and analysis, defect identification and repair, pattern recognition, and specification<br>improve the security of intelligence-related applications and enhance computation, gra<br>dimensional data analysis, data/event correlation, and visualization. | blications at scale. MUSE techniques will apply<br>and vulnerabilities in existing programs and to<br>MUSE frameworks will enable robust execution<br>include persistent semantic artifact generation<br>ation inference and synthesis. MUSE research<br>tional capabilities in areas such as automated | n<br>will<br>code   |              |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Implemented new static and dynamic program analysis techniques structure facts collected from deep semantic analysis of a large software corpus.</li> <li>Designed application programming interfaces and implementations of a prelimitation, querying, inspection, and optimization of the underlying database.</li> </ul>   |   |   |              |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |  |                       | Date: Fe  | ebruary 2016 |         |
|---|--|-----------------------|---|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH</i><br><i>SCIENCES</i>   | CCS-0                 | ect (Number/Name)<br>-02 I MATH AND COMPUTER<br>ENCES |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  |                       | FY 2015   | FY 2016      | FY 2017 |
| <ul> <li>Extended the corpus with richer semantic ontologies and metadata support to<br/>environments, and systems at scale.</li> <li>Demonstrated an initial capability by automatically finding and repairing a He<br/>checks.</li> </ul>   |  |                       |   |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Implement scalable database technologies and mining algorithms that allow of open-source software.</li> <li>Integrate machine learning algorithms that can direct and assimilate mining a database.</li> <li>Evaluate component-level synthesis techniques to build implementations for</li> <li>Demonstrate the effectiveness of the developed systems.</li> </ul>  | activities on analysis artifacts stored in the   | flines                |   |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Implement scalable database technologies and mining algorithms that allow lines of open-source software.</li> <li>Apply deep learning algorithms on complex graph structures produced by co corpus elements for automated program repair and synthesis.</li> <li>Exploit ideas from program sketching, user-guided feedback, and specification implementations of complex protocols from discovered specifications.</li> <li>Evaluate the effectiveness of the developed systems in collaboration with population.</li> </ul>  | rpus mining to discover latent relationships an on-driven analysis to automatically construct  |                       |   |              |         |
| Title: Knowledge Representation   |  |                       | 12.000  | 11.600       | 12.000  |
| <b>Description:</b> The Knowledge Representation thrust will develop much-needed scientific data, facilitating field-wide hypothesis generation and testing. This will the development of domain-agnostic mathematical tools for representing heter knowledge framework, and domain-specific computational tools to embed obset tangible discoveries through computational analysis. To demonstrate the applit to multiple complex systems, the thrust will include validation across multiple d technology developed under this thrust will revolutionize the process of scientific of large, heterogeneous, multi-scale datasets across numerous complex scient | ill be accomplished by focusing on two key effo<br>ogeneous data and domain knowledge in a un<br>ervable data within the framework and enable<br>icability of Knowledge Representation technolo<br>isparate scientific and engineering fields. The<br>fic discovery by efficiently maximizing the pote | orts:<br>ified<br>ogy |   |              |         |
| <b>FY 2015 Accomplishments:</b> - Developed an initial mathematical knowledge framework for representing div a domain-agnostic form.  | rerse data types and existing domain knowled   | je in                 |   |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   | Date:   | Date: February 2016   |         |  |  |
|---|---|---------|---|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES  |         | Project (Number/Name)<br>CCS-02 I MATH AND COMPUTER<br>SCIENCES |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016   | FY 2017 |  |  |
| <ul> <li>Established initial scientific and/or engineering use case and example dat<br/>representation framework and tools as they are developed.</li> <li>Designed appropriate tools for ingesting and registering scientific data into<br/>demonstrated the tools for example datasets.</li> </ul>  | -   |         |   |         |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate data input and information extraction within the previously de</li> <li>Incorporate domain-specific prior knowledge, such as computational mod</li> <li>Demonstrate the integration of datasets and prior domain knowledge in or</li> <li>Explore novel mathematical representations that can accommodate the previously design exploration and optimization.</li> <li>Develop a quantitative framework for analyzing and optimizing human interverse consisting of human-machine systems and systems-of-systems.</li> <li>Explore novel experimental approaches for repeatable and replicable test tools for understanding social behavioral outcomes.</li> </ul>   | els, into the mathematical knowledge framework.<br>ne or more scientific and engineering use cases.<br>ossibilities of new materials for enabling simultane<br>eractions with engineered components in collabor   | ative   |   |         |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Develop a prototype platform for knowledge and data ingestion.</li> <li>Demonstrate multimodal integration and inference with first-generation an</li> <li>Demonstrate hypothesis generation and steering using newly developed lescientific and engineering use cases.</li> <li>Analyze and optimize knowledge representation system performance in tegingestion.</li> <li>Demonstrate novel mathematical representation tools that integrate geom structure to accelerate design exploration and optimization.</li> <li>Demonstrate the utility of new networked data collection, mathematical, a complex social interactions.</li> <li>Demonstrate the applicability of newly developed representation and mod behavioral outcomes.</li> <li>Design tools for the measurement and representation of collaborative pro and systems-of-systems.</li> <li>Demonstrate the use of new knowledge representation tools for modeling performance in human-machine systems and systems-of-systems.</li> </ul> | knowledge representation tools on one or more<br>erms of scalability for inference and knowledge<br>netry with material physics and properties and mic<br>nd computational modeling tools in the simulation<br>leling tools for understanding potential social<br>blem solving performance in human-machine sys | of      |   |         |  |  |
| <i>Title:</i> Probabilistic Programming for Advancing Machine Learning (PPAML)  |   | 13.61   | I 13.188  | 9.576   |  |  |

| 0400 / 1       PE 0601101E / DEFENSE RESEARCH       CCS-02<br>SCIENCES         B. Accomplishments/Planned Programs (\$ in Millions)       Description: The Probabilistic Programming for Advancing Machine Learning (PPAML) program is creating an advanced<br>computer programming capability that greatly facilitates the construction of new machine learning applications in a wide range<br>of domains. This capability will increase the number of people who can effectively contribute, make experts more productive,<br>and enable the creation of new tactical applications that are inconceivable given today's tools. The key enabling technology<br>is a radically new programming paradigm called probabilistic programming that enables developers to quickly build generative<br>models of phenomena and queries of interest, which a compiler would convert into efficient applications. PPAML technologies<br>will be designed for application to a wide range of military domains including Intelligence, Surveillance and Reconnaissance (ISR)<br>exploitation, robotic and autonomous system navigation and control, and medical diagnostics.         FY 2015 Accomplishments:<br>- Identified and developed two additional challenge problems from natural language processing and automated image captioning<br>with increasing levels of complexity and larger data sets. |   | Jamo)   |         |  |
|---|---|---------|---------|--|
| Description: The Probabilistic Programming for Advancing Machine Learning (PPAML) program is creating an advanced computer programming capability that greatly facilitates the construction of new machine learning applications in a wide range of domains. This capability will increase the number of people who can effectively contribute, make experts more productive, and enable the creation of new tactical applications that are inconceivable given today's tools. The key enabling technology is a radically new programming paradigm called probabilistic programming that enables developers to quickly build generative models of phenomena and queries of interest, which a compiler would convert into efficient applications. PPAML technologies will be designed for application to a wide range of military domains including Intelligence, Surveillance and Reconnaissance (ISR) exploitation, robotic and autonomous system navigation and control, and medical diagnostics. FY 2015 Accomplishments: <ul> <li>Identified and developed two additional challenge problems from natural language processing and automated image captioning with increasing levels of complexity and larger data sets.</li> </ul>  | Project (Number/Name)<br>CCS-02 / MATH AND COMPUTER<br>SCIENCES |         |         |  |
| <ul> <li>computer programming capability that greatly facilitates the construction of new machine learning applications in a wide range of domains. This capability will increase the number of people who can effectively contribute, make experts more productive, and enable the creation of new tactical applications that are inconceivable given today's tools. The key enabling technology is a radically new programming paradigm called probabilistic programming that enables developers to quickly build generative models of phenomena and queries of interest, which a compiler would convert into efficient applications. PPAML technologies will be designed for application to a wide range of military domains including Intelligence, Surveillance and Reconnaissance (ISR) exploitation, robotic and autonomous system navigation and control, and medical diagnostics.</li> <li>FY 2015 Accomplishments:         <ul> <li>Identified and developed two additional challenge problems from natural language processing and automated image captioning with increasing levels of complexity and larger data sets.</li> </ul> </li> </ul>  | FY 2015   | FY 2016 | FY 2017 |  |
| - Identified and developed two additional challenge problems from natural language processing and automated image captioning with increasing levels of complexity and larger data sets.   |   |         |         |  |
| <ul> <li>Extended the front end of a probabilistic programming system with additional functionality, including profilers, debuggers, and model verification/checking tools.</li> <li>Extended the back end of a probabilistic programming system with additional functionality, such as improving efficiency of solvers and compiling inference engines to a range of different hardware targets.</li> <li>Evaluated the performance of probabilistic programming approaches in collaboration with potential transition partners.</li> </ul>  |   |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate advanced probabilistic abstractions, inference techniques, and implementations.</li> <li>Enrich the front end of probabilistic programming systems with new abstractions, and improve integration with solvers and inference engines.</li> <li>Extend the back end of a probabilistic programming system with support for new inference techniques.</li> <li>Evaluate the performance of each probabilistic programming system both in terms of the quality of the answers and the levels of resources required.</li> </ul>  |   |         |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate the benefit of probabilistic programming systems over existing techniques.</li> <li>Integrate probabilistic systems within domain-specific contexts to provide tailored functionality.</li> <li>Build new solvers that incorporate state-of-the-art machine learning algorithms that operate at scales at least one order of magnitude greater than currently feasible.</li> <li>Work with domain experts and transition partners to apply the program-developed tools to relevant domains.</li> </ul>   |   |         |         |  |
| Title: Secure Programming Languages (SPL)   | -   | -       | 12.000  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |   |                          |   | ebruary 2016 |         |  |
|--|---|--------------------------|---|--------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES  | •                        | ect (Number/Name)<br>-02 / MATH AND COMPUTER<br>ENCES |              |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | F                        | Y 2015  | FY 2016      | FY 2017 |  |
| <b>Description:</b> The Secure Programming Languages (SPL) program will created development environments that facilitate the creation of secure computer progra allow programmers to create programs having large attack surfaces, major flaw attack surface, correcting flaws, and eliminating vulnerabilities are the program programmer succeeds depends largely on the skill of the programmer. The lar by incorporating security features in the language itself that ensure formal corrected development lifecycle. SPL languages and integrated development environmet broad classes of flaws and vulnerabilities, and enable even novice programmer  | rams. At present, programming languages<br>vs, and critical vulnerabilities. Minimizing the<br>mer's responsibility, and the degree to which t<br>nguages developed by SPL will break this para<br>ectness throughout all phases of the software<br>ents will facilitate the creation of software free f  | digm<br>om               |   |              |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Formulate approaches for automatically identifying non-essential component eliminated to minimize the attack surface.</li> <li>Develop programming languages, tools, and integrated development environ software free from broad classes of flaws and vulnerabilities.</li> <li>Formulate approaches for automatically proving formal correctness at critical</li> </ul>  | ments that facilitate the creation/adaptation of  | e                        |   |              |         |  |
| Title: Unconventional Processing of Signals for Intelligent Data Exploitation (U   | ,   |                          | 20.000  | 18.000       | -       |  |
| <b>Description:</b> The objective of the Unconventional Processing of Signals for Inti is to achieve extreme power savings while increasing performance for object dusing an unconventional, approximate computing approach. Today, image prover representations, which are inherently power-inefficient, particular for data produces video. UPSIDE's unconventional approach uses pattern matching technique complementary metal-oxide semiconductor (CMOS) circuits and various emerge approach can leverage the physics of certain emerging devices to compute a be power. The UPSIDE computing approach will be benchmarked using a DoD-ree in both throughput and power efficiency. The result will be new approach for in orders of magnitude improvement, in terms of combined power and performance orders of magnitude improvements using the emerging devices. The UPSIDE structures that will, in turn, enable revolutionary advances in Intelligence, Surver particularly for DoD applications of embedded, real-time sensor data analysis. | etection and tracking from video streams by<br>cessing applications use high precision, digital<br>uced by noisy, analog, real-time sensors such<br>es that map very efficiently to both analog<br>ging devices. Furthermore, this pattern matchi<br>best pattern match directly requiring very little<br>levant image processing pipeline, to verify gain<br>nage processing systems that demonstrate five<br>ce for the mixed signal implementations, and s<br>program will create a new generation of comp | ns<br>e<br>even<br>uting |   |              |         |  |
| FY 2015 Accomplishments:   |   |                          |   |              |         |  |

| whibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  |                                  | Date: February 2016   |         |         |
|---|--|----------------------------------|---|---------|---------|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   |                                  | e <b>ct (Number/Name)</b><br>02 I MATH AND COMPUTER<br>NCES |         |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  |                                  |   | FY 2016 | FY 2017 |
| <ul> <li>Completed an image processing pipeline system for performing object identi<br/>probabilistic pattern match (inference) methodology, running on conventional of<br/>accuracy over standard methods.</li> <li>Completed design of the mixed-signal CMOS chip(s) for doing inference con<br/>validated by an object identification and tracking simulation using real-time, hig</li> <li>Fabricated mixed-signal CMOS chip(s) designed to perform inference compu-<br/>Demonstrated the operation of (non-CMOS) emerging devices performing an<br/>use in portable, power constrained image processing applications.</li> </ul>   | digital processing hardware showing no loss in<br>nputing in an image processing pipeline system<br>gh-definition video streams.<br>utation for use in image processing.   | n and                            |   |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Build and complete mixed-signal CMOS test bed for running image processi</li> <li>Complete the digital version of image processing pipeline and validate powe<br/>methodology for object identification and tracking in surveillance video.</li> <li>Complete final full test bed system demonstration of mixed signal CMOS chi<br/>significant power savings and performance increase (100,000x better combine<br/>tracking applications.</li> <li>Complete evaluation of a simulation of the image processing pipeline system<br/>primary computing, projecting 1000x performance improvement while reducing<br/>with no loss in tracking accuracy as compared to the conventional image processing</li> </ul> | ence<br>ng<br>and<br>• the   |                                  |   |         |         |
| Title: Graph-theoretical Research in Algorithm Performance & Hardware for Section 2.1   | ocial networks (GRAPHS)  |                                  | 4.902   | 2.900   | -       |
| <b>Description:</b> While the DoD has been extremely effective in deploying rigorou involving continuously valued variables (tracking, signals processing), analytica networks have not kept pace. Recent evidence has shown that network analytic relevant scenarios. In this paradigm, nodes represent items of interest and the result forms a network or graph. Current analysis of large networks, however, networks is understood only at the most coarse and basic details (diameter, detechniques efficiently and usefully, a better understanding of the finer mathematical set the development of a comprehensive and minimal mathematical set the description of how these quantities vary in both space and time.                     | al methods for discrete data such as graphs a<br>sis can provide critical insight when used in De<br>eir relationships or interactions are edges; the<br>is just in its infancy: the composition of real-w<br>egree distribution). In order to implement netw<br>atical structure of these networks is needed. | nd<br>oD-<br>orld<br>ork<br>This |   |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Created a suite of systematic network analysis tools that can be applied to suite cases.</li> </ul>  | tatic and dynamic network structures and com   | plex                             |   |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense A  | bit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |            | Date: February 2016    |   |         |         |  |
|---|--|------------|------------------------|---|---------|---------|--|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Nam</b><br>PE 0601101E / DEFENSE RESEARC<br>SCIENCES      | ĊH         | CCS-021                | Project (Number/Name)<br>CCS-02 I MATH AND COMPUTER<br>SCIENCES |         |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  |            | F                      | Y 2015  | FY 2016 | FY 2017 |  |
| <ul> <li>Developed near real-time scalable algorithms and models with<br/>support, and understanding macro-phenomena.</li> </ul>  | guaranteed accuracy performance for inference, dec                                       | cision     |                        |   |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Extend previously developed statistical graph models to enable link structures.</li> <li>Deliver code for streaming and scalable algorithms (graph mate into software toolkit.</li> <li>Deliver data-driven graph clustering and analysis methods that</li> </ul>  | ching, similarity, etc.) for large scale networks to be in                               | ncorpora   | ated                   |   |         |         |  |
| Title: Complexity Management Hardware   |  |            |                        | 3.614   | -       | -       |  |
| <b>Description:</b> The battlefield of the future will certainly have more data generators and sensors that produce information required to efficiently execute operations. With networked sensors, the variety and complexity of the information streams will be even further extended. This project studied silicon designs which help alleviate the complexity inherent in next generation systems. These systems will have increasingly large data sets generated by their own multidomain sensors (such as RF and Electro-Optical/Infrared (EO/IR) payloads) as well as new inputs from external sensors that may or may not have been planned for initially. With current programming approaches, there are laborious coding requirements needed to assimilate new data streams. However, the context provided by these data sets is ever changing, and it is imperative for the integrated electronics to adapt to new information without a prolonged programming cycle. Providing contextual cues for processing of data streams will alleviate the fusion challenges that are currently faced, and which stress networked battlefield systems. As opposed to the intuition and future-proofing that is required at the programming stage of a current system, the silicon circuit of the future will be able to use contextual cues to adapt accordingly to new information as it is provided. The fundamental aspects of this program looked at various algorithms to explore the ability to use context to adapt to new information. Applied research for the program was budgeted in PE 0602303E, Project IT-02. |  |            | ims.<br>to<br>ate<br>d |   |         |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed new, biology-inspired, neural network, machine lear and ability to adapt and scale.</li> <li>Identified and selected benchmark calculations on data streams in a variety of applications.</li> </ul>   | to show accurate pattern recognition with minimal t                                      | training t | imes                   |   |         |         |  |
|   | Accomplishments/Planned Program  | ns Subt    | otals                  | 107.889   | 144.290 | 149.065 |  |
|   | F١   | ( 2015     | FY 2016                |   |         |         |  |
| Congressional Add: Basic Research Congressional Add   |  | 3.334      | -                      |   |         |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense A  | Date: February 2016   |         |   |   |  |
|---|---|---------|---|---|--|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/I</b><br>PE 0601101E / DEFENSE RESEA<br>SCIENCES |         | Project (Number/Name)<br>CCS-02 / MATH AND COMPUTER<br>SCIENCES |   |  |
|   |   | FY 2015 | FY 2016   | ] |  |
| FY 2015 Accomplishments: - Supports increased efforts in bas<br>universities and commercial research communities. | sic research that engage a wider set of   |         |   |   |  |
|   | Congressional Adds Subtotals  | 3.334   | -   |   |  |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks   |   |         |   |   |  |
| <u>D. Acquisition Strategy</u><br>N/A   |   |         |   |   |  |
| E. Performance Metrics<br>Specific programmatic performance metrics are listed above in the                       | he program accomplishments and plans section.                                   |         |   |   |  |
|   |   |         |   |   |  |
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| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |         |         |                 |                |                  |         | Date: Febr | uary 2016  |         |                     |               |
|--|----------------|---------|---------|-----------------|----------------|------------------|---------|------------|--|---------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 1  |                |         |         |                 |                |                  |         |            | Project (Number/Name)<br>CYS-01 / CYBER SCIENCES |         |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018 | FY 2019    | FY 2020  | FY 2021 | Cost To<br>Complete | Total<br>Cost |
| CYS-01: CYBER SCIENCES   | -              | 48.178  | 50.428  | 45.000          | -              | 45.000           | 47.219  | 27.000     | 10.000   | 10.000  | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Cyber Sciences project supports long term national security requirements through scientific research and experimentation in cyber security. During the past decade, information technologies have enabled important new military capabilities and driven the productivity gains essential to U.S. economic competitiveness. Unfortunately, during the same period, cyber threats have grown rapidly in sophistication and number, putting sensitive data, classified computer programs, and mission-critical information systems at risk. The basic research conducted under the Cyber Sciences project will produce the breakthroughs necessary to ensure the resilience of DoD information systems to current and emerging cyber threats. Promising research results will be transitioned to both technology development and system-level projects.

| B. Accomplishments/Planned Programs (\$ in Millions)  | FY 2015 | FY 2016 | FY 2017 |
|---|---------|---------|---------|
| Title: Transparent Computing  | 10.357  | 17.119  | 18.321  |
| <b>Description:</b> The Transparent Computing program is developing technologies to enable the implementation of more effective security policies across distributed systems. The scale and complexity of modern information systems obscures linkages between security-related events, the result being that detection of attacks and anomalies must rely on narrow contextual information rather than complete knowledge of the event's provenance. This shortcoming facilitates attacks such as advanced persistent threats (APTs). The Transparent Computing program will address these problems by creating the capability to propagate security-relevant information and ensure component interactions are consistent with established behavior profiles and policies. Transparent Computing technologies are particularly important for large integrated systems with diverse components such as distributed surveillance systems, autonomous systems, and enterprise information systems. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Formulated approaches for tracking information flows and other causal dependencies, and recovering event provenance to enable more effective detection of attacks, anomalies, and advanced persistent threats.</li> <li>Proposed active/continuous testing and adaptive security policy schemes that adjust security posture and usage controls in response to information provided by distributed protection components.</li> <li>Introduced dynamic behavioral attestation techniques, and proposed scalable algorithms and implementations.</li> </ul>  |         |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Implement adaptive security policy schemes in software prototypes and perform initial assessments in simulated laboratory and cloud environments.</li> <li>Develop and implement behavioral attestation techniques in software prototypes scalable to big data applications.</li> </ul>  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Re  | search Projects Agency  | Date: F                             | ebruary 2016 |         |
|---|---|-------------------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1   |   | roject (Number/N<br>YS-01 / CYBER S |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015                             | FY 2016      | FY 2017 |
| - Develop and implement causal dependency tracking across software/hardw  | are abstraction layers.   |                                     |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop provenance graph analytics algorithms for clustering, role discovery extrapolation.</li> <li>Develop a preliminary integrated provenance tracking system for Android Ja</li> <li>Develop defensive response mechanisms and a forensic analysis capability</li> <li>Conduct an adversarial evaluation of an APT browser implementation based of the attack, against Transparent Computing-defined metrics.</li> </ul>   | ava applications.<br>for a single system with browser and apps.   | re                                  |              |         |
| Title: Space/Time Analysis for Cybersecurity (STAC)   |   | 12.239                              | 15.078       | 16.360  |
| <b>Description:</b> The Space/Time Analysis for Cybersecurity (STAC) program is a algorithmic complexity and side channel attacks in software. Historically, adve flaws through buffer and heap overflow attacks. Advances in operating system now cyber adversaries must find new ways of compromising software. Algorit emerging as the next generation of attacks since they depend on intrinsic program seeks to develop new analysis tools and techniques to detect vulnerative U.S. government, military, and economy depend. | ersaries have exploited software implementation<br>ns have largely mitigated such attacks, so<br>hmic complexity and side channel attacks are<br>perties of the algorithms themselves rather than<br>ittacks (CRIME, BREACH, Hash DoS). The STA |                                     |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Presented initial program analysis approaches for identifying vulnerabilities based on both time and space resource usage.</li> <li>Developed STAC concept of operations, created example resource usage a engagement for competitive experiments between research and adversarial circle initial infrastructure required to support the development of a sknown vulnerabilities to support realistic evaluations.</li> <li>FY 2016 Plans:</li> </ul>                                    | ttack scenarios, and defined the rules of<br>hallenge teams.<br>ufficient number of challenge programs containin  |                                     |              |         |
| <ul> <li>Define the formal semantics of the runtime environments in which vulnerable form consumable by automated analysis tools.</li> <li>Produce initial analysis tools that reason about data and control flow paths i can use to mount algorithmic complexity attacks, and identify outputs that adv</li> </ul>   | n computer programs, identify inputs adversaries  |                                     |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced   | Research Projects Agency   | Date: F                               | ebruary 2016 |         |
|---|--|---------------------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH</i><br><i>SCIENCES</i>   | Project (Number/N<br>CYS-01 / CYBER S |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015                               | FY 2016      | FY 2017 |
| <ul> <li>Perform the first competitive experiment using prototype analysis tools to<br/>channel attacks in a corpus of challenge programs, and produce measuren</li> </ul>  |  |                                       |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate capabilities to detect algorithmic resource usage vulnerabilities to detect algorithmic resource usage vulnerabilities.</li> <li>Assess the performance of tools that identify inputs adversaries can use to that adversaries can use to mount side channel attacks.</li> <li>Identify the most promising analysis tools for finding vulnerabilities to algorithmic or programs, and integrate these in a best-of-breed prototype.</li> </ul>  | to mount algorithmic complexity attacks and outp   | uts                                   |              |         |
| Title: SafeWare   |  | 10.000                                | 12.826       | 10.319  |
| <b>Description:</b> The SafeWare program is developing new code obfuscation to<br>engineering. At present, adversaries can extract sensitive information from<br>private keys, special inputs/failsafe modes, proprietary algorithms and even<br>the art in software obfuscation adds junk code (loops that do nothing, renar<br>unfortunately does little more than inconvenience the aggressor. Recent bu<br>potential to make software obfuscation into a mathematically rigorous scient<br>(RSA) algorithm did for the encryption of messages in the 1970's. The Safe<br>theory, which in its present form incurs too much runtime overhead to be pr<br>that one day it will be practical and efficient. As with RSA, SafeWare methor<br>mathematical problem as a necessary condition for a successful de-obfusc | a stolen software, which can include cryptographic<br>in the software architecture itself. Today's state of<br>ming of variables, redundant conditions, etc.), wh<br>reakthroughs in theoretical cryptography have the<br>nee, very much like what the Rivest-Shamir-Adlen<br>eWare program aims to take this very early-stage<br>ractical, and re-tool its mathematical foundations<br>ods will require the solution of a computationally l | :<br>ich<br>e<br>nan<br>e<br>such     |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Formulated new cryptographic approaches for protecting software from reproperties that are not substantially diminished in effectiveness even if they</li> <li>Introduced cryptographic code obfuscation methods with reduced program</li> <li>Studied the potential for implementing cryptographic code obfuscation tee</li> </ul>  | are fully understood by the adversary.<br>m runtime overhead.  | ecurity                               |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Explore potentially powerful new primitives for cryptographic program obf</li> <li>Develop alternate notions and models of obfuscation that accommodate a</li> <li>Optimize domain-specific algorithms for obfuscation efficiency.</li> <li>Create an evaluation platform/environment capable of quantifying runtime obfuscation algorithms and software implementations, and initiate assessm</li> <li>FY 2017 Plans:</li> </ul>  | specialized aggressor models.  |                                       |              |         |
|   |  |                                       |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Resea   | arch Projects Agency  |                            |                          | Date: Fe      | ebruary 2016 |           |
|---|---|----------------------------|--------------------------|---------------|--------------|-----------|
| Appropriation/Budget Activity F<br>0400 / 1 F<br>S  | Project (N<br>CYS-01 /  |                            | l <b>ame)</b><br>CIENCES |               |              |           |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |                            |                          | <b>í 2015</b> | FY 2016      | FY 2017   |
| <ul> <li>Based on initial assessment results, develop new obfuscation theory and imple operational systems.</li> <li>Use adversarial techniques to identify side channel vulnerabilities in the obfusc</li> <li>Work with potential transition partners to incorporate specific obfuscation feature relevant to military systems and missions.</li> </ul>   | cation algorithms and software im   | plementatio                |                          |               |              |           |
| Title: Automated Program Analysis for Cybersecurity (APAC)  |   |                            |                          | 12.248        | 5.405        | -         |
| <b>Description:</b> Automated Program Analysis for Cybersecurity (APAC) is developing mathematically validating specified security properties of mobile applications. The based analysis, abstract interpretation, and flow-based analysis methods with far with lower instances of false alarms. APAC technologies will enable developers contain hidden malicious functionality and bar those applications from DoD mobile applications.  | his will involve creating new and ir<br>r greater ability to accurately dem<br>and analysts to identify mobile ap | nproved typ<br>onstrate se | be-<br>curity            |               |              |           |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Significantly improved the productivity of analysts to bar malware from DoD ap</li> <li>Assessed and selected prototype tools for experimentation or transition based probabilities of false alarm, missed detection rate, and human analysis time.</li> <li>Transitioned new program analysis techniques to major commercial industry presented of the second second</li></ul> | on their performance on program   |                            |                          |               |              |           |
| <ul> <li>FY 2016 Plans:</li> <li>Run comparative performance evaluations between program-developed malwatools.</li> <li>Engage in experiments and pilot deployments of prototype tools with transition</li> <li>Improve prototypes to enhance usability in the context of DoD application store</li> </ul>  | partners running DoD application  |                            | le                       |               |              |           |
|   | Accomplishments/Planned Prog  | rams Sub                   | totals                   | 44.844        | 50.428       | 45.00     |
|   |   | FY 2015                    | FY 2016                  | ]             | L. L.        |           |
| Congressional Add: Basic Research Congressional Add   |   | 3.334                      | -                        |               |              |           |
| <b>FY 2015 Accomplishments:</b> - Supports increased efforts in basic research that universities and commercial research communities.   | t engage a wider set of   |                            |                          |               |              |           |
| (   | Congressional Adds Subtotals  | 3.334                      | -                        |               |              |           |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A   |   |                            |                          |               |              |           |
| PE 0601101E: DEFENSE RESEARCH SCIENCES UNC  |   |                            |                          |               |              | Jumo 1 22 |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res                                       | Date: February 2016  |  |
|---|--|--|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH</i><br><i>SCIENCES</i> | Project (Number/Name)<br>CYS-01 / CYBER SCIENCES |
| C. Other Program Funding Summary (\$ in Millions)   |  |  |
| <u>Remarks</u>  |  |  |
| <u>D. Acquisition Strategy</u><br>N/A   |  |  |
| <b>E. Performance Metrics</b><br>Specific programmatic performance metrics are listed above in the program ad | ccomplishments and plans section.  |  |
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| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |         |         |                 |                |                  |         |                                  | Date: Febr | uary 2016 |                     |               |
|--|----------------|---------|---------|-----------------|----------------|------------------|---------|----------------------------------|------------|-----------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 1  |                |         |         |                 |                |                  |         | umber/Name)<br>ECTRONIC SCIENCES |            |           |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018 | FY 2019                          | FY 2020    | FY 2021   | Cost To<br>Complete | Total<br>Cost |
| ES-01: ELECTRONIC<br>SCIENCES  | -              | 39.947  | 40.824  | 49.553          | -              | 49.553           | 38.151  | 40.996                           | 44.883     | 44.883    | -                   | -             |

#### A. Mission Description and Budget Item Justification

This project seeks to continue the phenomenal progress in microelectronics innovation that has characterized the last decades by exploring and demonstrating electronic and optoelectronic devices, circuits and processing concepts that will: 1) provide new technical options for meeting the information gathering, transmission and processing required to maintain near real-time knowledge of the enemy and the ability to communicate decisions based on that knowledge to all forces in near real-time; and 2) provide new means for achieving substantial increases in performance and cost reduction of military systems providing these capabilities. Research areas include new electronic and optoelectronic device and circuit concepts, operation of devices at higher frequency and lower power, extension of diode laser operation to new wavelength ranges relevant to military missions, development of uncooled and novel infrared detector materials for night vision and other sensor applications, development of innovative optical and electronic technologies for interconnecting modules in high performance systems, research to realize field portable electronics with reduced power requirements, and system and component level improvements to provide greater affordability and reliability. Additionally, electronically controlled microinstruments offer the possibility of nanometer-scale probing, sensing and manipulation for ultra-high density information storage "on-a-chip," for nanometer-scale patterning, and for molecular level analysis and synthesis. These microinstruments may also offer new approaches to integration, testing, controlling, manipulating and manufacturing nanometer-scale structures, molecules and devices.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: Semiconductor Technology Advanced Research Network (STARNet)  | 20.000  | 20.000  | 20.000  |
| <b>Description:</b> The Semiconductor Technology Advanced Research Network (STARNet) program is a government-industry partnership, combining the expertise and resources from select defense, semiconductor, and information companies with those of DARPA, to sponsor an external set of academic research teams that are focused on specific technology needs set by experts in industry and government. Efforts under this program will remove the roadblocks to achieving performance needed for future sensing, communication, computing, and memory applications. The program involves close collaboration between these experts and the academic base, with industry providing 60% of program funding matched by 40% from DARPA. For both industrial and government participants, leveraging shared research funding for high risk, pre-competitive technology explorations focused on shared technical hurdles is very attractive. |         |         |         |
| Research in STARNet is divided into a discovery thrust (ACCEL) and an integration thrust (NEXT) executed by virtual academic centers and focused on exploiting current and emerging technologies to provide new capabilities. ACCEL seeks to discover new material systems, devices, and novel computing/sensing architectures. NEXT involves projects on advanced analog and mixed signal circuitry, complex system design tools, and alternative computing architectures. As the projects in ACCEL mature, it is expected that they will replace the efforts in NEXT that are based on current standard technologies for integrated circuits.  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  |  | Date: F           | ebruary 2016             | 6                          |         |
|--|--|-------------------|--------------------------|----------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   |                   | t (Number/N<br>I ELECTRO | <b>lame)</b><br>NIC SCIENC | ES      |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |                   | FY 2015                  | FY 2016                    | FY 2017 |
| The STARNet program creates a community where industry and government p<br>large academic research base (including approximately 42 universities, 171 fac<br>industry associate personnel), with DoD shaping the goals to have direct impact   | culty researchers, 638 students, and more than   |                   |                          |                            |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Investigated the feasibility of advanced two-dimensional semiconductor materials developed the nanofabrication methods as well as established the theory, modimaterials.</li> <li>Researched fundamental limitations of scaling multifunctional and spintronics examined device characteristics.</li> <li>Developed scalable silicon-based computing system architecture by exploring emerging nano-technologies into silicon-based designs.</li> <li>Developed statistical foundations of information processing via machine learn of analog mixed-signal systems using information-based design metrics, neuro for Beyond-complementary metal-oxide semiconductor (CMOS) and CMOS fate CMOS and CMOS nanoscale fabrics via nanofunctions and nanoprimitives.</li> <li>Developed components, architecture, data control, and tools for sensor swar health care delivery, manufacturing and agriculture, and warfighter situational and spintential spinten</li></ul> | eling and simulation tools for 2D electronic<br>s materials. Demonstrated advanced devices a<br>g the benefits of heterogeneously integrating<br>hing frameworks, process-scalable foundations<br>-principled information processing architecture<br>orics, and accelerated the deployment of beyon<br>m applications such as building energy efficier | s<br>s<br>nd-     |                          |                            |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop novel materials and steep-turn-on transistor devices as well as design such as lower power imagers, pattern recognition, and scavenging self-powered product.</li> <li>Develop voltage-controlled magnetic materials and fabrication techniques to and memory applications.</li> <li>Develop the scalability of silicon-based computing system concepts into the 2 power and cost demands of DoD applications.</li> <li>Discover and develop bio- and neuro-inspired information processing archite brain computation, while aligning well with emerging beyond-CMOS nanoscale</li> <li>Investigate sensor swarm applications for Defense requirements such as war characteristics and potential advantages.</li> </ul>   | enable power efficient spintronics devices for I<br>2020-2030 timeframe to meet the performance<br>cture framework that approaches the efficiency<br>fabrics.  | ogic<br>,<br>/ of |                          |                            |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Adv  | vanced Research Projects Agency   | Date   | : February 2016 | 3       |
|---|---|--|-----------------|---------|
| Appropriation/Budget Activity<br>0400 / 1   | R-1 Program Element (Number/Name)<br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   | Project (Numbo<br>ES-01 / ELECT                            | ES              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 201   | FY 2016         | FY 2017 |
| <ul> <li>Develop low-voltage steep-turn-on transistors beyond traditional (<br/>microwave circuits with extremely low power consumption.</li> <li>Develop spintronics devices for extremely low-power for logic and</li> <li>Develop heterogeneous and domain accelerated parallel systems<br/>integration concepts to enable reliable and secure system designs.</li> <li>Develop statistical information processing architectures for in-mer<br/>beyond CMOS prototypes.</li> <li>Develop swarm-based architecture and prototypes by leveraging<br/>privacy and security to connect everything and enable urban or theat</li> </ul>   | d non-volatile memory circuits with increased complexity.<br>s by leveraging novel silicon-based computing architectur<br>mory computing and in-sensor computing by CMOS and<br>localization and energy harvesting capabilities with built-i  |  |                 |         |
| <i>Title:</i> Direct On-Chip Digital Optical Synthesis (DODOS)  |   | 8.1  | 9.700           | 7.000   |
| <b>Description:</b> The development of techniques for precise frequency<br>in the 1940's revolutionized modern warfare. Frequency control is to<br>communications, and positioning and navigation technology, among<br>control at optical frequencies is relatively immature, comparable to the<br>first practical demonstration of optical frequency synthesis, utilizing<br>since that time, the precision and accuracy of optical measurements<br>demonstration of atomic clocks utilizing optical-frequency atomic tra-<br>microwave transitions. To date, however, optical frequency control<br>large size, relative fragility, and high cost of optical comb-based syn-<br>frequency combs in microscale resonators enable the development<br>Ubiquitous low-cost robust optical frequency synthesis is expected to<br>as microwave frequency synthesis did in the 1940's, enabling high-<br>synthesized-aperture LiDAR, portable high-accuracy atomic clocks,<br>detection, among other foreseen applications. | the enabling technology for radar, satellite and terrestrial<br>g many other core DoD capabilities. By comparison, freq<br>the state-of-the-art of microwave control in the 1930's. The<br>a self-referenced optical comb, was performed in 1999 as<br>s has improved by four orders of magnitude, including the<br>ansitions that far outperform existing technology based or<br>has been constrained to laboratory experiments due to the<br>othesizers. Recent developments in self-referenced optic<br>to of a fully-integrated chip-scale optical frequency synthes<br>to create a similar disruptive capability in optical technologic<br>bandwidth coherent optical communications, coherent | uency<br>he<br>nd,<br>e<br>n<br>he<br>;al<br>;izer.<br>'9y |                 |         |
| The Direct On-chip Digital Optical Synthesis (DODOS) program will creating a microscale high-accuracy optical frequency synthesizer in wide variety of mission-critical DoD applications. Significant challer stabilizing microresonator optical combs, developing efficient device the frequency stability and phase noise of a slave laser locked to the within PE 0602716E, Project ELT-01.  | n a compact robust package, suitable for deployment in a<br>nges in the program include reducing the power threshold<br>es for on-chip second harmonic generation, and characte   | l and<br>rizing  |                 |         |
| FY 2015 Accomplishments:  |   |  |                 |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Adv   | vanced Research Projects Agency  | Date: F                 | ebruary 2016 | 5       |
|--|--|-------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1  |  |                         |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015                 | FY 2016      | FY 2017 |
| <ul> <li>Optimized wavelength dispersion and low-threshold operation of r</li> <li>Explored materials and novel devices for efficient on-chip second</li> </ul>  |  |                         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate compact low-threshold self-referenced combs suitate</li> <li>Demonstrate methods for stabilizing the phase coherence of a mition of a slave laser locked to a stabilized micipromising DoD applications for DODOS technology.</li> </ul>  | croresonator comb across a broad optical bandwidth.  | to                      |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop and demonstrate efficient electronic control algorithms to of comb bandwidth.</li> <li>Investigate methods to further reduce threshold of self-referenced</li> <li>Design and implement on-chip photonic components to mitigate is reflection and isolation to achieve integrated DODOS system performed</li> </ul>   | l combs.<br>ssues associated with excess phase noise, cross talk, ba   |                         |              |         |
| Title: Near Zero Energy RF and Sensor Operations (N-ZERO)  |  | 1.600                   | 2.500        | 3.800   |
| <b>Description:</b> The DoD has an unfilled need for a persistent, event of other sensors can be pre-placed and remain dormant until awoken use active electronics to monitor the environment for the external trillimits the sensor lifetime to durations of weeks to months. The Nea will extend the lifetime of remotely deployed sensors from months to and demonstrate the capability to continuously and passively monitor detection of a specific signature or trigger. Thereafter, sensor lifetime confirmed events.   | by an external trigger or stimulus. State-of-the-art sense<br>igger. The power consumed by these electronic circuits<br>r Zero Power RF and Sensor Operations (N-ZERO) progo<br>o years. N-ZERO will develop the underlying technologies<br>or the environment and wake-up an electronic circuit upo | ors<br>gram<br>es<br>on |              |         |
| This program will investigate the development of highly innovative s<br>and digitization technologies with near zero power consumption. In<br>that simultaneously minimizes power consumption, the minimum de<br>explored. This program also has related applied research efforts fu   | particular, a fundamental understanding of the trade spatter<br>tectable signal, and the probability of false detection will   | ace                     |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Performed data collection measurements for the purpose of designicrosystems in DoD relevant environments. Data collections incluing the purpose of the purpose</li></ul> |  |                         |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |  |  | February 2016 | 3       |  |
|---|--|--|---------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 1   |  | Project (Number/Name)<br>ES-01 / ELECTRONIC SCIENCES |               |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015  | FY 2016       | FY 2017 |  |
| vibrational and magnetic modalities, and environmental background data in rac spectrum.   | dio frequencies (RF) of the electromagnetic  |  |               |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Design and fabricate near zero power digitization technologies for zero power</li> <li>Design and fabricate passive and extremely low power analog and digital sign processing of RF and physical sensor signatures.</li> <li>Design and fabricate innovative RF and physical sensor designs that perform processing.</li> </ul>   | gnal processing technologies for low energy  |  |               |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Experimentally evaluate component technologies.</li> <li>Design and fabricate improved component technologies enabling the zero pereduced signal level RF and physical sensor signatures.</li> <li>Investigate transition paths for fundamental technologies into RF communicated development in the applied research portion of this project.</li> </ul>  |  | /  |               |         |  |
| Title: High power Amplifier using Vacuum electronics for Overmatch Capability   | y (HAVOC)  | -  | 4.000         | 4.000   |  |
| <b>Description:</b> The effectiveness of combat operations across all domains increate the electromagnetic (EM) spectrum, and to deny its use to our adversaries. Be inexpensive high-power commercial RF sources has made the EM spectrum of dominance. The numerous tactical advantages offered by operating at higher available, is driving both commercial and DoD solid-state and vacuum electron spectrum above 30 GHz. Control of the mm-wave spectrum necessitates advance components and systems. The performance of these systems strongly dependent. | elow 30 GHz, the proliferation and availability of<br>crowded and contested, challenging our spectrur<br>frequencies, most notably the wide bandwidths<br>nic amplifiers into the millimeter wave (mm-wave<br>anced and increasingly more sophisticated electron | n<br>)   |               |         |  |
| The High power Amplifier using Vacuum electronics for Overmatch Capability the area of vacuum electronics with the ultimate goal of improving the fundame governing the science and technology for the next generation of vacuum electrabove 75 GHz. Focus areas will include modeling and simulation techniques, wave interaction structures, high current density and long-life cathodes, and of funded in PE 0602716E, Project ELT-01.   | ental understanding of the various phenomena<br>ronic amplifiers operating at mm-wave frequenci<br>advanced manufacturing methods, novel beam-   |  |               |         |  |
| FY 2016 Plans:  |  |  |               |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ad  | Ivanced Research Projects Agency   | _   | Date: F | ebruary 2016 |         |
|--|--|---|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   |   |         |              | ES      |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | F۱  | 2015    | FY 2016      | FY 2017 |
| <ul> <li>Begin research into high-fidelity, three-dimensional, multi-physics lead to first-pass design success.</li> <li>Begin investigating advanced manufacturing methods such as Semethods for beam-wave interaction circuits and other tube compon</li> <li>Investigate a more complete fundamental understanding of elected density, long-life cathodes.</li> <li>Design novel wideband and high-power beam-wave interaction s</li> </ul>   | elective Laser Sintering (SLS) and other additive manufaction<br>nents.<br>ron emission enabling the a priori design of high current-  |   |         |              |         |
| <b>FY 2017 Plans:</b> <ul> <li>Verify and validate the performance of high-fidelity, three-dimens simulation techniques on structures representative of advanced variable.</li> <li>Fabricate and test wideband and high-power beam-wave interaction.</li> </ul>   | cuum electronic amplifiers.  |   |         |              |         |
| Title: Precise Robust Inertial Guidance for Munitions (PRIGM)  |  |   | -       | 4.624        | 4.75    |
| <b>Description:</b> The DoD relies on GPS for ubiquitous and accurate p<br>prevalence of intentional GPS jamming, spoofing, and other GPS-oc<br>contested theaters and alternative sources of PNT are required. In<br>and among the most demanding of GPS-denial challenges, due to<br>the stringent requirements for minimization of cost, size, weight, an<br>Guidance for Munitions (PRIGM) program will develop low-CSWaP<br>PRIGM comprises two focus areas: 1) Development of a Navigation<br>state-of-the-art micro-electro-mechanical systems (MEMS) to DoD<br>Advanced Inertial MEMS Sensors (AIMS) to achieve gun-hard, high<br>the objective of complete autonomy in 2030. PRIGM will advance s<br>transition platform (complete IMU) that enables Service Labs to per<br>advances in heterogeneous integration of photonics and compleme<br>MEMS technology to realize novel inertial sensors for application in<br>performance. | denial threats, GPS access is increasingly unavailable in<br>particular, guided munitions navigation is the most imme-<br>the necessity of operating in highly contested theaters an<br>of power consumption (CSWaP). The Precise Robust Ine<br>P inertial sensor technology for GPS-free munitions naviga<br>n-Grade Inertial Measurement Unit (NGIMU) that transition<br>platforms by 2020; and 2) Research and development of<br>h-bandwidth, high dynamic range navigation requirement<br>state-of-the-art MEMS gyros from TRL-3 devices to a TR<br>from TRL-7 field demonstrations. PRIGM will exploit rec-<br>entary metal-oxide semiconductor (CMOS) and advanced<br>in extreme dynamic environments and beyond navigation- | ediate<br>Id<br>ation.<br>ons<br>s with<br>L-6<br>ent |         |              |         |
| Future warfighting scenarios will take place in a GPS-denied world.<br>autonomous positioning and navigation information. For successful<br>CSWaP, have unprecedented precision and stability, and be immun<br>fluctuations. PRIGM will identify, investigate, and demonstrate nov   | Il transition to the warfighter, these sensors need to be low<br>ne to the perturbations of external vibrations and tempera  |   |         |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency   |                        | Date: F | ebruary 2016 | 6       |
|--|--|------------------------|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   | <b>Projec</b><br>ES-01 | ES      |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |                        | FY 2015 | FY 2016      | FY 2017 |
| miniaturized once proof of concept is complete. Advanced research efforts are advanced development efforts funded in PE 0603739E, Project MT-15.   | e funded in PE 0602716E, Project ELT-01 and  |                        |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop models to simulate novel chip-scale inertial sensors such as optical MEMS gyroscopes and accelerometers.</li> <li>Develop MEMS and photonic integration processes demonstrating new and</li> <li>Build experimental test setup to support short-loop experiments for developm accelerometers.</li> </ul>  | novel approaches to inertial sensing.  | ted                    |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Integrate component technology and demonstrate photonic-MEMS inertial seprecision.</li> <li>Optimize novel optical and MEMS inertial sensor designs through modeling a characterization.</li> <li>Test navigation-grade inertial sensor performance robustness to external per</li> </ul>   | and simulation after completing initial experime   |                        |         |              |         |
| Title: Quantum and Materials Basics  |  |                        | -       | -            | 10.000  |
| <b>Description:</b> Advanced materials and novel devices have often become the bat The adoption of Gallium arsenide (GaAs) monolithic microwave integrated circle of U.S. radar systems, and recently matured Gallium Nitride (GaN) technology However these major investments were only possible after materials were advaced to be executed. The Quantum and Materials Basics (QMB) program will into to mature concepts to the point that functioning components could be tested. Tradically change future military systems, far exceeding the state of the art but or is pushing towards the ultimate limits set by quantum mechanics, and managine Promising avenues of research include highly linear 1D and 2D devices and marker transceivers; coupling of electrical, acoustic, and/or optical fields to signification of RF components; and addressing the most outstanding challenges to deploying atomic physics and technology. | uits greatly increased the range and effectiven<br>will be deployed with even greater capabilities<br>anced to a level of maturity that a device progrevestigate basic materials and device physics<br>These materials promise performance that will<br>only after they can be matured. The communit<br>ong this scaling requires fundamental research.<br>aterials that would increase the dynamic range<br>antly reduce the size and improve performance | ess<br>am<br>y<br>e of |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Select candidate devices and materials for QMB development and maturatio</li> <li>Determine performance targets by using basic material parameters and physicapabilities.</li> </ul>  |  |                        |         |              |         |

| <ul> <li>Perform proof-of-concept demonstrations to prioritize the most critical development challenges.</li> <li>Create simplified devices such as transistors out of the selected materials.</li> <li><i>Title</i>: Microscale Plasma Devices (MPD)</li> <li><i>Description:</i> The goal of the Microscale Plasma Devices (MPD) program was to design, develop, and characterize MPD technologies, circuits, and substrates. The MPD program focused on development of fast, small, reliable, high carrier-density, micro-plasma switches capable of operating in extreme conditions, such as high-radiation and high-temperature environments. Specific focus was given to methods that provide efficient generation of ions that can perform robust signal processing of RF through light electromagnetic energy over a range of gas pressures. Applications for such devices are far reaching, including the construction of complete high-frequency plasma-based circuits, and microsystems with superior resistance to radiation and extreme temperature environments. MPDs were developed in various circuits and substrates to demonstrate the efficacy of different approaches. MPD-based microsystems are demonstrated in DOD applications where electronic systems must survive in extreme environments.</li> <li>The Basic Research part of this effort focused on fundamental MPD research to advance scientific knowledge based on the study of several key MPD design parameters. These parameters included ultra-high pressure and high carrier density regimes. MPD focused on expanding the design space for plasma devices enabling revolutionary advances in micro-plasma device performance. MPD developed innovative concepts and technologies that are clearly disruptive with respect to the current state of the art in terms of switching speed (less than 100 picoseconds), carrier density (exceeding 1E18 per cubic centimeter), and capable of operating condes), carrier density (exceeding 2E18 per cubic centimeter), and capable of operatine do peratication or high-temperatur</li></ul> | Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  |         |         | 6       |  |  |
|--|--|--|---------|---------|---------|--|--|
| <ul> <li>Perform proof-of-concept demonstrations to prioritize the most critical development challenges.</li> <li>Create simplified devices such as transistors out of the selected materials.</li> <li><i>Title</i>: Microscale Plasma Devices (MPD)</li> <li><i>Description:</i> The goal of the Microscale Plasma Devices (MPD) program was to design, develop, and characterize MPD technologies, circuits, and substrates. The MPD program focused on development of fast, small, reliable, high carrier-density, micro-plasma switches capable of operating in extreme conditions, such as high-radiation and high-temperature environments. Specific focus was given to methods that provide efficient generation of ions that can perform robust signal processing of RF through light electromagnetic energy over a range of gas pressures. Applications for such devices are far reaching, including the construction of complete high-frequency plasma-based circuits, and microsystems with superior resistance to radiation and extreme temperature environments. MPDs were developed in various circuits and substrates to demonstrate the efficacy of different approaches. MPD-based microsystems are demonstrated in DOD applications where electronic systems must survive in extreme environments.</li> <li>The Basic Research part of this effort focused on fundamental MPD research to advance scientific knowledge based on the study of several key MPD design parameters. These parameters included ultra-high pressure and high carrier density regimes. MPD focused on expanding the design space for plasma devices enabling revolutionary advances in micro-plasma device performance. MPD developed innovative concepts and technologies that are clearly disruptive with respect to the current state of the art in terms of switching speed (less than 100 picoseconds), carrier density (exceeding 1E18 per cubic centimeter), and capable of operating condes), carrier density (exceeding 2E18 per cubic centimeter), and capable of operatine do peratication or high-temperatur</li></ul> |  | PE 0601101E / DEFENSE RESEARCH   |         |         |         |  |  |
| Create simplified devices such as transistors out of the selected materials.     Title: Microscale Plasma Devices (MPD)     2.000     Description: The goal of the Microscale Plasma Devices (MPD) program was to design, develop, and characterize MPD technologies, circuits, and substrates. The MPD program focused on development of fast, small, reliable, high carrier-density, micro-plasma switches capable of operating in extreme conditions, such as high-radiation and high-temperature environments. Specific focus was given to methods that provide efficient generation of ions that can perform robust signal processing of RF through light electromagnetic energy over a range of gas pressures. Applications for such devices are far reaching, including the construction of complete high-frequency plasma-based circuits, and microsystems with superior resistance to radiation and extreme temperature environments. MPDs were developed in various circuits and substrates to demonstrate the efficacy of different approaches. MPD-based microsystems are demonstrated in DoD applications where electronic systems must survive in extreme environments. The Basic Research part of this effort focused on fundamental MPD research to advance scientific knowledge based on the study of several key MPD design parameters. These parameters included ultra-high pressure and high carrier density regimes. MPD focused on expanding the design space for plasma devices enabling revolutionary advances in micro-plasma device performance. MPD developed linovative concepts and technologies that are clearly disruptive with respect to the current state of the art in terms of switching speed (less than 100 picoseconds), carrier density (exceeding 1E18 per cubic centimeter), and capable of operation and robustness in extreme high-radiation or high-temperature (600degC) environments. Fundamental scientific knowledge derived from MPD is also expected to drive developments in commercialization of MPD technology developed and   | Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016 | FY 2017 |  |  |
| Description: The goal of the Microscale Plasma Devices (MPD) program was to design, develop, and characterize MPD technologies, circuits, and substrates. The MPD program focused on development of fast, small, reliable, high carrier-density, micro-plasma switches capable of operating in extreme conditions, such as high-radiation and high-temperature environments. Specific focus was given to methods that provide efficient generation of ions that can perform robust signal processing of RF through light electromagnetic energy over a range of gas pressures. Applications for such devices are far reaching, including the construction of complete high-frequency plasma-based circuits, and microsystems with superior resistance to radiation and extreme temperature environments. MPDs were developed in various circuits and substrates to demonstrate the efficacy of different approaches. MPD-based microsystems are demonstrated in DoD applications where electronic systems must survive in extreme environments.  |  |  |         |         |         |  |  |
| technologies, circuits, and substrates. The MPD program focused on development of fast, small, reliable, high carrier-density, micro-plasma switches capable of operating in extreme conditions, such as high-radiation and high-temperature environments. Specific focus was given to methods that provide efficient generation of ions that can perform robust signal processing of RF through light electromagnetic energy over a range of gas pressures. Applications for such devices are far reaching, including the construction of complete high-frequency plasma-based circuits, and microsystems with superior resistance to radiation and extreme temperature environments. MPDs were developed in various circuits and substrates to demonstrate the efficacy of different approaches. MPD-based microsystems are demonstrated in DoD applications where electronic systems must survive in extreme environments. These parameters included ultra-high pressure and high carrier density regimes. MPD focused on fundamental MPD research to advance scientific knowledge based on the study of several key MPD design parameters. These parameters included ultra-high pressure and high carrier density regimes. MPD focused on expanding the design space for plasma devices enabling revolutionary advances in micro-plasma device performance. MPD developed innovative concepts and technologies that are clearly disruptive with respect to the current state of the art in terms of switching speed (less than 100 picoseconds), carrier density (exceeding 1E18 per cubic centimeter), and capable of operation and robustness in extreme high-radiation or high-temperature (600degC) environments. Fundamental scientific knowledge derived from MPD is also expected to drive developments in commercialization of MPD technology developed and  | <i>le:</i> Microscale Plasma Devices (MPD)   |  | 2.000   | -       | -       |  |  |
| of several key MPD design parameters. These parameters included ultra-high pressure and high carrier density regimes. MPD focused on expanding the design space for plasma devices enabling revolutionary advances in micro-plasma device performance. MPD developed innovative concepts and technologies that are clearly disruptive with respect to the current state of the art in terms of switching speed (less than 100 picoseconds), carrier density (exceeding 1E18 per cubic centimeter), and capable of operation and robustness in extreme high-radiation or high-temperature (600degC) environments. Fundamental scientific knowledge derived from MPD is also expected to drive developments in commercialization of MPD technology developed and   | choologies, circuits, and substrates. The MPD program focused on a<br>cro-plasma switches capable of operating in extreme conditions, suc-<br>ecific focus was given to methods that provide efficient generation of<br>ough light electromagnetic energy over a range of gas pressures. A<br>e construction of complete high-frequency plasma-based circuits, an<br>treme temperature environments. MPDs were developed in various<br>ferent approaches. MPD-based microsystems are demonstrated in | development of fast, small, reliable, high carrier-density<br>ch as high-radiation and high-temperature environments<br>f ions that can perform robust signal processing of RF<br>applications for such devices are far reaching, including<br>d microsystems with superior resistance to radiation an<br>circuits and substrates to demonstrate the efficacy of | s.<br>d |         |         |  |  |
| tunded in PE 0602/16E, Project ELI-01.   | several key MPD design parameters. These parameters included u<br>cused on expanding the design space for plasma devices enabling re<br>PD developed innovative concepts and technologies that are clearly<br>ms of switching speed (less than 100 picoseconds), carrier density (<br>operation and robustness in extreme high-radiation or high-tempera   | PD<br>ance.  |         |         |         |  |  |
| FY 2015 Accomplishments:         - Completed investigations examining scaling properties for plasma devices in terms of size, density, robustness and switching speed.         - Finalized studies on fundamental frequency, efficiency and power limitations of generating high-power microwave through terahertz (THz) frequency signals utilizing plasma as a robust, non-linear up-conversion medium.         - Completed the optimization of devices that perform from RF through light frequencies.         - Transitioned fundamental research findings into improved commercial modeling simulation and design tool capabilities, enabling DoD relevant applications that require survivability in extreme radiation and temperature environments.   | Completed investigations examining scaling properties for plasma de<br>eed.<br>Finalized studies on fundamental frequency, efficiency and power lin<br>ahertz (THz) frequency signals utilizing plasma as a robust, non-line<br>Completed the optimization of devices that perform from RF through<br>Fransitioned fundamental research findings into improved commerci<br>D relevant applications that require survivability in extreme radiation   | nitations of generating high-power microwave through<br>ear up-conversion medium.<br>light frequencies.<br>al modeling simulation and design tool capabilities, ena  | bling   |         |         |  |  |
| Title: Micro-coolers for Focal Plane Arrays (MC-FPA)       1.500       -   | le: Micro-coolers for Focal Plane Arrays (MC-FPA)  |  | 1.500   | -       | -       |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   |                      |        |         | Date: February 2016 |         |  |
|---|---|----------------------|--------|---------|---------------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 1   | R-1 Program Element (Number/<br>PE 0601101E / DEFENSE RESE/<br>SCIENCES | (Number/I<br>ELECTRC | ES     |         |                     |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |                      |        | FY 2015 | FY 2016             | FY 2017 |  |
| <b>Description:</b> The Micro-coolers for Focal Plane Arrays (MC-FPA) program dev<br>C) cryogenic coolers for application in high-performance infrared (IR) cameras.<br>plane array (FPA) is improved by cooling its detectors to cryogenic temperature<br>coolers are their large size, high power and high cost. On the other hand, therr<br>cameras are relatively small, but are inefficient, and it is difficult to achieve temp<br>To reduce IR camera SWaP-C, innovations in cooler technology are needed. | cal-<br>ce IR<br>T)   |                      |        |         |                     |         |  |
| cooling principle, in a silicon-based Micro Electro-Mechanical Systems (MEMS) scale integrated micro-cryogenic IR FPA coolers with very low SWaP-C. MEM electronics were used to demonstrate an integrated cold head and compressor related applied research efforts funded under PE 0602716E, Project ELT-01.  | DS  |                      |        |         |                     |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated single-stage J-T cooling chip with external compressor.</li> <li>Completed design and began development of the extended shortwave IR FP.</li> <li>Began preliminary design of a 3-stage J-T micro-cooler.</li> </ul>  | А.  |                      |        |         |                     |         |  |
|   | Accomplishments/Planned Prog  | grams Subt           | totals | 33.281  | 40.824              | 49.553  |  |
|   |   | FY 2015              | FY 201 | 6       |                     |         |  |
| Congressional Add: Basic Research Congressional Add   |   | 6.666                |        | -       |                     |         |  |
| <b>FY 2015 Accomplishments:</b> - Supports increased efforts in basic research th universities and commercial research communities.   | at engage a wider set of  |                      |        |         |                     |         |  |
|   | Congressional Adds Subtotals  | 6.666                |        | -       |                     |         |  |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A<br>Remarks  |   |                      |        |         |                     |         |  |
|   |   |                      |        |         |                     |         |  |
| <u>D. Acquisition Strategy</u><br>N/A   |   |                      |        |         |                     |         |  |

| Appropriation/Budget Activity         R-1 Program Element (Number/Name)<br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES           2. Performance Metrics         Specific programmatic performance metrics are listed above in the program accomplishments and plans section. | Date: February 2016                                  |                                       | Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense    |
|---|--|---------------------------------------|---|
|   | Project (Number/Name)<br>ES-01 / ELECTRONIC SCIENCES | PE 0601101E / DEFENSE RESEARCH        |   |
| Specific programmatic performance metrics are listed above in the program accomplishments and plans section.  |  |                                       | . Performance Metrics   |
|   |  | am accomplishments and plans section. | specific programmatic performance metrics are listed above in |
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| 0601101E: DEFENSE RESEARCH SCIENCES UNCLASSIFIED  |  |                                       |   |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |                |         |         |                 |                          |                  |                 | Date: Febr | uary 2016 |         |                     |               |
|--|----------------|---------|---------|-----------------|--------------------------|------------------|-----------------|------------|-----------|---------|---------------------|---------------|
| Appropriation/Budget Activity       R-1 Program Element (Number/Name         0400 / 1       PE 0601101E / DEFENSE RESEARCH         SCIENCES       SCIENCES |                |         |         | ,               | Project (N<br>MS-01 / M/ |                  | ne)<br>SCIENCES |            |           |         |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO           | FY 2017<br>Total | FY 2018         | FY 2019    | FY 2020   | FY 2021 | Cost To<br>Complete | Total<br>Cost |
| MS-01: MATERIALS SCIENCES  | -              | 77.942  | 53.060  | 65.609          | -                        | 65.609           | 60.387          | 63.780     | 85.138    | 85.138  | -                   | -             |

#### A. Mission Description and Budget Item Justification

This project provides the fundamental research that underpins the development and assembly of advanced nanoscale and bio-molecular materials, devices, and electronics for DoD applications that greatly enhance soldier awareness, capability, security, and survivability, such as materials with increased strength-to-weight ratio and ultra-low size, devices with ultra-low energy dissipation and power, novel spectroscopic sources, and electronics with persistent intelligence and improved surveillance capabilities.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: Nanoscale/Bio-inspired and MetaMaterials  | 22.140  | 17.210  | 21.300  |
| <b>Description:</b> The research in this thrust area exploits advances in nano/micro-scale and bio-inspired materials, including computationally based materials science, in order to develop unique microstructures, material properties, and functionalities. This area also includes efforts to develop the underlying science for the behavior of materials whose properties have been engineered at the nano/micro-scale level, including metamaterials, bio-inspired materials for sensing and actuation, and materials that are designed to mimic biological materials from molecular to macroscopic function. Specific examples of areas of interest include materials that can self-repair, adapt, and respond for soldier protection against chemical and biological threats and novel approaches to optical based or metamaterial imaging systems capable of detecting objects in cluttered environments and around or through structural obscurants leveraging multiple degrees of freedom of light and using all photon pathways. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed a method for screening non-natural polymer libraries for designed properties such as binding to target molecules.</li> <li>Developed a method for sequencing non-natural polymers at low concentrations.</li> <li>Analyzed the statistics of direct vs indirect path photons from an object in a scene, as captured by traditional imaging systems.</li> <li>Analyzed the statistics of direct vs indirect path photons for imaging objects in different scenes, including inside a building, in an urban canyon, and in a military tank formation.</li> </ul>  |         |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Use non-natural polymer synthesis and screening system to create affinity reagents against DARPA-defined targets.</li> <li>Develop strategy to adapt the non-natural polymer synthesis and screening system to modify affinity reagent properties.</li> <li>Initiate the development of a foundational theoretical framework, based on the Plenoptic function, for exploring the limits of exploiting multiple degrees of freedom of light and extracting the maximum amount of information from complex scenes.</li> </ul>   |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced   | d Research Projects Agency   | Date: F | ebruary 2016 | ;       |  |  |
|---|--|---------|--------------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 1   | Activity         R-1 Program Element (Number/Name)         Project (Number/Name)           PE 0601101E / DEFENSE RESEARCH         MS-01 / MATERIALS SCIENCES           SCIENCES         MS-01 / MATERIALS SCIENCES |         |              |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016      | FY 2017 |  |  |
| <ul> <li>Initiate the design of experiments to validate theoretical models for 3D s<br/>light.</li> </ul>   | scene rendering using multiple degrees of freedom  | of      |              |         |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Improve the binding affinity of non-natural polymers against DARPA-det</li> <li>Generalize developed non-natural polymer library screening strategies</li> <li>Continue the development of a comprehensive Plenoptic function theory pathways in a complex scene rendering.</li> <li>Theoretically determine the fundamental limits of maximum light/scene</li> <li>Conduct laboratory experiments to validate the theoretical predictions for using the multiple degrees of freedom described by the Plenoptic function</li> </ul>  | across multiple target classes.<br>etical framework for extracting information for all pl<br>information extraction from a single viewpoint.<br>or maximum information extraction from complex s<br>i.             | cenes   |              |         |  |  |
| <i>Title:</i> Fundamentals of Nanoscale and Emergent Effects and Engineered   |  | 16.543  | 14.100       | 20.045  |  |  |
| <b>Description:</b> The Fundamentals of Nanoscale and Emergent Effects and<br>and exploit a broad range of physical properties and new physics that em<br>and organization at nano-scale dimensions and/or at extreme temperature<br>properties that currently exist only at the nanoscale including quantized cu<br>specific heats, large surface to volume ratio, high efficiency catalysis, enh<br>effects that arise in low dimensional systems. In addition, extreme high p<br>or phases with dramatically enhanced physical, mechanical and functional<br>characterize these emergent properties and to identify new synthesis app<br>bulk material systems suitable for a wide range of DoD applications. The<br>thrust will enable new, more efficient, and powerful material and device ar<br>including controllable photonic devices that operate over multiple wavelent<br>throughput biochemical sensors for known and unknown (engineered) more<br>systems, materials for hypersonic aircraft, and advanced armor protection | al<br>gh<br>ron<br>orphs<br>able,<br>is  |         |              |         |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Continued synthesis of suites of intermediates to lead to selected exten</li> <li>Initiated characterization of the physical, structural, and chemical prope</li> <li>Furthered the development of methods to stabilize extended solids at an</li> <li>Based on computational analysis and experimental results, initiated des</li> <li>achievable for multistep reaction schemes to fabricate extended solids at</li> <li>Identified novel approaches for enabling three dimensional (3D) asseming structures while preserving desirable nanoscale material properties.</li> </ul>  | rties of intermediates synthesized.<br>mbient temperatures and pressures.<br>sign retrosynthetic pathways that are synthetically<br>reduced pressures.   | scale   |              |         |  |  |

| d Research Projects Agency   |   | Date: F   | ebruary 2016  | j -  |  |
|--|---|---|---|--|--|
| <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   |   |   |   |  |  |
|  | F   | Y 2015  | FY 2016   | FY 2017  |  |
| ron-scale constructs into cm-scale structures.<br>material structures from nanoscale material constr   | ucts  |   |   |  |  |
| aterials.<br>synthetically achievable for multistep reaction sche<br>al analysis and stabilization results.<br>iple material structures from nanoscale material<br>s from micron-scale constructs while preserving<br>nergence of non-linear effects in complex systems. | mes   |   |   |  |  |
| of tough ceramic materials.<br>netic designs to fabricate extended solids at reduce<br>support assembly tasks at the nanometer to micror<br>eedstock consisting of individual atoms or molecule  | d<br>n<br>is.   |   |   |  |  |
|  | R-1 Program Element (Number/Name)<br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES           properties that are amenable to 3D assembly process<br>ron-scale constructs into cm-scale structures.<br>material structures from nanoscale material constru-<br>-scale constructs while preserving desirable nanoss           nt temperatures and pressures.<br>re of high density extended carbon-based materials<br>aterials.<br>synthetically achievable for multistep reaction sche<br>I analysis and stabilization results.<br>ple material structures from nanoscale material<br>s from micron-scale constructs while preserving<br>hergence of non-linear effects in complex systems.<br>aluating algorithms for modeling non-linear effects in<br>nbient temperatures and pressures.<br>re of high density extended carbon-based materials           f tough ceramic materials.<br>tetic designs to fabricate extended solids at reduced<br>support assembly tasks at the nanometer to micror<br>eedstock consisting of individual atoms or molecule | R-1 Program Element (Number/Name)       Project (<br>MS-01//<br>SCIENCES         PE 0601101E / DEFENSE RESEARCH<br>SCIENCES       MS-01//<br>MS-01//         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         properties that are amenable to 3D assembly processes.       F         -scale constructs while preserving desirable nanoscale       -         aterials.       synthetically achievable for multistep reaction schemes         aterials.       spin micron-scale constructs while preserving         ple material structures from nanoscale material       s from micron-scale constructs while preserving         hergence of non-linear effects in complex systems.       aluating algorithms for modeling non-linear effects in         mbient t | R-1 Program Element (Number/Name)<br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES       Project (Number/I<br>MS-01 / MATERIAL<br>MS-01 / MATERIAL<br>MS-0 | R-1 Program Element (Number/Name)<br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES       Project (Number/Name)<br>MS-01 / MATERIALS SCIENCE         properties that are amenable to 3D assembly processes.<br>ron-scale constructs into cm-scale structures.<br>material structures from nanoscale material constructs       FY 2015       FY 2016         -scale constructs while preserving desirable nanoscale       rescale constructs while preserving desirable nanoscale       Image: Construct of the structures of the structures from nanoscale materials         aterials.       synthetically achievable for multistep reaction schemes<br>I analysis and stabilization results.       ple material structures from nanoscale material         s from micron-scale constructs while preserving       regence of non-linear effects in complex systems.<br>aluating algorithms for modeling non-linear effects in         nbient temperatures and pressures.<br>re of high density extended carbon-based materials       red high density extended carbon-based materials         f tough ceramic materials.<br>etic designs to fabricate extended solids at reduced       support assembly tasks at the nanometer to micron         eedstock consisting of individual atoms or molecules.       structures. |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | earch Projects Agency  | Date: F | ebruary 2016 |         |
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| Appropriation/Budget Activity<br>0400 / 1  | oject (Number/I<br>5-01 / MATERIA  |         | S            |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| - Develop analog computing substrates for efficiently simulating systems gover   | ned by complex non-linear phenomena.   |         |              |         |
| Title: Basic Photon Science  |  | 19.400  | 21.750       | 24.264  |
| <b>Description:</b> The Basic Photon Science thrust is examining the fundamental second integrated devices, from their inherent information-carrying capability (both quare modulation techniques using not only amplitude and phase, but also orbital angut this science will impact DoD through novel approaches to communications, sign applications. For example, fully exploiting the computational imaging paradigm ultimately yield ultra-low size, weight, and power persistent/multi-functional integrate that greatly enhance soldier awareness, capability, security, and survivability. Of for optical frequency division and harmonic generation for applications such as ultra-low phase noise microwaves, frequency references, table-top sources of of In addition, this thrust will pursue novel, chip-scale optical frequency comb sourt the electromagnetic spectrum for spectroscopic sensing and demonstrate their targeted applications. These sources will enable and spawn entirely new fields quantification of multiple trace materials in spectrally cluttered backgrounds.   | ntum mechanically and classically), to novel<br>jular momentum. The new capabilities driven by<br>nal processing, spectroscopic sensing, and imagi<br>and associated emerging technologies will<br>lligence, surveillance, and reconnaissance system<br>One focus of this thrust is to explore approaches<br>time distribution from ultrastable optical clocks,<br>scherent x-rays, and isolated attosecond pulses.<br>rees and associated technologies throughout<br>performance with proof-of-concept studies in | ns      |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated 30 GHz microwave output from a silica disk microresonator-based photodiodes for chip-based, ultra-low phase noise microwave generation.</li> <li>Demonstrated on-chip frequency comb and pulse shaping components utilizing circuit technology and evaluated with bulk scale reference combs.</li> <li>Demonstrated high flux soft x-ray production in the biologically critical water we preliminary x-ray imaging demonstrations on the nanometer scale.</li> <li>Demonstrated high efficiency-per-shot laser driven neutron production and conserter and laser amplifiers to improve overall neutron flux for radiography apper Demonstrated and controlled ultra-high intensity, long wavelength lasers, which high energy isolated attosecond (the timescale of electron dynamics in atoms a Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Developed and controlled microresonator-based frequency comb sources in the Deve</li></ul> | ng indium phosphide based photonic integrated<br>vindow spectral region and used this source for<br>onstructed increased repetition rate sample targer<br>lications.<br>ch can be used to generate high average power,<br>nd molecules) optical pulses.<br>the mid-infrared spectral region.   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Design a rack mounted package for mode-locked laser based optical frequent</li> <li>Demonstrate RF photonic bandpass filtering with micro-resonator optical frequent</li> </ul>   |  |         |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ad  | Ivanced Research Projects Agency   |   | Date: F | ebruary 2016 | 6       |
|--|--|---|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   | Project (Number/Name)<br>MS-01 / MATERIALS SCIENCES |         |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Demonstrate a remotely operating quartz microwave oscillator slattime and frequency transfer.</li> <li>Demonstrate femtosecond time-resolved imaging at the nanomeling generation (tabletop scale x-ray source).</li> <li>Demonstrate stability and characterization capabilities of extreme characterizing isolated attosecond (10^-18 seconds) pulses.</li> <li>Demonstrate proof-of-concept broadband chip-scale comb source</li> <li>Demonstrate massively parallel spectroscopy in a lab setting for tenvironment using chip-scale frequency combs in multiple spectral</li> <li>Investigate the fundamental limits of photon transduction to enabilition including timing, resolution, efficiency and speed.</li> </ul>   | ter scale with soft x-rays generated via high harmonic<br>e ultraviolet/soft x-ray attosecond end-station by measurin<br>res in multiple spectral regions.<br>rs on the same chip in mid-infrared.<br>the detection of multiple trace species in a cluttered<br>regions.   | g and   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop a rack mounted package for mode-locked laser-based of for a chip-scale source.</li> <li>Demonstrate chip-scale RF photonic down conversion and filterint</li> <li>Show full integration of laser and end-station to realize a microjout capability for research in ultrafast electronics.</li> <li>Demonstrate tabletop bio-imaging with nanometer spatial resolutities.</li> <li>Improve and tailor to specific DoD environments the performance regions.</li> <li>Develop simulated field test environments for massively parallel so cluttered environment using chip-scale frequency combs in multiple</li> <li>Demonstrate cavity-enhanced comb-spectroscopy methods for micluttered environment.</li> <li>Determine a quantitative, first-principles description of photon definition.</li> </ul> | ng based on optical frequency comb technology.<br>ule, isolated attosecond beamline, representing a new<br>tion (using tabletop high harmonic x-ray source).<br>e of broadband chip-scale comb sources in multiple spect<br>spectroscopy for the detection of multiple trace species in<br>e spectral regions.<br>nassively parallel spectroscopy of multiple trace species in | ral   |         |              |         |
| <i>Title:</i> Enabling Quantum Technologies  |  |   | 13.193  | -            | -       |
| <b>Description:</b> This thrust emphasized a quantum focus on technolo<br>sources, detectors, and associated devices useful for quantum met<br>exploited novel optical nonlinearities that can be used to combine of<br>secure quantum communications over conventional fiber at rates con<br>this thrust examined other novel classes of materials and phenome  | trology, communications, and imaging applications. It als<br>quantum systems with classical coherent pulses to enable<br>ompatible with commercial telecommunications. In additi   | 0   |         |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency   |                                       | Date: F                       | ebruary 2016 | 6       |
|--|--|---------------------------------------|-------------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1  |  |                                       | ect (Number/I<br>01 / MATERIA |              | S       |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |                                       | FY 2015                       | FY 2016      | FY 2017 |
| the quantum regime, such as GPS-independent navigation via atom interferom technologies.   | PE 0601101E / DEFENSE RESEARCH<br>SCIENCES         ments/Planned Programs (\$ in Millions)         gime, such as GPS-independent navigation via atom interferometry and communications, and ultrafast la         mplishments:         provements towards compact optomechanical gyroscopes.         d techniques with better than 50 nm resolution with applications towards magnetic imaging of living cells.<br>s to sense functional changes of electronic spin labels in biomolecules (e.g., proteins, lipids) with high spition.         imized performance of slow-beam-optical-clock.         ototype macroscopic quantum communications systems into local quantum communications testbeds.         informance of prototype macroscopic quantum communications system under realistic conditions (loss, n<br>and over secure long haul communications distances.         n initial mathematical framework for predicting the emergence of quantum behavior in complex systems.         Accomplishments/Planned Programs         I Add: Basic Research Congressional Add       6         mplishments:       Supported increased efforts in basic research that engage a wider set of<br>d commercial research communities.       6         mplishments:       Supported increased efforts in basic research that engage a wider set of<br>d commercial research communities.       6         man Funding Summary (\$ in Millions)       6 | lfast laser                           |                               |              |         |
| <ul> <li>Began studies to sense functional changes of electronic spin labels in biomol<br/>temporal resolution.</li> <li>Validated optimized performance of slow-beam-optical-clock.</li> <li>Integrated prototype macroscopic quantum communications systems into loc</li> <li>Quantified performance of prototype macroscopic quantum communications<br/>decoherence) and over secure long haul communications distances.</li> </ul> | lecules (e.g., proteins, lipids) with h<br>al quantum communications testbe<br>system under realistic conditions (lo   | igh spatial and<br>ds.<br>oss, noise, |                               |              |         |
|  | Accomplishments/Planned Prog   | rams Subtotal                         | <b>5</b> 71.276               | 53.060       | 65.609  |
|  |  | FY 2015 FY                            | 2016                          |              |         |
| Congressional Add: Basic Research Congressional Add  |  | 6.666                                 | -                             |              |         |
| <b>FY 2015 Accomplishments:</b> - Supported increased efforts in basic research t universities and commercial research communities.  | that engage a wider set of   |                                       |                               |              |         |
|  | Congressional Adds Subtotals   | 6.666                                 | -                             |              |         |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks<br>D. Acquisition Strategy<br>N/A<br>E. Performance Metrics<br>Specific programmatic performance metrics are listed above in the program ac  | complishments and plans section.   |                                       |                               |              |         |

| Exhibit R-2A, RDT&E Project Ju   | ustification   | : PB 2017 E   | Defense Adv  | anced Res   | earch Proje   | ects Agency   |  |  |                | Date: Feb            | ruary 2016          |               |
|--|--|---|--|---|---|---|--|--|----------------|----------------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 1  |  |   |  |   |   | <b>am Elemen</b><br>D1E <i>I DEFE</i><br>S                    |  |  |                | umber/Nai<br>RANSFOF | ne)<br>RMATIVE S    | CIENCES       |
| COST (\$ in Millions)  | Prior<br>Years   | FY 2015   | FY 2016  | FY 2017<br>Base   | FY 2017<br>OCO  | FY 2017<br>Total  | FY 2018  | FY 2019  | FY 2020        | FY 2021              | Cost To<br>Complete | Total<br>Cost |
| TRS-01: TRANSFORMATIVE<br>SCIENCES   | -  | 30.740  | 38.390   | 53.070  | -   | 53.070  | 56.632   | 68.102   | 69.617         | 69.056               | -                   | -             |
| A. Mission Description and Bud   | dget Item J  | ustification  | <u>)</u>   |   |   |   |  |  |                |                      |                     |               |
| The Transformative Sciences pro<br>subareas of the social sciences,<br>changes in requirements, threats  | life sciences  | s, manufact   | uring, and c   | ommerce.  | The project   | t integrates  | these divers   | se discipline  | es to improv   | e military a         |                     |               |
| <b>B. Accomplishments/Planned F</b>  | Programs (S  | in Million  | <u>s)</u>  |   |   |   |  |  | FY             | 2015 I               | FY 2016             | FY 2017       |
| Title: Living Foundries  |  |   |  |   |   |   |  |  |                | 10.250               | 9.250               | 7.185         |
| for the DoD and the Nation. With<br>adapt to changing environments<br>Living Foundries seeks to develo<br>speeding the biological design-bu<br>Living Foundries aims to provide<br>production of critical and high-val   | and self-rep<br>p the founda<br>uild-test-lear<br>game-chan                      | air, biology<br>ational tech<br>n cycle and<br>ging manufa              | represents<br>nological inf<br>expanding                                     | one of the<br>rastructure<br>the comple                                 | most power<br>to transforr<br>exity of syste                | ful manufac<br>n biology inf<br>ems that car                  | turing platfo<br>to an engine<br>to be engine                | rms known<br>eering pract<br>ered. Ultim             | tice,          |                      |                     |               |
| Living Foundries will develop tool<br>Additionally, Living Foundries will<br>genetic elements in the production<br>methodologies to accelerate the<br>engineer new systems and expan<br>construction, implementation, and<br>research for this program is budg | l identify the<br>on pathways<br>biological de<br>nding the co<br>d testing of d | fundament<br>. Research<br>esign-build-t<br>mplexity an<br>complex, hig | al design ru<br>thrusts incl<br>test cycle, th<br>d accuracy<br>gher-order g | les that gov<br>ude develo<br>nereby redu<br>of designs<br>genetic netw | vern the cor<br>ping the fun<br>ucing the ex<br>that can be | nstruction ar<br>idamental to<br>tensive cost<br>built. The r | nd organizat<br>ools, capabi<br>and time it<br>esult will be | ion of unde<br>lities and<br>takes to<br>rapid desig | erlying<br>gn, |                      |                     |               |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Examined design tool innovation</li> <li>Investigated evaluation tools to</li> <li>Continued development of automatical</li> </ul>  | enable mas   | ssively para  | llel testing,  | validation,   | and verificat   | tion of engir   |  |  |                |                      |                     |               |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency   |       | Date: F                   | ebruary 2016        | j       |
|---|--|-------|---------------------------|---------------------|---------|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH</i><br>SCIENCES                                    |       | t (Number/N<br>1 / TRANSF | lame)<br>ORMATIVE S | CIENCES |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  |       | FY 2015                   | FY 2016             | FY 2017 |
| <ul> <li>Researched new methods for integrated feedback to exploit high volume dat processes.</li> </ul>  | a generation and inform future designs and   |       |                           |                     |         |
| <ul> <li>FY 2016 Plans:</li> <li>Begin demonstrating forward engineering of novel genetic systems using inn</li> <li>Implement evaluation tools for high-throughput testing, validation, and verific</li> <li>Implement novel learning systems that enable iterative design of engineered inform subsequent designs.</li> <li>Incorporate automated and scalable, large-scale DNA assembly, editing tools build-test-learn technologies for engineering novel biological systems.</li> <li>Develop new chassis for engineering biology for improved metabolic flux for</li> </ul> | ation of engineered systems.<br>systems using integrated feedback of results<br>s and processes into automated, integrated de    |       |                           |                     |         |
| <ul> <li>FY 2017 Plans:</li> <li>Improve design tools through incorporation of large scale process and test data.</li> <li>Integrate evaluation tools for high-throughput testing, validation, and verificat.</li> <li>Integrate novel learning systems that enable iterative design of engineered stinform subsequent designs.</li> <li>Optimize integration of design-build-test-learn technologies for high-fidelity, h systems.</li> </ul>  | tion of engineered systems.<br>ystems using integrated feedback of results to<br>nigh-throughput, low cost engineering of biolog | )     |                           |                     |         |
| - Implement new biological chassis for improved yield and production of bioch   | emicals.   |       | 3.750                     | 2.038               | 1.800   |
| <i>Title:</i> Open Manufacturing<br><i>Description:</i> The Open Manufacturing program will reduce barriers to manufacturing, components, and structures. This will be achieved by investing in terms and energy-efficient manufacturing, to promote comprehensive design, simulat to best practices. The applied research component of this program is funded in Processing and Manufacturing.   | chnologies to enable affordable, rapid, adapta<br>tion and performance-prediction tools, and exp                                 | osure | 3.730                     | 2.030               | 1.800   |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed basic architecture and statistical environment to enable rapid qua interaction and use of probabilistic models for process, design, and materials.</li> <li>Demonstrated Micro-Induction Sintering (MIS) method for additive manufacture geometries.</li> <li>Demonstrated an approach to verify, validate, and quantify uncertainty in the EX 2010 Plance.</li> </ul>   | ure of metal and/or ceramic materials in compl   |       |                           |                     |         |
| FY 2016 Plans:  |  |       |                           |                     |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced  | Research Projects Agency   |                        | Date: F                     | ebruary 2016 | ;       |
|--|--|------------------------|-----------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 1  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   |                        | ct (Number/N<br>01 / TRANSF | SCIENCES     |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |                        | FY 2015                     | FY 2016      | FY 2017 |
| <ul> <li>Characterize material produced using micro-induction sintering process.</li> <li>Develop fundamental process modeling tools for micro-induction sintering</li> <li>Demonstrate approach to integrate the Open Manufacturing rapid qualific tool.</li> </ul>   |  | tional                 |                             |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Establish process limits for micro-induction sintering process for specific of</li> <li>Analyze and quantify ability to accurately predict material properties of refusing micro-induction sintering through process models previously developed framework.</li> <li>Assess and quantify the uncertainty in the Open Manufacturing framework based on manufacturing method, environment and integrated probabilistic refusion.</li> </ul> | fractory and metal matrix composites produced<br>ed, integrated with the overall Open Manufacturir<br>k model that accurately predicts part performanc           | •                      |                             |              |         |
| <i>Title:</i> Biological Robustness in Complex Settings (BRICS)  |  |                        | 8.849                       | 12.080       | 10.235  |
| <b>Description:</b> The Biological Robustness in Complex Settings (BRICS) progenable radical new approaches for engineering biology. An emerging field, to harness the powerful synthetic and functional capabilities of biology. The of new chemicals and materials, sensing capabilities, therapeutics, and num technological capability opens the door to new applications that have previous advantages in terms of cost and novel functionality.                      | engineering biology is focused on developing the<br>ese tools will facilitate design and biological produ-<br>nerous other applications. This rapidly developing | e tools<br>uction<br>g |                             |              |         |
| Fundamental work in this area will focus on understanding the underlying part and microbial communities that perform as designed over the long-term. The 0602715E, Project MBT-02.   |  |                        |                             |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Initiated investigation of methods to engineer microorganisms that are stated conditions.</li> <li>Initiated investigation of methods to engineer communities of microorganiania</li> </ul>   | isms with reliably controlled population dynamics  |                        |                             |              |         |
| - Began to explore methods to rationally engineer functional microbial com   | munities.  |                        |                             |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate methods to engineer organisms that are functionally stable of Demonstrate methods to engineer complex communities of microorganism</li> </ul>   |  |                        |                             |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advance   | ed Research Projects Agency  | Date: F                       | ebruary 2016                                     |         |  |  |  |
|--|--|-------------------------------|--|---------|--|--|--|
| <ul> <li>Appropriation/Budget Activity</li> <li>Accomplishments/Planned Programs (\$ in Millions)</li> <li>Demonstrate methods to rationally engineer functional microbial</li> <li>FY 2017 Plans: <ul> <li>Combine consortia engineering technologies to develop communities.</li> <li>Demonstrate the functional stability of engineered consortia under Title: Understanding Biological Complexity*</li> </ul> </li> <li>Description: *Formerly Applying Biological Complexity at Scale Biological systems operate over an enormous range of spatial, phorganism systems. This project seeks to enhance the understand interactions, communication, and control to enable novel approach Applications range from infectious disease mitigation or prevention communities of microorganisms. Key advances expected from this stable, predictable, and dynamic control mechanisms of biological biosystem's state and enable the prediction of state.</li> <li>FY 2016 Plans: <ul> <li>Investigate predictive design rules and engineering approaches</li> <li>Initiate research into biological system responses to varying st</li> </ul> </li> </ul>  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES   |                               | ect (Number/Name)<br>-01 / TRANSFORMATIVE SCIENC |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015                       | FY 2016  | FY 2017 |  |  |  |
| - Demonstrate methods to rationally engineer functional microbial comm   | nunities of increasing complexity.   |                               |  |         |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Combine consortia engineering technologies to develop communities problems.</li> <li>Demonstrate the functional stability of engineered communities in com</li> <li>Demonstrate potential for safe use of engineered consortia under communities of engin</li></ul> | plex environments over relevant time scales.   |                               |  |         |  |  |  |
| Title: Understanding Biological Complexity*  |  | -                             | 9.000  | 10.250  |  |  |  |
| Description: *Formerly Applying Biological Complexity at Scale   |  |                               |  |         |  |  |  |
| Biological systems operate over an enormous range of spatial, physical, organism systems. This project seeks to enhance the understanding of interactions, communication, and control to enable novel approaches an Applications range from infectious disease mitigation or prevention, to pr communities of microorganisms. Key advances expected from this rese stable, predictable, and dynamic control mechanisms of biological networbiosystem's state and enable the prediction of state.   | the basic processes associated with biological netword<br>technology development to enhance national secured<br>indicting and leveraging biological systems for manage<br>earch will include the identification of approaches to c | rrk<br>rity.<br>ging<br>reate |  |         |  |  |  |
| FY 2016 Plans:   |  |                               |  |         |  |  |  |
| <ul> <li>Investigate predictive design rules and engineering approaches for int</li> <li>Initiate research into biological systems with reduced complexity to fac</li> <li>Research cross-scale biological system responses to varying stimuli to</li> <li>Investigate dynamics and thresholds for transgene stability/instability in</li> </ul>   | cilitate predictive design for biological engineering.<br>o understand defining characteristics of dynamic state   | èS.                           |  |         |  |  |  |
| FY 2017 Plans:   |  |                               |  |         |  |  |  |
| <ul> <li>Initiate efforts to assess the utility of new experimental model systems<br/>systems.</li> <li>Begin to identify candidate metrics and measurement technology releventies.</li> <li>Investigate synergistic integration of disease vector detection and complexity of the systems.</li> </ul>   | vant to engineering with complex biological systems.   | al                            |  |         |  |  |  |
| <i>Title:</i> Modeling and Forecasting of Social Dynamics (MFSD)   |  |                               | 4.500  | 10.000  |  |  |  |
| <b>Description:</b> Exploiting prior work in the areas of social media and social  | al networks in programs such as Social Media in Stra   | tegic                         | 1.000  | .0.000  |  |  |  |
| Communication (SMISC) in this project and Graph-theoretical Research<br>networks (GRAPHS) in project CCS-02, the Modeling and Forecasting of   | in Algorithm Performance & Hardware for Social   | •                             |  |         |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense A  | Advanced Research Projects Agency  | Date: F                             | ebruary 2016 | 6        |
|---|--|-------------------------------------|--------------|----------|
| Appropriation/Budget Activity<br>0400 / 1   | R-1 Program Element (Number/Name)<br>PE 0601101E / DEFENSE RESEARCH<br>SCIENCES  | Project (Number/<br>TRS-01 / TRANSF |              | SCIENCES |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015                             | FY 2016      | FY 2017  |
| demonstrate modeling capabilities that anticipate changes in soci<br>n an effort to strengthen relationships and gain new allies for purp<br>fundamental to meeting these objectives. Current approaches to<br>approaches for understanding the social dynamics of local popula<br>and demonstrating analogical societal models that, while reduced<br>while remaining amenable to simulation. MFSD will rigorously tes<br>predictive capability they provide. Social media and other compu-<br>poth as drivers of social dynamics and as indicators of social attitu-<br>military to engage more effectively with local populations. | poses of security cooperation, with successful engagemen<br>engagement planning are more art than science and rigor<br>ations are lacking. MFSD will address this need by develop<br>I in scope, preserve the key properties of full social system<br>st and validate the resulting models and establish the limits<br>ter-mediated communications provide an important opport | ous<br>bing<br>s<br>to the<br>unity |              |          |
| <b>FY 2016 Plans:</b> <ul> <li>Formulate analogues to human social systems that preserve ke</li> </ul> experimentation and computational simulation.  | ey properties while remaining amenable to laboratory   |                                     |              |          |
| FY 2017 Plans:<br>- Build initial analogical-model-based simulations for social pheno-<br>- Develop techniques for testing models for social dynamics using<br>media and/or other online data.<br>- Initiate development of a decision support tool for predicting the  | g real-world data including historical, current events, and s  | ocial                               |              |          |
| Title: Engineering Complex Systems  |  | -                                   | -            | 7.50     |
| <b>Description:</b> Engineering Complex Systems will pursue new app<br>enhanced capabilities and function. Complex biological materials<br>and high strength-to-weight ratios) not only because of the inhere<br>assembled together across length scales. Engineering biology to<br>and function of multi-cellular systems for a new class of improved<br>platforms to enable information driven assembly of hierarchical m   | and systems have unique properties (e.g., controlled porcent components but also because of how those components ools and techniques are now at a stage to pursue the organ capabilities. This program will develop underlying technol   | s are<br>ization<br>ogical          |              |          |
| <ul> <li>FY 2017 Plans:</li> <li>Investigate methods for specifying cellular behavior in response</li> <li>Begin development of biological systems that have genetically e</li> <li>Begin development of gene expression circuits that confer desired</li> </ul>  | encoded three-dimensional forms of specified dimensions.   |                                     |              |          |
| Title: Decoding Neural Activity   |  | -                                   | -            | 6.10     |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |                         | Date: F | ebruary 2016        |         |
|---|---|-------------------------|---------|---------------------|---------|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH</i><br>SCIENCES   | Project (N<br>TRS-01 /  |         | lame)<br>ORMATIVE S | CIENCES |
| B. Accomplishments/Planned Programs (\$ in Millions)  | F   | ( 2015                  | FY 2016 | FY 2017             |         |
| <b>Description:</b> Decoding Neural Activity seeks to utilize measures of physiologic performance of semi-autonomous and supervised machine learning systems. from computer science, mathematics, signal processing, and statistics, this effer physiological and environmental data to decode neural signals and communicat Research within this effort will include the generation of novel sensors as well a procedures underlying algorithms and analysis. Successful research in this that the performance of interfaces and communication between humans and machine systems and human-machine collaboration to assisted human operations and   | Through the integration of new techniques<br>ort will investigate new methods for combining<br>ate information to computational platforms.<br>as improved architecture, mathematics, and<br>rust will inform the development of tools to imp<br>ines. Potential applications range from learning  | orove<br>Ig             |         |                     |         |
| <ul> <li>FY 2017 Plans:</li> <li>Begin to develop methods to integrate physiologically generated signals with</li> <li>Investigate architecture, mathematics, and procedures to improve analysis a</li> <li>Explore methods to improve signal processing for direct measurements of physical sectors.</li> </ul>  | nd interpretation of neural signals in real-time.   |                         |         |                     |         |
| Title: Vanishing Programmable Resources (VAPR)  |   |                         | 1.815   | 1.522               | -       |
| <b>Description:</b> The Vanishing Programmable Resources (VAPR) program will consider the program of the programmable of the progra | he program will develop and establish an initial<br>abilities to undergird a fundamentally new class<br>ectronics ideally should perform in a manner<br>vice persistence that can be programmed, adju<br>ations include sensors for conventional indoor<br>monitoring over large areas, and simplified<br>hsience characteristics of electronic devices ar<br>a deployable technology for the DoD and Natio | usted<br>/<br>id<br>pn. |         |                     |         |
| A basis set of transient materials and electronic components with sufficient ele realize transient electronic systems for environmental sensing and biomedical materials for implementing basic transient electronic components (actives and encapsulants as well as development of modes and triggers for transience will Transient components and devices developed in this technical area will form th test systems to be developed in PE 0602716E, Project ELT-01.  | applications. Research and development of n<br>passives), power supply strategies, substrates<br>form the core of fundamental research activiti   | ovel<br>and<br>es.      |         |                     |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Re  | search Projects Agency  | Date                             | February 2016 | 6        |
|---|---|----------------------------------|---------------|----------|
| Appropriation/Budget Activity<br>0400 / 1   |   | Project (Numbe<br>IRS-01 / TRANS |               | SCIENCES |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015                          | FY 2016       | FY 2017  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Established an initial set of electronic materials that exhibit a useful combinat characteristics required for sufficient electronic performance.</li> <li>Demonstrated glass substrates that shatter into vanishingly small pieces wh</li> <li>Demonstrated bonding of electronic circuits to transience glass substrates a circuits to form vanishing electronic devices.</li> <li>Demonstrated transient polymer packaging with sufficient stiffness to support</li> <li>Demonstrated rapid transience of high stiffness transient polymer packaging</li> <li>Began developing and refining device modeling tools that incorporate transient</li> </ul> | en triggered with an electrical current.<br>nd transferred glass fracturing into these electro<br>t electronic assemblies.  | nic                              |               |          |
| <ul> <li>FY 2016 Plans:</li> <li>Develop polymers with desired mechanical strength and transient characteri</li> <li>Elucidate and model the physical mechanisms governing materials/device tr</li> <li>Integrate transient components of a sensor with RF link system (acoustic se power) to demonstrate triggered disappearance of an integrated system.</li> </ul>   | ansience.   | d                                |               |          |
| Title: Social Media in Strategic Communication (SMISC)  |   | 6.07                             | - 6           | -        |
| <b>Description:</b> The Social Media in Strategic Communication (SMISC) program<br>and track the formation, development, and spread of ideas and concepts (mer<br>warfighters and intelligence analysts with indications and warnings of adversa-<br>messaging and misinformation. Social media creates vulnerabilities that can be<br>become a key operating environment for a broad range of extremists. SMISC<br>foundational science of social networks will enable warfighters to defend again<br>extremist influence operations.  | nes) in social media. These techniques will pro-<br>y efforts to propagate purposefully deceptive<br>be exploited to threaten national security and ha<br>developed technology and a new supporting | vide<br>S                        |               |          |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Integrated algorithms for meme detection and tracking with algorithms for detections.</li> <li>Developed high fidelity diffusion models for messages, narratives, and inforr</li> <li>Refined algorithms for sentiment analysis of content on developing social metached</li> </ul>  | nation across social media.   |                                  |               |          |
|   | Accomplishments/Planned Programs Subt   | otals 30.74                      | 0 38.390      | 53.070   |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A   |   |                                  |               |          |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defer                                    | Date: February 2016   |   |
|---|---|---|
| Appropriation/Budget Activity<br>0400 / 1   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601101E <i>I DEFENSE RESEARCH</i><br>SCIENCES | Project (Number/Name)<br>TRS-01 / TRANSFORMATIVE SCIENCES |
| C. Other Program Funding Summary (\$ in Millions)   |   |   |
| <u>Remarks</u>  |   |   |
| <u>D. Acquisition Strategy</u><br>N/A   |   |   |
| <u>E. Performance Metrics</u><br>Specific programmatic performance metrics are listed above | in the program accomplishments and plans section.   |   |
|   |   |   |
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| Exhibit R-2, RDT&E Budget Item<br>Appropriation/Budget Activity<br>0400: Research, Development, Te<br>Research |                |         |         |                 | R-1 Progra     | am Elemen        | t (Number/ | ,       |         | Date: Febr | ruary 2016          |               |
|--|----------------|---------|---------|-----------------|----------------|------------------|------------|---------|---------|------------|---------------------|---------------|
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018    | FY 2019 | FY 2020 | FY 2021    | Cost To<br>Complete | Total<br>Cost |
| Total Program Element  | -              | 59.341  | 56.544  | 57.791          | -              | 57.791           | 65.685     | 67.882  | 66.456  | 66.456     | -                   | -             |
| MED-01: BASIC OPERATIONAL<br>MEDICAL SCIENCE   | -              | 59.341  | 56.544  | 57.791          | -              | 57.791           | 65.685     | 67.882  | 66.456  | 66.456     | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Basic Operational Medical Science Program Element will explore and develop basic research in medical-related information and technology leading to fundamental discoveries, tools, and applications critical to solving DoD challenges. Programs in this project address the Department's identified medical gaps in warfighter care related to health monitoring and preventing the spread of infectious disease. Efforts will draw upon the information, computational modeling, and physical sciences to discover properties of biological systems that cross multiple scales of biological architecture and function, from the molecular and genetic level through cellular, tissue, organ, and whole organism levels. To enable in-theater, continuous analysis and treatment of warfighters, this project will explore multiple diagnostic and therapeutic approaches, including the use of bacterial predators as therapeutics against infections caused by antibiotic-resistant pathogens; developing techniques to enable rapid transient immunity for emerging pathogens; and identifying fundamental biological mechanisms that enable certain species to be tolerant to various environmental insults. Advances in this area may be used as a preventative measure to mitigate widespread disease.

| <u>. Program Change Summary (\$ in Millions)</u>      | <u>FY 2015</u>   | <u>FY 2016</u>  | <u>FY 2017 Base</u>       | FY 2017 OCO        | <u>FY 2017</u> | Total   |
|---|------------------|-----------------|---------------------------|--------------------|----------------|---------|
| Previous President's Budget                           | 60.757           | 56.544          | 62.807                    | -                  | 6              | 2.807   |
| Current President's Budget                            | 59.341           | 56.544          | 57.791                    | -                  | 5              | 57.791  |
| Total Adjustments                                     | -1.416           | 0.000           | -5.016                    | -                  | -              | -5.016  |
| <ul> <li>Congressional General Reductions</li> </ul>  | 0.000            | 0.000           |                           |                    |                |         |
| <ul> <li>Congressional Directed Reductions</li> </ul> | 0.000            | 0.000           |                           |                    |                |         |
| <ul> <li>Congressional Rescissions</li> </ul>         | 0.000            | 0.000           |                           |                    |                |         |
| <ul> <li>Congressional Adds</li> </ul>                | 0.000            | 0.000           |                           |                    |                |         |
| <ul> <li>Congressional Directed Transfers</li> </ul>  | 0.000            | 0.000           |                           |                    |                |         |
| <ul> <li>Reprogrammings</li> </ul>                    | 0.000            | 0.000           |                           |                    |                |         |
| SBIR/STTR Transfer                                    | -1.416           | 0.000           |                           |                    |                |         |
| <ul> <li>TotalOtherAdjustments</li> </ul>             | -                | -               | -5.016                    | -                  | -              | -5.016  |
| Congressional Add Details (\$ in Millions, and Inclue | des General Redu | <u>ictions)</u> |                           |                    | FY 2015        | FY 2016 |
| Project: MED-01: BASIC OPERATIONAL MEDICAL S          | SCIENCE          |                 |                           |                    |                |         |
| Congressional Add: Basic Research Congressiona        | al Add           |                 |                           | _                  | 10.909         | -       |
|   |                  | Cong            | ressional Add Subtotals f | or Project: MED-01 | 10.909         | -       |
|   |                  |                 |                           | L                  |                |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | Research Projects Agency   | Date: F      | ebruary 2016 | ;       |
|---|--|--------------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 1: Basic<br>Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601117E <i>I BASIC OPERATIONAL MEDICAL</i> S   | SCIENCE      |              |         |
| Congressional Add Details (\$ in Millions, and Includes General Red   | luctions)  |              | FY 2015      | FY 2016 |
|   | Congressional Add Totals for al  | l Projects   | 10.909       | -       |
| Change Summary Explanation<br>FY 2015: Decrease reflects the SBIR/STTR transfer.<br>FY 2016: N/A<br>FY 2017: Decrease reflects completion of several Autonomous Diagno  | stics to Enable Prevention and Therapeutics (ADEP  | ī) program m | ilestones.   |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015      | FY 2016      | FY 2017 |
| Title: Autonomous Diagnostics to Enable Prevention and Therapeutics (ADEP   | T)   | 48.432       | 33.400       | 16.566  |
| <b>Description:</b> The Autonomous Diagnostics to Enable Prevention and Theraper<br>technologies to rapidly respond to a disease or threat and improve individual re-<br>providing capabilities which are currently available only in centralized laboratori<br>settings. ADEPT will develop and exploit biological tools for the in vivo creation<br>and autonomously sense and respond to changes in physiologic state and for r<br>immunogenicity, or control activity of vaccines, potentially eliminating the time t<br>advancements to control cellular machinery include research to optimize orthog-<br>identify methods to increase sensitivity and specificity; and demonstrate metho-<br>changes in physiological status. ADEPT will develop methodologies for measu-<br>biospecimen to enable diagnostics at the point-of-need or resource limited clini-<br>Additionally, ADEPT will develop techniques that will enable the rapid establish<br>the production of components of the immune system to impart effective but terr<br>bridge the time gap between the delivery of a vaccine and the development of a<br>research efforts are budgeted in PE 0602115E, Project BT-01. | eadiness and total force health protection by<br>ies in the U.S. to non-tertiary care and individual<br>n of nucleic acid circuits that continuously<br>novel methods to target delivery, enhance<br>to manufacture a vaccine ex vivo. ADEPT<br>gonality and modularity of genetic control elements;<br>ds to control cellular machinery in response to<br>uring health-specific biomarkers from a collected<br>cal facilities (point-of-care), in-garrison or deployed.<br>ment of transient immunity through stimulation of<br>nporary protection. This transient immunity would |              |              |         |
| <b>FY 2015 Accomplishments:</b> - Collected serum from ill, convalescent, or immunized humans and identified to provide disease-specific protection.  |  |              |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced I  | Research Projects Agency   | Date: F | ebruary 2016 | 6       |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 1: Basic<br>Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601117E <i>I BASIC OPERATIONAL MEDICAL S</i>   | CIENCE  |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  | Γ  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Demonstrated optimized, high sensitivity assay methods for protein and nucleic acid biomarkers, suitable for incorporation in deployable devices.</li> <li>Demonstrated advanced materials properties and incorporation of developed materials into disposable assay formats.</li> <li>Demonstrated advanced methods for reagent stabilization and delivery for assays developed for deployable devices.</li> <li>Demonstrated sample preparation methods in conjunction with developed assays and quantified performance metrics.</li> <li>Demonstrated performance of developed assays using advance no/low power microfluidic methods.</li> <li>Measured performance of developed diagnostic methods and demonstrated capability to measure clinically relevant analyte levels in appropriate biospecimen matrices.</li> <li>Demonstrated in mammalian cells the function of a synthetic circuit that can control the timing and level of expression of a protein when expressed from an RNA-based expression vector.</li> <li>Demonstrated in mammalian cells the function of a synthetic circuit that can integrate at least two physiological signals associated with a change in health status and respond to at least two exogenously added small molecules, and respond with a targeted change in cell state.</li> <li>Demonstrated the ability to generate a synthetic antibody via continuous evolution that can specifically bind to a defined target in mammalian cells.</li> <li>Investigated non-traditional approaches to treating infectious diseases.</li> </ul> |  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Establish biodistribution maps in appropriate models resulting from varied del to nucleic acid constructs for antibody production.</li> <li>Demonstrate protection conferred by delivery of nucleic acid constructs encoded disease animal model.</li> <li>Submit Investigational New Drug (IND) application for transient nucleic acid-be</li> <li>Demonstrate increased protective response and duration of antibody-encodined disease in a large animal model.</li> <li>Conduct IND-enabling non-clinical studies of DNA-monoclonal antibody (mAb)</li> <li>Deliver high-sensitivity assay methods for protein and nucleic acid biomarkers</li> <li>Deliver advanced materials for incorporation into disposable assay formats.</li> <li>Deliver sample preparation methods for incorporation into deployable devices</li> <li>Demonstrate optimized performance of developed bacterial/viral detection methods.</li> </ul>   | ding two or more antibodies in validated infectious<br>ased formats against infectious disease.<br>g nucleic acid constructs against infectious<br>) candidate.<br>s for incorporation into deployable devices.<br>tion into deployable devices. |         |              |         |
| - Demonstrate production of gene encoded antibodies in human safety trials.   |  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency   |   | Date: February 2016 |         |         |  |
|---|---|---------------------|---------|---------|--|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 1: Basic<br>Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601117E <i>I BASIC OPERATIONAL MEDICAL</i>  | SCIENCE             |         |         |  |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015             | FY 2016 | FY 2017 |  |
| <ul> <li>Demonstrate efficacy of gene encoded antibodies in a human clinical trial.</li> <li>Demonstrate the ability to identify antibodies against infectious diseases from</li> <li>Use current good manufacturing processes to synthesize formulations for anim</li> </ul>   |   |                     |         |         |  |
| Title: Harnessing Biological Systems  |   | -                   | 10.103  | 13.575  |  |
| <b>Description:</b> The Harnessing Biological Systems program will explore fundamenature's building blocks and principles in the design of biological technologies a designs that imitate naturally evolved capabilities this program seeks to transitie tools and understanding mechanisms to leverage evolutionary advances from the research include identifying approaches to discover and develop new classes of bacteria. One example will be to identify the underlying mechanisms by which antibiotic-resistant bacteria that are pathogenic to humans. This approach represent the antibiotics. Advances in this technologies including the autonomous control of epidemics. | and systems. Rather than creating biomimetic<br>on to a biocentric design approach, developing<br>the start. Key advances expected from this<br>of dynamic therapeutics for antibiotic-resistant<br>predatory bacteria prey upon and consume other<br>resents a significant departure from conventional |                     |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Initiate studies to enhance understanding of biological adaptability in response</li> <li>Investigate predatory bacteria effectiveness against pathogens of interest.</li> <li>Initiate studies of the relevant underlying mechanisms of bacterial predation.</li> <li>Identify fundamental mechanisms that control the transition between unicellu</li> <li>Research basic science processes by which bacteria grow and spread throug</li> <li>Investigate dynamics of amoeba interactions with bacterial and fungal pathog</li> <li>health.</li> </ul>   | lar and multicellular function.<br>ghout a community.   |                     |         |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Investigate predatory bacteria effectiveness against pathogens of interest in i</li> <li>Investigate mechanisms of predation and potential resistance.</li> <li>Develop quantitative models to describe predator-pathogen-host interactions</li> <li>Analyze biosynthetic pathways of the gut microbiota to discover and character</li> </ul>  |   |                     |         |         |  |
| Title: Analysis and Adaptation of Human Resilience  |   | -                   | 13.041  | 18.100  |  |
| <b>Description:</b> The Analysis and Adaptation of Human Resilience program will e warfighter health in response to environmental insults such as new and emergi will apply recent advances in comparative biology, genetic sequencing, omics t tools for modulating health to ensure warfighter readiness. One approach to advance   | ng infectious diseases. Projects in this area echnologies, and bioinformatics to develop new  |                     |         |         |  |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency   |   | Date: February 2016 |         |         |  |
|---|---|---------------------|---------|---------|--|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 1: <i>Basic</i><br><i>Research</i>   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601117E <i>I BASIC OPERATIONAL MEDICAL S</i>  | CIENCE              |         |         |  |
| C. Accomplishments/Planned Programs (\$ in Millions)  | Γ   | FY 2015             | FY 2016 | FY 2017 |  |
| mechanisms that enable certain species to be tolerant to various environmenta<br>a wide array of resilient animal species may be combined with sophisticated alg<br>By analyzing patterns in the underlying variability of host responses for resilien<br>restore and maintain warfighter homeostasis in response to infection. This app<br>research, which primarily relies on reducing the pathogen load through drug int<br>discovery of novel methods to optimize human health against infectious diseas  | gorithms to identify important patterns of survival.<br>t animals, one may formulate a survival blueprint to<br>proach is orthogonal to traditional infectious disease<br>ervention. Projects within this program may enable  |                     |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Develop animal testbeds to evaluate human-relevant infection across multiple</li> <li>Assess diagnostic technologies that can rapidly detect pathogen load and ch<br/>multiple animal species.</li> <li>Analyze experimental results and bioinformatics datasets to discover key ma</li> <li>Develop a bioinformatics library of acquired clinical retrospective data.</li> </ul>  | aracterize the different stages of infection in   |                     |         |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Explore methods for effectively screening animal susceptibility and disease to</li> <li>Collect, curate, and integrate retrospective datasets into the analysis of tolera</li> <li>Validate algorithms and analytical tools to facilitate the discovery of tolerance</li> <li>Identify approaches for intervention based on novel tolerance mechanisms in</li> </ul>   | ance mechanisms.<br>9 mechanisms.   |                     |         |         |  |
| Title: Outpacing Infectious Disease   |   | -                   | -       | 9.550   |  |
| <b>Description:</b> The Outpacing Infectious Disease thrust will investigate fundame<br>to create adaptive therapeutic response mechanisms to outpace viruses and be<br>antibiotics and vaccines are often circumvented by fast-mutating viruses and be<br>pathogenicity. New approaches, such as enabling co-evolution and co-transmi<br>outcompete the pathogen, are needed to utilize the power of evolution in vaccin<br>from this research include identifying methods to discover and develop new cla<br>viruses and antibiotic-resistant bacteria, as well as recurrent chronic diseases.<br>from conventional antibacterial and antiviral therapies, which typically rely on so<br>re-development in attempt to keep pace with emerging strains and disease var<br>mitigation of known, new, or emerging disease. | acteria. Today, protective measures such as<br>acteria that evolve to create new methods for<br>ission of newly developed therapeutics to ultimately<br>ne and antibiotic design. Key advances expected<br>isses of dynamic therapeutics for fast-mutating<br>This approach represents a significant departure<br>tatic solutions and continuous re-formulation and |                     |         |         |  |
| <b>FY 2017 Plans:</b> - Investigate approaches to design and build pathogen-derived therapeutics th via dynamic mechanisms.   | at control disease by interfering with the pathogen   |                     |         |         |  |

| xhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency  |   |               | Date: February 2016 |       |       |         |         |
|---|---|---------------|---------------------|-------|-------|---------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 1: <i>Basic</i><br><i>Research</i>   | R-1 Program Element (Number/<br>PE 0601117E / BASIC OPERATION |               | ICAL S              | CIENC | E     |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   |               |                     | FY 20 | 015   | FY 2016 | FY 2017 |
| <ul> <li>Assess the safety, efficacy, and transmissibility of novel co-evolving therapeu</li> <li>Initiate design of computational models to assess host-disease-therapeutic d</li> </ul>   |   | lation levels | S.                  |       |       |         |         |
|   | Accomplishments/Planned Prog                                  | grams Sub     | totals              | 48    | 8.432 | 56.544  | 57.791  |
|   |   | FY 2015       | FY 20               | 16    |       |         |         |
| Congressional Add: Basic Research Congressional Add   |   | 10.909        |                     | -     |       |         |         |
| <b>FY 2015 Accomplishments:</b> Supports increased efforts in basic research that and commercial research communities.  | engage a wider set of universities                            |               |                     |       |       |         |         |
|   | Congressional Adds Subtotals                                  | 10.909        |                     | -     |       |         |         |
| <ul> <li>D. Other Program Funding Summary (\$ in Millions)</li> <li>N/A</li> <li>Remarks</li> <li>E. Acquisition Strategy</li> <li>N/A</li> <li>F. Performance Metrics</li> <li>Specific programmatic performance metrics are listed above in the program action</li> </ul> | complishments and plans section.                              |               |                     |       |       |         |         |

| Exhibit R-2, RDT&E Budget Ite   | em Justifica   | tion: PB 20  | 17 Defense  | Advanced        | Research P     | rojects Age      | ncy                     |         |         | Date: Feb | ruary 2016          |               |
|---|----------------|--------------|-------------|-----------------|----------------|------------------|-------------------------|---------|---------|-----------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400: Research, Development,<br>Applied Research |                | ation, Defen | se-Wide I E | 3A 2:           | -              |                  | t (Number/<br>EDICAL TE |         | Y       |           |                     |               |
| COST (\$ in Millions)   | Prior<br>Years | FY 2015      | FY 2016     | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018                 | FY 2019 | FY 2020 | FY 2021   | Cost To<br>Complete | Total<br>Cost |
| Total Program Element   | -              | 164.589      | 114.262     | 115.213         | -              | 115.213          | 109.817                 | 120.852 | 116.651 | 116.651   | -                   | -             |
| BT-01: BIOMEDICAL<br>TECHNOLOGY   | -              | 164.589      | 114.262     | 115.213         | -              | 115.213          | 109.817                 | 120.852 | 116.651 | 116.651   | -                   | -             |

#### A. Mission Description and Budget Item Justification

This Program Element focuses on applied research for medical related technology, information, processes, materials, systems, and devices. Successful battlefield medical technologies and neural interface technologies developed within this Program Element address a broad range of DoD challenges. Example battlefield medical technologies include continued understanding of infection biomarkers to lead to the development of detection devices that can be self-administered and provide a faster ability to diagnose and prevent widespread infection in-theater. Complementary battlefield technologies will be implemented in a predictive platform for forecasting disease outbreak and the capability to manufacture field-relevant pharmaceuticals in theater. New neural interface technologies will reliably extract information from the nervous system to enable control of the best robotic prosthetic-limb technology. Advanced evidence-based techniques will be developed to supplement warfighter healthcare and the diagnosis of post-traumatic stress disorder (PTSD) and mild traumatic brain injury (mTBI). FY 2015 Biomedical Technology program funding includes 117.0 million of base funding and 47.5 million congressionally added funding including \$45.0 million of Ebola emergency funding.

| <u>ogram Change Summary (\$ in Millions)</u>          | <u>FY 2015</u>     | <u>FY 2016</u>  | <u>FY 2017 Base</u> | <u>FY 2017 OCO</u> | <u>FY 2017</u> | ' Total |
|---|--------------------|-----------------|---------------------|--------------------|----------------|---------|
| Previous President's Budget                           | 159.790            | 114.262         | 109.069             | -                  | 10             | 9.069   |
| Current President's Budget                            | 164.589            | 114.262         | 115.213             | -                  | 11             | 5.213   |
| Total Adjustments                                     | 4.799              | 0.000           | 6.144               | -                  |                | 6.144   |
| <ul> <li>Congressional General Reductions</li> </ul>  | 0.000              | 0.000           |                     |                    |                |         |
| <ul> <li>Congressional Directed Reductions</li> </ul> | 0.000              | 0.000           |                     |                    |                |         |
| <ul> <li>Congressional Rescissions</li> </ul>         | 0.000              | 0.000           |                     |                    |                |         |
| <ul> <li>Congressional Adds</li> </ul>                | 0.000              | 0.000           |                     |                    |                |         |
| <ul> <li>Congressional Directed Transfers</li> </ul>  | 0.000              | 0.000           |                     |                    |                |         |
| <ul> <li>Reprogrammings</li> </ul>                    | 8.295              | 0.000           |                     |                    |                |         |
| <ul> <li>SBIR/STTR Transfer</li> </ul>                | -3.496             | 0.000           |                     |                    |                |         |
| <ul> <li>TotalOtherAdjustments</li> </ul>             | -                  | -               | 6.144               | -                  |                | 6.144   |
| Congressional Add Details (\$ in Millions, and Inclu  | udes General Redu  | <u>ictions)</u> |                     |                    | FY 2015        | FY 2016 |
| Project: BT-01: BIOMEDICAL TECHNOLOGY                 |                    |                 |                     |                    |                |         |
| Congressional Add: Ebola Response and Prepare         | edness Congression | al Add (Emerge  | ncy Funds)          |                    | 45.000         |         |
| Congressional Add: Biomedical Congressional Ad        | hd                 |                 |                     |                    | 2.548          |         |

|  | ed Research Projects Agency   | Date: F    | ebruary 2016 |         |
|--|---|------------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E <i>I BIOMEDICAL TECHNOLOGY</i>  |            |              |         |
| Congressional Add Details (\$ in Millions, and Includes General R  | teductions)   |            | FY 2015      | FY 2016 |
|  | Congressional Add Subtotals for Proje   | ect: BT-01 | 47.548       | -       |
|  | Congressional Add Totals for al   | I Projects | 47.548       | -       |
| Change Summary Explanation<br>FY 2015: Increase reflects reprogrammings offset by the SBIR/STTF<br>FY 2016: N/A<br>FY 2017: Increase reflects new focus areas in monitoring health and   |   |            |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015    | FY 2016      | FY 2017 |
| Title: Autonomous Diagnostics to Enable Prevention and Therapeutics (ADE   | EPT)  | 27.000     | 22.700       | 13.441  |
| to increase our ability to rapidly respond to a disease or threat and improve in<br>by providing centralized laboratory capabilities at non-tertiary care settings.<br>Acid (RNA)-based vaccines, potentially eliminating the time and labor requires<br>the same time improving efficacy. Additionally, ADEPT will develop methods<br>therapeutics, and kinetically control the timing and levels of gene expression<br>in healthy subjects. ADEPT will also focus on advanced development of key<br>companion basic research effort is budgeted in PE 0601117E, Project MED- | ADEPT will focus on the development of Ribonucleic<br>ed for traditional manufacture of a vaccine while at<br>s to transiently deliver nucleic acids for vaccines and<br>n so that these drugs will be safe and effective for use<br>y elements for simple-to-operate diagnostic devices. A |            |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated the ability to control the time duration of therapeutic response</li> </ul>  |   |            |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | d Research Projects Agency   | Date: F | ebruary 2016 |         |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i>  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E / BIOMEDICAL TECHNOLOGY  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Optimize formulation of transient nucleic acid formats for storage stability a</li> <li>Demonstrate continuous production of nucleic acid formats for transient im<br/>bacterial pathogens for population-scale use.</li> <li>Incorporate device optimizations identified as a result of first-generation, in</li> <li>Produce integrated diagnostic device prototypes designed for relevance to<br/>settings.</li> <li>Measure quantitative performance of integrated diagnostic device prototype</li> </ul>  | munity to viral, bacterial, and/or antibiotic-resistant<br>tegrated diagnostic device testing.<br>physician office, remote clinic, and low-resourced   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Initiate regulatory approval submission package for transient nucleic-acid b<br/>and efficacy data.</li> <li>Demonstrate production of gene encoded antibodies in human safety trials</li> <li>Conduct a dose escalation study of nucleic acid-encoded antibody against</li> </ul>   |  |         |              |         |
| Title: Restoration of Brain Function Following Trauma   |  | 9.700   | 15.800       | 19.400  |
| <b>Description:</b> The Restoration of Brain Function Following Trauma program we modeling of brain activity and organization to develop approaches to treat tracting ability to detect and quantify functional and/or structural changes that occur new memories, and to correlate those changes with subsequent recall of those This program will also develop neural interface hardware for monitoring and memory formation in a human clinical population. The ultimate goal is identifican bypass and/or recover the neural functions underlying memory, which are | umatic brain injury (TBI). Critical to success will be<br>sur in the human brain during the formation of distinct<br>se memories during performance of behavioral tasks.<br>modulating neural activity responsible for successful<br>ication of efficacious therapeutics approaches that |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Identified commonalities of neural codes underlying memory formation.</li> <li>Identified distinctions between neural codes underlying different classes of</li> <li>Identified expert memory codes for the formation of memory associations b<br/>actions).</li> <li>Initiated development of a portable computational device with integrated con-<br/>Demonstrated task-specific improvement/restoration of memory performan</li> </ul>  | between pairs of elements (e.g., objects, locations, omputational model of human memory formation.   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Refine computational model of memory toward distinguishing underlying ne categories and spatial and non-spatial associations.</li> <li>Identify optimal stimulation parameters for improving performance on spatial</li> </ul>   |  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance  | d Research Projects Agency  | Date: F | ebruary 2016 |         |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E <i>I BIOMEDICAL TECHNOLOGY</i>  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Utilize defined biomarkers of memory encoding and retrieval to adaptively r<br/>dynamically drive neural networks into states optimized for memory encoding</li> <li>Determine the long-term signatures underlying stimulation-induced memory</li> <li>Design, develop and validate both external and implantable hardware and s<br/>restoration system.</li> </ul>   | and retrieval processes.<br>/ restoration tasks.  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate improvement of human performance on spatial and semantic loop, biomarker-driven stimulation.</li> <li>Utilize clinical data and computational model developments to refine hardw</li> <li>Fabricate and test integrated device for memory restoration in clinical patie</li> <li>Develop computational model of integrated neural, physiological, and envir memory recall in the context of task performance relevant to military training a</li> <li>Develop and use a real-time intervention and an interface system to assess participants.</li> </ul>   | are and software components.<br>nts.<br>onmental effects on neural replay and subsequent<br>and/or operations.  |         |              |         |
| Title: Neuro-Adaptive Technology   |   | 21.500  | 30.589       | 26.38   |
| <b>Description:</b> The Neuro-Adaptive Technology program will explore and dever<br>and monitoring of neural activity. One shortcoming of today's brain functional<br>time correlation data that links neural function to human activity and behavior<br>as well as the underlying mechanisms that link brain and behavior is a critical<br>for military personnel suffering from a variety of brain disorders. Efforts under<br>of neurons involved in post-traumatic stress disorder (PTSD), traumatic brain<br>determine how to best ameliorate these disorders. The objective for this prog-<br>tools to better discriminate the relationship between human behavioral express<br>through novel devices. These tools will allow for an improved understanding<br>new, disorder-specific, dynamic neuro-therapies for treating neuropsychiatric<br>Technologies of interest under this thrust include devices for real-time detection<br>synchronized acquisition of brain activity and behavior, and statistical models<br>expression. | I mapping technologies is the inability to obtain real-<br>Understanding the structure-function relationship<br>step in providing real-time, closed-loop therapies<br>r this program will specifically examine the networks<br>injury (TBI), depression, and anxiety as well as<br>gram is to develop new hardware and modeling<br>ssion and neural function and to provide relief<br>of how the brain regulates behavior and will enable<br>and neurological disorders in military personnel.<br>on of brain activity during operational tasks, time |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed tests that activate key brain subnetworks for each functional dor</li> <li>Developed computer algorithms/programs to automatically merge elements</li> </ul>  |   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance  | d Research Projects Agency   | Date: F | ebruary 2016 |         |
|--|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E <i>I BIOMEDICAL TECHNOLOGY</i>   |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Created statistical computational models of brain activity and corresponding<br/>therapeutic systems.</li> <li>Trained decoders on a subset of domains and cross-validated on novel sca</li> <li>Developed hardware interface stability, biocompatibility, and motion correct</li> <li>Demonstrated three-dimensional, single-cell-resolution acquisition of real-ti</li> <li>Submitted initial, novel devices for regulatory approval.</li> </ul>  | an, record, and stimulate data.<br>tion for recording neural activity.   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop and apply data co-registration and fusion methods for neural activity.</li> <li>Generate and annotate first intact neural tissue volumes to elucidate microse.</li> <li>Design algorithms for automatic cell identification and optical-signal estimate.</li> <li>Elucidate neural circuit dynamics using structurally-informed network model.</li> <li>Refine optical techniques for imaging large volumes of neural tissue.</li> <li>Expand data curation architecture, databases, and analytical tools to distribe.</li> <li>Develop methods for automatically detecting and removing noise or containe.</li> <li>Deliver a hierarchical computational model of key brain networks that captuate treatment.</li> <li>Develop and refine neural state acquisition, classification, and control algorisminatable neural device.</li> <li>Characterize neural network plasticity during behavioral training.</li> </ul>   | structure and connections in three dimensions.<br>tion.<br>els.<br>pute generated data to the neuroscience community.<br>nination from datasets.<br>ures features relevant for psychiatric illness and its |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete high-resolution large-brain imaging using novel optical tools.</li> <li>Demonstrate optimized optical protocols for human tissue.</li> <li>Integrate neural state classification, stimulation parameters, and targeted b model to support disorder-specific closed-loop implantable neural devices.</li> <li>Demonstrate real-time application of integrated disorder-specific stimulation</li> <li>Utilize clinical data and computational model determinants to refine hardwa neural device.</li> <li>Begin fabrication of updated devices for multi-site brain stimulation.</li> <li>Initiate submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for regulatory approval of updated parameters of the submission process for the submi</li></ul> | n parameters and targeted brain networks.<br>are and software components of an implantable   |         |              |         |
| <i>Title:</i> Prosthetic Hand Proprioception & Touch Interfaces (HAPTIX)   |  | 10.550  | 18.300       | 18.500  |
| <b>Description:</b> Wounded warriors with amputated limbs get limited benefit from because the user interface for controlling the limb is low-performance and un   |  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | d Research Projects Agency   | Date: F | ebruary 2016 |         |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i>  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E / BIOMEDICAL TECHNOLOGY  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016      | FY 2017 |
| Reliable Neural-Interface Technology (RE-NET) program, novel interface syst<br>issues and are designed to last for the lifetime of the patient. The goal of the<br>(HAPTIX) program is to create the first bi-directional (motor & sensory) periph<br>advanced prosthetic limb systems. With a strong focus on transition, the HAR<br>relevant technology in support of wounded warriors suffering from single or m | Prosthetic Hand Proprioception & Touch Interfaces<br>neral nerve implant for controlling and sensing<br>PTIX program will create and transition clinically |         |              |         |
| FY 2015 Accomplishments:  |  |         |              |         |
| <ul> <li>Developed and demonstrated advanced algorithms to control prosthetic lim<br/>available or newly developed electrodes.</li> </ul>   | bs using signals extracted from commercially   |         |              |         |
| - Developed and demonstrated micro-stimulation interface technologies that  | provide reliable signals into the peripheral and/or  |         |              |         |
| central nervous system for closed-loop prosthetic control.<br>- Performed safety and efficacy testing of novel implantable interface techno   | logy which capture motor control signals and provide   |         |              |         |
| electrical sensory stimulation through the peripheral nervous system.   | logy which capture motor control signals and provide   |         |              |         |
| - Demonstrated bench-top functionality of next-generation peripheral interfac   |  |         |              |         |
| <ul> <li>Developed draft version of outcome metrics for quantifying effects of implar<br/>function, sensory function, pain, psychological health, and quality of life.</li> </ul>   | Trable and external system components of motor   |         |              |         |
| - Developed unified virtual prosthesis environment to simulate limb motion and  | nd forces of interaction during object manipulation.   |         |              |         |
| FY 2016 Plans:  |  |         |              |         |
| <ul> <li>Integrate interface and electronic systems technology for use in human am<br/>feedback from a prosthetic device.</li> </ul>  | putees to control and receive intuitive sensory  |         |              |         |
| - Demonstrate closed-loop control of a virtual prosthesis.  |  |         |              |         |
| <ul> <li>Perform safety and efficacy testing of HAPTIX system components to captu<br/>sensory stimulation through the peripheral nervous system.</li> </ul>   | ure motor control signals and provide electrical   |         |              |         |
| - Demonstrate in vivo functionality of next-generation HAPTIX peripheral inte   |  |         |              |         |
| <ul> <li>Finalize HAPTIX system prosthetic limb technology, complete sensorization</li> <li>Implement draft version of outcome metrics for quantifying effects of HAPT</li> </ul>   |  |         |              |         |
| FY 2017 Plans:  | ix technology and begin validation studies.  |         |              |         |
| - Initiate functional validation of input/output signal transfer and wireless com   |  |         |              |         |
| <ul> <li>Conduct safety studies of HAPTIX system to support submission of investig<br/>U.S. Food and Drug Administration (FDA).</li> </ul>  | gational device exemption (IDE) application to the   |         |              |         |
| - Demonstrate novel nerve stimulation and recording technologies.   |  |         |              |         |
| Title: Tactical Biomedical Technologies   |  | 12.654  | 7.150        | 6.90    |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | d Research Projects Agency   | Date: F | ebruary 2016 | 6       |
|--|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i>   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E <i>I BIOMEDICAL TECHNOLOGY</i>   |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| <b>Description:</b> The Tactical Biomedical Technologies thrust will develop new a the battlefield. Uncontrolled blood loss is the leading cause of preventable de control of hemorrhage is the most effective strategy for treating combat casua than surgical intervention, can effectively treat intracavity bleeding. A focus in based agent(s) and delivery mechanism capable of hemostasis and wound co abdominal space, regardless of wound geometry or location within that space techniques and equipment to use laser energy to treat intracranial hemorrhag environment. Finally, in order to address logistical delays associated with del this thrust will also develop a pharmacy on demand that will provide a rapid reproviders the ability to manufacture and produce small molecule drugs and bill   | eath for soldiers on the battlefield. While immediate<br>alties and saving lives, currently no method, other<br>in this thrust was the co-development of a materials-<br>control for non-compressible hemorrhage in the<br>. This thrust also investigated non-invasive<br>e through the skull and tissues in a pre-surgical<br>ivering necessary therapeutics to the battlefield,<br>esponse capability to enable far-forward medical |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed novel continuous flow crystallizer, miniaturized reactors, and che bench scale end-to-end manufacturing platform for the following Active Pharm Diazepam, Lidocaine, Fluoxetine, Ibuprofen, Atropine, Doxycycline, Salbutam Etomidate, Nicardipine, and Neostigmine.</li> <li>Demonstrated continuous flow synthesis, crystallization, and formulation for Rufinamide, Etomidate, Nicardipine, and Neostigmine in an integrated manufa - Engaged the Food and Drug Administration (FDA) for input on Process Ana Manufacturing Process (cGMP) for Salbutamol, Ciprofloxacin, Azithromycin, F Neostigmine.</li> <li>Developed novel cell-free protein synthesis techniques using miniaturized b</li> <li>Demonstrated end-to-end manufacturing of two protein therapeutics in a mi protein expression and purification processes.</li> <li>Engaged the FDA for input on PAT and cGMP for protein therapeutics.</li> <li>Tested prototype device during in vivo pre-clinical studies for treatment of in skull and tissues, and engage with the FDA on design and execution of these</li> </ul> | haceutical Ingredients (APIs): Diphenhydramine,<br>iol, Ciprofloxacin, Azithromycin, Rufinamide,<br>Salbutamol, Ciprofloxacin, Azithromycin,<br>acturing platform.<br>Ilytical Technologies (PAT) and Current Good<br>Rufinamide, Etomidate, Nicardipine, and<br>ioreactors and/or microfluidics technologies.<br>niaturized platform, including the integration of  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop continuous synthesis of Ciprofloxacin (from basic starting materials manufacturing platform.</li> <li>Demonstrate end-to-end manufacturing and solid formulation of Ciprofloxac</li> <li>Design and develop cell-based and cell-free protein expression of four addit Hepatitis B Surface Antigen, Tissue Plasminogen Activator, Granulocyte Color</li> </ul>   | in in miniaturized integrated manufacturing platform.<br>tional biologics out of Insulin, Factor VIIa, Interferon,   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | d Research Projects Agency   | Date: F | ebruary 2016 |         |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E / BIOMEDICAL TECHNOLOGY  |         |              |         |
| <u>C. Accomplishments/Planned Programs (\$ in Millions)</u>   |  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Optimize miniaturized biologics manufacturing platform components, includ<br/>and begin systems integration of components for both cell-based and cell-free</li> </ul>   |  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop continuous synthesis of Linezolid in miniaturized integrated manufacturing and solid formulation of Lisinopril a platform.</li> <li>Demonstrate end-to-end manufacturing of four additional biologics in miniaturity.</li> </ul>   | nd Linezolid in miniaturized integrated manufacturing  |         |              |         |
| Title: Performance Optimization in Complex Environments   |  | -       | 9.650        | 16.475  |
| <b>Description:</b> The Performance Optimization in Complex Environments prograintegration of sensors, computation, and analytics to enable optimum human technology has advanced to the point where human beings can be instrumen unobtrusive, always-on physiological, cognitive, and contextual sensors and i area networks, wearable displays, haptics, and other novel forms of human-convenient real-time multifactor analysis for neurofeedback and biofeedback a Complex Environments program will first focus on developing prototyping and these two advancing areas to enable optimal performance in a wide variety of tasking, and to mitigate the effects of physical injury, age, and mental impairm various forms of sensing and actuation to improve outcomes and how biofeed Technologies developed through this program will provide a foundation of nove restoration of lost capability, situational awareness, resilience, cognitive and provide an | performance in complex environments. Device<br>ted with and connected to a broad range of<br>nformation systems. At the same time, body-<br>omputer interfaces have advanced enough that<br>are within reach. The Performance Optimization in<br>a manufacturing techniques necessary to integrate<br>f activities from learning and training to specialized<br>nent. Research will also focus on understanding<br>lback over time can alter human capability.<br>vel value propositions to the warfighter in terms of |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Initiate research on biological interfaces for enabling input-output of information - Explore and identify scalable technologies for reading and writing biological</li> </ul>   |  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Refine component technologies to increase scale of information input-output</li> <li>Identify component technologies to be integrated into a device for reading a</li> <li>Investigate novel approaches to reduce the size, weight, and power require</li> </ul>   | and writing biological signals.  |         |              |         |
| Title: Enhanced Monitoring of Health and Disease  |  | -       | -            | 14.100  |
| <b>Description:</b> The overarching goal of the Enhanced Monitoring of Health and collection methods and capabilities to predict changes in health and spread o   |  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | d Research Projects Agency  | Date: F | ebruary 2016 |         |
|---|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E <i>I BIOMEDICAL TECHNOLOGY</i>  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016      | FY 2017 |
| population scale. While new technology platforms have enhanced our ability<br>for predictive and pre-emptive technologies that enable us to correctly prepar<br>in this thrust will investigate new methods for the collection and detection of n<br>analysis, correlation, and ultimate integration of vast personalized data into th<br>Additionally, this thrust will develop new approaches to integrate multi-source<br>of disease outbreak and spread. Technologies developed in this program wil<br>an individual has no awareness of symptoms, and extend infectious disease<br>decision support. | re a response prior to its obvious need. Research<br>multiplexed biological markers as well as the<br>ne clinical care information technology infrastructure.<br>e data streams to create effective predictive models<br>Il enable clinically actionable information, even when |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Assess novel methods for multiplexed in vivo monitoring and wireless trans</li> <li>Collect biological samples to assess asymptomatic, symptomatic, and co-ir</li> <li>Identify key parameters of robust epidemiological models for predicting dise</li> <li>Evaluate the predictive capability of dynamic, ensemble-based epidemiological</li> </ul>  | nfection rates among a research cohort.<br>ease transmission.   |         |              |         |
| Title: Dialysis-Like Therapeutics (DLT)   |   | 19.492  | 5.073        |         |
| <b>Description:</b> Sepsis, a bacterial infection of the blood stream, is a significant soldiers. The goal of this program is to develop a portable device capable of volume on clinically relevant time scales. Reaching this goal is expected to rebiologic fluids, complex fluid manipulation, separation of components from the of providing predictive control over the closed loop process. The envisioned patients each year by effectively treating sepsis and associated complications medical countermeasure against various chemical and biological (chem-bio) toxins.                         | controlling relevant components in the blood<br>equire significant advances in sensing in complex<br>ese fluids, and mathematical descriptions capable<br>device would save the lives of thousands of military<br>s. Additionally, the device may be effective as a             |         |              |         |
| Applied research under this program further develops and applies existing co<br>to create a complete blood purification system for use in the treatment of sep<br>integration and demonstration of non-fouling, continuous sensors for complex<br>microfluidic structures that do not require the use of anticoagulation; applicati<br>not require pathogen specific molecular labels or binding chemistries; and ref<br>(mathematical formalism) with sufficient fidelity to enable agile adaptive close   | sis. Included in this effort will be development,<br>k biological fluids; implementation of high-flow<br>on of intrinsic separation technologies that do<br>finement of predictive modeling and control   |         |              |         |
|   |   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency  | Date: F | ebruary 2016 |         |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602115E / BIOMEDICAL TECHNOLOGY   |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Manufactured a breadboard device that integrates label-free separation tech<br/>thrombogenic coatings for testing.</li> <li>Evaluated the efficacy of the label-free separation technologies in a small-ar</li> <li>Refined the breadboard device design based on animal testing results to infe<br/>integrated prototype device.</li> <li>Established a clinically relevant model of sepsis in a large animal model in o<br/>at removing pathogens and other sepsis mediators.</li> <li>Performed biocompatibility studies of each component filter in the device to e</li> </ul>  | nimal model.<br>Form development of a standalone benchtop<br>rder to validate efficacy of separation technologies   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete fabrication of the first generation of integrated DLT device prototyge</li> <li>Complete safety studies of the integrated DLT device in a large-animal model</li> <li>Initiate safety studies focused on pathogen removal in large-animal model.</li> </ul>  |   |         |              |         |
| <i>Title:</i> Warrior Web  |   | 7.245   | 5.000        | -       |
| <b>Description:</b> Musculoskeletal injury and fatigue to the warfighter caused by dy<br>immediate mission readiness, but also can have a deleterious effect on the war<br>Web program will mitigate that impact by developing an adaptive, quasi-active<br>into current soldier systems. Because this sub-system will be compliant and tr<br>sustained by warfighters while allowing them to maintain performance. Succe<br>of component technologies in areas such as regenerative kinetic energy harve<br>performance, system, and component modeling; novel materials and dynamic<br>and power distribution/energy storage. The final system is planned to weigh n<br>of external power. Allowing the warfighter to perform missions with reduced ris<br>readiness, soldier survivability, mission performance, and the long-term health | arfighter throughout his/her life. The Warrior<br>, joint support sub-system that can be integrated<br>ansparent to the user, it will reduce the injuries<br>ss in this program will require the integration<br>esting to offset power/energy demands; human<br>stiffness; actuation; controls and human interface;<br>o more than 9kg and require no more than 100W<br>sk of injuries will have immediate effects on mission |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Conducted preliminary review of Warrior Web designs and refined approach</li> <li>Finalized open source biomechanical models to be leveraged for the Warrior</li> <li>Matured design of Warrior Web system and continued parallel technology de</li> <li>Conducted preliminary evaluation of prototype Warrior Web systems via Sol</li> </ul>   | r Web system evaluation.<br>evelopment.   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Revise full suit design and implementation based on laboratory evaluations.</li> <li>Continue to evaluate prototype Warrior Web systems via Soldier tests in laboratory</li> </ul>  | oratory and field environments.   |         |              |         |

|  | d Research Projects Agency   |                          |                  | Date: F | ebruary 2016 |         |
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| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br>Applied Research  | <b>R-1 Program Element (Number</b><br>PE 0602115E <i>I BIOMEDICAL TE</i>   |                          | Y                | ,       |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  |                          |                  | FY 2015 | FY 2016      | FY 2017 |
| - Continue to pursue research and development of technologies to augment   | human performance and support re   | habilitation.            |                  |         |              |         |
| Title: Pathogen Defeat   |  |                          |                  | 8.900   | -            | -       |
| <b>Description:</b> Pathogens are well known for the high rate of mutation that ena<br>or secondary immune responses. The Pathogen Defeat thrust area provided<br>evolution of resistance of pathogens to medical countermeasures. Pathogen<br>also newly emerging pathogens and future evolution of mutations in these pa<br>and therapy countermeasures.   | capabilities to predict emerging thr<br>Defeat focused not only on known   | eats and the pathogens b | ut               |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Tested predictive capabilities of trajectories to clinical viral isolates in evolu</li> <li>Elucidated mechanisms to explain viral escape to different pressures.</li> <li>Rapidly evolved virus strains in avian cells to select vaccine candidates wit</li> <li>Performed objective assessment of hand-held devices for detecting biothree</li> </ul>   | h antigenic similarities.  |                          |                  |         |              |         |
| - Tenomical objective assessment of nand-field devices for detecting biotifie  | als and chnically-relevant pathogen  | 5.                       |                  |         |              |         |
|  | Accomplishments/Planned Pro  |                          | totals           | 117.041 | 114.262      | 115.213 |
|  |  |                          | totals<br>FY 201 |         | 114.262      | 115.213 |
| Congressional Add: Ebola Response and Preparedness Congressional Add   | Accomplishments/Planned Pro  | ograms Sub               |                  |         | 114.262      | 115.213 |
|  | Accomplishments/Planned Pro  | ograms Sub<br>FY 2015    |                  |         | 114.262      | 115.213 |
| <b>Congressional Add:</b> Ebola Response and Preparedness Congressional Add<br><b>FY 2015 Accomplishments:</b> This program focused on the development of E<br>diagnostics to enable a more rapid response to this outbreak and increase pr<br>future epidemics. This research utilized earlier investments by DARPA that e<br>optimize, and deliver antibodies as a means to provide fast-acting protection<br>key component of this program was not only identifying effective antibodies to<br>also defining and developing the antibody gene blueprint for transfer and pro- | Accomplishments/Planned Pro<br>d (Emergency Funds)<br>bola antibodies, vaccines, and<br>eparedness for response to<br>explored technologies to discover,<br>against infectious diseases. A<br>to treat and prevent disease, but<br>duction of vaccines. The Ebola<br>nding.<br>ety trial.<br>vors.<br>le animal models.<br>nal models. | ograms Sub<br>FY 2015    |                  |         | 114.262      | 115.213 |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | d Research Projects Agency   |         |         | Date: February 2016 |
|--|--|---------|---------|---------------------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/</b><br>PE 0602115E <i>I BIOMEDICAL TEC</i> |         | Y       | ·                   |
|  |  | FY 2015 | FY 2016 | ]                   |
| FY 2015 Accomplishments: This effort furthered the development of restorat alternatives to amputation.   | tive products and technologies as  |         |         | -                   |
|  | Congressional Adds Subtotals   | 47.548  | -       |                     |
| D. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks<br>E. Acquisition Strategy<br>N/A<br>F. Performance Metrics<br>Specific programmatic performance metrics are listed above in the program a | accomplishments and plans section.   |         |         |                     |
|  |  |         |         |                     |
|  |  |         |         |                     |
|  |  |         |         |                     |
|  |  |         |         |                     |
|  |  |         |         |                     |
|  |  |         |         |                     |

| Exhibit R-2, RDT&E Budget Iten  | n Justificat   | ion: PB 20   | 17 Defense  | Advanced        | Research P     | rojects Age              | ncy     |         |         | Date: Febr | ruary 2016          |               |
|---|----------------|--------------|-------------|-----------------|----------------|--------------------------|---------|---------|---------|------------|---------------------|---------------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Te</i><br><i>Applied Research</i> | est & Evalua   | ation, Defen | se-Wide I B | A 2:            | -              | am Elemen<br>)3E / INFOF | •       | •       | CATIONS | TECHNOLO   | DGY                 |               |
| COST (\$ in Millions)   | Prior<br>Years | FY 2015      | FY 2016     | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total         | FY 2018 | FY 2019 | FY 2020 | FY 2021    | Cost To<br>Complete | Total<br>Cost |
| Total Program Element   | -              | 315.923      | 341.358     | 353.635         | -              | 353.635                  | 353.925 | 359.959 | 344.530 | 354.091    | -                   | -             |
| IT-02: HIGH PRODUCTIVITY,<br>HIGH-PERFORMANCE<br>RESPONSIVE<br>ARCHITECTURES                              | -              | 32.437       | 38.494      | 42.459          | -              | 42.459                   | 55.179  | 60.075  | 44.413  | 58.413     | -                   | -             |
| IT-03: INFORMATION<br>ASSURANCE AND<br>SURVIVABILITY  | -              | 170.959      | 202.252     | 255.137         | -              | 255.137                  | 257.172 | 258.028 | 258.362 | 258.923    | -                   | -             |
| IT-04: LANGUAGE<br>UNDERSTANDING AND<br>SYMBIOTIC AUTOMATION  | -              | 48.636       | 60.948      | 56.039          | -              | 56.039                   | 41.574  | 41.856  | 41.755  | 36.755     | -                   | -             |
| IT-05: CYBER TECHNOLOGY   | -              | 63.891       | 39.664      | 0.000           | -              | 0.000                    | 0.000   | 0.000   | 0.000   | 0.000      | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Information and Communications Technology program element is budgeted in the applied research budget activity because it is directed toward the application of advanced, innovative computing systems and communications technologies.

The High Productivity, High-Performance Responsive Architectures project is developing the necessary computing hardware and the associated software technology base required to support future critical national security needs for computationally-intensive and data-intensive applications. These technologies will lead to new multi-generation product lines of commercially viable, sustainable computing systems for a broad spectrum of scientific and engineering applications; it will include supercomputer and embedded computing systems.

The Information Assurance and Survivability project is developing the core computing and networking technologies required to protect DoD's information, information infrastructure, and mission-critical information systems. The technologies will provide cost-effective security and survivability solutions that enable DoD information systems to operate correctly and continuously even under attack.

The Language Understanding and Symbiotic Automation project develops technologies to enable computing systems to understand human speech and extract information contained in diverse media; to learn, reason and apply knowledge gained through experience; and to respond intelligently to new and unforeseen events. Enabling computing systems in this manner is of critical importance because sensor, information, and communication systems generate data at rates beyond which humans can assimilate, understand, and act. Incorporating these technologies in military systems will enable warfighters to make better decisions in complex, time-critical, battlefield environments; intelligence analysts to make sense of massive, incomplete, and contradictory information; and unmanned systems to operate safely with high degrees of autonomy.

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced | Research Projects Agency   | Date: February 2016 |
|--|--|---------------------|
|  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION & COMMUNICATIONS | TECHNOLOGY          |

The Cyber Technology project develops technology to increase the security of military information systems and the effectiveness of cyber operations. Over the past decade the DoD has embraced net-centric warfare by integrating people, platforms, weapons, sensors, and decision aids. Adversaries seek to limit this force multiplier through cyber attacks intended to degrade, disrupt, or deny military computing, communications, and networking systems. Technologies developed under the Cyber Technology project will ensure DoD net-centric capabilities survive adversary cyber attacks and will enable new cyber-warfighting capabilities.

| B. Program Change Summary (\$ in Millions)            | FY 2015 | FY 2016 | FY 2017 Base | FY 2017 OCO | FY 2017 Total |
|---|---------|---------|--------------|-------------|---------------|
| Previous President's Budget                           | 324.407 | 356.358 | 364.076      | -           | 364.076       |
| Current President's Budget                            | 315.923 | 341.358 | 353.635      | -           | 353.635       |
| Total Adjustments                                     | -8.484  | -15.000 | -10.441      | -           | -10.441       |
| <ul> <li>Congressional General Reductions</li> </ul>  | 0.000   | 0.000   |              |             |               |
| <ul> <li>Congressional Directed Reductions</li> </ul> | 0.000   | -15.000 |              |             |               |
| <ul> <li>Congressional Rescissions</li> </ul>         | 0.000   | 0.000   |              |             |               |
| <ul> <li>Congressional Adds</li> </ul>                | 0.000   | 0.000   |              |             |               |
| <ul> <li>Congressional Directed Transfers</li> </ul>  | 0.000   | 0.000   |              |             |               |
| Reprogrammings  | 1.831   | 0.000   |              |             |               |
| SBIR/STTR Transfer                                    | -10.315 | 0.000   |              |             |               |
| TotalOtherAdjustments                                 | -       | -       | -10.441      | -           | -10.441       |

#### **Change Summary Explanation**

FY 2015: Decrease reflects reprogrammings offset by the SBIR/STTR transfer.

FY 2016: Decrease reflects congressional reduction.

FY 2017: Decrease reflects completion of the Power Efficiency Revolution For Embedded Computing Technologies (PERFECT) and Robust Automatic Translation of Speech (RATS) programs.

| Exhibit R-2A, RDT&E Project Ju  | ustification  | : PB 2017 [  | Defense Adv  | anced Res  | earch Proje   | ects Agency   |   |   |   | Date: Feb                 | ruary 2016          |               |
|---|---|--|--|--|---|---|---|---|---|---------------------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2   |   |  |  | PE 0602303E I INFORMATION & IT-0<br>COMMUNICATIONS TECHNOLOGY PER  |   |   |   |   | roject (Number/Name)<br>1-02 I HIGH PRODUCTIVITY, HIGH-<br>2ERFORMANCE RESPONSIVE<br>RCHITECTURES |                           |                     |               |
| COST (\$ in Millions)   | Prior<br>Years  | FY 2015  | FY 2016  | FY 2017<br>Base  | FY 2017<br>OCO  | FY 2017<br>Total  | FY 2018   | FY 2019   | FY 2020   | FY 2021                   | Cost To<br>Complete | Total<br>Cost |
| IT-02: HIGH PRODUCTIVITY,<br>HIGH-PERFORMANCE<br>RESPONSIVE<br>ARCHITECTURES  | -   | 32.437   | 38.494   | 42.459   | -   | 42.459  | 55.179  | 60.075  | 44.413  | 58.413                    | -                   | -             |
| large and chaotic data sets efficience computing systems including software that can be early ensure accessibility and usability <b>B. Accomplishments/Planned F</b>  | tware and h<br>asily change<br>to a wide ra   | ardware. F<br>d to addres<br>ange of app   | Powerful new<br>s new requi<br>lication dev  | v approach<br>rements an   | es and tools<br>nd can adjus  | s are needeo<br>st dynamical  | d to enable<br>ly to platfor  | the rapid arm and envi  | nd efficient i<br>ronmental p   | production<br>erturbation | of new softw        | ware,         |
| <i>Title:</i> Complexity Management H<br><i>Description:</i> The battlefield of th  |   | have more  | data gapar   | ators and s  | oncors to p   | rovido inform   | action roqui  | irod for  |   | 7.500                     | 11.194              | 10.000        |
| successful combat operations. We extended. The Complexity Mana inherent in next generation systems sensors (such as RF and Electro With current programming approx Additionally, the context provided new information without a prolong fusion challenges that are current proofing that is required at the procues to adapt accordingly to new | Vith network<br>Igement Hau<br>ms. These<br>-Optical/Infr<br>aches, there<br>I by these da<br>ged progran<br>tly faced, ar<br>ogramming | ed sensors<br>rdware prog<br>systems wil<br>ared (EO/IF<br>are laboric<br>ata sets is e<br>nming cycle<br>nd which str<br>stage of a c | , the variety<br>ram will dev<br>I have incre<br>() payloads)<br>bus coding r<br>ever changir<br>. Providing<br>ess network<br>current syste | and compl<br>velop silicon<br>asingly larg<br>as well as<br>equirement<br>bg, and it is<br>contextual<br>ted battlefie | exity of the<br>n designs w<br>ge data sets<br>potentially<br>is needed to<br>imperative<br>cues for pro-<br>eld systems. | information<br>which help all<br>generated l<br>new inputs for<br>accommod<br>for the integ<br>ocessing da<br>As oppose | streams wi<br>leviate the o<br>by their own<br>rom externa<br>late new da<br>rated electri<br>ta streams<br>ed to the int | Il be even fu<br>complexity<br>n multidoma<br>al sensors.<br>ta streams.<br>ronics to ad<br>will alleviate<br>uition and fu | ain<br>apt to<br>e the<br>uture-  |                           |                     |               |
| The applied research aspects of complexity management. This w   |   |  |  |  |   |   |   |   |   |                           |                     |               |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency   |   | Date: Fe | ebruary 2016              | 1       |
|--|--|---|----------|---------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   | IT-02 I F                                   |          | OUCTIVITY, F<br>ESPONSIVE |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |   | FY 2015  | FY 2016                   | FY 2017 |
| to both types of data. The program will show hardware implementations that g programming burden for a complex scenario. Basic research efforts are funder  | •  | t the                                       |          |                           |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Designed complexity management processor algorithm and benchmark tests recognition in video.</li> <li>Demonstrated critical features of algorithm including ability to learn and adap</li> <li>Quantified impact of using low precision, sparse network connectivity on account of using low precision.</li> </ul>  | t while operating.   | n   |          |                           |         |
| <ul> <li>FY 2016 Plans:</li> <li>Design transistor level circuits implementing the complexity management alg</li> <li>Demonstrate the ability to manage multiple data streams with interlaced infor</li> <li>Create initial hardware verification of concepts for both sparse and hardware</li> </ul>  | mation.  |   |          |                           |         |
| <ul> <li>FY 2017 Plans:</li> <li>Compare various algorithms ability to manage complex data sets.</li> <li>Quantify the benefits of various architecture approaches to management of la information.</li> <li>Translate the initial algorithms to high level circuit implementations to show the set of th</li></ul> |  | al  |          |                           |         |
| Title: Power Efficiency Revolution For Embedded Computing Technologies (Pl   | ERFECT)  |   | 24.937   | 17.800                    | -       |
| <b>Description:</b> The Power Efficiency Revolution For Embedded Computing Tech<br>technologies and techniques to overcome the power efficiency barriers which of<br>capabilities and limit the potential of future embedded systems. The warfightin<br>process future real time data streams within real-world embedded system power<br>applications, from Intelligence, Surveillance and Reconnaissance (ISR) system<br>control systems on submarines. The PERFECT program will overcome process<br>approaches including near threshold voltage operation, massive and heteroger<br>concepts, and hardware and software approaches to address system resiliency<br>utilize resulting system concurrency and optimized data placement to provide the<br>power efficiency. The remaining efforts under the PERFECT program will emp<br>specialization approaches to address processing efficiency.   | currently constrain embedded computing system<br>g problem this program will solve is the inability<br>er constraints. This is a challenge for embedde<br>is on unmanned air vehicles through combat a<br>ssing power efficiency limitations by developing<br>neous processing concurrency, new architectury<br>y, combined with software approaches to effect<br>he required embedded system processing | ms<br>y to<br>ed<br>nd<br>y<br>re<br>tively |          |                           |         |
| FY 2015 Accomplishments:   |  |   |          |                           |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Adva   | anced Research Projects Agency  |                         | Date: F | ebruary 2016               |         |
|---|---|-------------------------|---------|----------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY  | IT-02 /<br>PERF         |         | DUCTIVITY, H<br>RESPONSIVE |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | Γ                       | FY 2015 | FY 2016                    | FY 2017 |
| <ul> <li>Incorporated test chip results - circuit, architecture, communication simulation refinements for continuing architectural development effort</li> <li>Developed compiler algorithms supporting communication-avoiding programming language-based auto-tuning.</li> <li>Delivered system-level integrated analytical modeling methodology constrained resilience optimization, processor, memory, and energy-</li> <li>Publically released new hardware description language and modeling development of algorithms, specializers, hardware architectures, and</li> </ul>   | ts.<br>g optimization, concepts for optimizing parallel codes, a<br>y and software analysis toolset for cross-layer, energy-<br>reliability trade-offs.<br>ing/simulation infrastructure incorporating the evaluation   | nd                      |         |                            |         |
| <ul> <li>FY 2016 Plans:</li> <li>Select implementation and transition targets. Establish a focused s support target requirements.</li> <li>Integrate our modeling and evaluation environment by combining s avoidance, and resiliency. This will provide detailed trade-off analyse targets, and (3) problem instance sizes. This will support 20X power classical application implementations.</li> <li>Demonstrate High Level Source-to-Source transformation targeting optimized/vectorized code exploiting explicit memory movement and efficiency. These will be demonstrated on ISR kernels and convolution.</li> <li>Demonstrate near memory fast Fourier transform accelerator support processing using PERFECT architecture simulator.</li> <li>Fabricate 14nm (Global Foundry) test chips to measure ultra low vot Anticipated results include a functional voltage of 0.3 Volts, and a 3x</li> <li>Demonstrate the benefits of specialization using the PERFECT Vis with the expectation to attain peak efficiencies.</li> </ul> | separate optimization tools for power, communication<br>es for a range of (1) ISR kernels, (2) PERFECT hardwa<br>savings, while respecting resiliency requirements, relat<br>g PERFECT program specialization simulators. General<br>dynamic voltage and frequency control for performance<br>onal neural networks.<br>orting synthetic aperture radar and space-time adaptive<br>oltage Static random-access memory implementations.<br>access time improvement versus conventional approact | re<br>ive to<br>te<br>e |         |                            |         |
| Title: Portable AnaLyticS (PALS)*   |   |                         | -       | 3.500                      | 6.000   |
| Description: *Formerly Scalable Optical Nodes for Networked Edge  | Traversal (SONNET)  |                         |         |                            |         |
| Graph analytics on large data sets is currently performed on leadersh<br>purposes. These machines are required because they have the men<br>ability to efficiently move data to and effectively utilize compute resou<br>Computationally, graph analysis is characterized by many short, rand   | nory capacity required for large graph problems, but the<br>urces is limited, resulting in extremely low compute effic  | iency.                  |         |                            |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advar  | nced Research Projects Agency  |  | Date: F   | ebruary 2016 | 6       |  |  |
|---|--|--|---|--------------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   | IT-02 I<br>PERF                                  | Project (Number/Name)<br>IT-02 / HIGH PRODUCTIVITY, HIGH<br>PERFORMANCE RESPONSIVE<br>ARCHITECTURES |              |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | Γ  | FY 2015   | FY 2016      | FY 2017 |  |  |
| systems that are optimized for regular, predictable access. The move<br>more time and energy than the logical operations themselves. This is<br>separate computing/data manipulation and main data storage. Large<br>throughput capability used) drop from as high as 90% to in the order of<br>resolve this problem, the PALS program will develop technologies, are<br>processing kernels and critical data organization operations adjacent t<br>computing nodes, addressing data latency, overall computational perfor-<br>application.   | the result of generations of systems that architectural<br>systems have shown utilization (percentage of system<br>of 2% due to the data patterns for different applications<br>chitectures, and software approaches that move critica<br>to the memory itself, rather than at physically distant g  | ly<br>n peak<br>s. To<br>il data<br>eneral       |   |              |         |  |  |
| The PALS approach is not to physically or functionally move processin<br>data intensive components of an application to the data. The result wi<br>applications, by off-loading the main processor of data-intensive opera-<br>itself. This will be accomplished by utilizing industry advances in 3D p<br>advances being developed in 3D memory stacks; new software approx<br>movement technologies such as co-designing processor and photonic<br>photonics. It will also include incorporation of domain specific logic for<br>capabilities at all appropriate levels of a processing system's memory.<br>data analytics for both big data and embedded data-intensive DoD app<br>in the fields of cyber security, threat detection, and numerous others. | ill dramatically improve performance for data intensive<br>ations, and enabling data security operations at the me<br>backaging, particularly the bandwidth, latency and pow<br>aches for data management; investigating alternative<br>c hardware, exploiting the high bandwidth provided by<br>r unique and asymmetric data-intensive DoD functional<br>The performance and efficiency will be transformatio | emory<br>ver<br>data<br>silicon<br>al<br>nal for |   |              |         |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Identify common graph primitives that would accelerate the executio</li> <li>Explore the applications benefitting from the unique architecture and unique military applications.</li> <li>Identify domain specific primitives that would accelerate performance processing system data storage levels and specifically a memory 3D storage</li> </ul>  | d whether unique hardware design allows for processo<br>e by moving data-intensive functionality to appropriate  |  |   |              |         |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Develop domain specific concepts for functionality at the hierarchical orchestration capabilities at these layers of storage and processing, define logic layer processing concepts.</li> <li>Simulate performance of PALS for selected high value application spectrum of the processing and implementation options.</li> </ul>   | efine customization versus programmability trade-offs,   | and  |   |              |         |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advan   | nced Research Projects Agency   |   | Date: F | ebruary 2016 |         |  |
|--|---|---|---------|--------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY  | <b>Project (Number/Name)</b><br>IT-02 I HIGH PRODUCTIVITY, HIGH-<br>PERFORMANCE RESPONSIVE<br>ARCHITECTURES |         |              |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   |   | FY 2015 | FY 2016      | FY 2017 |  |
| - Develop PALS based security concepts for data management in mu   | Iti-security level environments.  |   |         |              |         |  |
| Title: Electronic Globalization  |   |   | -       | 4.000        | 4.000   |  |
| <b>Description:</b> Approximately 66% of all installed semiconductor wafer<br>DoD as off-shore manufacturing of microelectronic components could<br>these non-U.S. fabricated electronic components. As the DoD is face<br>potential consequences such as reverse engineering, and the theft of<br>New applied research technology enablement will be developed in the   | introduce various vulnerabilities to DoD systems that<br>d with this globalization reality, it is essential to preven<br>U.S. intellectual property.  | utilize<br>t  |         |              |         |  |
| assessing the impact of high stress upon Government Off-The-Shelf (<br>produced in conventional contemporary foundries. The potential appli<br>systems and makes it even more important to understand the new phy<br>extendibility of existing reliability models, and the calibration of new re<br>use conditions will be studied. Further, the insight gained from unders<br>burn-in and screening tools, potentially allowing shorter and more effe | GOTS) and Commercial Off-The-Shelf (COTS) compo-<br>ication of these components in extreme stresses DoD<br>ysics mechanisms to be expected in these regimes. T<br>liability models for components operated outside of typ<br>standing these impacts will inform the use of elevated | nents<br>he<br>pical  |         |              |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Improve the signal-to-noise ratio of the Navy system, allowing its use</li> <li>Study high stress effects on conventionally fabricated COTS and GO</li> <li>Develop device physics models which accurately capture the reliability voltage and temperature.</li> </ul>  | OTS electronic components.  | evated  |         |              |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Continue prototype system enhancements to the laser scanning too</li> <li>Continue to study high stress effects on conventionally-fabricated C</li> <li>Characterize the physics models using the response of the fabricate applications as well as accelerated life stress testing and evaluation.</li> <li>Complete the development of shorter, more effective reliability screet</li> </ul>                        | OTS and GOTS electronic components.<br>ed devices to extreme stress associated with certain D   |   |         |              |         |  |
| Title: tactical CONtext EXtraction (CONEX)   |   |   | -       | -            | 6.000   |  |
| <b>Description:</b> Enriching a primary data stream with contextual informat where that surround a particular event) can be accomplished by fusing rely heavily on man-made reference signals, such as Global Positionin limited adaptability. Object recognition using Deep Learning and related  | g data from multiple sensors. For this task, modern sy<br>ng Systems (GPS), and preprogrammed algorithms wi   | stems<br>th   |         |              |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ac  | Ivanced Research Projects Agency  |                                 | Date: F | ebruary 2016 |         |
|--|---|---------------------------------|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>Project (Number/Name)</b><br>IT-02 I HIGH PRODUCTIVITY, HIGH-<br>PERFORMANCE RESPONSIVE<br>ARCHITECTURES   |                                 |         |              |         |
| <ul> <li>B. Accomplishments/Planned Programs (\$ in Millions)</li> <li>require significant offline training. The tactical CONtext EXtraction processors for extracting contextual information from resource-con from the landscape and natural sources, such as the relative positi other sensor feeds in GPS-denied areas. CONEX processors will over multiple timescales. These adaptive methods efficiently captudata streams that are beyond the analysis capabilities of state-of-there of the designs of integrated circuits that implement real-time lea</li> <li>Design compact, low-power CONEX sensors to support context at Demonstrate performance enhancement of novel content extract</li> </ul>   | strained environments. CONEX sensors will collect inform<br>on of stars, to supplement inertial measurement systems<br>contain embedded real-time learning algorithms that oper<br>ure complex spatial and temporal structure in noisy, ambig<br>ne-art signal/image processing systems.  | aptive<br>mation<br>and<br>rate | Y 2015  | FY 2016      | FY 2017 |
| <i>Title:</i> Removing Barriers to Hardware (REBHAR)<br><i>Description:</i> Small software companies are a dynamic force in the<br>innovation. Anyone can code applications for established mobile of<br>built by larger companies to quickly access potential customers. H<br>integrated circuits and Micro-Electro-Mechanical Systems (MEMS)<br>of large corporations. Smaller businesses generally do not have th<br>software, verification tools and fabrication processes. The smaller<br>delivering revolutionary military components. The Removing Barrie<br>facilitate hardware innovation for defense applications. The objectic<br>commercial companies to gain access to proven processes, to exp<br>an aftermarket customization strategy to economically adapt comm<br><i>FY 2017 Plans:</i><br>- Explore the concept of open source design kits and open source<br>- Demonstrate methods for aftermarket customization of commercial | ar cloud platforms and leverage the tremendous infrastruct<br>owever, commercial hardware innovations for advanced<br>sensors face costly obstacles that impede progress outs<br>be budget or sales volume to access the latest design<br>DoD market for hardware amplifies these problems for<br>ers to Hardware (REBHAR) program will develop methods<br>ive of the REBHAR program is to establish relationships w<br>lore the possibilities of open source hardware, and to dev<br>hercial chips to specific military needs. | ide<br>s to<br>vith             | -       | -            | 6.000   |
| <ul> <li>Demonstrate circuits based on open source design kits.</li> <li><i>Title:</i> Spectrum Grand Challenge</li> <li><i>Description:</i> The objective of the Spectrum Grand Challenge is fo which can learn to cohabitate and share the same Radio Frequence</li> </ul>   |   |                                 | -       | 2.000        | 10.459  |

|   | dvanced Research Projects Agency  |   | Date: F | ebruary 2016 |         |
|---|---|---|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>Project (Number/Name)</b><br>IT-02 <i>I HIGH PRODUCTIVITY, HIGH-</i><br><i>PERFORMANCE RESPONSIVE</i><br><i>ARCHITECTURES</i>  |   |         |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | Γ   | FY 2015 | FY 2016      | FY 2017 |
| design of the technologies. Access to spectrum is critical to many safety, disaster recovery, and many more. Spectrum however is a use licenses. These approaches still rely on exclusive use of the spectrum demands networks must be able to dynamically adapt the change, autonomously determining when, where, and how spectror survey their environment, learn to morph their configuration to suit and exploitation techniques to make more efficient use of the RF sector Grand Challenge will develop the world's first large conditions. The test conditions and qualification metrics develope for certification of an envisioned new class of shared spectrum techniques to make more efficient use of the RF sector of the spectrum complements spectrum access and wireless community. | reated as a scarce resource typically assigned to exclusive<br>spectrum by only a single network. In order to meet growin<br>eir use of the spectrum as needs and as spectrum condition<br>with should be used. Spectrum Grand Challenge solutions<br>to both their needs and others, and employ interference cop<br>spectrum.<br>-scale spectrum testbed to test participants in realistic emu-<br>d will thoroughly vet solutions, and ultimately serve as the<br>chnology which does not rely on exclusive use of the spect<br>unications work in PE 0603760E, Project CCC-02. | e-<br>ng<br>ions<br>will<br>bing<br>ulated<br>basis |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Design and build out large-scale spectrum testbed for use in the</li> <li>Hold qualifying event to select field of participants.</li> <li>Hold preliminary competition in an emulated RF environment us</li> </ul>   |   | Э.  |         |              |         |
|   | Accomplishments/Planned Programs Sub  | ototals   | 32.437  | 38.494       | 42.4    |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks   |   |   |         |              |         |

|  | ustification   | : PB 2017 C   | efense Adv   | anced Res   | earch Proje   | cts Agency   |   |  |                        | Date: Feb  | ruary 2016          |               |  |
|--|--|---|--|---|---|--|---|--|------------------------|--|---------------------|---------------|--|
| Appropriation/Budget Activity<br>0400 / 2  |  |   |  |   | PE 060230   | am Elemen<br>D3E / INFOF<br>ICATIONS T   | RMATION &   | N& IT-03   |                        | <b>ject (Number/Name)</b><br>03 I INFORMATION ASSURANCE<br>RVIVABILITY |                     |               |  |
| COST (\$ in Millions)  | Prior<br>Years   | FY 2015   | FY 2016  | FY 2017<br>Base   | FY 2017<br>OCO  | FY 2017<br>Total   | FY 2018   | FY 2019  | FY 2020                | FY 2021  | Cost To<br>Complete | Total<br>Cost |  |
| IT-03: INFORMATION<br>ASSURANCE AND<br>SURVIVABILITY   | -  | 170.959   | 202.252  | 255.137   | -   | 255.137  | 257.172   | 258.028  | 258.362                | 258.923  | -                   | -             |  |
| A. Mission Description and Bud   | laet Item Ji   | ustification  |  |   |   |  |   |  |                        |  |                     |               |  |
| to operate correctly and continuo<br>will benefit other projects within the<br>Centric Warfare Technology prog<br>survivable, network-centric inform   | his program<br>gram elemei   | element as<br>nt (PE 0603   | well as pro  | jects in the  | Command,  | Control, ar  | nd Commun   | ications pro   | gram eleme             | ent (PE 060  | )3760E), the        | e Network-    |  |
| B. Accomplishments/Planned P   | rograms (§   | in Millions   | <u>s)</u>  |   |   |  |   |  | FY                     | 2015 I   | TY 2016             | FY 2017       |  |
| Title: Edge-Directed Cyber Techr   | nologies for   | Reliable Mi   | ssion Comr   | nunication  | (EdgeCT)  |  |   |  |                        | 11.500   | 22.000              | 29.93         |  |
| <b>Description:</b> The Edge-Directed technologies to enable reliable co wide-area networks. The program   | ommunication<br>m is creating  | ons for milita<br>g algorithms  | ary forces the and softwa  | at operate<br>ire prototyp  | in the prese<br>es for use e  | ence of disru<br>exclusively a   | ipted, degra  | ided or den<br>rk edge,  | ied                    |  |                     |               |  |
| specifically, on end hosts and/or of<br>EdgeCT systems will sense and r<br>exchange packets among these h<br>This will enable highly reliable net<br>modes as well as cyber attacks a<br>transitioned to operational comma | espond rap<br>nosts, therel<br>tworked cor<br>gainst netwo   | idly to netwo   | nting fight-th<br>n for the mili   | and attacks<br>nrough stra<br>tary in the   | s by dynami<br>tegies that r<br>face of a wid   | cally adapti<br>estore netw<br>de variety of   | ng protocols<br>orked comr<br>f common n  | s utilized to<br>nunication.<br>etwork failu   |                        |  |                     |               |  |
| EdgeCT systems will sense and r<br>exchange packets among these h<br>This will enable highly reliable ner<br>modes as well as cyber attacks a  | respond rap<br>nosts, therel<br>tworked cor<br>gainst netw<br>ands.<br>ecture for re<br>, or hardwar<br>and respor | idly to netwo<br>by implement<br>nmunication<br>ork infrastru<br>liable comm<br>re/software to<br>nd rapidly to | nting fight-th<br>for the mili<br>acture. Edg<br>nunications<br>failure.<br>network fa | and attacks<br>prough stra<br>itary in the f<br>eCT techno<br>over high-s<br>ilures and a | s by dynami<br>tegies that r<br>face of a wid<br>ologies will b<br>peed wide-a<br>attacks by dy | cally adapti<br>estore netw<br>de variety of<br>be develope<br>area networ<br>ynamically a | ng protocols<br>rorked comr<br>f common n<br>d in collabo<br>ks that have<br>adapting pro | s utilized to<br>nunication.<br>etwork failu<br>ration with<br>e been degr<br>otocols utiliz | and<br>raded<br>red to |  |                     |               |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   |         | Date: Fe  | ebruary 2016 |         |
|---|---|---------|---|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   | IT-03 / | o <b>ject (Number/Name)</b><br>-03 I INFORMATION ASSURANCE AN<br>JRVIVABILITY |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |         | FY 2015   | FY 2016      | FY 2017 |
| <ul> <li>Develop fight-through strategies that rapidly restore networked communication in the face of a wide variety of common network failure modes as well as cyber attacks against network infrastructure.</li> <li>Demonstrate performance at the component and subsystem levels, to include real-time network analytics, holistic decision systems, and dynamically configurable protocol stacks.</li> <li>Assess EdgeCT component and system designs for potential weaknesses, vulnerabilities, and countermeasures associated with cyber attacks against network infrastructure, or against EdgeCT systems themselves.</li> <li>Initiate development of software prototypes suitable for laboratory experimentation with operational commands.</li> <li>Explore modes of user interaction and system concepts of operation with one or more operational commands and bring software prototypes to an initial field experiment in collaboration with an operational command.</li> </ul> |   |         |   |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate and evaluate system prototypes against program metrics to verify adequate performance for cumulative network utility, recovery time, and network overhead.</li> <li>Increase the number of enclaves and total application data flows that can be accommodated during real-time operation.</li> <li>Incorporate military applications, such as Command and Control (C2) software systems, into system demonstrations.</li> <li>Extend usage and testing scenarios to include multiple forms of simultaneous failures and cyber attacks within the wide area network.</li> </ul>   |   |         |   |              |         |
| Title: Cyber Fault-tolerant Attack Recovery (CFAR)  |   |         | 10.500  | 20.149       | 27.494  |
| <b>Description:</b> The Cyber Fault-tolerant Attack Recovery (CFAR) program is developed and the commodity computing technologies. Current approaches to systems are inadequate, as perimeter defenses wrapped around vulnerable more vade signature-based defenses. The proliferation of processing cores in mult opportunity to adapt fault-tolerant architectures proven in aerospace application computing systems. The CFAR program will combine techniques for detecting with novel variants that guarantee differences in behavior under attack. The requickly detect deviations in processing elements at attack onset and rapidly references.  | b handling cyber-induced faults in mission-criti<br>onocultures do not scale, while zero-day explo<br>i-core central processing units provides the<br>ns to mission-critical, embedded, and real-time<br>differences across functionally replicated syst<br>sulting CFAR-enabled computing systems will | its     |   |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Formulated a novel architecture that can achieve cyber fault-tolerance with considering to the system concept of operations.</li> <li>Developed initial techniques for detecting differences across functionally replied to be producing novel compiled software variants to a software variants to a software variants.</li> </ul>  | icated systems.   | uiring  |   |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |  | Date: Fe | ebruary 2016  | i       |         |
|---|--|----------|---|---------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY | IT-03 /  | Project (Number/Name)<br>IT-03 / INFORMATION ASSURANCE .<br>SURVIVABILITY |         |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | Γ        | FY 2015   | FY 2016 | FY 2017 |
| <ul> <li>Demonstrate functionally replicated systems and novel variants that provide performance close to optimal and exhibit sufficient variability to guarantee differences in behavior under attack.</li> <li>Implement and test techniques for quickly detecting differences across replicated systems.</li> <li>Implement and evaluate alternative architectures for achieving cyber fault-tolerance for mission-critical military applications with commodity computing technologies.</li> <li>Work with potential transition sponsors to evaluate military computing systems as candidates for technology refresh with CFAR technologies.</li> </ul>   |  |          |   |         |         |
| <ul> <li>FY 2017 Plans:</li> <li>Create variants from binary code, which will enable the technology to protect available.</li> <li>Develop methods to produce mathematical proofs of semantic equivalence a cases that systems protected with CFAR technology behave identically to the original conventional approact correlated and frequent fault-tolerant models that, unlike conventional approact correlated and frequent faults that may result from a cyber-attack.</li> <li>Demonstrate proof-of-concept on a representative mission system, showing while providing protection and rapid recovery from cyber attacks.</li> </ul>  |  |          |   |         |         |
| Title: Supply Chain Hardware Integrity for Electronics Defense (SHIELD)   |  |          | 17.750  | 21.000  | 24.500  |
| <b>Description:</b> Counterfeit electronic components compromise business as well as defense systems, and pose a threat to the integrity and reliability of DoD systems. Detection of counterfeit components by current means is expensive, time-consuming, and of limited effectiveness. Maintaining complete control of the supply chain using administrative controls incurs substantial costs and has exhibited limited effectiveness. Current methods of detection involve a wide variety of techniques ranging from functional testing to physical inspections which may still miss certain classes of counterfeits. There have also been attempts by the semiconductor market to protect electronic components through the use of technology embedded in the component or its packaging. However, most of these methods are specific to a manufacturer's component and as such address only those issues critical to that manufacturer. Some methods can be circumvented, or require slow, expensive, off-site forensic analysis to verify authenticity. |  |          |   |         |         |
| The Supply Chain Hardware Integrity for Electronics Defense (SHIELD) progra<br>at any time and place, the authenticity of trusted parts, even after they have tra<br>will prevent counterfeit component substitution by incorporating a small, inexpe<br>the Integrated Circuit (IC) package. The dielet will provide a unique and encry  | insited a complex global supply chain. SHIEL<br>ensive additional silicon chip ("dielet") within     |          |   |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  | Date:   | February 2016 | 3       |
|--|--|---|---------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E <i>I INFORMATION &amp;</i><br><i>COMMUNICATIONS TECHNOLOGY</i> | <b>Project (Number/Name)</b><br>IT-03 I INFORMATION ASSURANCE AN<br>SURVIVABILITY |               |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015   | FY 2016       | FY 2017 |
| microscopic-size dielet embedded in the electronic component packaging will e close proximity.   | nable verification of a chip's identity from very  |   |               |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Refined design specifications and technical requirements for the SHIELD die counter with Cipher Block Chaining Message Authentication Code (AES CCM)</li> <li>Developed behavioral models for dielet power and communications to suppo</li> <li>Manufactured "surrogate" dielets with the dimensions and form factor of the Sinsertion methods and fragility testing.</li> <li>Designed and manufactured hardware test sites to demonstrate proof of communications, encryption, dielet fragility).</li> </ul>  | as the target encryption protocol.<br>rt preliminary design efforts.<br>SHIELD design for performers to develop pack   | -   |               |         |
| <ul> <li>FY 2016 Plans:</li> <li>Refine designs based on measured results from test site hardware. Scale prinodes to the 40 nanometer and 14 nanometer target design nodes for SHIELD</li> <li>Design and manufacture hardware test sites to demonstrate second pass import prevelop transaction model for reader-to-dielet interrogation.</li> <li>Select best-fit Phase 1 technologies for inclusion on Phase 2 dielet designs, lobjective analysis of design compatibility.</li> <li>Refine dielet singulation, test and insertion methodology and fragility design between the second pass in the prevent of the second pase of the second part of the secon</li></ul> | provements for key dielet technologies.<br>based on validated hardware measurements a                                  | nd  |               |         |
| <ul> <li>FY 2017 Plans:</li> <li>Design and manufacture prototype SHIELD dielets, integrating best-fit technologies and manufacture prototype SHIELD dielets, integrating best-fit technologies and performance testing of manufactured SHIELD dielets.</li> <li>Refine methods for dielet insertion into integrated circuit (IC) packages.</li> <li>Build and test network appliance and server network for Phase 3 testing.</li> </ul>   | blogies selected during Phase 1.   |   |               |         |
| <i>Title:</i> Brandeis*  |  | 7.593   | 3 17.600      | 25.000  |
| Description: *Previously Adaptable Information Access and Control (AIAC)   |  |   |               |         |
| The Brandeis program is creating the capability to dynamically, flexibly, and see<br>data may be used only for its intended purpose and no other. In the civilian spl<br>that enable the sharing of information between commercial entities and U.S. go<br>is increasingly involved in operations that require highly selective sharing of data  | here, there is a recognized need for technologiovernment agencies. Similarly, the U.S. militar                         | es  |               |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  | Date: F          | Date: February 2016                                    |         |  |
|--|--|------------------|--|---------|--|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY |                  | roject (Number/Name)<br>Γ-03 / INFORMATION ASSURANCE / |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015          | FY 2016  | FY 2017 |  |
| partners, and other stakeholders. The Brandeis program will develop the technical means to protect the private and proprietary information of individuals and enterprises. Brandeis will break the tension between (a) maintaining privacy and (b) being able to tap into the huge value of data. Rather than having to balance between them, Brandeis aims to build a third option: enabling safe and predictable sharing of data in which privacy is preserved. The Brandeis program is timely due to recent progress on techniques such as homomorphic encryption, secure multiparty computation, and differential privacy. To facilitate deployment, Brandeis technologies will be designed to work with the virtualization, cloud computing, and software-defined networking technologies now widely used in both civilian and military environments. |  | le<br>ling<br>on |  |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Formulated technical approaches to data privacy through secure multiparty computation, secure database queries, differential privacy and remote attestation of protected computing environments.</li> <li>Identified canonical privacy use cases on which to evaluate candidate privacy technologies.</li> <li>Conceptualized prototype evaluation platforms and metrics/analysis tools on which privacy technologies can be tested and metrics computed to quantify the privacy benefits.</li> </ul>   |  |                  |  |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Implement secure multiparty computation, secure database queries, differential privacy and remote attestation techniques in initial prototypes suitable for integration on commodity cloud infrastructures.</li> <li>Develop prototype evaluation platform and metrics/analysis tools on which privacy technologies can be tested and metrics computed.</li> <li>Initiate quantification of privacy benefits of privacy technologies in the context of canonical individual and enterprise privacy use cases.</li> </ul>  |  | 5                |  |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Optimize privacy prototypes that implement secure multiparty or remote attestation techniques and test on enterprise networks.</li> <li>Quantify privacy benefits and the costs in terms of computation</li> <li>Perform detailed studies of the security implications of the tech private information.</li> <li>Initiate transition of techniques through integration on commercial</li> </ul>   | al overhead and latency.<br>niques in terms of confidentiality, integrity, and availability          |                  |  |         |  |
| Title: Rapid Attack Detection, Isolation and Characterization Syst   | tems (RADICS)*   | 7.525            | 17.513   | 24.500  |  |
| Description: *Previously Protecting Cyber Physical Infrastructure  |  |                  |  |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |   | Dat                                       | te: February 20   | 16       |  |
|--|---|---|---|----------|--|
| Appropriation/Budget Activity<br>0400 / 2  | R-1 Program Element (Number/Name)<br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   | IT-03 I INFORI                            | roject (Number/Name)<br>-03 / INFORMATION ASSURANCE .<br>URVIVABILITY |          |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 201                                    | 15 FY 2016  | FY 2017  |  |
| The Rapid Attack Detection, Isolation and Characterization Systemaintaining the availability and integrity of critical U.S. cyber-phy near-ubiquitous use of computers to monitor and control U.S. civerapid assessment, isolate compromised system elements, chara of service attacks, and restore services. Hardware-in-the-loop semergent vulnerabilities and the development and optimization of to the power grid. This will include understanding the potential repropagating or damping power grid anomalies. RADICS technologies industry. | vsical infrastructure. This is a national security issue due to<br>vilian and military critical infrastructure such as electric power<br>tributed control system networks, detect anomalies that requererize attacks in real time, mitigate sensor spoofing and de<br>imulation techniques will be developed to enable the discov<br>of mitigation, restoration, and reconstitution strategies applic<br>ole of electric power markets and smart grid technologies in | er.<br>juire<br>enial<br>very of<br>cable |   |          |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Formulated resilient architectures for real-time monitoring, and and physical infrastructure.</li> <li>Investigated rapid re-provisioning techniques to quickly re-dep compromised devices back to a pristine, known state of operation</li> </ul>  | loy firmware and operating system images to restore   | ms  |   |          |  |
| <ul> <li>FY 2016 Plans:</li> <li>Create a hardware-in-the-loop simulation capability to enable to optimization of mitigation strategies applicable to the U.S. power</li> <li>Develop technologies to monitor heterogeneous distributed into rapid assessment, mitigate sensor spoofing and denial of service</li> <li>Extend simulation capabilities to understand the potential role anomalies.</li> <li>Develop techniques that use organic sensors, remote instrume information to continuously optimize cyber defenses.</li> </ul>            | grid.<br>dustrial control system networks, detect anomalies that reque<br>e attacks, and restore services.<br>of electric power markets in propagating or damping power   | uire                                      |   |          |  |
| <ul> <li>FY 2017 Plans:</li> <li>Validate emulations of embedded industrial control devices for</li> <li>Explore techniques to enable validated dynamic simulations of</li> <li>Develop the means to produce a robust, multi-source time bas<br/>infrastructure in the event of a disruption of GPS signals.</li> <li>Develop defense mechanisms for supervisory control and data<br/>attack in addition to random perturbations/failures.</li> </ul>  | f cascading faults across large sections of a power grid.<br>se with sufficient accuracy to enable continued operation of   | critical                                  |   |          |  |
| <i>Title:</i> High Assurance Cyber Military Systems  |   | 24.0                                      | 000 27.69   | 0 17.500 |  |
|  |   |   |   |          |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   | Date: F  | ebruary 2016                        |         |         |
|---|---|--|-------------------------------------|---------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   | IT-03 /  | t (Number/N<br>INFORMAT<br>VABILITY | NCE AND |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |  | FY 2015                             | FY 2016 | FY 2017 |
| <b>Description:</b> The High Assurance Cyber Military Systems (HACM secure mission-critical embedded computing systems. The DoD is such as military vehicles, weapon systems, ground sensors, smart makes it critically important that the embedded operating system provides and network very limited size, weight, and power. Consequently, it can only de while satisfying hard real-time constraints. Recent advances in prodomain-specific programming languages, and operating systems may be within reach at reasonable costs. The program will developed that provides a high level of assurates and the provides a high level of assurates and the provides a surates of the programming patform that provides a high level of assurates and provides and provides a high level of assurates and provides and provides a high level of assurates and provides and | s making increasing use of networked computing in system<br>tphones, and other communication devices. This dependent<br>provides high levels of inherent assurance. This operating<br>prking elements of the system while running on a processe<br>evote a limited share of its computational resources to secular<br>ogram synthesis, formal verification techniques, low-level<br>mean that fully verified operating systems for embedded dop, mature, and integrate these technologies to produce an | ms<br>ence<br>or with<br>urity<br>and<br>levices |                                     |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Formally verified full functional correctness for the extended correspondence of systems for selected vehicles.</li> <li>Demonstrated required security properties that follow from correautomatically synthesized control systems.</li> <li>Performed static and dynamic assessments after modifications of effectiveness of the synthesis and formal methods tools.</li> <li>Conducted a field test of a HACMS hardened operating system cyber attacks on unsecured applications were contained.</li> </ul>  | ectness for the extended core operating system and the<br>were made on militarily-relevant vehicles to evaluate the   |  |                                     |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Apply an architecture-based approach to high-assurance system two-processor open-source quadcopter, a helicopter, an unmanne</li> <li>Demonstrate machine-tracked assurance cases for system-wide</li> <li>Increase the level of automation of proof generation in theorem</li> <li>Evaluate the effectiveness of approaches by conducting penetral</li> </ul>   | ed wheeled robot, and a military transport vehicle.<br>e security properties on targeted vehicles.<br>provers.  | for a  |                                     |         |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop techniques for ensuring the predictable composability of</li> <li>Formulate assurance cases for complex mission critical systems</li> <li>Develop formal methods approaches to enable predictable syste</li> <li>Evaluate the effectiveness of the formal methods approaches by</li> </ul>   | s that are comprised of multiple interacting components.<br>em design at scale.   |  | 04.007                              | 00.005  |         |
| <i>Title:</i> Vetting Commodity Computing Systems for the DoD (VET)   |   |  | 21.987                              | 22.625  | 18.019  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |   | Date: F  | ebruary 2016 |         |
|--|---|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | PE 0602303E / INFORMATION &   | <b>Project (Number/Name)</b><br>IT-03 / INFORMATION ASSURANCE A<br>SURVIVABILITY |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015  | FY 2016      | FY 2017 |
| <b>Description:</b> The Vetting Commodity Computing Systems for the DoD backdoors and other hidden malicious functionality in the software and supply chain that produces the computer workstations, routers, printers many opportunities for our adversaries to insert hidden malicious functionality and also enable the detection of software and firmware defined as the detection of software as the detection as the detection of software as the detection as the detection of software as the detection as th      | firmware on commodity IT devices. The international<br>s, and mobile devices on which DoD depends provides<br>ionality. VET technologies will detect hidden malicious   |  |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Improved the effectiveness of prototype tools, in particular by reducir further competitive engagements.</li> <li>Expanded the set of challenge programs to explore more complex for conditions, information leakage, and defective encryption.</li> <li>Replaced initial experimental platforms with more complex devices the set of the s</li></ul> | rms of malicious hidden functionality including race  | gh   |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Measure probabilities of false- and missed-detection and human ana candidates for integration into an end-to-end DoD vetting application.</li> <li>Initiate development of an integrated vetting application that incorpor problems of operationally relevant size.</li> <li>Conduct an integrated end-to-end software/firmware-vetting technologies.</li> </ul>  | ates the most promising new techniques and scales to  | s.   |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Run comparative performance evaluations between program-develop</li> <li>Engage in experiments and pilot deployments of prototype tools with</li> <li>Based on user feedback, make improvements to prototypes to enhance</li> </ul>   | transition partners on software of interest to DoD.   |  |              |         |
| Title: Cyber Grand Challenge (CGC)   |   | 6.233  | 11.329       | 11.000  |
| <b>Description:</b> The Cyber Grand Challenge (CGC) program will create a attacks more rapidly than human operators. CGC technology will mon reason about flawed software, formulate effective defenses, and deploy and integrated may include anomaly detection, Monte Carlo input gene and stochastic optimization. The CGC capability is needed because hi complexity, and scale that exceed the capability of human cyber defense competition through a Grand Challenge in which CGC technologies complexity.  | itor defended software and networks during operations,<br>y defenses automatically. Technologies to be develope<br>eration, case-based reasoning, heuristics, game theory,<br>ghly-scripted, distributed cyber attacks exhibit speed,<br>ders to respond in a timely manner. DARPA will incenti | Ŀ  |              |         |
|  |   |  |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   | Date: I   | Date: February 2016 |         |  |  |
|---|---|---|---------------------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY  | Project (Number/<br>IT-03 / INFORMAT<br>SURVIVABILITY | ANCE AND            |         |  |  |
| 3. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015   | FY 2016             | FY 2017 |  |  |
| provided in Project IT-05. Additional funding is being provided in<br>nfrastructure necessary to accommodate the large number of co   |   | 1   |                     |         |  |  |
| FY 2015 Accomplishments: - Created the robust competition infrastructure required to accor  | mmodate the large number of competitors.  |   |                     |         |  |  |
| <b>FY 2016 Plans:</b> - Conduct world's first automated computer security contest: Cy - Release event results as cyber research corpus to measure ar  |   |   |                     |         |  |  |
| FY 2017 Plans:<br>• Use the lessons learned from the (first) Cyber Grand Challeng<br>competitor systems compete directly against experts.<br>• Benchmark and baseline the abilities of expert reverse engine<br>corpus.<br>• Initiate development of a competition infrastructure that allows   | ers to guide the creation of a machine-vs-expert competition  | 1   |                     |         |  |  |
| <i>Title:</i> Extreme Distributed Denial of Service Defense (XD3)   |   | -   | 14.996              | 26.50   |  |  |
| <b>Description:</b> Building upon work in the Mission-oriented Resilier<br>Service Defense (XD3) program will develop new computer netw<br>distributed denial of service (DDoS) attacks. DDoS attacks inclu-<br>ber second, but more subtle low-volume attacks that evade tradi-<br>of server processor and memory capacity. These attacks will like<br>classes of devices that in many cases will be deployed with inad<br>defended IoT devices in their botnets. XD3 will develop defensiv-<br>on-host adaptation to increase adversary work factors, boost res-<br>and ultimately thwart DDoS attacks. | vorking architectures better able to deter, detect, and overce<br>ide not only high-volume flooding attacks of hundreds of gig<br>itional intrusion detection systems while causing exhaustion<br>ely accelerate as the Internet of Things (IoT) expands to new<br>lequate security controls: attackers will incorporate poorly<br>ve architectures that use maneuver, deception, dispersion, a | ome<br>abits<br>w<br>and                              |                     |         |  |  |
| FY 2016 Plans:<br>- Formulate architectures and algorithms that enable physical ar<br>cloud computing facilities) to complicate the location and targetir<br>- Develop network maneuver and deception techniques that inclu-<br>planning, and execution.  | ng of these cyber resources by DDoS attackers.  | and   |                     |         |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   | Date: F      | Date: February 2016   |         |  |
|---|---|--------------|---|---------|--|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY  |              | roject (Number/Name)<br>Г-03 I INFORMATION ASSURANCE .<br>SURVIVABILITY |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015      | FY 2016   | FY 2017 |  |
| - Devise means for enabling servers and similar DDoS targets to sense the attacks) and to adapt their operation in real time to mitigate the attack while p   | · · · · ·   | ie           |   |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Develop testing capabilities to support iterative experimentation and demoid</li> <li>Implement and integrate network dispersion, maneuver, and deception tect work factors in target development, attack planning, and execution.</li> <li>Perform system-level demonstrations and subject systems to critical assess vulnerabilities.</li> <li>Conduct military field exercises in collaboration with transition partners to exconcepts of operation.</li> </ul> | chniques in prototype systems that increase adverses and sements to pinpoint design weaknesses and  |              |   |         |  |
| <i>Title:</i> Leveraging the Analog Domain for Security (LADS)  |   | -            | 10.000  | 19.000  |  |
| <b>Description:</b> The Leveraging the Analog Domain for Security (LADS) progra<br>Systems for the DoD (VET) program, will develop and demonstrate technique<br>channel signals such as radio frequency and acoustic emissions, power cons<br>and timing-based effects. LADS augments standard cybersecurity approach<br>analog techniques. LADS will enable defenders to detect cyber attacks by se<br>components, devices, and systems, greatly complicating the task of adversa                  | es for defending information systems using side<br>sumption, heat generation, differential fault anal-<br>les, which focus on digital effects/phenomena, w<br>ensing changes in the analog emissions of com | /sis,<br>ith |   |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Formulate approaches for measuring side channel signals such as radio fr<br/>heat generation, differential fault analysis, and timing-based effects in noisy of<br/>Investigate rule-based and statistical classification techniques for discrimin<br/>components, devices, and systems operating in compromised/faulty states fr<br/>Propose approaches for predicting side channel emissions given knowledge<br/>code.</li> </ul>                                | environments.<br>aating side channel signals emitted from comput<br>rom those operating in secure/correct states.   | ng           |   |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Develop quantitative models for side channel signals emitted from systems operating in compromised/faulty states and validate the models through labo</li> <li>Assess the practicality of initial techniques for discriminating side channel s compromised/faulty states from those operating in secure/correct states by c (probability of detection versus probability of false alarm).</li> </ul>   | oratory measurements.<br>signals emitted from systems operating in  | tems         |   |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |  |                        | Date: F                                 | ebruary 2016 | 6       |
|---|--|------------------------|---|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   | IT-03                  | ct (Number/I<br>I INFORMAT<br>IVABILITY | ANCE AND     |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | ſ                      | FY 2015                                 | FY 2016      | FY 2017 |
| - Develop statistical models for side channel emissions given imprecis  | se/probabilistic knowledge of the executed code.   |                        |   |              |         |
| <i>Title:</i> Plan X  |  |                        | -                                       | -            | 23.349  |
| <b>Description:</b> The Plan X program is developing technologies to enable cyber battlespace as required for visualizing, planning, and executing preparation of the cyber battlespace, indications and warning of advert cyber-attacker identification, and cyber battle damage assessment. P intuitive visualization of events on hosts and networks to aid in the plat operationally meaningful measures to project quantitatively the collate funding for this effort was provided in Project IT-05. Funding continue tactical level exercises and integrating the Plan X system into transition   | military cyber warfare operations. This includes intellig<br>sary cyber actions, detection of cyber-attack onset,<br>lan X is creating new graphical interfaces that enable<br>nning and execution of cyber warfare. Plan X will exter<br>ral damage of executed cyber warfare missions. Initial<br>s in IT-03 for testing and evaluation through participation  | ence<br>nd             |   |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Refine Plan X capabilities to provide operators with enhanced cyber cyber warfare missions with projections of cyber collateral damage.</li> <li>Demonstrate capabilities in multiple military cyber exercises, such as</li> <li>Refine operator workflows and operational use cases based on exer</li> <li>Work with transition partners, such as U.S. Cyber Command (USCY integrate Plan X into current operating systems.</li> </ul>  | s Cyber Guard, Cyber Flag, and Red Flag.<br>rcise feedback.  |                        |   |              |         |
| Title: System Security Integrated Through Hardware and software (SS   | SITH)  |                        | -                                       | -            | 8.337   |
| <b>Description:</b> System Security Integrated Through Hardware and software by exploring innovative approaches that combine hardware and software cybersecurity approaches have focused either on software or hardware integrated hardware/software solutions, SSITH will combine the efficie adaptability of software to provide security solutions that are resistant program is based on the concept that co-design of hardware approaches will investigate new co-designed hardware/software architectures that Second, the program will investigate hardware/software architectures methods and vectors. Third, the program will examine methods to reduce the program will examine the pr | are to provide enhanced system security. Traditional<br>re, but rarely on an integration of both domains. By exp<br>ency and robustness of hardware with the flexibility and<br>to attack and adaptive to new attack approaches. The<br>vare provides new modalities to protect electronic system<br>are inherently more secure than current electronic system<br>that are flexible and can adapt to new system attack | ems.<br>ogram<br>tems. |   |              |         |
| novel and powerful protection methods recently conceived in the secu  |  |                        |   |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |  |        | Date: February 2016   |         |         |
|---|--|--------|---|---------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY | IT-03/ | <b>ct (Number/Name)</b><br>I INFORMATION ASSURANCE A<br>IVABILITY |         |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  |        | FY 2015   | FY 2016 | FY 2017 |
| <ul> <li>FY 2017 Plans:</li> <li>Define new hardware/software architectures that implement flexible and robust protection against external attack.</li> <li>Utilize modeling and simulation approaches to determine the expected improvement in protection of the new hardware/software architectures relative to software only and hardware only approaches.</li> </ul>  |  |        |   |         |         |
| Title: Mission-oriented Resilient Clouds (MRC)  |  |        | 15.892  | 8.750   | -       |
| <b>Description:</b> The Mission-oriented Resilient Clouds (MRC) program is creating technologies to enable cloud computing systems to survive and operate through cyber attacks. Vulnerabilities found in current standalone and networked systems can be amplified in cloud computing environments. MRC is addressing this risk by creating advanced network protocols and new approaches to computing in potentially compromised distributed environments. Particular attention is focused on adapting defenses and allocating resources dynamically in response to attacks and compromises. MRC will result in new approaches to measure trust, reach consensus in compromised environments, and allocate resources in response to current threats and computational requirements. MRC will develop new verification and control techniques for networks embedded in clouds that must function reliably in complex adversarial environments. |  |        |   |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated automated construction of diverse, redundant network flow pactouds.</li> </ul>  | aths that maximize communication resilience ir   | 1      |   |         |         |
| - Evaluated and measured the scalability and resilience of a high-assurance cloud computing application development library in terms of number of concurrent replicas supported and volume of data handled.   |  |        |   |         |         |
| - Developed and demonstrated hardened network services through fine-grained memory access controls that determine what valid memory addresses are read or written to by each instruction in a program.  |  |        |   |         |         |
| - Demonstrated concurrent optimization of computing resources and network network load with no performance loss.  | -  |        |   |         |         |
| <ul> <li>Inserted and evaluated multiple MRC technologies into U.S. Pacific Commar<br/>environments.</li> </ul>   |  |        |   |         |         |
| <ul> <li>Assessed technologies with Defense Information Systems Agency (DISA) to</li> </ul>   | facilitate transitions into DoD networks and clo   | ouds.  |   |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate correct, disruption-free upgrading of software defined networkin</li> <li>Complete transition of one or more technologies into operational use by USF</li> </ul>   | PACOM and DISA.  |        |   |         |         |
| - Transition secured version of multi-UAV control software to Air Force Resear  | rch Ladoratory (AFKL).   |        | 40.000  | 0.000   |         |
| <i>Title:</i> Active Cyber Defense (ACD)  |  |        | 13.828  | 8.600   | -       |

| Appropriation/Budget Activity       R-1 Program Element (Number/Name)       Project (Number/Name)         0400 / 2       PE 0602303E / I/NFORMATION & COMMUNICATION & COMMUNICATION S COMMUNICATION S TECHNOLOGY       INFORMATION ASSURANCE / SURVIVABILITY         B. Accomplishments/Planned Programs (\$ in Millions)       FY 2015       FY 2016       FY 2         Description: The Active Cyber Defense (ACD) program will enable DoD cyber operators to fully leverage our inherent home field advantage when defending the DoD cyber battlespace. In the cyber environment, defenders have detailed knowledge of, and unlimited access to, the system resources that attackers wish to gain. The ACD program will exploit emerging technologies to facilitate the conduct of defensive operators that involve immediate and direct engagement between DoD cyber operators and increase their work factor by limiting success from their efforts.       FY 2015 Accomplishments:       FY 2015 Accomplishments:       FY 2015 Accomplishments:       FY 2015 FY 2016 FY 2016 FY 2016 FY 2016 FY 2015 FY 2016 FY 2015 FY |      |
|---|------|
| Description:       The Active Cyber Defense (ACD) program will enable DoD cyber operators to fully leverage our inherent home field advantage when defending the DoD cyber battlespace. In the cyber environment, defenders have detailed knowledge of, and unlimited access to, the system resources that attackers wish to gain. The ACD program will exploit emerging technologies to facilitate the conduct of defensive operations that involve immediate and direct engagement between DoD cyber operators and sophisticated cyber adversaries. Through these active engagements, DoD cyber defenders will be able to more readily disrupt, counter, and neutralize adversary cyber tradecraft in real time. Moreover, ACD-facilitated operations should cause adversaries to be more cautious and increase their work factor by limiting success from their efforts.         FY 2015 Accomplishments:         - Completed development of system components.         - Performed a limited capability demonstration at CYBERFLAG 15-1 training exercise by successfully defending a targeted network enclave from attack.         - Began integration of technologies into complete prototype platforms.         - Tested integrated capabilities in collaboration with Director, Operational Test and Evaluation (DOT&E).  | ٩ND  |
| advantage when defending the DoD cyber battlespace. In the cyber environment, defenders have detailed knowledge of, and<br>unlimited access to, the system resources that attackers wish to gain. The ACD program will exploit emerging technologies to<br>facilitate the conduct of defensive operations that involve immediate and direct engagement between DoD cyber operators and<br>sophisticated cyber adversaries. Through these active engagements, DoD cyber defenders will be able to more readily disrupt,<br>counter, and neutralize adversary cyber tradecraft in real time. Moreover, ACD-facilitated operations should cause adversaries to<br>be more cautious and increase their work factor by limiting success from their efforts.<br><b>FY 2015 Accomplishments:</b><br>- Completed development of system components.<br>- Performed a limited capability demonstration at CYBERFLAG 15-1 training exercise by successfully defending a targeted<br>network enclave from attack.<br>- Began integration of technologies into complete prototype platforms.<br>- Tested integrated capabilities in collaboration with Director, Operational Test and Evaluation (DOT&E).  | .017 |
| <ul> <li>Completed development of system components.</li> <li>Performed a limited capability demonstration at CYBERFLAG 15-1 training exercise by successfully defending a targeted network enclave from attack.</li> <li>Began integration of technologies into complete prototype platforms.</li> <li>Tested integrated capabilities in collaboration with Director, Operational Test and Evaluation (DOT&amp;E).</li> </ul>  |      |
|   |      |
| FY 2016 Plans:  |      |
| Title: Rapid Software Development using Binary Components (RAPID)       10.396       -  | -    |
| <b>Description:</b> The Rapid Software Development using Binary Components (RAPID) program developed a system to identify<br>and extract software components for reuse in new applications. The DoD has critical applications that must be ported to future<br>operating systems. In many cases, the application source code is no longer available requiring these applications to continue to<br>run on insecure and outdated operating systems, potentially impacting operations. Advanced technology development for the<br>program was budgeted in PE 0603760E, Project CCC-04.  |      |
| FY 2015 Accomplishments:       -         - Developed new software component reuse capabilities to extend application performance to a wider range of realistic scenarios and enable an expanded concept of operations.       -         - Implemented new capabilities in modules designed to interoperate seamlessly with deployed RAPID prototype systems.       -         - Integrated new modules into prototype RAPID systems deployed at transition partner sites and supported initial operations.       -  |      |
| Title: Active Authentication       7.025       -  | -    |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency Date: February 2016   |   |         |  |         |  |  |
|--|---|---------|--|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 2  | R-1 Program Element (Number/Name)<br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   |         | je <b>ct (Number/Name)</b><br>3 I INFORMATION ASSURANCE AND<br>RVIVABILITY |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016  | FY 2017 |  |  |
| <b>Description:</b> The Active Authentication program developed more effective use<br>Current authentication approaches are typically based on long, complex passw<br>that the user originally authenticated is the user still in control of the session. T<br>these issues by focusing on the unique aspects of the individual (i.e., the cogni<br>biometrics that continuously validate the identity of the user. Active Authenticated<br>create an authentication system that is accurate, robust, and transparent to the   | rords and incorporate no mechanism to verify<br>The Active Authentication program addressed<br>tive fingerprint) through the use of software-ba<br>tion integrated multiple biometric modalities to |         |  |         |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated multiple authentication biometrics suitable for deployment on of the DoD.</li> <li>Prototyped an authentication platform suitable for use on desktop and mobile sponsors.</li> <li>Proved flexibility of the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating an additional suitable for the underlying prototype platform by creating additional suitable for the underlying prototype platform by cre</li></ul> | e hardware in collaboration with potential transi   |         |  |         |  |  |
| Title: Anomaly Detection at Multiple Scales (ADAMS)  |   | 7.000   | -  | -       |  |  |
| <b>Description:</b> The Anomaly Detection at Multiple Scales (ADAMS) program developed and applied algorithms for detecting anomalous, threat-related behavior of systems, individuals, and groups over hours, days, months, and years. ADAMS developed flexible, scalable, and highly interactive approaches to extracting actionable information from information system log files, sensors, and other instrumentation. ADAMS integrated these anomaly detection algorithms to produce adaptable systems for timely insider threat detection.  |   |         |  |         |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed techniques for representing end-user knowledge and feedback to working with the most effective features possible.</li> <li>Demonstrated and quantified performance of algorithms in a series of control</li> <li>Hardened prototypes and installed these in operational environments for test</li> </ul>  | lled tests on blended synthetic/real data.  | re      |  |         |  |  |
| Title: Clean-slate design of Resilient, Adaptive, Secure Hosts (CRASH)   |   | 6.730   | -  | -       |  |  |
| <b>Description:</b> The Clean-slate design of Resilient, Adaptive, Secure Hosts (CR, technologies using the mechanisms of biological systems as inspiration for radii designs. Higher level organisms have two distinct immune systems: the innate against a fixed set of pathogens; the adaptive system is slower but can learn to developed mechanisms at the hardware and operating system level that elimin. However, because novel attacks will be developed, CRASH also developed so   | ically re-thinking basic hardware and system<br>system is fast and deadly but is only effective<br>precognize novel pathogens. Similarly, CRAS<br>ate known vulnerabilities exploited by attacker   | H<br>5. |  |         |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |   |   | Date: February 2016 |         |         |  |
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| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY  | Project (Number/Name)<br>IT-03 I INFORMATION ASSURANCE AND<br>SURVIVABILITY |                     |         |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 20   | 15                  | FY 2016 | FY 2017 |  |
| to defend itself, to maintain its capabilities, and even heal itself. Finally, biolog population defense; CRASH developed techniques that make each computer s each system to change over time.  |   | ,   |                     |         |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Produced a hardened web server and browser that enable the creation of se</li> <li>Initiated two international standards submissions for securing web browsers</li> <li>Demonstrated policy-based application monitoring and hardware-assisted see based detection of malicious software.</li> <li>Developed and demonstrated automated code randomization techniques to</li> <li>Developed and commercialized technology to detect hardware trojans in field and provide host protection for embedded devices, including routers, printers and provide host protection for embedded devices.</li> </ul>  | and their communications.<br>elf-healing of multiple applications and hardwar<br>implement moving target defenses for software<br>d programmable gate array (FPGA) componen | S   |                     |         |         |  |
| Title: Integrated Cyber Analysis System (ICAS)   |   | 3   | .000                | -       | -       |  |
| <b>Description:</b> The Integrated Cyber Analysis System (ICAS) program developed techniques to automatically discover probes, intrusions, and persistent attacks on enterprise networks. At present, discovering the actions of capable adversaries requires painstaking forensic analysis of numerous system logs by highly skilled security analysts and system administrators. ICAS technologies facilitate the correlation of interactions and behavior patterns across all system data sources and thereby rapidly uncover aberrant events and detect system compromise. This includes technologies for automatically representing, indexing, and reasoning over diverse, distributed, security-related data and system files. |   |   |                     |         |         |  |
| <b>FY 2015 Accomplishments:</b> - Developed and implemented algorithms for automatically identifying and quanetworks.  | antifying specific security risks on enterprise   |   |                     |         |         |  |
| - Conducted initial technology demonstrations including automatic indexing of reasoning across federated databases.  | data sources, common language integration, a  | nd  |                     |         |         |  |
| <ul> <li>Integrated, evaluated, and optimized algorithms via testing against attacks/p</li> <li>Completed fully functional beta versions of the applications with operational solutions.</li> </ul>  |   | i.  |                     |         |         |  |
|  | Accomplishments/Planned Programs Sub  | otals 170   | .959                | 202.252 | 255.137 |  |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A  |   |   |                     |         |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 D | efense Advanced Research Projects Agency  | Date: February 2016   |  |  |
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| Appropriation/Budget Activity<br>0400 / 2            | R-1 Program Element (Number/Name)<br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY | Project (Number/Name)<br>IT-03 / INFORMATION ASSURANCE AND<br>SURVIVABILITY |  |  |
| C. Other Program Funding Summary (\$ in Millions)    |   |   |  |  |
| Remarks  |   |   |  |  |
| D. Acquisition Strategy<br>N/A                       |   |   |  |  |
| E. Performance Metrics                               |   |   |  |  |
|  | pove in the program accomplishments and plans section.  |   |  |  |
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| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency D |                |         |         |                 |  |                  |         |         | Date: February 2016                                       |         |                     |               |
|--|----------------|---------|---------|-----------------|--|------------------|---------|---------|---|---------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2  |                |         |         |                 | PE 0602303E / INFORMATION & IT-04 / LA |                  |         |         | lumber/Name)<br>NGUAGE UNDERSTANDING<br>BIOTIC AUTOMATION |         |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO                         | FY 2017<br>Total | FY 2018 | FY 2019 | FY 2020   | FY 2021 | Cost To<br>Complete | Total<br>Cost |
| IT-04: LANGUAGE<br>UNDERSTANDING AND<br>SYMBIOTIC AUTOMATION                                   | -              | 48.636  | 60.948  | 56.039          | -                                      | 56.039           | 41.574  | 41.856  | 41.755  | 36.755  | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Language Understanding and Symbiotic Automation project develops technologies to enable computing systems to understand human speech and extract information contained in diverse media; to learn, reason and apply knowledge gained through experience; and to respond intelligently to new and unforeseen events. Enabling computing systems in this manner is of critical importance because sensor, information, and communication systems generate data at rates beyond which humans can assimilate, understand, and act. Incorporating these technologies in military systems will enable warfighters to make better decisions in complex, time-critical, battlefield environments; and allow intelligence analysts to make sense of massive, incomplete, and contradictory information; and unmanned systems to operate safely with high degrees of autonomy.

| B. Accomplishments/Planned Programs (\$ in Millions)  | FY 2015 | FY 2016 | FY 2017 |
|---|---------|---------|---------|
| Title: Low Resource Languages for Emergent Incidents (LORELEI)  | 17.875  | 22.225  | 28.620  |
| <b>Description:</b> The Low Resource Languages for Emergent Incidents (LORELEI) program is developing the technology to rapidly field machine translation capabilities for low-resource foreign languages. The United States military operates globally and frequently encounters low-resource languages, i.e., languages for which few linguists are available and no automated human language technology capability exists. Historically, exploiting foreign language materials required protracted effort, and current systems rely on huge, manually-translated, manually-transcribed, or manually-annotated data sets. As a result, systems currently exist only for languages in widespread use and in high demand. LORELEI will take a different approach by leveraging language-universal resources, projecting from related-language resources, and fully exploiting a broad range of language-specific resources. These capabilities will be exercised to rapidly provide situational awareness based on information from any language in support of emergent missions such as humanitarian assistance/disaster relief, terrorist attack response, peacekeeping, and infectious disease response. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Explored techniques for optimizing combinations of existing resources to eliminate reliance on large parallel corpora.</li> <li>Proved viability of techniques to identify and link mentions of entities from text in a low-resource language to a knowledge base.</li> <li>Developed methodologies for generating morphological variants of a word and for clustering entity mentions.</li> </ul>   |         |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop initial techniques for quantifying the linguistic similarity of language usage in diverse documents and media.</li> <li>Develop algorithms to exploit the universal properties of languages when rapidly ramping up for a low-resource language.</li> </ul>  |         |         |         |

PE 0602303E: *INFORMATION & COMMUNICATIONS TECHNOLOGY* Defense Advanced Research Projects Agency UNCLASSIFIED Page 26 of 33

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | earch Projects Agency  |       | Date: F  | ebruary 2016 |         |
|--|--|-------|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | R-1 Program Element (Number/Name)<br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY  | IT-04 | <b>ct (Number/N</b><br>I LANGUAGE<br>SYMBIOTIC A | UNDERSTA     | I       |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |       | FY 2015  | FY 2016      | FY 2017 |
| <ul> <li>Develop semantic techniques for identifying the common topics, themes, and languages.</li> <li>Collect, generate, and annotate data for an initial set of resources in typologic</li> <li>Create a baseline toolkit to rapidly develop an initial situational awareness ca document collection.</li> </ul>  | cally representative medium-resource languag   |       |  |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop means to determine opinions and beliefs in low-resource languages.</li> <li>Construct an integrated system employing multiple algorithms for low-resource</li> <li>Develop the user interface platform that will provide native speaker information information to the users.</li> <li>Evaluate the performance of the analysis algorithms on two new languages at in the previous year.</li> <li>Work with end users to utilize and evaluate the interface platform.</li> <li>Title: Deep Exploration and Filtering of Text (DEFT)</li> </ul>  | ce language analysis.<br>on to the analysis platform and provide query-o   |       | 23.933   | 30.223       | 17.419  |
|  |  |       | 23.933   | 30.223       | 17.419  |
| <b>Description:</b> The Deep Exploration and Filtering of Text (DEFT) program is de extraction, processing, and inference of information from text in operationally reis to determine explicit and implicit meaning in text through probabilistic inference. To accomplish this, DEFT will develop and apply formal representations for bas relationships, causal and process knowledge, textually entailed information, and events. DEFT inputs may be in English or in a foreign language and sources m DEFT will extract knowledge at scale for open source intelligence and threat an intelligence community and operational commands. | elevant application domains. A key DEFT emp<br>ce, anomaly detection, and other techniques.<br>sic facts, spatial, temporal, and associative<br>d derived relationships and correlated actions<br>hay be reports, messages, or other documents | hasis |  |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed technology for extracting belief, sentiment and intent, for represent for inference from a set of documents.</li> <li>Integrated multiple complementary algorithms into a comprehensive and consworkflows and problems.</li> <li>Focused algorithm development on knowledge base representation in preparenable reasoning and downstream analysis.</li> <li>Initiated work to adapt algorithms to specific foreign languages.</li> <li>Conducted performance evaluations on event representation and other aspect</li> </ul>  | sistent functional suite to support end-user   |       |  |              |         |

PE 0602303E: *INFORMATION & COMMUNICATIONS TECHNOLOGY* Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |             | Date: F | ebruary 2016                      |         |
|---|---|-------------|---------|-----------------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   | IT-04 / L   |         | lame)<br>E UNDERSTA<br>AUTOMATION |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | F           | Y 2015  | FY 2016                           | FY 2017 |
| - Transitioned multiple algorithms and conducted effectiveness assessments a  | at multiple end-user sites.   |             |         |                                   |         |
| <ul> <li>FY 2016 Plans:</li> <li>Improve algorithm performance on current functions and expand to new function algorithms to function across multiple documents.</li> <li>Improve the discovery of different ways in which names of people and other and develop techniques for linking them together.</li> <li>Merge and optimize combined output of algorithms focused on different tasks argument and attribute identification, and relation mapping.</li> <li>Develop methods for evaluating the effectiveness of various natural language environment, including evaluation of sentiment and belief analysis.</li> <li>Transition an initial system-level prototype and additional component prototy.</li> <li>Refine areas of focus based on results of transition site evaluations and oper FY 2017 Plans:</li> <li>Develop algorithms to detect sub-events and identify their relationships to mage.</li> </ul> | entities are expressed across multiple docume<br>s such as belief and sentiment extraction, even<br>e processing algorithms in a multi-lingual<br>pes to end-user sites for effectiveness assess<br>n evaluation performance. | ents,<br>ht |         |                                   |         |
| <ul> <li>Develop algorithms to combine information from multiple language sources.</li> <li>Transition a multi-lingual system-level prototype to end-user sites for effective</li> </ul>  | eness assessment.   |             |         |                                   |         |
| <i>Title:</i> Robust Automatic Transcription of Speech (RATS)   |   |             | 6.828   | 8.500                             | -       |
| <b>Description:</b> The Robust Automatic Transcription of Speech (RATS) program for conditions in which speech signals are degraded by distortion, reverberation processing technologies enable soldiers to hear or read clear English versions noisy or reverberant environment. Techniques of interest include speech actividentification, and keyword spotting. RATS technology is being developed and several operational users.  | n, and/or competing conversation. Robust spe<br>of what is being said in their vicinity, despite a<br>ity detection, language identification, speaker   | ech         |         |                                   |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed new methods for field adaptations, which include lightly supervise new channels and environments.</li> <li>Developed methods for coping with extraneous signals found in field data.</li> <li>Developed techniques to reduce the data required to adapt algorithms to new</li> </ul>   |   | ms to       |         |                                   |         |
|   | w channels from hours to minutes.   |             |         |                                   |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advance  | ced Research Projects Agency   | Date: February 2016                                    |         |         |  |
|---|--|--|---------|---------|--|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY   | Project (Number/I<br>IT-04 / LANGUAGI<br>AND SYMBIOTIC |         |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015  | FY 2016 | FY 2017 |  |
| - Produced a software integrated platform with a set of Application Prog<br>(GUIs) to be inserted at DoD and intelligence community partner sites a   |  |  |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Develop, integrate and test techniques to deal with multiple speakers</li> <li>Collect and annotate additional field collected data.</li> <li>Develop unified API and interface to support multiple tactical integration</li> <li>Integrate technologies in transition partner platforms, adjusting system</li> <li>Evaluate technologies on specialized operational scenarios.</li> </ul>   | on platforms.  |  |         |         |  |
| Title: Understanding Machine Intelligence (UMI)   |  | -  | -       | 10.000  |  |
| <b>Description:</b> The Understanding Machine Intelligence (UMI) program w<br>(AI) systems to better support users through transparent operation. If c<br>systems will need to perform increasingly complex and sensitive mission<br>order for developers, users, and senior leaders to feel confident enough<br>with high degrees of transparency, reliability, predictability, and safety.<br>by providing supporting rationale and logic sequences that establish the<br>will be made to develop a mathematically rigorous virtual stability theory<br>stability theory developed for dynamical systems (solutions to systems of<br>will enable the creation of feedback mechanisms that flag, interrupt, and<br>safe, predictable operation. UMI implementations will be developed and<br>autonomous systems. This program was previously funded in PE 0602 | urrent trends continue, future U.S. military autonomo<br>ns. AI will be critical to such autonomous systems, b<br>n to deploy and use AI-enabled systems, they must o<br>UMI will develop AI technologies that support transpa-<br>e basis for and reliability of outputs. In addition, effort<br>y for AI-enabled systems analogous to the (convention<br>of differential equations). Such a virtual stability theo<br>d modify anomalous outputs and behaviors to ensure<br>d demonstrated in next-generation decision-support a | us<br>ut in<br>perate<br>arency<br>s<br>nal)<br>ry     |         |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Formulate approaches for AI systems to explain their behavior and classifier of the provide users with logic</li> <li>Develop a mathematically rigorous virtual stability theory for AI-enable theory developed for dynamical systems.</li> <li>Propose a general technology for building systems with the ability to users.</li> </ul>  | c/data that drives AI system outputs/behaviors.<br>ed logic systems analogous to the (conventional) stal   | bility   |         |         |  |
|   | Accomplishments/Planned Programs Sub   | <b>totals</b> 48.636                                   | 60.948  | 56.039  |  |
| C. Other Program Funding Summary (\$ in Millions)   |  |  |         |         |  |

N/A

| xhibit R-2A, RDT&E Project Justification: PB 2017 D | efense Advanced Research Projects Agency  | Date: February 2016  |
|---|---|--|
| ppropriation/Budget Activity<br>400 / 2             | R-1 Program Element (Number/Name)<br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY | <b>Project (Number/Name)</b><br>IT-04 <i>I LANGUAGE UNDERSTANDING</i><br><i>AND SYMBIOTIC AUTOMATION</i> |
| . Other Program Funding Summary (\$ in Millions)    |   |  |
| emarks  |   |  |
| <mark>9. Acquisition Strategy</mark><br>N∕A         |   |  |
| . Performance Metrics                               |   |  |
|   | ove in the program accomplishments and plans section.   |  |
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| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |         |         |                 |  |                  |         |         |   | Date: February 2016 |                     |               |
|--|----------------|---------|---------|-----------------|--|------------------|---------|---------|---|---------------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2  |                |         |         |                 | <b>R-1 Program Element (Number/Name)</b><br>PE 0602303E / INFORMATION &<br>COMMUNICATIONS TECHNOLOGY |                  |         |         | Project (Number/Name)<br>IT-05 / CYBER TECHNOLOGY |                     |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO   | FY 2017<br>Total | FY 2018 | FY 2019 | FY 2020   | FY 2021             | Cost To<br>Complete | Total<br>Cost |
| IT-05: CYBER TECHNOLOGY  | -              | 63.891  | 39.664  | 0.000           | -  | 0.000            | 0.000   | 0.000   | 0.000   | 0.000               | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Cyber Technology project develops technology to increase the security of military information systems and the effectiveness of cyber operations. Over the past decade the DoD has embraced net-centric warfare by integrating people, platforms, weapons, sensors, and decision aids. Adversaries seek to limit this force multiplier through cyber attacks intended to degrade, disrupt, or deny military computing, communications, and networking systems. Technologies developed under the Cyber Technology project will ensure DoD net-centric capabilities survive adversary cyber attacks and will enable new cyber-warfighting capabilities. Promising technologies will transition to system-level projects.

| B. Accomplishments/Planned Programs (\$ in Millions)  | FY 2015 | FY 2016 | FY 2017 |
|---|---------|---------|---------|
| <i>Title:</i> Plan X  | 38.161  | 29.800  | -       |
| <b>Description:</b> The Plan X program is developing technologies to enable comprehensive awareness and understanding of the cyber battlespace as required for visualizing, planning, and executing military cyber warfare operations. This includes intelligence preparation of the cyber battlespace, indications and warning of adversary cyber actions, detection of cyber-attack onset, cyber-attacker identification, and cyber battle damage assessment. Plan X is creating new graphical interfaces that enable intuitive visualization of events on hosts and networks to aid in the planning and execution of cyber warfare. Plan X will extend operationally meaningful measures to project quantitatively the collateral damage of executed cyber warfare missions. Plan X funding continues in FY 2017 in Project IT-03. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Created runtime environment and platforms capable of supporting a large scale user base, massive-scale deployments, resiliency to failure of any system component, and managed high ingest rates.</li> <li>Demonstrated military network tactical situational awareness applications and use cases.</li> <li>Released Plan X 1.0 system and field tested capabilities at Cyber Guard 2015.</li> <li>Conducted field tests of computer network operations scenario development and training capabilities.</li> <li>Planned transition to operational environments including understanding of transition partner networks and integration points.</li> </ul>   |         |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Publish application store software development kit and integrate third party cyber capabilities.</li> <li>Refine analytics features for battlespace, analysis of courses of action, and planning subsystems.</li> <li>Adopt and integrate security access and use privileges, and demonstrate large-scale deployment of the end-to-end system with users in disparate locations.</li> </ul>  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advance  | d Research Projects Agency  | Date: F                               | ebruary 2016 |         |
|---|---|---------------------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   |   | Project (Number/I<br>IT-05 / CYBER TE |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015                               | FY 2016      | FY 2017 |
| <ul> <li>Integrate with existing military command and control/intel systems to all provide visualization and insights into the cyber battlespace.</li> <li>Release Plan X 2.0 system and field test capabilities at Cyber Flag 201 and Service components.</li> </ul>   |   | ОМ                                    |              |         |
| Title: Cyber Grand Challenge (CGC)  |   | 16.832                                | 9.864        | -       |
| <b>Description:</b> The Cyber Grand Challenge (CGC) is creating automated of more rapidly than human operators. CGC technology will monitor defend about flawed software, formulate effective defenses, and deploy defenses integrated may include anomaly detection, Monte Carlo input generation, and stochastic optimization. The CGC capability is needed because high complexity, and scale that exceed the capability of human cyber defende competition through a Grand Challenge in which CGC technologies comp. Project IT-03. | ed software and networks during operations, reason<br>a automatically. Technologies to be developed and<br>case-based reasoning, heuristics, game theory,<br>ly-scripted, distributed cyber attacks exhibit speed,<br>rs to respond in a timely manner. DARPA will incent | vize                                  |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Conducted mid-term qualification of finalist automated cyber technologi</li> <li>Began second phase development of automated cyber defenders to all</li> <li>Released first of two cyber research measurement and experimentation</li> </ul>   | ow real time in situ network defense decision-making  | J.                                    |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Conduct world's first automated computer security contest: CGC Final F</li> <li>Prepare automated systems for final competition via a multi-month serie</li> <li>Release final event results as cyber research corpus to measure and classical systems and classical systems for final computer security contest.</li> </ul>   | es of audited trials.   |                                       |              |         |
| Title: Crowd Sourced Formal Verification (CSFV)   |   | 8.898                                 | -            | -       |
| <b>Description:</b> The Crowd-Sourced Formal Verification (CSFV) program or<br>approaches to securing software systems through formal verification. For<br>that software has specified properties, but formal verification does not cur<br>weapon systems. CSFV enabled non-specialists to participate productive<br>formal verification problems into user-driven simulations that are intuitively   | rmal software verification is a rigorous method for pro-<br>rently scale to the size of software found in modern<br>by in the formal verification process by transforming   | oving                                 |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed development of five new simulations.</li> <li>Refined simulations to make them accessible to a large set of non-species.</li> </ul>  | ialists.  |                                       |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 D                        |  |  | ebruary 2016 |         |  |
|---|--|--|--------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 2                                   |  | ject (Number/Name)<br>5 / CYBER TECHNOLOGY |              |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions                         |  | FY 2015                                    | FY 2016      | FY 2017 |  |
| - Augmented simulations to handle large Java and C co code.                 | mputer programs consisting of hundreds of thousands of lines of source | •  |              |         |  |
| <ul> <li>Enhanced public website to include these new simulation</li> </ul> | ions   |  |              |         |  |
| <ul> <li>Assessed effectiveness of the new simulations on larg</li> </ul>   |  |  |              |         |  |
| ·   | Accomplishments/Planned Programs Subtot                                | als 63.891                                 | 39.664       |         |  |
| O Other Decement Funding Outperson (Å in Millione)                          |  | I  |              |         |  |
| C. Other Program Funding Summary (\$ in Millions)                           |  |  |              |         |  |
| N/A   |  |  |              |         |  |
| Remarks   |  |  |              |         |  |
| D. Acquisition Strategy   |  |  |              |         |  |
| N/A   |  |  |              |         |  |
|   |  |  |              |         |  |
| E. Performance Metrics  |  |  |              |         |  |
| Specific programmatic performance metrics are listed at                     | bove in the program accomplishments and plans section.                 |  |              |         |  |
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| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency                                  |                |         |         |  |                |                  |         | Date: February 2016 |         |         |                     |               |
|--|----------------|---------|---------|--|----------------|------------------|---------|---------------------|---------|---------|---------------------|---------------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research |                |         |         | <b>R-1 Program Element (Number/Name)</b><br>PE 0602383E / BIOLOGICAL WARFARE DEFENSE |                |                  |         |                     |         |         |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base  | FY 2017<br>OCO | FY 2017<br>Total | FY 2018 | FY 2019             | FY 2020 | FY 2021 | Cost To<br>Complete | Total<br>Cost |
| Total Program Element  | -              | 42.447  | 24.265  | 21.250   | -              | 21.250           | 11.014  | 13.469              | 14.346  | 14.346  | -                   | -             |
| BW-01: BIOLOGICAL<br>WARFARE DEFENSE   | -              | 42.447  | 24.265  | 21.250   | -              | 21.250           | 11.014  | 13.469              | 14.346  | 14.346  | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Biological Warfare Defense project is budgeted in the Applied Research Budget Activity because its focus is on the underlying technologies associated with the detection, prevention, treatment and remediation of biological, chemical, and radionuclide threats.

Efforts to counter existing and emerging biological; chemical and radiological threats include countermeasures to stop the pathophysiologic processes that occur as a consequence of an attack; host immune response enhancers; medical diagnostics for the most virulent pathogens and their molecular mechanisms; collection of environmental trace constituents to support chemical mapping, tactical and strategic biological, chemical, and radiological sensors; and integrated defense systems. This program also includes development of a unique set of platform technologies and medical countermeasures synthesis that will dramatically decrease the timeline from military threat detection to countermeasure availability.

| B. Program Change Summary (\$ in Millions)            | <u>FY 2015</u> | <u>FY 2016</u> | FY 2017 Base | FY 2017 OCO | FY 2017 Total |
|---|----------------|----------------|--------------|-------------|---------------|
| Previous President's Budget                           | 43.780         | 29.265         | 18.250       | -           | 18.250        |
| Current President's Budget                            | 42.447         | 24.265         | 21.250       | -           | 21.250        |
| Total Adjustments                                     | -1.333         | -5.000         | 3.000        | -           | 3.000         |
| <ul> <li>Congressional General Reductions</li> </ul>  | 0.000          | -5.000         |              |             |               |
| <ul> <li>Congressional Directed Reductions</li> </ul> | 0.000          | 0.000          |              |             |               |
| <ul> <li>Congressional Rescissions</li> </ul>         | 0.000          | 0.000          |              |             |               |
| <ul> <li>Congressional Adds</li> </ul>                | 0.000          | 0.000          |              |             |               |
| <ul> <li>Congressional Directed Transfers</li> </ul>  | 0.000          | 0.000          |              |             |               |
| Reprogrammings  | 0.000          | 0.000          |              |             |               |
| SBIR/STTR Transfer                                    | -1.333         | 0.000          |              |             |               |
| TotalOtherAdjustments                                 | -              | -              | 3.000        | -           | 3.000         |

#### **Change Summary Explanation**

FY 2015: Decrease reflects the SBIR/STTR transfer.

FY 2016: Decrease reflects congressional reduction.

FY 2017: Increase reflects program repricing in Defense Against Mass Terror Threats.

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance  | d Research Projects Agency   | Date: F | ebruary 2016 |         |
|--|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602383E <i>I BIOLOGICAL WARFARE DEFENSI</i>  | E       |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| Title: Medical Countermeasures   |  | 18.447  | 9.750        | 7.082   |
| <b>Description:</b> To further develop an expedited medical countermeasure capa<br>address the safety and efficacy considerations in the risk/benefit package ner<br>or engineered biological warfare threats and new emerging chemical and rad<br>be focused on reduction of time, risk, and costs associated with new therape<br>develop in vitro tissue constructs (IVTC) that will emulate human response to<br>reducing the cost and time for evaluating safety and efficacy of therapeutics.   | cessary to successfully counter naturally emerging<br>liological threats. These technologies will also<br>utic development. For example, this program will   |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated an expanded set of IVTCs able to reproduce the function of</li> <li>Demonstrated an automated prototype system for monitoring the health an</li> <li>Designed and built additional modules that are compatible with the expand<br/>the integrated IVTCs for two weeks.</li> <li>Demonstrated that the expanded set of four IVTCs individually respond and<br/>the known effects of those compounds on the corresponding human tissues.</li> <li>Demonstrated that a modular arrangement of the expanded set of four IVT<br/>metabolism, and elimination that the test compounds are known to exhibit in</li> </ul> | d response of IVTCs to test compounds.<br>ed set of IVTCs and enable the platform to sustain<br>d react to test compounds in a manner consistent with<br>Cs can be used to predict the absorption, distribution, |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate an expanded set of IVTCs able to reproduce the function of s</li> <li>Design and build additional modules that are compatible with the expanded integrated IVTCs for three weeks.</li> <li>Demonstrate that the expanded set of seven IVTCs individually respond ar with the known effects of those compounds on the corresponding human tiss</li> <li>Demonstrate that a modular arrangement of the expanded set of seven IVT distribution, metabolism, and elimination that the test compounds are known</li> </ul>   | d set of IVTCs and enable the platform to sustain the<br>nd react to test compounds in a manner consistent<br>ues.<br>TCs can be used to predict the absorption,   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate an expanded set of IVTCs able to reproduce the function of te</li> <li>Design and build additional modules that are compatible with the expanded integrated IVTCs for four weeks.</li> <li>Demonstrate that the expanded set of ten IVTCs individually respond and r the known effects of those compounds on the corresponding human tissues.</li> </ul>  | en human physiological systems.<br>I set of IVTCs and enable the platform to sustain the   |         |              |         |
| Title: Defense Against Mass Terror Threats   |  | 24.000  | 14.515       | 14.168  |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | ed Research Projects Agency   | Date: F | ebruary 2016 | i       |
|---|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602383E <i>I BIOLOGICAL WARFARE DEFENSE</i>   | Ē       |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016      | FY 2017 |
| <b>Description:</b> The objective of the Defense Against Mass Terror Threats prog<br>the potential to significantly improve U.S. ability to reduce the risk of mass can<br>in reducing U.S. vulnerability to a nuclear attack include monitoring radiation<br>the lethal short and long term effects of ionizing radiation. A major goal of this<br>networks that can economically and reliably provide wide area monitoring of r | sualties in the wake of a nuclear attack. Challenges<br>levels and exposure in urban areas and mitigating<br>is program is to develop new sensors and sensing |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed the requirements for a low cost, pervasive detection network for</li> <li>Demonstrated novel manufacturing approaches that can lower the cost of reperformance.</li> </ul>   |   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop high performance radiation detectors for wide-area monitoring and cost production.</li> <li>Develop and study concepts-of-operations for wide-area radiation monitoring</li> </ul>   |   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Optimize system models and detection algorithms utilizing multiple sensor i</li> <li>Integrate detection algorithms with high performance radiation detectors to</li> <li>Demonstrate a wide-area, radiation monitoring, sensor network at large sca<br/>collections.</li> </ul>   | form a sensor network for wide-area monitoring.   |         |              |         |
|   | Accomplishments/Planned Programs Subtotals  | 42.447  | 24.265       | 21.25   |
| <u>D. Other Program Funding Summary (\$ in Millions)</u><br>N/A<br><u>Remarks</u>   |   |         |              |         |
| <u>E. Acquisition Strategy</u><br>N/A   |   |         |              |         |
| <b>F. Performance Metrics</b><br>Specific programmatic performance metrics are listed above in the program a  | accomplishments and plans section.  |         |              |         |
|   |   |         |              |         |

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| Exhibit R-2, RDT&E Budget Iten  | n Justificat  | ion: PB 20 | 17 Defense | Advanced        | Research Projects Agency |                  |         |         |         |         | Date: February 2016 |               |  |  |
|---|---|------------|------------|-----------------|--------------------------|------------------|---------|---------|---------|---------|---------------------|---------------|--|--|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Te<br>Applied Research | rch, Development, Test & Evaluation, Defense-Wide / BA 2: PE 0602702E / TACTICAL TECHNOLOGY |            |            |                 |                          |                  |         |         |         |         |                     |               |  |  |
| COST (\$ in Millions)   | Prior<br>Years  | FY 2015    | FY 2016    | FY 2017<br>Base | FY 2017<br>OCO           | FY 2017<br>Total | FY 2018 | FY 2019 | FY 2020 | FY 2021 | Cost To<br>Complete | Total<br>Cost |  |  |
| Total Program Element   | -   | 299.787    | 302.582    | 313.843         | -                        | 313.843          | 381.964 | 370.283 | 403.688 | 407.797 | -                   | -             |  |  |
| TT-03: NAVAL WARFARE<br>TECHNOLOGY  | -   | 61.648     | 52.128     | 43.024          | -                        | 43.024           | 53.544  | 64.765  | 43.451  | 53.451  | -                   | -             |  |  |
| TT-04: ADVANCED LAND<br>SYSTEMS TECHNOLOGY  | -   | 57.521     | 63.118     | 52.847          | -                        | 52.847           | 62.527  | 68.518  | 96.298  | 101.298 | -                   | -             |  |  |
| TT-06: ADVANCED TACTICAL<br>TECHNOLOGY  | -   | 14.861     | 13.468     | 6.500           | -                        | 6.500            | 0.000   | 0.000   | 0.000   | 0.000   | -                   | -             |  |  |
| TT-07: AERONAUTICS<br>TECHNOLOGY  | -   | 50.245     | 31.621     | 62.876          | -                        | 62.876           | 95.361  | 62.424  | 51.434  | 42.434  | -                   | -             |  |  |
| TT-13: NETWORK CENTRIC<br>ENABLING TECHNOLOGY   | -   | 115.512    | 142.247    | 148.596         | -                        | 148.596          | 170.532 | 174.576 | 212.505 | 210.614 | -                   | -             |  |  |

#### A. Mission Description and Budget Item Justification

This program element is budgeted in the Applied Research Budget Activity because it supports the advancement of concepts and technologies to enhance the next generation of tactical systems. The Tactical Technology program element funds a number of projects in the areas of Naval Warfare, Advanced Land Systems, Advanced Tactical Technology, Aeronautics Technology and Network Centric Enabling Technology.

The Naval Warfare Technology project develops advanced technologies for application to a broad range of naval requirements. Enabling and novel technologies include concepts for expanding the envelope of operational naval capabilities such as improved situational awareness over large maritime environments, ship self-defense techniques, novel underwater propulsion modalities, high speed underwater vessels, improved techniques for underwater object detection and discrimination, long endurance unmanned surface vehicles, and high bandwidth communications.

The Advanced Land Systems Technology project is developing technologies for enhancing U.S. military effectiveness and survivability in operations ranging from traditional threats to military operations against irregular forces that can employ disruptive or catastrophic capabilities, or disrupt stabilization operations. The emphasis is on developing affordable technologies that will enhance the military's effectiveness while decreasing the exposure of U.S. or allied forces to enemy fire. This project will also explore novel design technologies for the manufacture of ground vehicles and new tools for systems assessments of emerging DARPA technologies.

The Advanced Tactical Technology project focuses on broad technology areas including compact, efficient, frequency-agile, diode-pumped, solid-state lasers for infrared countermeasures, laser radar, holographic laser sensors, communications, and high-power laser applications.

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 D                                    | etense Advanced    | -                  |  |                           | : February 20   | 16        |
|--|--------------------|--------------------|--|---------------------------|-----------------|-----------|
| Appropriation/Budget Activity<br>0400: Research, Development, Test & Evaluation, Defense-N | Nide I BA 2:       |                    | ement (Number/Name)<br>TACTICAL TECHNOLO |                           |                 |           |
| Applied Research   | Muer DA 2.         |                    |  | 01                        |                 |           |
| Aeronautics Technology efforts will address high payoff opp                                |                    |                    |  |                           |                 |           |
| evolutionary new system capabilities for satisfying current a                              |                    |                    |  |                           | tudies of revo  | lutionary |
| propulsion and vehicle concepts, sophisticated fabrication m                               | ethods, and exam   | ination of novel m | aterials for aeronautic s                | system applications.      |                 |           |
| The Network Centric Enabling Technology project develops                                   | network-centric mi | ssion applications | s that integrate informati               | ion arising from: 1) inte | elligence netwo | orks; 2)  |
| open and other external sources; 3) sensors and signal/image                               |                    |                    |  |                           |                 |           |
| to process huge volumes of diverse, incomplete, and uncert                                 |                    |                    |  |                           |                 |           |
| unstructured data, content analysis, behavioral modeling, pa                               |                    |                    |  |                           |                 |           |
| visualization. Operational benefits include deeper understan                               |                    |                    |  | needs of commander        | s at every ech  | elon.     |
| Promising technologies are evaluated in the laboratory and                                 |                    |                    |  |                           |                 |           |
| 3. Program Change Summary (\$ in Millions)   | <u>FY 2015</u>     | <u>FY 2016</u>     | FY 2017 Base                             | FY 2017 OCO               | FY 2017         |           |
| Previous President's Budget  | 299.734            | 314.582            | 386.540                                  | -                         |                 | 86.540    |
| Current President's Budget   | 299.787            | 302.582            | 313.843                                  | -                         |                 | 13.843    |
| Total Adjustments  | 0.053              | -12.000            | -72.697                                  | -                         | -7              | 72.697    |
| <ul> <li>Congressional General Reductions</li> </ul>                                       | 0.000              | 0.000              |  |                           |                 |           |
| Congressional Directed Reductions  | 0.000              | -12.000            |  |                           |                 |           |
| Congressional Rescissions  | 0.000              | 0.000              |  |                           |                 |           |
| Congressional Adds   | 0.000              | 0.000              |  |                           |                 |           |
| Congressional Directed Transfers   | 0.000              | 0.000              |  |                           |                 |           |
| Reprogrammings     CDLD CTTD Taxaafar  | 9.182              | 0.000              |  |                           |                 |           |
| SBIR/STTR Transfer     TatalOtherAdjustments   | -9.129             | 0.000              | -72.697                                  |                           | -               | 72.697    |
| TotalOtherAdjustments  | -                  | -                  | -72.097                                  | -                         | -,              | 12.091    |
| Congressional Add Details (\$ in Millions, and Inclu                                       | udes General Red   | uctions)           |  | _                         | FY 2015         | FY 2016   |
| Project: TT-03: NAVAL WARFARE TECHNOLOGY   |                    |                    |  | _                         |                 |           |
| Congressional Add: Arctic Operations Congression   | onal Add           |                    |  |                           | 4.250           |           |
|  |                    | Coi                | ngressional Add Subtota                  | als for Project: TT-03    | 4.250           |           |
|  |                    |                    | Congressional Add                        | Totals for all Projects   | 4.250           |           |
| Change Summary Explanation   |                    |                    |  | L                         |                 |           |
| FY 2015: Increase reflects reprogrammings offset by  | the SBIR/STTR tr   | ansfer.            |  |                           |                 |           |
| FY 2016: Decrease reflects congressional reduction   |                    |                    |  |                           |                 |           |
|  |                    |                    |  |                           |                 |           |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | ed Research Projects Agency   | Date: February 2016               |
|---|---|-----------------------------------|
| <b>ppropriation/Budget Activity</b><br>400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>pplied Research | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY |                                   |
| FY 2017: Decrease reflects completion of the Ground Experimental drawdown of the XDATA and Network Defense programs.          | Vehicle program, the transition of the Endurance                              | program to Budget Activity 3, and |
|   |   |                                   |
|   |   |                                   |
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|   |   |                                   |
|   | INCLASSIFIED  |                                   |

| Exhibit R-2A, RDT&E Project Just   | stification   | 1: PB 2017 E   | Defense Adv  | anced Res  | earch Proje  | ects Agency   |   |   | _  | Date: Feb               | ruary 2016          |               |
|--|---|--|--|--|--|---|---|---|--|-------------------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2  |   |  |  |  |  | <b>am Elemen</b><br>D2E / <i>T</i> ACTI   |   |   |  | lumber/Nai<br>AVAL WARI |                     | INOLOGY       |
| COST (\$ in Millions)  | Prior<br>Years  | FY 2015  | FY 2016  | FY 2017<br>Base  | FY 2017<br>OCO   | FY 2017<br>Total  | FY 2018   | FY 2019   | FY 2020  | FY 2021                 | Cost To<br>Complete | Total<br>Cost |
| TT-03: NAVAL WARFARE<br>TECHNOLOGY   | -   | 61.648   | 52.128   | 43.024   | -  | 43.024  | 53.544  | 64.765  | 43.451   | 53.451                  | -                   | -             |
| A. Mission Description and Budg<br>The Naval Warfare Technology pr<br>concepts for expanding the envelo<br>techniques, novel underwater prop<br>object detection and discrimination  | roject deve<br>ope of ope<br>pulsion mo   | elops advand<br>rational nav<br>odalities, ves   | ced technolo<br>al capabilitio<br>ssels for est  | es such as<br>uary and riv   | improved si<br>/erine opera  | tuational aw<br>ations, high :  | vareness ov<br>speed unde   | ver large ma<br>erwater ves   | aritime envii  | ronments, s             | hip self-def        | ense          |
| B. Accomplishments/Planned Pr  | rograms (   | \$ in Million  | s <u>)</u>   |  |  |   |   |   | F۱   | 2015 I                  | Y 2016              | FY 2017       |
| <b>Description:</b> The Anti-Submarine<br>goals: (1) to build and demonstrate<br>on clean sheet design for unmann<br>theater or global ranges, from forw<br>ACTUV characteristics to transition<br>never intended to step on board at<br>design space that eliminates or mo<br>endurance, and payload fraction.<br>autonomous behavior capability to<br>for operational deployments spann<br>the ACTUV system provides a low<br>game changing capability to detect<br>unmanned naval vessel design me<br>model for autonomous operation, no<br>optimization opportunities of the A | e an exper<br>led operation<br>vard operation<br>n a game of<br>t any point<br>odifies con<br>The result<br>o operate in<br>hing thousa<br>cost unmast<br>and track<br>ethodologic<br>novel appli | imental unm<br>on; (2) demo<br>ting bases, i<br>changing AS<br>in the opera-<br>iventional m<br>ing unmann<br>n full complia<br>ands of mile<br>anned syste<br>k even the q<br>es, ship syste<br>ication of se | nanned vess<br>constrate the<br>under a spa<br>SW capabilit<br>ational cycle<br>anned ship<br>ed naval ve<br>ance with th<br>s and month<br>em with a fur<br>uietest diese<br>tem reliabilit | sel with bey<br>technical v<br>rse remote<br>y to the Na<br>e, ACTUV c<br>design con<br>ssels must<br>re rules of th<br>hs of time.<br>ndamentally<br>el electric s<br>ty, high fide | rond state-o<br>riability of op<br>supervisory<br>vy. By esta<br>oncepts car<br>straints in o<br>possess su<br>ne road and<br>When coup<br>y different o<br>ubmarine th<br>lity sensor f | of-the-art plat<br>berating autory<br>control mo<br>ablishing the<br>n take advar<br>order to achie<br>officient situal<br>maritime la<br>bled with inne<br>perational ri<br>nreats. Key<br>fusion to pro | tform perfo<br>onomous u<br>odel; and (3<br>premise th<br>ntage of an<br>eve disprop<br>ational awar<br>aw to suppo<br>ovative sen<br>isk calculus<br>technical a<br>ovide an acc | rmance bas<br>nmanned c<br>) leverage u<br>nat a human<br>unexplored<br>portionate s<br>reness and<br>ort safe navi<br>asor technol<br>that enable<br>reas include<br>curate work | sed<br>raft at<br>unique<br>i is<br>d<br>peed,<br>gation<br>logies,<br>es<br>e |                         |                     |               |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Integrated software and hardwar</li> <li>Initiated development of alternat</li> <li>FY 2016 Plans:</li> <li>Complete construction of prototy</li> </ul>   | tive payloa   |  | tform.   |  |  |   |   |   |  |                         |                     |               |
| <ul> <li>L'amplata construction at protatu</li> </ul>  |   |  |  |  |  |   |   |   |  |                         |                     |               |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced   | Research Projects Agency  | Date: F                               | ebruary 2016 |         |
|---|---|---------------------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Number/I<br>TT-03 / NAVAL WA |              | HNOLOGY |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015                               | FY 2016      | FY 2017 |
| <ul> <li>Move the vessel from the contractor facility to a Navy facility in San Diego<br/>Research (ONR).</li> <li>Demonstrate improved situational awareness and autonomy capabilities,</li> <li>Demonstrate the ability to successfully integrate new mission payloads, in</li> </ul>   | incorporating advanced above water sensors.   | d.                                    |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Continue vessel at-sea testing, including tactical exercises with fleet units</li> <li>Continue testing of new payloads for MCM, ASW, and other missions.</li> <li>Transition custody of prototype vessel to the Navy (ONR).</li> </ul>  |   |                                       |              |         |
| <i>Title:</i> Upward Falling Payloads (UFP)   |   | 18.955                                | 15.901       | 14.000  |
| <b>Description:</b> The Upward Falling Payloads (UFP) program will develop form<br>can provide non-lethal effects or situational awareness over large maritime<br>concepts for maritime situational awareness and ISR developed under the INET-02, the UFP approach centers on pre-deploying deep-ocean nodes ye<br>be commanded from standoff to launch to the surface.<br>Advances in miniaturized sensors and processors, growth in the variety of un<br>networking all point toward highly capable, yet affordable, distributed system<br>systems in a timely manner in forward operating areas limit their utility. The<br>large-scale unmanned distributed missions. The presumption is that a wide | environments. Building upon and complimenting<br>DASH program, budgeted in PE 0603766E, Proje<br>ars in advance in forward operating areas which<br>unmanned systems, and advances in autonomy a<br>ns. However, power and logistics to deliver these<br>e UFP program will remove this barrier to accelerate | ct<br>can<br>nd<br>te                 |              |         |
| emerge when the barriers to deployment are removed.   |   |                                       |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed UFP nodes scalable in size, to enable extended survival at ful</li> <li>Demonstrated launch of a UFP surrogate payload from land and method unmanned aerial vehicle (UAV) from a UFP node.</li> <li>Initiated design of payload subsystems for sensing, communicating, and I</li> <li>Developed signaling scheme and performed sea test for long range unde</li> <li>Demonstrated integration of triggered release from surrogate underwater</li> <li>Studied alternative communication modalities.</li> <li>Demonstrated surfacing of UFP balloon-node riser and deployment of sm</li> </ul>  | for aerodynamically stable deployment of an<br>ocating.<br>rwater acoustic communications for triggering.<br>cabled system.   |                                       |              |         |
| <b>FY 2016 Plans:</b> - Demonstrate deep-ocean surfacing of scalable riser prototype to the surface.  | ace and launch of payload surrogate from UFP no   | de at                                 |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advance  | d Research Projects Agency  | Date: F                              | ebruary 2016 |         |
|---|---|--------------------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Number/<br>TT-03 / NAVAL W/ |              | HNOLOGY |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015                              | FY 2016      | FY 2017 |
| <ul> <li>Demonstrate launch of a UFP surrogate payload after being submerged</li> <li>Demonstrate long-range acoustic communications sufficient to wake up</li> <li>Demonstrate launch of UAV from UFP node at surface.</li> </ul>  |   |                                      |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop communications and ISR payloads for UFP nodes.</li> <li>Demonstrate complete launch of UAV from ocean depth.</li> <li>Integrate parafoil kite with submerged tow body.</li> <li>Integrate and demonstrate remote triggering of dormant UFP node.</li> <li>Conduct major integrated sea test at full depth.</li> </ul>  |   |                                      |              |         |
| Title: Strategic Mobility   |   | -                                    | 2.727        | 2.000   |
| <b>Description:</b> The goal of the Strategic Mobility program is to analyze and which can enable rapid deployment of brigade- or even division- sized for activity will focus on identifying high payoff logistics and deployment techn sustainment architectures required to support these technologies. The prand distribution operations, new platform technologies for sea-based tran could enable aerial delivery of forces to the vicinity of an objective area. Technology risk reduction activity designed to systematically address the technologies developed by the program could enable a rapid strategic rest of substantial ground combat forces, even to very remote or austere located activity designed to support the program could enable a rapid strategic rest of substantial ground combat forces. | ces globally in a matter of just days. Initially, the<br>hologies, and understanding the deployment and<br>ogram will examine increased automation in logistic<br>sportation and prepositioning, and technologies wh<br>The Strategic Mobility program will then shift to a fo<br>principal risks for the highest payoff technology set.<br>sponse capability, with rapid deployment and sustai | ch<br>cused<br>The                   |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Create time and cost model of brigade level deployment technologies a</li> <li>Perform refined technology trade studies to identify critical component t<br/>and unpacking of transports and filling of requisitions to include building b</li> <li>Initiate studies into foundation and structure required to enable reliable<br/>environments.</li> </ul>  | echnology to aid in extremely rapid loading, unload oxes/pallets and loading of materials into container  | S.                                   |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete technology trade studies to identify critical component technot transports, unpacking of supplies from transports, and filling requisitions.</li> <li>Complete studies in foundation and structure required to enable reliable environments.</li> </ul>   |   |                                      |              |         |
| Title: Multi-Azimuth Defense Fast Intercept Round Engagement System   | (MAD-FIRES)   | 11.343                               | 27.500       | 23.024  |

#### Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency Date: February 2016 Project (Number/Name) Appropriation/Budget Activity R-1 Program Element (Number/Name) 0400/2 PE 0602702E / TACTICAL TECHNOLOGY TT-03 / NAVAL WARFARE TECHNOLOGY B. Accomplishments/Planned Programs (\$ in Millions) FY 2015 FY 2016 FY 2017 Description: The Multi-Azimuth Defense Fast Intercept Round Engagement (MAD-FIRES) program seeks to develop a point defense system against today's most stressing threats by developing a highly maneuverable, medium caliber, guided projectile, fire sequencing and control system capable of neutralizing large threat raids of high speed, highly maneuverable targets. Leveraging recent advancements in gun hardening, miniaturization of guided munition components, and long range sensors, MAD-FIRES will advance fire control technologies, medium caliber gun technologies, and guided projectile technologies enabling the multiple, simultaneous target kinetic engagement mission at greatly reduced costs. MAD-FIRES seeks to achieve lethality overmatch through accuracy rather than size, thus expanding the role of smaller combat platforms into missions where they have been traditionally outgunned. MAD-FIRES, sized as a medium caliber system, enhances flexibility for installment as a new system and as an upgrade to existing gun systems with applications to various domain platforms across a multitude of missions to include: ship self-defense, precision air to ground combat, precision ground to ground combat, counter unmanned air vehicles (C-UAV), and counter rocket and artillery and mortar (C-RAM). FY 2015 Accomplishments: Initiated technology development efforts focusing on guidance, packaging and delivery method. Began detailed subsystem design and plans for later stage risk reduction tests and prototyping. Began end-to-end modeling and simulation of all candidate designs to determine Point of Departure (POD) designs. Began examining candidate platforms for out-year live-fire tests. Completed government in-house feasibility and trade study. Conducted projectile wind tunnel testing to verify performance predictions. FY 2016 Plans: Determine Point of Departure (POD) designs. Complete end-to-end modeling and simulation of POD designs. Begin risk reduction tests and prototyping. Update models and simulations as designs are modified. Conduct risk reduction subsystem tests to verify gun hardening and performance. Perform unguided projectile flight tests to validate aerodynamic models and gun-launch survivability. Coordinate with Navy for integrated tests to include approved representative targets. FY 2017 Plans: Update models and simulations of select designs. Complete preliminary prototype design. Perform initial controlled projectile flight tests to assess projectile performance. Conduct fire control tests for target acquisition and tracking and interceptor projectile tracking. **Accomplishments/Planned Programs Subtotals** 57.398 52,128 43.024

| Appropriation/Budget Activity                                     |                    |                |               | R-1 Pi       | ogram Eler     | nent (Number   | /Name)  | Project (N | umber/Na       | me)       |           |
|---|--------------------|----------------|---------------|--------------|----------------|----------------|---------|------------|----------------|-----------|-----------|
| 0400/2  |                    |                |               |              |                | CTICAL TECH    |         |            |                | FARE TECH | INOLOGY   |
|   |                    |                |               |              |                |                |         | 1          | 1              |           |           |
|   |                    |                |               |              |                |                | FY 2015 | FY 2016    | -              |           |           |
| Congressional Add: Arctic Oper                                    | ations Congress    | sional Add     |               |              |                |                | 4.250   | -          |                |           |           |
| FY 2015 Accomplishments: - Co<br>achieve situational awareness in |                    | ll study work  | on technolo   | gies to assu | re U.S. capa   | bility to      |         |            |                |           |           |
|   |                    |                |               | Cong         | ressional A    | dds Subtotals  | 4.250   | -          |                |           |           |
| C. Other Program Funding Sum                                      | mary (\$ in Milli  | ons)           |               |              |                |                |         |            | -              |           |           |
|   |                    | <u>0110</u>    | FY 2017       | FY 2017      | <u>FY 2017</u> |                |         |            |                | Cost To   |           |
| Line Item   | <u>FY 2015</u>     | <u>FY 2016</u> | Base          | 000          | Total          | <u>FY 2018</u> | FY 2019 | FY 2020    | <u>FY 2021</u> | Complete  | Total Cos |
| • ACTUV: Office of  | 2.000              | 7.000          | 9.000         | -            | 9.000          | 4.000          | 0.000   | 0.000      | 0.000          | -         | -         |
| Naval Research MOA  |                    |                |               |              |                |                |         |            |                |           |           |
| <u>Remarks</u>  |                    |                |               |              |                |                |         |            |                |           |           |
| D. Acquisition Strategy   |                    |                |               |              |                |                |         |            |                |           |           |
| N/A   |                    |                |               |              |                |                |         |            |                |           |           |
| E. Performance Metrics  |                    |                |               |              |                |                |         |            |                |           |           |
|   |                    |                |               |              |                |                |         |            |                |           |           |
| Specific programmatic performar                                   | ice metrics are li | sted above     | in the progra | m accomplis  | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | ice metrics are li | sted above     | in the progra | m accomplis  | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | ice metrics are li | sted above     | in the progra | m accomplis  | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | m accomplis  | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | m accomplis  | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | m accomplis  | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | im accomplis | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | im accomplis | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | im accomplis | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | im accomplis | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | im accomplis | shments and    | plans section. |         |            |                |           |           |
| Specific programmatic performar                                   | nce metrics are li | sted above     | in the progra | im accomplis | shments and    | plans section. |         |            |                |           |           |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |         |         |                 |                                |                  |         |         |  | Date: February 2016 |                     |               |
|--|----------------|---------|---------|-----------------|--------------------------------|------------------|---------|---------|--|---------------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2  |                |         |         |                 | <b>R-1 Progra</b><br>PE 060270 |                  | •       | •       | Project (Number/Name)<br>TT-04 / ADVANCED LAND SYSTEMS<br>TECHNOLOGY |                     |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO                 | FY 2017<br>Total | FY 2018 | FY 2019 | FY 2020  | FY 2021             | Cost To<br>Complete | Total<br>Cost |
| TT-04: ADVANCED LAND<br>SYSTEMS TECHNOLOGY   | -              | 57.521  | 63.118  | 52.847          | -                              | 52.847           | 62.527  | 68.518  | 96.298   | 101.298             | -                   | -             |

#### A. Mission Description and Budget Item Justification

This project is developing technologies for enhancing U.S. military effectiveness and survivability in operations ranging from traditional threats to military operations against irregular forces that can employ disruptive or catastrophic capabilities, or disrupt stabilization operations. The emphasis is on developing affordable technologies that will enhance the military's effectiveness while decreasing the exposure of U.S. or allied forces to enemy fire. This project will also explore novel design technologies for the manufacture of ground vehicles and new tools for systems assessments of emerging DARPA technologies.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: Ground Experimental Vehicle (GXV)   | 22.601  | 24.000  | -       |
| <b>Description:</b> The goal of the Ground Experimental Vehicle (GXV) program is to investigate ground vehicle technologies that enable crew/vehicle survivability through means other than traditional heavy passive armor solutions. This will be accomplished through research and development of novel ground combat and tactical vehicle technology solutions that demonstrate significantly advanced platform mobility, agility, and survivability. The focus of the GXV program will be on technology development across multiple areas to simultaneously improve military ground vehicle survivability and mobility. Traditionally, survivability and mobility have to be traded against each other due to the reliance on heavy armor. The GXV program seeks to break this trend. Coupled with the development of technologies, the GXV program will define concept vehicles which showcase these developmental technologies and to illustrate how these vehicles might be used operationally in combat scenarios. Technology development areas are likely to include increasing vehicle tactical mobility, survivability through agility, and crew augmentation, though other relevant technologies may also be pursued. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Initiated GXV technology development efforts.</li> <li>Began developing parametric models for evaluating military utility of technologies.</li> </ul>   |         |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Continue GXV technology development efforts focused on increasing mobility, survivability through agility and crew augmentation.</li> <li>Mature parametric models for evaluating military utility of technologies.</li> <li>Complete studies focusing on system trades relating to system power requirements, size/caliber of weapon systems, and crew size.</li> </ul>  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Adv  | Date:   | ebruary 2016   | ;       |         |  |  |
|---|---|--|---------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Number/Name)<br>TT-04 / ADVANCED LAND SYSTEMS<br>TECHNOLOGY |         |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015  | FY 2016 | FY 2017 |  |  |
| <ul> <li>Complete studies focusing on the impact of crew augmentation ca crews.</li> <li>Conduct survivability analysis of individual concepts.</li> </ul>  | pabilities on the size and cognitive workload of combat v   | ehicle   |         |         |  |  |
| <i>Title:</i> Squad X   |   | 25.500   | 31.118  | 36.847  |  |  |
| <b>Description:</b> The U.S. military achieves overmatch against its advertise not enjoyed at the squad to individual dismounted warfighter level, in real-time situational awareness and mission command; organic the targeting, and response; and unmanned mobility and perception in or The concept of overmatch at the squad level includes increased hun allow for responses at multiple scales. Squad X will explore advance direct and indirect trajectory precision weaponry, and non-kinetic prean individual dismount unit outfitted with sensors, weaponry, and supthe overall integration of unmanned assets alongside the dismounts. | . The goal of the Squad X program is to leverage advan<br>ree-dimensional dismount mobility; extended range track<br>order to create a squad with substantial combat overmate<br>nan stand-off, a smaller force density, and adaptive sens<br>ed wearable force protection, advanced organic squad le<br>ecision capabilities. The end result of the Squad X progra<br>pporting technology to achieve unit level overmatch as w | ces<br>king,<br>ch.<br>ing to<br>evel<br>am is                       |         |         |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Initiated technology development efforts, focusing on squad precises and exploitation, and squad collaborative autonomy.</li> <li>Completed initial integration and architecture trade studies.</li> <li>Initiated squad architecture, technology evaluation, and experiment</li> <li>Initiated development of virtual, constructive, and live experimenta</li> <li>Initiated development of virtual test bed.</li> <li>Conducted Tactical Edge Standards Boards (TESBs) and service-</li> </ul>   | ntation studies.<br>tion plan; defined modeling and simulation strategy.  | usion  |         |         |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete systems architecture, technology evaluation, and experi</li> <li>Conduct Squad X Baseline experimentation, through virtual and liv</li> <li>Refine technology development efforts focusing on squad precisio<br/>and exploitation, and squad collaborative autonomy.</li> <li>Implement modeling and simulation environment to allow for an ov<br/>performance estimation.</li> <li>Leverage Squad X testbed and simulation environments to iterativ</li> <li>Demonstrate initial individual technology capabilities in technology</li> </ul>   | ve experiments to obtain a system performance baseline<br>n effects, non-kinetic engagement, enhanced sensor fus<br>verarching iterative design process and obtain system<br>ely assess developed technology and architecture scher   | ion  |         |         |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ac  | PE 0602702E / TACT/ICAL TECHNOLOGY<br>isishments/Planned Programs (\$ in Millions)<br>'actical Edge Standards Boards.<br>ans:<br>Squad X testbed and simulation environments to iteratively assess developed technology and architecture sch<br>virtual testbed to provide predictions of system performance in multiple operational conditions.<br>Squad X Baseline experimentation.<br>Inning for system-level experimentation and evaluation in relevant conditions with operational units.<br>ate individual technology capabilities for squad precision effects, non-kinetic engagement, enhanced sensor fu<br>tion, and squad collaborative autonomy in operational environment.<br>shnology development efforts focusing on human machine interfaces and the squad common operating picture<br>uad-system development efforts focusing on the development of automatic systems to increase squad perform<br>gration of previously developed technologies and enhancing for dismounted operations.<br>'actical Edge Standards Boards.<br>er Unmanned Air Systems (C-UAS) and Force Protection (CFP)<br>p: The Counter Unmanned Air Systems (C-UAS) and Force Protection (CFP)<br>p: The Counter Unmanned Air Systems (C-UAS) and Force Protection (CFP)<br>p: The Counter Unmanned Air Systems and other threats to include rocket propelled grenades, anti-tank munitions, and indire<br>analysis of system threat phenomenologies where non-state and state actors seek to leverage asymmetries<br>mall unmanned systems and other threats to include rocket propelled grenades, anti-tank munitions, and indire<br>n will consider technologies supporting U.S. ground, air, and maritime operations. Central research and develor<br>analysis of advanced sensor integration, detection, and weapons engagement capabilities within operationally<br>ironments (urban, tactical, and strategic domains).<br><b>ands</b><br>ade studies for a systems approach.<br>perational analysis and technology maturity assessments to determine the minimum set of critical system attriliogy advances required for C-UAS and CFP. |   | Date: February 2016 |         |  |
|--|---|---|---------------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 2  | R-1 Program Element (Number/Name)<br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Number<br>TT-04 / ADVANC<br>TECHNOLOGY |                     | TEMS    |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015   | FY 2016             | FY 2017 |  |
| <ul> <li>Conduct Tactical Edge Standards Boards.</li> </ul>  |   |   |                     |         |  |
| <ul> <li>Leverage virtual testbed to provide predictions of system perform</li> <li>Complete Squad X Baseline experimentation.</li> <li>Initiate planning for system-level experimentation and evaluation</li> <li>Demonstrate individual technology capabilities for squad precision</li> <li>and exploitation, and squad collaborative autonomy in operational</li> <li>Initiate technology development efforts focusing on human mach</li> <li>Initiate squad-system development efforts focusing on the development technologies and enha</li> </ul> | nance in multiple operational conditions.<br>in relevant conditions with operational units.<br>on effects, non-kinetic engagement, enhanced sensor fusi<br>environment.<br>ine interfaces and the squad common operating picture.<br>opment of automatic systems to increase squad performa   | on  |                     |         |  |
| <i>Title:</i> Counter Unmanned Air Systems (C-UAS) and Force Protecti  | ion (CFP)   | -   | -                   | 9.000   |  |
| detection, tracking, and system defeat capabilities to counter emer-<br>will include an analysis of system threat phenomenologies where n<br>employing small unmanned systems and other threats to include ro<br>The program will consider technologies supporting U.S. ground, air  | ging threats posed against U.S. military forces. Key resen-<br>non-state and state actors seek to leverage asymmetries b<br>ocket propelled grenades, anti-tank munitions, and indirect<br>r, and maritime operations. Central research and develop   | by<br>t fires.                                  |                     |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Perform trade studies for a systems approach.</li> <li>Conduct operational analysis and technology maturity assessme and technology advances required for C-UAS and CFP.</li> </ul>   | nts to determine the minimum set of critical system attribu   | utes  |                     |         |  |
| Title: Mobile Infantry   |   | -   | 6.000               | 7.000   |  |
| <b>Description:</b> The Mobile Infantry (MI) program will explore the devision dismounted warfighters, and semi-autonomous variants of platform mounted and dismounted operations and for a larger area of operations  | ns. The MI system concept will allow for a combined set c   |   |                     |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced R  | Research Projects Agency   | Da  | <b>te:</b> Fe | bruary 2016 |         |
|--|--|---|---------------|-------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Num<br>TT-04 / ADVA<br>TECHNOLOG | NCED          |             | TEMS    |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 20                                     | 15            | FY 2016     | FY 2017 |
| unmanned, act as multipliers to the squad, such as extended and mobile fire perform higher risk exposure and access missions.  | e support platforms and allow the MI mixed team  | s to                                      |               |             |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete trades of mission/vignette-driven collaborative command and co semi-autonomous systems.</li> <li>Complete trade studies and initial estimates of perception and autonomous</li> <li>Complete trade studies of candidate platforms and options for conversion, software, etc.), and define preliminary warfighter architectures to leverage.</li> </ul>   | s algorithms required to match vignettes.  |   |               |             |         |
| <ul> <li>FY 2017 Plans:</li> <li>Initiate technology development efforts for critical perception and autonom to act as force multipliers for warfighter team.</li> <li>Initiate technology development efforts for critical collaborative behavior al cooperatively execute missions without human interaction.</li> <li>Initiate technology development efforts for critical technologies to enable e unmanned warfighter team.</li> </ul>  | gorithms to enable semi-autonomous systems to  |   |               |             |         |
| <i>Title:</i> Robotics Fast Track  |  | 4   | .500          | 2.000       | -       |
| <b>Description:</b> To be dominant in robotics of the future, the DoD will need to e advances in robotics capabilities that are measured in months rather than ye be measured in thousands of dollars rather than millions. The Robotics Fast technologies by promoting non-traditional technical opportunities. The progra solutions that result in prototype systems and proofs of concept in months. In umerous robotics related efforts across the spectrum of robotics profession base. The program will demonstrate the ability for robotics projects to be per and contribution of the efforts. | ears, and whose individual costs may largely<br>t Track program seeks to revolutionize robotics<br>ram will create low-cost, high-utility robotic comp<br>The Robotics Fast Track program will engage<br>als and enthusiasts, extending the existing perfo | onent                                     |               |             |         |
| <i>FY 2015 Accomplishments:</i> - Began execution of multiple performance developments.  |  |   |               |             |         |
| <ul> <li>FY 2016 Plans:</li> <li>Continue execution of multiple performance developments.</li> <li>Release initial robotics fast track catalog.</li> </ul>   |  |   |               |             |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   |   | Date: February 2016 |        |                            |         |
|---|---|---------------------|--------|----------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY | -                   |        | <b>lame)</b><br>D LAND SYS | TEMS    |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | F                   | Y 2015 | FY 2016                    | FY 2017 |
| - Host transition workshops to facilitate follow-on developments with other U.S.  | . government entities.  |                     |        |                            |         |
| <i>Title:</i> Robotics Challenge  |   |                     | 4.920  | -                          | -       |
| <ul> <li>Description: The Robotics Challenge program sought to boost innovation in au through enhanced actuation, energy density, perception, locomotion, agile record were centered on a progressive regimen of physical problem solving, real-time designed to build "machine trust", especially when integrated with humans in a Challenge program consisted of a series of obstacle course style challenge even demonstrate and test robot capabilities for disaster response. The program drop precision in perception tied to platform coordination, dexterity, and impulsive port to expand mobility and extend endurance of unmanned platforms, advanced ta cost effective design, validation, and construction of autonomous technology, a program was budgeted in PE 0603766E, Project NET-01.</li> <li>FY 2015 Accomplishments:         <ul> <li>Conducted the DARPA Robotics Challenge Finals.</li> <li>Performed analysis and reported findings to document advancements achiev</li> </ul> </li> </ul> | bootics<br>boeed,<br>bogies<br>or   |                     |        |                            |         |
|   | Accomplishments/Planned Programs Sub  | totals              | 57.521 | 63.118                     | 52.847  |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks<br>D. Acquisition Strategy<br>N/A<br>E. Performance Metrics<br>Specific programmatic performance metrics are listed above in the program ac   |   |                     |        |                            |         |

| Exhibit R-2A, RDT&E Project Ju   | ustification  | : PB 2017 [  | Defense Adv   | anced Res                                   | earch Proje                                | ects Agency                                     |  |                              |                                     | Date: Fe | oruary 2016              |                   |
|--|---|--|---|---|--|---|--|------------------------------|-------------------------------------|----------|--------------------------|-------------------|
| Appropriation/Budget Activity<br>0400 / 2  |   |  |   |   |  | <b>am Elemen</b><br>D2E / <i>TACTI</i>          |  |                              | Project (N<br>TT-06 / AL<br>TECHNOL | DVANCED  | i <b>me)</b><br>TACTICAL |                   |
| COST (\$ in Millions)  | Prior<br>Years  | FY 2015  | FY 2016   | FY 2017<br>Base                             | FY 2017<br>OCO                             | FY 2017<br>Total                                | FY 2018                                  | FY 2019                      | FY 2020                             | FY 2021  | Cost To<br>Complete      |                   |
| TT-06: ADVANCED TACTICAL<br>TECHNOLOGY   | -   | 14.861   | 13.468  | 6.500                                       | -  | 6.500   | 0.000                                    | 0.000                        | 0.000                               | 0.00     | 0 -                      | -                 |
| <ul> <li>A. Mission Description and Buc<br/>This project focuses on broad tec<br/>infrared countermeasures, laser</li> <li>B. Accomplishments/Planned F</li> </ul>   | chnology ar<br>radar, holog                                   | eas includin<br>graphic lase                               | g compact,<br>r sensors, c                                  |   |  |   |  |                              | application                         |          | lications inc            | luding<br>FY 2017 |
| <i>Title:</i> Laser Ultraviolet Sources for  | •   |  | •   | -B)   |  |   |  |                              |                                     | 4.500    | 7.000                    | 6.50              |
| represent a significant advance o<br>and expensive, as there are no a<br>lessons learned in growing high o<br>The compact size of semiconduct<br>not limited to standoff Raman spe   | vailable ser<br>quality light<br>tor lasers al                | niconductor<br>emitting ma<br>ong with the                 | lasers that<br>terial from t<br>LUSTER                      | can emit in<br>he Compac<br>performance     | the UV ran<br>t Mid-Ultrav<br>e goals will | ge < 250 nn<br>violet Techno<br>enable man      | n. LUSTEF<br>ology (CML<br>ly applicatio | R will levera<br>JVT) progra | ge<br>m.                            |          |                          |                   |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated low loss thulium</li> <li>Demonstrated high quality quar</li> <li>Initiated the design and growth confinement, and methods for hig</li> <li>Evaluated methods for using no 250 nm range and identified Beta</li> </ul>   | ntum well m<br>of laser epi<br>gh efficiency<br>on-linear cry | aterial that<br>taxial mater<br>and power<br>stals to effi | exhibited or<br>ial, focusing<br>operation.<br>ciently conv | otically pum<br>g on low-de<br>ert longer v | ped UV em<br>fect growth,<br>vavelength    | ission in the<br>, optimal ele<br>lasers in the | ctrical and                              | optical                      | o the                               |          |                          |                   |
| <ul> <li>FY 2016 Plans:</li> <li>Optimize laser epitaxial materia high power operation.</li> <li>Develop compact low power ele</li> <li>Demonstrate working prototype total system efficiency and line with the sy</li></ul> | al, electron-l<br>ectronics fo<br>of a deep                   | beam sourc<br>r driving and<br>JV laser sys                | e, and frequ  | iency multij<br>photonic a                  | olying nonlir<br>nd mechan                 | near crystals<br>ical compon                    | ents.                                    | Ĩ                            |                                     |          |                          |                   |
| FY 2017 Plans:   |   |  |   |   |  |   |  |                              |                                     |          |                          |                   |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |                             | Date: Fe | ebruary 2016 |         |
|---|---|-----------------------------|----------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY   | Project<br>TT-06 /<br>TECHN |          |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |                             | FY 2015  | FY 2016      | FY 2017 |
| - Demonstrate a deep UV laser system that meets the Phase 2 metrics of > 1 width less than 0.01 nm and size < 2 in^3.   | W output power, 10% total system efficiency, I  | ine                         |          |              |         |
| Title: Endurance  |   |                             | 7.161    | 6.468        | -       |
| <b>Description:</b> The Endurance program will develop technology for pod- or inter<br>from emerging and legacy electro-optical/infrared (EO/IR) guided surface-to-ai<br>a completely self-contained laser weapon system brassboard in an open archit<br>miniaturizing component technologies, developing high-precision target trackin<br>to support target engagement. The program will also focus on determining the<br>both emerging and legacy missile threats. The advanced technology compone<br>Project MT-15.  | r missiles. The Endurance system will be<br>tecture configuration. The focus will be on<br>Ig, identification, and lightweight agile beam co<br>a laser irradiance and dwell time required to def | ntrol<br>feat               |          |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Spectrally combined the output of four kW-class, near perfect beam quality fibeam combiner.</li> <li>Achieved the objective high-speed slew and settle rates for the beam director BD design.</li> <li>Developed a concept for robust high-precision tracking of threat missiles three.</li> <li>Initiated a live-fire test plan in conjunction with all the stakeholders (Governm support, range safety and environmental offices, laser clearing house, etc.).</li> </ul>  | or (BD) with an inertial surrogate of the prelimin  | ary                         |          |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Conduct effects testing on an available surrogate of the seeker of a larger claverify estimated lethality criteria and anchor lethality models.</li> <li>Complete a live-fire test plan in conjunction with all the stakeholders (Govern support, range safety and environmental offices, laser clearing house, etc.).</li> <li>Conduct key risk reduction experiments to support the design of robust, high</li> <li>Demonstrate robust, high-precision tracking against multiple low-speed surror rates and ranges.</li> <li>Partially-package high-power laser for pod-integration testing.</li> <li>Fabricate and test smallest high-power beam director and control system year.</li> </ul> | nment test team, performer, target logistics, ran<br>-precision tracking.<br>ogate targets at representative angular line-of-s  | ige                         |          |              |         |
| Title: International Space Station SPHERES Integrated Research Experiments  | s (InSPIRE)   |                             | 3.200    | -            | -       |
| <b>Description:</b> The International Space Station SPHERES Integrated Research DARPA-sponsored Synchronized Position, Hold, Engage, and Reorient Experi  | · · · · ·   | has                         |          |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ad  | dvanced Research Projects Agency             | Date: F | ebruary 2016 |         |
|--|--|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  |  |         |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| that necessitate a medium-duration zero-gravity environment. InS technologies into national security space assets. The InSPIRE proby developing, building, and launching new hardware and software   | RES  |         |              |         |
| - Conducted on-orbit testing of new SPHERES docking ports.   |  |         |              |         |
| PE 0602702E / TACTICAL TECHNOLOGY       TT-06 / ADVANCEL         TECHNOLOGY       TT-06 / ADVANCEL         Cccomplishments/Planned Programs (\$ in Millions)       FY 2015         n onboard the International Space Station (ISS) since May 2006, to perform a series of multi-body formation flight experiments necessitate a medium-duration zero-gravity environment. InSPIRE enhanced the ability to rapidly mature and insert new nologies into national security space assets. The InSPIRE program expanded on the capabilities matured through SPHERES eveloping, building, and launching new hardware and software elements that expand the baseline capabilities. These abilities will enable use of SPHERES as a testbed for more complex experimentation, providing affordable opportunities to test space technologies.       Ref 2015         2015 Accomplishments:       Numched the new docking ports for SPHERES to enhance rendezvous and docking test capabilities.       Numched Insert new seveloping.         aveloped and executed additional rendezvous and proximity operations experiments using SPHERES inside ISS.       14.861         ther Program Funding Summary (\$ in Millions)       14.861         tarks       cquisition Strategy |  | 13.468  | 6.50         |         |
| <u>Remarks</u><br><u>D. Acquisition Strategy</u><br>N/A<br><u>E. Performance Metrics</u><br>Specific programmatic performance metrics are listed above in the  | e program accomplishments and plans section. |         |              |         |
|  |  |         |              |         |

| Exhibit R-2A, RDT&E Project Ju   | stification  | : PB 2017 E  | Defense Adv  | anced Res   | earch Proje   | ects Agency  |  |  |   | Date: Feb            | ruary 2016          |               |
|--|--|--|--|---|---|--|--|--|---|----------------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2  |  |  |  |   |   | <b>am Elemen</b><br>D2E / <i>TACTI</i>   |  |  |   | umber/Na<br>RONAUTI  | me)<br>CS TECHN     | OLOGY         |
| COST (\$ in Millions)  | Prior<br>Years   | FY 2015  | FY 2016  | FY 2017<br>Base   | FY 2017<br>OCO  | FY 2017<br>Total   | FY 2018  | FY 2019  | FY 2020   | FY 2021              | Cost To<br>Complete | Total<br>Cost |
| TT-07: AERONAUTICS<br>TECHNOLOGY   | -  | 50.245   | 31.621   | 62.876  | -   | 62.876   | 95.361   | 62.424   | 51.434  | 42.434               | -                   | -             |
| <ul> <li>A. Mission Description and Bud<br/>Aeronautics Technology efforts w<br/>revolutionary new system capabil<br/>propulsion and vehicle concepts,</li> <li>B. Accomplishments/Planned P</li> </ul>  | ill address<br>ities for sat<br>sophisticate   | high payoff<br>isfying curre<br>ed fabricatio  | opportunitie<br>ent and proj<br>on methods   | ected milita  | ry mission i  | requirement  | s. This incl   | ludes advar  | nced techno<br>m applicatio                           | ology studie<br>ons. |                     |               |
| Title: Aircrew Labor In-cockpit Au   | •  |  | •  |   |   |  |  |  |   | 2013                 | 14.621              | 19.876        |
| <b>Description:</b> The Aircrew Labor In<br>enabling affordable, rapid automative<br>reduction of aircrew workload and<br>and software to automate select a<br>monitoring and control systems. The<br>and aircraft unique behaviors. To<br>learning, reusable software archited<br>in a demonstration of the ability to<br>enhancement capability will enable<br>of aircrew required.<br><b>FY 2015 Accomplishments:</b> | tion of sele<br>/or the num<br>ircrew func<br>The prograr<br>accomplish<br>ectures, aut<br>rapidly ada | cted aircrew<br>aber of onbo<br>tions and w<br>m will also o<br>h this, ALIA<br>tonomous s<br>apt a single | v functions a<br>bard aircrew<br>ill employ n<br>develop trac<br>S will levera<br>ystems arch<br>system to r | across a bro<br>to improve<br>ovel, low in<br>table appro<br>ige recent a<br>nitecture, an<br>nultiple airc | oad range o<br>performan-<br>npact appro<br>paches to ra<br>advances in<br>nd verification<br>raft and exec | of aircraft. A<br>ce. The pro<br>aches to int<br>pidly captur<br>perception,<br>on and valid<br>ecute simple | LIAS intend<br>ogram will d<br>erface with<br>e crew-stat<br>manipulati<br>lation. ALIA<br>e missions. | ds to enable<br>evelop harc<br>existing air<br>ion specific<br>on, machin<br>AS will culm<br>This reliab | e<br>dware<br>craft<br>skills<br>e<br>iinate<br>ility |                      |                     |               |
| <ul> <li>Designed and commenced prote</li> <li>Initiated simulator-based demor</li> <li>crew member roles.</li> <li>Conducted ground and airborne</li> </ul>   | nstration of   | complete a   | utomation s  | ystem inclu   |   | g and adapt  | ation of sys   | stem to mult   | tiple   |                      |                     |               |
| <ul> <li>FY 2016 Plans:</li> <li>Perform ground demonstration of</li> <li>Conduct flight demonstration of</li> <li>Demonstrate portability to new a</li> <li>Continue risk reduction activities</li> </ul>   | contingenc   | y managem  |  |   | d interface.  |  |  |  |   |                      |                     |               |
| FY 2017 Plans:<br>- Conduct flight demonstration of  | perception   | and actuati  | on subsyste  | ems.  |   |  |  |  |   |                      |                     |               |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | earch Projects Agency  | Date:                               | ebruary 2016 | i       |
|--|--|-------------------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Number/<br>TT-07 / AERONAU |              | IOLOGY  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015                             | FY 2016      | FY 2017 |
| <ul> <li>Perform ground demonstration of portability timeline into other aircraft.</li> <li>Initiate airworthiness evaluation for integrated flight demonstration.</li> <li>Initiate the transition of select knowledge acquisition, perception, and interface</li> </ul>  | e technologies to operational aircraft.  |                                     |              |         |
| Title: Advanced Aeronautics Technologies   |  | 2.000                               | 2.000        | 2.000   |
| <b>Description:</b> The Advanced Aeronautics Technologies program will examine a concepts through applied research. These may include feasibility studies of no for both fixed and rotary wing air vehicle applications, as well as manufacturing interest range from propulsion to control techniques to solutions for aeronautic may lead to the design, development, and improvement of prototypes.   | ovel or emergent materials, devices and tactics<br>and implementation approaches. The areas  | of                                  |              |         |
| FY 2015 Accomplishments: - Initiated new studies of novel technologies.  |  |                                     |              |         |
| <ul><li>FY 2016 Plans:</li><li>Perform modeling of concepts and architectures.</li><li>Conduct trade studies of emerging concepts.</li></ul>   |  |                                     |              |         |
| <ul><li>FY 2017 Plans:</li><li>Perform testing of enabling technology components.</li><li>Initiate conceptual system designs.</li></ul>  |  |                                     |              |         |
| Title: Gremlins  |  | -                                   | 15.000       | 36.000  |
| <b>Description:</b> The goal of the Gremlins program is to develop platform technolog.<br>The Gremlins concept envisions small air-launched unmanned systems that car<br>from commodity platforms, fly into contested airspace, conduct a moderate dur<br>enabling technologies for the concept include smaller developmental payloads<br>platforms. The Gremlins program will conduct risk reduction and development<br>and develop and demonstrate a recoverable UAV platform concept. Enabling<br>navigation, advanced computational modeling, variable geometry stores, comp<br>flight control. The program will leverage these technologies, perform analytic to<br>and ultimately demonstrate the potential for an integrated air-launched Gremlin | in be responsively dispatched in volley quantit<br>ation mission, and ultimately be recovered. K<br>that benefit from multiple collaborating host<br>of the host platform launch and recovery capa<br>platform technologies will include precision rel<br>act propulsion systems, and high speed digita<br>rade studies, conduct incremental development | y<br>ey<br>ability<br>ative<br>I    |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Conduct exploratory trade studies to establish feasibility of technical approact</li> <li>Initiate studies on integration with existing Service systems and systems arch</li> </ul>   |  |                                     |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Re   | esearch Projects Agency   |                           | Date: F | ebruary 2016         | 6       |
|--|---|---------------------------|---------|----------------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | R-1 Program Element (Number/Name)<br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (N<br>TT-07 / AE  |         | Name)<br>ITICS TECHI | NOLOGY  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY                        | 2015    | FY 2016              | FY 2017 |
| <ul> <li>Conduct system concept design tradeoff analyses.</li> </ul>   |   |                           |         |                      |         |
| <ul> <li>FY 2017 Plans:</li> <li>Initiate engineering of integrated demonstration concepts.</li> <li>Conduct system and subsystem risk reduction test planning.</li> <li>Develop objective system concepts and mission capability projections.</li> <li>Complete Preliminary Design Review for demonstration system.</li> </ul>  |   |                           |         |                      |         |
| <i>Title:</i> Swarm Challenge  |   |                           | 3.000   | -                    | -       |
| <b>Description:</b> The goal of the Swarm Challenge is to develop autonomous swato augment ground troops performing missions in a complex environment, with program will evaluate the effectiveness of swarming for UxVs supporting grouundersea operations, or search and rescue operations. Challenges include than area leveraging other UxVs to solve problems related to, for example, perconchallenge emphasizes minimum operator training and supervision so that the duties while using UxVs as force multipliers.   | hout creating a significant cognitive burden. The<br>nd operations, air operations, maritime operation<br>are ability for the UxV to collaborate to rapidly supprised<br>to be the uxV of the uxV to collaborate to be the use of the uxV to collaborate to be the uxV to collaborate to be the use of the uxV to collaborate to be the use of the uxV to collaborate to be uxV to collaborate to be the uxV to co | ne<br>ons,<br>rvey<br>The |         |                      |         |
| FY 2015 Accomplishments:   |   |                           |         |                      |         |
| <ul> <li>Performed trade studies for system approach.</li> <li>Select architecture for software, communication, computation, perception, a</li> <li>Develop autonomous algorithms and associated software.</li> </ul>  | nd simulation environment.  |                           |         |                      |         |
| Title: 21st Century Propellants  |   |                           | -       | -                    | 5.000   |
| <b>Description:</b> The 21st Century Propellants program will examine new classes fueled rockets the ability to perform in a greater range of operating scenarios. systems the flexibility in speed-range combinations unachievable in current so weight forms for smaller rocket systems. Successful propellant systems for the restart capability, termination control, improved safety, and a dramatically imp address critical issues of safer manufacturing (improved operational handling, impact). Advanced manufacturing methods are of special interest because the building custom propellant grains for different rocket systems. | The program will provide current and future m<br>blid propellants and will reduce current volume a<br>is program must demonstrate a controlled burr<br>roved shelf life (>15 years). The program will a<br>transportability issues, and improved environm   | and<br>rate,<br>Ilso      |         |                      |         |
| <ul> <li>FY 2017 Plans:</li> <li>Initiate new studies of novel technologies.</li> <li>Conduct risk reduction tests of candidate technologies.</li> </ul>   |   |                           |         |                      |         |
| Title: Vertical Take-Off and Landing (VTOL) Technology Demonstrator  |   |                           | 21.961  | -                    | -       |

#### Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency Date: February 2016 R-1 Program Element (Number/Name) Project (Number/Name) Appropriation/Budget Activity 0400/2 PE 0602702E / TACTICAL TECHNOLOGY TT-07 I AERONAUTICS TECHNOLOGY B. Accomplishments/Planned Programs (\$ in Millions) FY 2015 FY 2016 FY 2017 Description: The Vertical Take-Off and Landing (VTOL) Technology Demonstrator program will demonstrate revolutionary improvements in (heavier than air) VTOL air vehicle capabilities and efficiencies through the development of subsystem and component technologies, aircraft configurations, and system integration. The program will build and flight test an unmanned 10,000 - 12,000 lb aircraft capable of sustained speeds in excess of 300 kt, demonstrate system level hover efficiency within 25% of the ideal power loading, and a lift-to-equivalent drag ratio no less than ten. Additionally, the demonstrator will be designed to have a useful load of no less than 40% of the gross weight with a payload capacity of at least 12.5% of the gross weight. A strong emphasis will be placed on the development of elegant, multi-functional subsystem technologies that demonstrate net improvements in aircraft efficiencies to enable new and vastly improved operational capabilities. In FY 2016, VTOL Technology Demonstrator will be funded in PE 0603286E, Project AIR-01. FY 2015 Accomplishments: - Initiated preliminary design of configuration and all subsystems. - Held system definition reviews to evaluate subsystem integration into air vehicle design and technology development paths to meet program objectives. - Performed subscale wind tunnel and laboratory testing for aerodynamic data base and flight controls development. Refined power generation and distribution/integration concepts. Performed propulsion and power system scaled model bench testing. Designed and developed subscale flight models for configuration viability and control law validation. - Fabricated and began ground testing of subscale model in preparation for flight testing in FY 2016. - Validated computational performance predictions against empirical data. - Refined full scale engine integration design. - Created detailed system integration plans. - Prepared detailed airworthiness and flight test preparation requirements in support of the subscale flight test schedule. Title: Petrel 3.000 Description: The Petrel program investigated advanced capabilities for the rapid transport of large quantities of cargo and equipment, such as during the deployment of a heavy brigade combat team, from CONUS to the battlefield, reducing the deployment timeline for mechanized land forces and critical supplies anywhere in the world to under seven days at a price point comparable or slightly in excess of conventional sealift. FY 2015 Accomplishments: - Investigated component technologies with potential to enable specific concepts, including advanced propulsion and materials. - Explored innovative approaches for significantly increasing lift to drag ratio.

| Exhibit R-2A, RDT&E Project Justification: PB 2017 D  | Defense Advanced Research Projects Agency                                 |        | Date: Fe           | ebruary 2016                |         |
|---|---|--------|--------------------|-----------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602702E / TACTICAL TECHNOLOGY    |        | Number/N<br>ERONAU | l <b>ame)</b><br>TICS TECHN | IOLOGY  |
| B. Accomplishments/Planned Programs (\$ in Millions   | ,   | F      | Y 2015             | FY 2016                     | FY 2017 |
| <ul> <li>Evaluated approaches to rapidly deliver cargo and equ</li> </ul>   | uipment directly from offshore to the battlefield without infrastructure. |        |                    |                             |         |
|   | Accomplishments/Planned Programs Sub                                      | totals | 50.245             | 31.621                      | 62.87   |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A<br><u>Remarks</u><br><u>D. Acquisition Strategy</u><br>N/A<br><u>E. Performance Metrics</u> |   |        |                    |                             |         |
| pecific programmatic performance metrics are listed at  | bove in the program accomplishments and plans section.                    |        |                    |                             |         |
|   |   |        |                    |                             |         |
|   |   |        |                    |                             |         |
|   |   |        |                    |                             |         |
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|   |   |        |                    |                             |         |
|   |   |        |                    |                             |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |         |         |                 |   |                  |         |         |   | Date: February 2016 |                     |               |
|--|----------------|---------|---------|-----------------|---|------------------|---------|---------|---|---------------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2  |                |         |         |                 | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY |                  |         |         | Project (Number/Name)<br>TT-13 / NETWORK CENTRIC ENABLING<br>TECHNOLOGY |                     |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO  | FY 2017<br>Total | FY 2018 | FY 2019 | FY 2020   | FY 2021             | Cost To<br>Complete | Total<br>Cost |
| TT-13: NETWORK CENTRIC<br>ENABLING TECHNOLOGY  | -              | 115.512 | 142.247 | 148.596         | -   | 148.596          | 170.532 | 174.576 | 212.505   | 210.614             | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Network Centric Enabling Technology project develops applications that integrate information arising from: 1) intelligence networks; 2) open and other external sources; 3) sensors and signal/image processors; and 4) collection platforms and weapon systems. Technical challenges include the need to process huge volumes of diverse, incomplete, and uncertain data in tactically-relevant timeframes. The data processing efforts include: conditioning of unstructured data, content analysis, behavioral modeling, pattern-of-life characterization, economic activity analysis, social network analysis, anomaly detection, and visualization. Operational benefits include deeper understanding of the evolving operational environment tailored to the needs of commanders at every echelon. Promising technologies are evaluated in the laboratory and demonstrated in the field to facilitate transition.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: XDATA   | 31.217  | 32.917  | 13.896  |
| <b>Description:</b> The XDATA program is developing computational techniques and software tools for analyzing large volumes of data, both semi-structured (e.g., tabular, relational, categorical, metadata, spreadsheets) and unstructured (e.g., text documents, message traffic). Central challenges addressed include a) development of scalable algorithms for processing imperfect data in distributed data stores, and b) creation of effective human-computer interaction tools for facilitating rapidly customizable visual reasoning for diverse missions. The program has developed open source software toolkits that enable flexible software development supporting users processing large volumes of data in timelines commensurate with mission workflows of targeted defense applications. An XDATA framework supports minimization of design-to-deployment time of new analytic and visualization technologies on diverse distributed computing platforms, and also accommodates changing problem spaces and collaborative environments. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed methods for interactive, iterative, and distributed analysis of diverse data at petabyte scale.</li> <li>Optimized analytic methods and software for implementation on heterogeneous platforms and operating environments.</li> <li>Optimized visualization technology to rapidly adapt to new missions and contexts.</li> <li>Demonstrated the initial implementation of a rich library of software tools for rapid use in mission and user specific contexts.</li> <li>Demonstrated end-to-end systems on data and problems of users from DoD, intelligence, and law enforcement communities.</li> </ul>  |         |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop methods and software for interactive, iterative, distributed analysis of diverse data enabling transition, integration and implementation on heterogeneous platforms.</li> </ul>  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced  | Research Projects Agency  | Date:  | ebruary 2016 | 6        |
|--|---|--|--------------|----------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY   | <b>Project (Number</b><br>TT-13 / NETWOR<br>TECHNOLOGY | ,            | ENABLING |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015  | FY 2016      | FY 2017  |
| <ul> <li>Develop new analytic methods for distributed data and systems through algorithmically scalable methods.</li> <li>Develop a scalable, robust framework for user-defined, adaptable visual</li> <li>Develop, test and benchmark a library of user interfaces which provide a processor heterogeneity.</li> <li>Demonstrate that applications deployed from a library of interfaces reduct components across multiple mission systems and user-defined requirements.</li> </ul>  | izations.<br>a consistent user experience independent of scale of the sca | r  |              |          |
| <ul> <li>FY 2017 Plans:</li> <li>Develop integrated applications from components and interface libraries requirements and ad-hoc tasking.</li> <li>Optimize software components and integrated applications to allow sear environment.</li> <li>Transition end-to-end systems, components, platforms and operating environment.</li> </ul>   | nless integration into a user enterprise or mission   |  |              |          |
| Title: Network Defense   |   | 27.500   | 31.002       | 16.500   |
| <b>Description:</b> The Network Defense program is developing technologies to U.S. computer networks are continually under attack, and these attacks are occur. Analyzing network summary data across a wide array of networks visible only when the data is viewed as a whole and to detect recurring three Network Defense is developing novel algorithms and analysis tools that en in networks. This analysis and subsequent feedback to system administrate enhance information security in both the government and commercial sectors. | e typically handled by individual organizations as the<br>will make it possible to identify trends and patterns<br>eats, patterns of activity, and persistent vulnerabiliti<br>hable a big picture approach for identifying illicit beh<br>itors, security engineers, and decision makers will  | es.  |              |          |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Enhanced network analytics to detect structured attacks across multiple</li> <li>Created general purpose algorithms for detecting novel classes of attack</li> <li>Developed methods for identifying persistent vulnerabilities within a network</li> <li>Evaluated and optimized techniques on realistic network data.</li> </ul>  | ks across multiple networks.  |  |              |          |
| <ul> <li>FY 2016 Plans:</li> <li>Develop algorithms that use scanning events to provide indications and e</li> <li>Enhance persistent vulnerability detection techniques and work with pote individual organizations/networks and/or shared by multiple organizations/</li> </ul>  | ential users to identify vulnerabilities particular to  |  |              |          |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency   |                                      | Date: Fe           | ebruary 2016 |         |
|---|--|--------------------------------------|--------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | TT-13 /  | <b>(Number/N</b><br>NETWORK<br>OLOGY | lame)<br>CENTRIC E | NABLING      |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  |                                      | FY 2015            | FY 2016      | FY 2017 |
| <ul> <li>Demonstrate the capability to use summary information about an attack on o<br/>on other networks.</li> </ul>   | ne network to automatically detect similar atta  | cks                                  |                    |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Optimize algorithms that detect anomalous behaviors and coordinated advert data and on-site evaluations.</li> <li>Demonstrate the capability to anticipate specific attack formats on one networks.</li> <li>Perform comprehensive test and evaluation of the multiple detection algorith understanding of probabilities of detection and false alarm and receiver operation attacks.</li> <li>Transition capabilities to U.S. government, defense industrial base organization companies.</li> </ul>  | ork based on attacks observed on other similar<br>ms developed to produce quantitative<br>ing characteristic curves for important classes  |                                      |                    |              |         |
| Title: Memex  |  |                                      | 22.338             | 29.300       | 27.700  |
| <b>Description:</b> The Memex program is developing the next generation of search organization, and presentation of domain-specific content. Current search tech retrieved content organization, and infrastructure support and the iterative sear inefficient, typically finding only a fraction of the available information. Memex to discover relevant content and organize it in ways that are more immediately Memex domain-specific search engines will extend the reach of current search content. Memex technologies will enable the military, government, and comme critical information on the Internet and in large intelligence repositories. Anticip counter-drug, anti-money-laundering, and anti-human-trafficking, with transition activities. | nnologies have limitations in search query form<br>rch process they enable is time-consuming and<br>is creating a new domain-specific search para<br>useful to specific missions and tasks. In addit<br>a capabilities to the deep web and non-tradition<br>ercial enterprises to find and organize mission-<br>bated mission areas include counter-terrorism, | d<br>digm<br>ion,<br>ial             |                    |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed initial domain-specific search engines to automatically discover, a and manage web content in specified domains.</li> <li>Implemented the base capabilities to index the surface, deep, and dark web content that is dynamically-generated, unlinked, and in unconventional formats</li> <li>Developed information extraction techniques to categorize and classify discover requirements.</li> <li>Developed dynamic, interactive, and collaborative user interface capabilities</li> </ul>   | and non-traditional structured and unstructure<br>overed content based on mission/user task  |                                      |                    |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense A  | dvanced Research Projects Agency  | Date: F  | ebruary 2016 | 5        |
|---|---|--|--------------|----------|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Number/<br>TT-13 / NETWOR<br>TECHNOLOGY |              | ENABLING |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015  | FY 2016      | FY 2017  |
| <ul> <li>Developed search techniques optimized for queries performed for<br/>law enforcement to support case development and criminal prosect</li> </ul>  |   | to   |              |          |
| <ul> <li>FY 2016 Plans:</li> <li>Develop specialized search techniques for information discovery</li> <li>Develop advanced content discovery, deep crawling, information domain specific search.</li> <li>Integrate and evaluate multiple end-to-end operational prototype content analysis.</li> <li>Conduct system evaluation with feedback from operational partnesettings.</li> </ul>   | n extraction, and information relevance algorithms to supported with automated, user, and team guided methods for web   | ,  |              |          |
| <ul> <li>FY 2017 Plans:</li> <li>Develop advanced domain search techniques and methods acroindexing, search, analytics, and visualization) that are domain agn</li> <li>Develop integrated applications from Memex components demo new domain specific search capabilities with highly effective user e</li> <li>Transition software components and integrated systems, and de</li> <li>Establish and develop software and user communities around op sustainment, software evolution, and long-term operational use.</li> </ul>  | ostic, highly adaptable, and rapidly deployed.<br>nstrating reduced time and increased flexibility of standing<br>experience.<br>monstrate enhanced support for partner missions.   |  |              |          |
| <i>Title:</i> Distributed Battle Management (DBM)<br><i>Description:</i> The Distributed Battle Management (DBM) program<br>algorithms for battle management (BM) in contested environments<br>board a heterogeneous mix of multi-purpose manned and unmann<br>BM networks to communicate with subordinate platforms due to ex-<br>anti-satellite attacks, and the need for emissions control in the face<br>Battle Management program will seek to develop a distributed com<br>focused asset teams. The architecture will enable rapid reaction to<br>BM structure, despite limited communications and platform attrition<br>will incorporate highly automated decision making capability while<br><i>FY 2015 Accomplishments:</i><br>- Developed detailed system architecture for the distributed battle | s. The military is turning to networked weapons and sensor<br>ned systems. In contested environments, it is a challenge f<br>ktensive adversarial cyber and electronic warfare operation<br>e of a formidable integrated air defense system. The Distri-<br>nmand architecture with decentralized control of mission-<br>o ephemeral engagement opportunities and maintain a reli-<br>n in continuously evolving threat environments. The progra-<br>maintaining vital human-on-the-loop operator approval. | or<br>s,<br>buted<br>able                        | 14.440       | 18.000   |
|   |   |  |              |          |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced  | Research Projects Agency   | Date: F  | ebruary 2016 | 6        |
|--|--|--|--------------|----------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Number/I<br>TT-13 / NETWOR/<br>TECHNOLOGY |              | ENABLING |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015  | FY 2016      | FY 2017  |
| <ul> <li>Developed workflow and Concepts of Operations (CONOPS) for the hum system.</li> <li>Developed and prototyped the protocols and algorithms for distributed ba</li> <li>Stood-up modeling and simulation capability for test and performance eva and algorithms.</li> </ul>   | ttle management in a denied environment.   |  |              |          |
| <ul> <li>FY 2016 Plans:</li> <li>Identify and further research the most promising planning concepts, situat</li> <li>Complete design of the overall DBM system, to include architecture, software</li> <li>for expected host platforms.</li> <li>Implement initial version of the integrated DBM system architecture, algor</li> <li>Demonstrate initial version's capabilities in a simulated battle environment resources.</li> </ul>  | vare components, CONOPS, and integration strat rithms, and software.   | egy  |              |          |
| <ul> <li>FY 2017 Plans:</li> <li>Update DBM algorithms and architecture based on experimentation to su</li> <li>Continue development of the DBM human-machine interface for battle ma</li> <li>Demonstrate integrated DBM capabilities in live, virtual, and constructive</li> <li>Conduct software flexibility tests to demonstrate the ability to insert software</li> </ul>   | anagement platforms and tactical platforms. simulations.   |  |              |          |
| <i>Title:</i> Quantitative Crisis Response (QCR)*  |  | 7.600  | 15.588       | 21.500   |
| <b>Description:</b> *Previously Quantitative Methods for Rapid Response (QMRF<br>The Quantitative Crisis Response (QCR) program develops and applies big<br>better understand the true nature of non-traditional threats, track the effective<br>alternative strategies. Recently we have seen the rise of extremely challen<br>of (human) traffickers and infectious diseases like Ebola. To counter illicit no<br>often take place on the dark web, and derive their command and control str<br>a somewhat different challenge, specifically, finding patterns in the spread of<br>propagation. There is also interest in quantitative methods for countering the<br>be coordinated with and transitioned to multiple national security agencies.<br><b>FY 2015 Accomplishments:</b><br>- Developed quantitative models to track the impact of Ebola on a population | g data analysis and visualization methodologies to<br>veness of remedial measures, and develop/optimi<br>ging non-traditional threats including illicit network<br>etworks it is important to detect their activities, wh<br>ructure. Infectious disease contagion presents<br>of the disease and factors that favor/mitigate its<br>ne proliferation of weapons of mass terrorism. QC | ze<br>s<br>ich<br>R will                           |              |          |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency  |                   | Date: F                              | ebruary 2016 | 2016    |  |  |
|--|---|-------------------|--------------------------------------|--------------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY   | TT-13/            | <b>(Number/N</b><br>NETWORK<br>OLOGY | RIC ENABLING |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   |                   | FY 2015                              | FY 2016      | FY 2017 |  |  |
| <ul> <li>Developed advanced content discovery, deep crawling, information extraction search, analysis and visualization of collected information.</li> <li>Coordinated with stakeholders in national security agencies and developed r operations.</li> </ul>  | -   | port              |                                      |              |         |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Refine quantitative models, content discovery, deep crawling, information ex support search, analysis and visualization of collected information.</li> <li>Generalize mechanisms and harden collection and processing architectures and apply developed models, processes and methods to other areas of nationation.</li> <li>Develop dynamic, interactive, and collaborative user interface capabilities to</li> <li>Develop quantitative models to discover indicators of possible proliferation or processing and processing architectures.</li> </ul> | to respond to rapid re-direction of system reso<br>al security interest.<br>support the needs of users.   |                   |                                      |              |         |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Integrate collection architectures, analytic models, processes and methods in</li> <li>Evaluate multiple end-to-end operational prototypes with automated, user, and visualization.</li> <li>Conduct system evaluation with feedback from operational partners and transettings.</li> <li>Develop algorithms for extracting trace signals from large data sets to enable terrorism.</li> </ul>  | nd team guided methods for web content anal   | I                 |                                      |              |         |  |  |
| <i>Title:</i> Media Forensics (MediFor)  |   |                   | 9.729                                | 14.000       | 18.000  |  |  |
| <b>Description:</b> The Media Forensics (MediFor) program will create technologies to determine their trustworthiness for military and intelligence purposes. Curre intensive and require analysts and investigators to undertake painstaking analywill develop, integrate, and extend image and video analytics to provide forens automated systems to quickly determine the trustworthiness of open source ar transition to operational commands and the intelligence community. This prog Project SEN-03.   | ent approaches to media forensics are manpov<br>yses to establish context and provenance. Me<br>sic information that can be used by analysts an<br>nd captured images and video. Technologies v | ver<br>diFor<br>d |                                      |              |         |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Formulated approaches for automatically detecting when image and video fil</li> <li>Collected images and videos and manually manipulated a subset for training</li> </ul>   |   |                   |                                      |              |         |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency   |   | Date: F | ebruary 2016 | 6        |
|---|--|---|---------|--------------|----------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY  | Project (Nu<br>TT-13 / NE<br>TECHNOLO         | TWORK   | Name)<br>{   | ENABLING |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | FY  | 2015    | FY 2016      | FY 2017  |
| - Initiated development of techniques for detecting inconsistent observations.  |  |   |         |              |          |
| <ul> <li>FY 2016 Plans:</li> <li>Develop advanced techniques for media fingerprinting and the ability to sear same device.</li> <li>Define processes and practices for the scientific grounding of integrity of visu manipulations and inconsistencies in shadows/illumination and motion/trajecto</li> <li>Develop cross media representations of semantic content in image and video sources reinforce or contradict each other.</li> <li>Collect and manipulate additional images and videos for evaluation and train</li> </ul>   | ual media, including detection of pixel level<br>ries.<br>o sources and techniques to indicate where th  |   |         |              |          |
| <ul> <li>FY 2017 Plans:</li> <li>Develop approaches for countering evolving media editing technologies.</li> <li>Develop approaches to detect manipulation in noisy, degraded and highly co</li> <li>Develop means to fuse knowledge from the various technology components between manipulation and the intended application.</li> <li>Develop an integrated platform with Graphical User Interfaces (GUIs) for operation.</li> </ul>  | and inference engines to determine the relation  | n   |         |              |          |
| Title: Science of Human and Computer Teaming  |  |   | _       | -            | 15.000   |
| <b>Description:</b> The Science of Human and Computer Teaming program will develop techniques for the formation and training of teams comprised of humans and computers. It is selection, role assignment, and training are optimized for individual performance by teams, and future teams are likely to also include autonomous systems that learn, and interact. Behavioral scientists are studying the performance of group performance assessment techniques for group work. Interesting early results are beyond that of the individual members, and that group intelligence has social of ways in which humans may team with computers to achieve superior levels of shown great promise in highly structured competitive domains such as chess. environments will require intuitive, low-latency, high-bandwidth, human-compute teammates. The program will identify individual characteristics predictive of performance for measuring these characteristics in military personnel; diand train human-computer teams with performance superior to that of human-computer teams and the performance superior to that of human-computer teams and the performance superior to that of human-computer teams with performance superior to that of human-computer teams are human-computer teams and here the performance superior to that of human-computer teams are human-computer teams are superior to that of human-computer teams are superior to that of human-computer teams are here the performance superior to that of human-computer teams are here the performance superior teams are teams are teams are teams are teams are tea | Conventional approaches to military personne<br>ce, but military operations are typically perform<br>t use artificial intelligence (AI) to sense, reasor<br>ps across diverse sets of tasks and developin<br>suggest that groups exhibit a form of intelligen<br>correlates. Computer scientists are looking at<br>performance. Such human-computer teams h<br>Realizing this promise in free-form (battlefield<br>ter interfaces that enable computers to be bett<br>rformance of mixed human-computer teams;<br>lemonstrate the capability to select, assign role<br>only teams formed and trained using current | led<br>,<br>g<br>ce<br>lave<br>)<br>er<br>es, |         |              |          |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced   | Research Projects Agency  | Date:  | ebruary 2016 |         |
|---|---|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY   | Project (Number)<br>TT-13 / NETWOR<br>TECHNOLOGY | ,            | NABLING |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015  | FY 2016      | FY 2017 |
| <ul> <li>FY 2017 Plans:</li> <li>Develop group psychometrics concepts applicable to human-computer te</li> <li>Identify individual characteristics that are readily measured and are prediteams assigned specific military task types.</li> <li>Develop quantitative approaches for creating high-performing human-computers/autonomous systems with complementary characteristics.</li> <li>Develop human-computer-interface design principles that optimize the conhuman-computer team performance.</li> <li>Formulate human-machine teaming strategies for military missions such</li> </ul>   | ictive of individual performance in human-computer<br>mputer teams through the inclusion of individuals a<br>pontribution made by computer-based teammates to   | ind  |              |         |
| Title: Predicting Complex Operational Environments  |   | -  | 5.000        | 18.000  |
| <b>Description:</b> The Predicting Complex Operational Environments program of<br>will develop advanced modeling, analysis, simulation, and visualization too<br>plan and manage missions in complex operational environments. The U.S<br>parts of the world where mission success depends heavily on cooperation<br>These groups typically include host nation government organizations, local<br>each of which has priorities, sensitivities and concerns that may differ signif<br>to these considerations, as shortages of water and food directly impact the<br>mission planning and plan assessment/adaptation technologies do not ade<br>this challenge will require the creation of new semantic techniques that auto<br>hypotheses as they become more or less likely given incoming data stream<br>represent the most significant dynamics and uncertainties of the operational<br>and social factors. These will enable command staffs to develop and assess<br>granularity and time scales, and to quickly adapt to changing situations in com- | Is to enable command staffs to rapidly and effective<br>a military increasingly operates in remote and unstant<br>with and among a wide variety of stakeholder group<br>civilian groups, and non-governmental organization<br>ficantly. Economic disruptions can add great urge<br>ater security and may even lead to war. Current<br>equately model the inherent uncertainties. Address<br>comatically generate, update, and prune alternative<br>ms. The program will create computational models<br>al environment including political, military, econom<br>ss potential courses of action at multiple levels of | ely<br>able<br>ps.<br>ons<br>ncy<br>sing<br>that |              |         |
| <b>FY 2016 Plans:</b> <ul> <li>Formulate computational models for political, military, economic, and soc support military planning and plan assessment/adaptation at multiple levels</li> <li>Create semantic techniques that automatically generate, update, and pruless likely given incoming data streams.</li> </ul>  | s of granularity and time scales.   |  |              |         |
| <b>FY 2017 Plans:</b> - Develop dynamical systems models for projecting and predicting the inter-<br>have differing priorities, sensitivities and concerns.   | ractions between diverse stakeholder groups that  | may  |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency Date: February 2016  |   |  |         |         |  |  |
|--|---|---|--|---------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602702E / TACTICAL TECHNOLOGY   | TT-13/  | Date: February 2016         Image: Network Centric Element         Image: Network Centric Element         Image: Network Centric Element         FY 2015       FY 2016         6.104       -         115.512       142.247 |         | NABLING |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   |   | FY 2015  | FY 2016 | FY 2017 |  |  |
| <ul> <li>Develop displays for rapidly visualizing and evaluating likely outcomes of alter</li> <li>Implement models for operational environments and run initial simulations that and plan assessment/adaptation.</li> <li>Introduce models that capture the impact of natural and human-mediated per and hoarding of critical resources, on theater security.</li> <li>Develop machine-reading and automated model assembly techniques to ena of natural resource shortages and economic disruptions.</li> </ul>  | at would be required to support military planni<br>rturbations, such as water shortages, crop fail  | ures,   |  |         |         |  |  |
| <i>Title:</i> Visual Media Reasoning (VMR)   |   |   | 6.104  | -       | -       |  |  |
| <ul> <li>Description: The Visual Media Reasoning (VMR) program created technologie photos and videos and to identify, within minutes, key information related to the within the image (who), enumeration of the objects within the image and their a geospatial location and time frame (where and when). Large data stores of energies easily leveraged by a warfighter or analyst attempting to understand a specific developed technology to enable users to gain insights rapidly through application that can process the imagery in massive distributed image stores. VMR technol automatically extracting tactically relevant information and alerting the analyst the stores for technical users to add new computer vision algorith - Provided a quantified level of performance to show the advantage of multi-algapproach.</li> <li>Delivered robust full-featured Version 1.0 to National Media Exploitation Central agencies as transition products.</li> </ul> | e content. This included identification of individuation<br>attributes (what), and determining the image's<br>emy photos and video are available but canno<br>new image in a timely fashion. The VMR prog<br>on of highly parallelized image analysis technic<br>blogy serves as a force-multiplier by rapidly are<br>to scenes that warrant the analyst's expert atter<br>mus to the system.<br>gorithm reasoning versus a single-algorithm<br>ter (NMEC), FBI, AFRL, and other Government | duals<br>t be<br>gram<br>ques<br>d<br>ention. |  |         |         |  |  |
|  | Accomplishments/Planned Programs Sub  | totals  | 115.512  | 142.247 | 148.596 |  |  |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks<br>D. Acquisition Strategy<br>N/A  |   |   |  |         |         |  |  |

| xhibit R-2A, RDT&E Project Justification: PB 2017 Defe     |  | Date: February 2016   |  |  |
|--|--|---|--|--|
| Appropriation/Budget Activity<br>400 / 2                   | R-1 Program Element (Number/Name)<br>PE 0602702E / TACTICAL TECHNOLOGY | Project (Number/Name)<br>TT-13 / NETWORK CENTRIC ENABLING<br>TECHNOLOGY |  |  |
| . Performance Metrics                                      |  |   |  |  |
| Specific programmatic performance metrics are listed above | e in the program accomplishments and plans section.                    |   |  |  |
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| 0602702E: TACTICAL TECHNOLOGY                              | UNCLASSIFIED   |   |  |  |

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| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency  |                |         |         |                 |                |                         |         | Date: Febr | ruary 2016 |         |                     |               |
|--|----------------|---------|---------|-----------------|----------------|-------------------------|---------|------------|------------|---------|---------------------|---------------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i> |                |         |         | A 2:            | -              | am Elemen<br>15E / MATE | •       | ,          | AL TECHN   | OLOGY   |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total        | FY 2018 | FY 2019    | FY 2020    | FY 2021 | Cost To<br>Complete | Total<br>Cost |
| Total Program Element  | -              | 144.409 | 206.115 | 220.456         | -              | 220.456                 | 233.910 | 254.357    | 262.098    | 266.659 | -                   | -             |
| MBT-01: MATERIALS<br>PROCESSING TECHNOLOGY   | -              | 90.101  | 124.172 | 121.703         | -              | 121.703                 | 110.492 | 118.560    | 121.928    | 125.928 | -                   | -             |
| MBT-02: BIOLOGICALLY<br>BASED MATERIALS AND<br>DEVICES   | -              | 54.308  | 81.943  | 98.753          | -              | 98.753                  | 123.418 | 135.797    | 140.170    | 140.731 | -                   | -             |

### A. Mission Description and Budget Item Justification

This program element is budgeted in the Applied Research Budget Activity because its objective is to develop material, biological and energy technologies that make possible a wide range of new military capabilities.

The major goal of the Materials Processing Technology project is to develop novel materials, materials processing techniques, mathematical models and fabrication strategies for advanced materials, devices and components that will lower the cost, increase the performance, and/or enable new missions for military platforms and systems. Included in this project are efforts across a wide range of materials including structural materials and devices, functional materials and devices, energetic materials and devices, low distortion optical lenses, and materials that enable new propulsion concepts for land, sea, and space vehicles.

The Biologically Based Materials and Devices project acknowledges the growing and pervasive influence of the biological sciences on the development of new DoD capabilities. This influence extends throughout the development of new materials, devices, and processes and relies on the integration of biological breakthroughs with those in engineering and the physical sciences. Contained in this project are thrusts in the application of biomimetic materials and devices for Defense, the use of biology's unique fabrication capabilities to produce structures that cannot be made any other way, the application of materials in biological applications, and the development of manufacturing tools that use biological components and processes for materials synthesis. This project also includes major efforts aimed at integrating biological and digital sensing methodologies and maintaining human combat performance despite the extraordinary stressors of combat. Finally, this thrust will develop new cognitive therapeutics, investigate the role of complexity in biological systems, and explore neuroscience technologies.

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 D  | Defense Advanced | Research Projects | s Agency                                  | Date:       | February 2016 |
|--|------------------|-------------------|---|-------------|---------------|
| Appropriation/Budget Activity<br>0400: Research, Development, Test & Evaluation, Defense-1<br>Applied Research | Wide I BA 2:     | -                 | ement (Number/Name)<br>MATERIALS AND BIOL |             | Ϋ́            |
| 3. Program Change Summary (\$ in Millions)   | <u>FY 2015</u>   | FY 2016           | FY 2017 Base                              | FY 2017 OCO | FY 2017 Total |
| Previous President's Budget  | 150.389          | 220.115           | 263.319                                   | -           | 263.319       |
| Current President's Budget   | 144.409          | 206.115           | 220.456                                   | -           | 220.456       |
| Total Adjustments  | -5.980           | -14.000           | -42.863                                   | -           | -42.863       |
| <ul> <li>Congressional General Reductions</li> </ul>   | 0.000            | 0.000             |   |             |               |
| <ul> <li>Congressional Directed Reductions</li> </ul>  | 0.000            | -14.000           |   |             |               |
| <ul> <li>Congressional Rescissions</li> </ul>  | 0.000            | 0.000             |   |             |               |
| <ul> <li>Congressional Adds</li> </ul>   | 0.000            | 0.000             |   |             |               |
| <ul> <li>Congressional Directed Transfers</li> </ul>   | 0.000            | 0.000             |   |             |               |
| Reprogrammings   | -1.400           | 0.000             |   |             |               |
| SBIR/STTR Transfer   | -4.580           | 0.000             |   |             |               |
| <ul> <li>TotalOtherAdjustments</li> </ul>  | -                | -                 | -42.863                                   | -           | -42.863       |

#### **Change Summary Explanation**

FY 2015: Decrease reflects reprogrammings and the SBIR/STTR transfer.

FY 2016: Decrease reflects congressional reduction.

FY 2017: Decrease reflects a reduction to Materials Processing and Manufacturing efforts and completion of the Manufacturable Gradient Index Optics (M-GRIN) program.

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |         |         |                 |                |  |           |         | Date: Febr | ruary 2016                                       |                     |               |
|--|----------------|---------|---------|-----------------|----------------|--|-----------|---------|------------|--|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2  |                |         |         |                 | PE 060271      | am Element<br>15E / MATER<br>AL TECHNO | RÌALS AND |         |            | m <b>ber/Name)</b><br>ATERIALS PROCESSING<br>DGY |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total                       | FY 2018   | FY 2019 | FY 2020    | FY 2021  | Cost To<br>Complete | Total<br>Cost |
| MBT-01: MATERIALS<br>PROCESSING TECHNOLOGY   | -              | 90.101  | 124.172 | 121.703         | -              | 121.703                                | 110.492   | 118.560 | 121.928    | 125.928  | -                   | -             |

#### A. Mission Description and Budget Item Justification

The major goal of the Materials Processing Technology project is to develop novel materials, materials processing techniques, mathematical models and fabrication strategies for advanced materials, devices and components that will lower the cost, increase the performance, and/or enable new missions for military platforms and systems. Included in this project are efforts across a wide range of materials including structural materials and devices, functional materials and devices, energetic materials and devices, low distortion optical lenses, and materials that enable new propulsion concepts for land, sea, and space vehicles.

| B. Accomplishments/Planned Programs (\$ in Millions)  | FY 2015 | FY 2016 | FY 2017 |
|---|---------|---------|---------|
| Title: Materials Processing and Manufacturing   | 18.479  | 20.387  | 15.234  |
| <b>Description:</b> The Materials Processing and Manufacturing thrust is exploring new manufacturing and processing approaches that will dramatically lower the cost and decrease the time required to fabricate DoD systems. It will also develop approaches that yield new materials and materials capabilities that cannot be made through conventional processing approaches as well as address efficient, low-volume manufacturing. As a result of recent advances in manufacturing techniques (3D printing, manufacture on demand, etc.) and the push towards programmable hardware in embedded systems, the development cycle from design to production of both hardware and software is severely bottlenecked at the design phase. Further research within this thrust, will create methods to translate natural inputs into software code and mechanical design. This process will complete underspecified designs when possible and initiate an iterative dialog with a human to specify details as needed and actively suggest changes to designers when the intended design cannot operate within the required specifications.  |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated integrated, physics-based, location-specific computational tools that predict the thermal history, residual stress, residual distortion, and microstructure of In718 alloys produced by direct metal laser sintering (DMLS).</li> <li>Implemented in-process quality assurance (IPQA) sensors and technology capable of capturing DMLS processing data and initiated development of optimized capture of real-time data at appropriate resolutions to forecast article quality.</li> <li>Demonstrated initial operational phenomenological metallurgical models that link electron beam direct manufacturing (EBDM) process parameters to microstructure and material properties for location-specific prediction of ultimate tensile strength throughout a built structure.</li> <li>Demonstrated automated X-Y-Z wire position control system based on real-time, fast rate, solid-state backscattered electron sensor system.</li> <li>Simulated high-fidelity probabilistic process window (including tails) for bonded composite structures using Monte Carlo techniques and a priori knowledge of process variables.</li> </ul> |         |         |         |

| 400 / 2       PE 0602715E / MATERIALS AND<br>BIOLOGICAL TECHNOLOGY       MBT-01 / MATER<br>TECHNOLOGY         2. Accomplishments/Planned Programs (\$ in Millions)       FY 2015         Completed verified 2D and 3D bonded composite pi-joint structure models.<br>Established interoperable process-material model assessment framework, and curated and standardized a data management<br>system to capture and store data from materials and manufacturing research.       FY 2015         Y 2016 Plans:       Complete optimized phenomenological yield strength model for the probabilistic process model.       Complete optimized phenomenological yield strength model for electron beam additive manufacturing (EBAM).         Complete verification and validation of probabilistic processing model suite.<br>Validate phenomenological model framework.       Validate phenomenological model framework.         Demonstrate rapid qualification capability on demonstration components.       Iterative capability on demonstration components.         ifte: Multifunctional Materials and Structures thrust is developing materials, materials processing, and structures<br>at are explicitly tailored for multiple functions and/or unique mechanical properties. One goal of this research is the ability to<br>esign, develop, and demonstrate materials with combinations of properties that are normally orthogonal (e.g., damage tolerance<br>nd biocompatibility). This capability will utilimately lead to enhanced lethality, survivability, and performance in future DDD<br>laforms. This thrust will also include the exploration and development of dynamic models of complex systems across scale and<br>evelop new methodologies for understanding, architecting, and engineering complex systems. These computational toos will<br>nk material properties t  | Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   |   | Date: February 2016 |         |  |  |
|--|---|---|---|---------------------|---------|--|--|
| Completed verified 2D and 3D bonded composite pi-joint structure models.<br>Established interoperable process-material model assessment framework, and curated and standardized a data management<br>system to capture and store data from materials and manufacturing research.<br><b>Y 2016 Plans:</b><br>Complete design of experiments-optimized model for the probabilistic process model.<br>Demonstrate predictive capability of the probabilistic process model.<br>Complete optimized phenomenological yield strength model for electron beam additive manufacturing (EBAM).<br>Complete verification and validation of probabilistic processing model suite.<br><b>Y 2017 Plans:</b><br><b>Y 2017 Plans:</b><br><b>Y 2017 Plans:</b><br><b>Complete verification and validation of probabilistic processing model suite.</b><br>Validate phenomenological model framework.<br>Demonstrate rapid qualification capability on demonstration components.<br><b>ifte:</b> Multifunctional Materials and Structures thrust is developing materials, materials processing, and structures<br>has are explicitly tailored for multiple functions and/or unique mechanical properties. One goal of this research is the ability to<br>esign, develop, and demonstrate materials with combinations of properties that are normally orthogonal (e.g., damage tolerance<br>nd biocompatibility). This thrust will also include the exploration and development of dynamic models of complex systems across scale and<br>evelop new methodologies for understanding, architecture and explosive for lightweight munitions, novel materials<br>is thrust include reactive structures that can serve as both structure and explosive for lightweight munitions, novel materials<br>is thrust include reactive structures that can serve as both structure and explosive for lightweight munitions, novel materials<br>is thrust include reactive structures to a serve as both structure and explosive for lightweight munitions, novel materials<br>is thrust include reactive structures to functional properties to environmental and/or tactical threat conditions,<br>and surfaces that are designed to | Appropriation/Budget Activity<br>0400 / 2   | PE 0602715E I MATERIALS AND MBT-  |   |                     | SSING   |  |  |
| Established interoperable process-material model assessment framework, and curated and standardized a data management system to capture and store data from materials and manufacturing research. Y 2016 Plans: Complete design of experiments-optimized model for the probabilistic process model. Demonstrate predictive capability of the probabilistic process model. Complete optimized phenomenological yield strength model for electron beam additive manufacturing (EBAM). Complete neural network and genetic numerical analysis for EBAM process. Y 2017 Plans: Complete verification and validation of probabilistic processing model suite. Validate phenomenological model framework. Demonstrate rapid qualification capability on demonstration components. ittle: Multifunctional Materials and Structures thrust is developing materials, materials processing, and structures esign, develop, and demonstrate materials with combinations of properties that are normally orthogonal (e.g., damage tolerance nd biocompatibility). This capability will ultimately lead to enhanced lethality, survivability, and performance in future DoD latforms. This thrust will also include the exploration and development of dynamic models of complex systems across scale and evelop new methodologies for understanding, architecting, and engineering complex systems. These computational tools will nk material properties to physics across multiple length scales (from molecule to part) and provide the ability to model and exploit omplexity, such as hierarchy and strongly correlated effects, in structure and equolisies for understat can serve as both structure and explosive for lightweight munitions, novel materials ind surfaces that are designed to adapt structural or functional properties to environmental and/or tactical threat conditions, and new thin film material deposition processes to improve the performance of surface dominated properties (friction, wear, and embrane permeability). In addition, this thrust will also explore new cost effective processes for en   | B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015   | FY 2016             | FY 2017 |  |  |
| Complete design of experiments-optimized model for the probabilistic process model.       Demonstrate predictive capability of the probabilistic process model.         Complete optimized phenomenological yield strength model for electron beam additive manufacturing (EBAM).       Complete neural network and genetic numerical analysis for EBAM process.         Y 2017 Plans:       Complete verification and validation of probabilistic processing model suite.       Validate phenomenological model framework.         Demonstrate rapid qualification capability on demonstration components.       Itelescription:       18.745         Vescription: The Multifunctional Materials and Structures thrust is developing materials, materials processing, and structures that are explicitly tailored for multiple functions and/or unique mechanical properties. One goal of this research is the ability to esign, develop, and demonstrate materials with combinations of properties that are normally orthogonal (e.g., damage tolerance and bicompatibility). This capability will utimately lead to enhanced lethality, survivability, and performance in future DoD latforms. This thrust will also include the exploration and development of dynamic models of complex systems across scale and evelop me methodologies for understanding, architecting, and engineering complex systems. These computational tools will nk material properties to physics across multiple length scales (from molecule to part) and provide the ability to model and exploit omplexity, such as hierarchy and structural or functional properties to environmental and/or tactical threat conditions, nd new thin film materials. Examples of DoD applications that will benefit from these material developments include lower weight on hew thin film materials. Examples of DoD applications that will benefit from the  | - Established interoperable process-material model assessment fr  | amework, and curated and standardized a data manager  | nent  |                     |         |  |  |
| Complete verification and validation of probabilistic processing model suite.       Validate phenomenological model framework.         Demonstrate rapid qualification capability on demonstration components.       18.748         ittle: Multifunctional Materials and Structures       18.748         rescription: The Multifunctional Materials and Structures thrust is developing materials, materials processing, and structures at are explicitly tailored for multiple functions and/or unique mechanical properties. One goal of this research is the ability to esign, develop, and demonstrate materials with combinations of properties that are normally orthogonal (e.g., damage tolerance in biocompatibility). This capability will ultimately lead to enhanced lethality, survivability, and performance in future DoD latforms. This thrust will also include the exploration and development of dynamic models of complex systems across scale and evelop new methodologies for understanding, architecting, and engineering complex systems. These computational tools will no molecule to part) and provide the ability to model and exploit on properties to physics across multiple length scales (from molecule to part) and provide the ability to model and exploit on molecule to part) and provide the ability to model and exploit on prolexies that are designed to adapt structural or functional properties to environmental and/or tactical threat conditions, and nembrane permeability). In addition, this thrust will also explore new cost effective processes for ensuring DoD accessibility to ture advanced materials. Examples of DoD applications that will benefit from these material developments include lower weight and higher performance aircraft, turbines with enhanced efficiency, erosion-resistant rotor blades, and high-temperature materials or operation in hypersonic environments.         Y 2   | <ul> <li>Demonstrate predictive capability of the probabilistic process mo</li> <li>Complete optimized phenomenological yield strength model for end</li> </ul>   | del.<br>electron beam additive manufacturing (EBAM).  |   |                     |         |  |  |
| <b>Description:</b> The Multifunctional Materials and Structures thrust is developing materials, materials processing, and structures hat are explicitly tailored for multiple functions and/or unique mechanical properties. One goal of this research is the ability to esign, develop, and demonstrate materials with combinations of properties that are normally orthogonal (e.g., damage tolerance in diocompatibility). This capability will ultimately lead to enhanced lethality, survivability, and performance in future DoD latforms. This thrust will also include the exploration and development of dynamic models of complex systems across scale and evelop new methodologies for understanding, architecting, and engineering complex systems. These computational tools will nk material properties to physics across multiple length scales (from molecule to part) and provide the ability to model and exploit omplexity, such as hierarchy and structural or functional properties to environmental and/or tactical threat conditions, novel materials in duraterial eredesigned to adapt structural or functional properties to environmental and/or tactical threat conditions, nd new thin film material deposition processes to improve the performance of surface dominated properties (friction, wear, and new thin film materials. Examples of DoD applications that will benefit from these material developments include lower weight in higher performance aircraft, turbines with enhanced efficiency, erosion-resistant rotor blades, and high-temperature materials or operation in hypersonic environments.   | - Validate phenomenological model framework.  |   |   |                     |         |  |  |
| hat are explicitly tailored for multiple functions and/or unique mechanical properties. One goal of this research is the ability to esign, develop, and demonstrate materials with combinations of properties that are normally orthogonal (e.g., damage tolerance in diocompatibility). This capability will ultimately lead to enhanced lethality, survivability, and performance in future DoD latforms. This thrust will also include the exploration and development of dynamic models of complex systems across scale and evelop new methodologies for understanding, architecting, and engineering complex systems. These computational tools will nk material properties to physics across multiple length scales (from molecule to part) and provide the ability to model and exploit omplexity, such as hierarchy and strongly correlated effects, in structural and functional materials. Development efforts under this thrust include reactive structures that can serve as both structure and explosive for lightweight munitions, novel materials nd surfaces that are designed to adapt structural or functional properties to environmental and/or tactical threat conditions, and new thin film material deposition processes to improve the performance of surface dominated properties (friction, wear, and newbrane permeability). In addition, this thrust will also explore new cost effective processes for ensuring DoD accessibility to ture advanced materials. Examples of DoD applications that will benefit from these material developments include lower weight nd higher performance aircraft, turbines with enhanced efficiency, erosion-resistant rotor blades, and high-temperature materials or operation in hypersonic environments.   | Title: Multifunctional Materials and Structures   |   | 18.748  | 28.085              | 24.158  |  |  |
| Experimentally validated computational models of low temperature thin-film growth.   | that are explicitly tailored for multiple functions and/or unique mech<br>design, develop, and demonstrate materials with combinations of p<br>and biocompatibility). This capability will ultimately lead to enhance<br>platforms. This thrust will also include the exploration and develop<br>develop new methodologies for understanding, architecting, and en<br>link material properties to physics across multiple length scales (fro<br>complexity, such as hierarchy and strongly correlated effects, in str<br>this thrust include reactive structures that can serve as both structu<br>and surfaces that are designed to adapt structural or functional pro<br>and new thin film material deposition processes to improve the per<br>membrane permeability). In addition, this thrust will also explore no<br>future advanced materials. Examples of DoD applications that will | nanical properties. One goal of this research is the ability<br>properties that are normally orthogonal (e.g., damage tole<br>ed lethality, survivability, and performance in future DoD<br>ment of dynamic models of complex systems across sca<br>ngineering complex systems. These computational tools<br>om molecule to part) and provide the ability to model and<br>ructural and functional materials. Development efforts ur<br>ure and explosive for lightweight munitions, novel materia<br>operties to environmental and/or tactical threat conditions<br>formance of surface dominated properties (friction, wear,<br>ew cost effective processes for ensuring DoD accessibility<br>benefit from these material developments include lower | to<br>erance<br>le and<br>will<br>exploit<br>oder<br>ils<br>,<br>and<br>ty to<br>weight |                     |         |  |  |
|  |   | •   | es.   |                     |         |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |                            | Date: Fe | bruary 2016 |         |
|---|----------------------------|----------|-------------|---------|
| 0400 / 2 PE 0602715E / MATERIALS AND  | AND MBT-01 I MATERIALS PRO |          |             | SING    |
| B. Accomplishments/Planned Programs (\$ in Millions)  | FY                         | 2015     | FY 2016     | FY 2017 |
| <ul> <li>Demonstrated deposition of thin film challenge material on a substrate at low temperature.</li> <li>Improved film quality and properties by adjusting process component parameters/integration strategy.</li> <li>Generated design intent and the initial materials solution for a baseline hypersonic flight trajectory.</li> <li>Established and populated the data warehouse for initial boost-glide aeroshell data.</li> <li>Developed an initial framework for modeling complex systems made from tailorable feedstock materials and forming process applicable to many domains.</li> </ul>   | ses                        |          |             |         |
| <ul> <li>FY 2016 Plans:</li> <li>Deliver thin film and coating materials with technical summaries to transition partners, Army Research Office and the Naval Research Laboratory.</li> <li>Demonstrate initial integrated material, process, design, and manufacturing tool demonstrations for hypersonic hot structure aeroshell.</li> <li>Create material system development and design framework, and link material informatics results to identify aeroshell missio performance drivers.</li> <li>Generate a sub-component design concept and a sub-element design for hypersonic hot structure aeroshell.</li> <li>Establish an independent test and evaluation capability for hypersonic hot structure aeroshell.</li> <li>Identify candidate reinforced matrix compounds for enabling multiple platforms to be manufactured from a single tailorable feedstock material.</li> <li>Identify reconfigurable forming technologies for the rapid, cost effective manufacture of complex shapes from matrix compour reinforced with short, aligned elements.</li> </ul> | n                          |          |             |         |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate an aligned and tailorable material feedstock that meets or exceeds state of the art aerospace materials performance.</li> <li>Demonstrate a reconfigurable forming method that maintains alignment and distribution in short element reinforced matrix compounds when formed into complex shapes for DoD parts.</li> <li>Demonstrate that a multifunctional element can be incorporated into the feed stock and maintain performance.</li> <li>Demonstrate that a multifunctional component can be formed without degradation of performance in either the structural or functional component.</li> <li>Create a cost model that assesses cost competitiveness and rate insensitivity of the new material format and forming proce</li> <li>Establish process limits of forming capabilities.</li> </ul>   | SS.                        |          |             |         |
| Title: Materials for Force Protection   |                            | 16.223   | 25.353      | 27.361  |
| <b>Description:</b> The Materials for Force Protection thrust is developing novel materials and materials systems that will greatly enhance performance against ballistic, blast, and chemical threats across the full spectrum of warfighter environments. Include   | ded                        |          |             |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   |   | Date: F | ebruary 2016 | 6       |
|---|---|---|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602715E / MATERIALS AND<br>BIOLOGICAL TECHNOLOGY  | ) Project (Number/Name)<br>MBT-01 / MATERIALS PROCE<br>TECHNOLOGY |         |              | SSING   |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |   | FY 2015 | FY 2016      | FY 2017 |
| in this thrust are energy management and armor approaches to a<br>well as new novel approaches for containment and remediation or<br>topological concepts as well as entirely new structural designs an<br>and functionality at reduced weight and/or cost.   | f chemical agent threats. The thrust will also focus on nove  | el  |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated at least 30% enhancement in opaque vehicle bal state-of-the-art fielded designs.</li> <li>Demonstrated capability, based on small arms threat results, to armor performance to defeat bullets from heavier weapons.</li> <li>Developed capability, based on results of feasibility study, to ac performance for multiple threats in an integrated armor design.</li> <li>Developed and demonstrated ability of monohull design to spre underbody blast and prevent breach at equivalent weight to curre</li> <li>Integrated energy absorbing materials and components into pas various vehicle weight classes and demonstrated capability to reduce by &gt; 2x the combined effects characteristic of medium vehicle weights in underbody blast events.</li> <li>Demonstrated capability to reduce by &gt; 4x the effects of both lo absorbing systems into an integrated system characteristic of ligh</li> <li>Explored novel approaches to chemical remediation of organic available reagents (e.g., soil, water, and air).</li> <li>Developed modeling capability for predicting material properties hierarchical structures.</li> <li>Initiated the development of knowledge-based tools to enable c chemistry reaction pathways.</li> <li>Initiated the design of a user interface for exploiting computation <i>FY 2016 Plans:</i></li> <li>Validate chemical remediation approaches against a series of D Demonstrate feasibility for achieving an efficiency of chemical a Expand computational methods for reaction pathway design of a sibuprofen and atropine.</li> </ul> | achieve at least 30% enhancement in opaque vehicle ball<br>hieve 2x enhancement in opaque vehicle ballistic armor<br>ad impulsive load from enhanced (> 2x impulsive load)<br>nt underbody structures.<br>sive hierarchical energy absorbing systems characteristic<br>luce by > 2x the combined effects of local and global impul-<br>s of local and global impulse in active counter impulse syst<br>ts.<br>cal and global impulse by combining hierarchical passive of<br>t and medium vehicle weight class in underbody blast eve<br>compounds with a focus on approaches that utilize readily<br>a relationships such as density, strength, and toughness in<br>omputational design and discovery of complex synthetic<br>hal synthetic chemistry to predict complex reaction pathwa<br>DoD-relevant model compounds.<br>gent remediation/conversion of > 99%. | of<br>lse in<br>ems<br>energy<br>nts.                             |         |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense A   |   | Date: February 2016                       |   |         |         |  |
|--|---|---|---|---------|---------|--|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602715E <i>I MATERIALS AND</i><br><i>BIOLOGICAL TECHNOLOGY</i>  | MBT-0                                     | <b>ject (Number/Name)</b><br>T-01 <i>I MATERIALS PROCESSING</i><br>CHNOLOGY |         |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | Γ   | FY 2015   | FY 2016 | FY 2017 |  |
| - Demonstrate continuous synthesis of APIs such as nevirapine a  | and hydroxychloroquine.   |   |   |         |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Validate in-line analytical monitoring of newly developed chemic</li> <li>Increase chemical remediation/conversion of DoD-relevant mod</li> <li>Initiate designs for extension of small-scale, continuous flow mod</li> <li>Demonstrate the synthesis of one challenge molecule in a fully</li> </ul>   | lel compounds to 99.9%.<br>blecular syntheses to metric ton/year equivalent.  |   |   |         |         |  |
| Title: Functional Materials and Devices  |   |   | 6.000   | 13.734  | 14.68   |  |
| <b>Description:</b> The Functional Materials and Devices thrust is dever<br>the performance of a wide variety of functional devices for DoD se<br>area under this thrust is the development of improved transduction<br>thermal to electrical, magnetic to electrical, etc.). Improvements in<br>of material structure at the scale of the relevant phenomena. This<br>and predict optimal material and device designs for a broad range<br>benefit from advanced transductional materials include low size, w<br>sensors and compact RF antennas. | ensing, imaging, and communication applications. One for<br>nal materials that convert one form of energy to another (i.<br>n transductional materials and devices require deliberate of<br>s thrust leverages advances in multi-physics modeling to ic<br>of DoD applications. Examples of DoD applications that | cus<br>.e.,<br>control<br>dentify<br>will |   |         |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Began the identification of DoD application-specific system specthermoelectric material development efforts.</li> <li>Initiated study of novel power electronic circuit topologies to tak and weight.</li> </ul>  |   | d size                                    |   |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Initiate the development of an open source model architecture a domains (e.g. thermoelectric, magnetoelectric, multiferroic).</li> <li>Continue the identification of DoD application-specific system spectre thermoelectric material development efforts.</li> </ul>   | pecifications that will provide performance requirements for  | or  |   |         |         |  |
| <ul> <li>Begin development of a multi-physics transductional material m<br/>phonon engineering.</li> <li>Design, fabricate and characterize thermoelectric materials and<br/>the-art.</li> </ul>   |   |   |   |         |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  |  | Date: Fe | ebruary 2016 |         |
|--|--|--|----------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602715E / MATERIALS AND<br>BIOLOGICAL TECHNOLOGY | Project (Number/Name)<br>MBT-01 / MATERIALS PROCESSING<br>TECHNOLOGY |          |              | SING    |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | Γ  | FY 2015  | FY 2016      | FY 2017 |
| <ul> <li>Design, fabricate and characterize materials and devices based on multiferror<br/>performance metrics over the state-of-the-art.</li> </ul>   | ic or phase change materials with improved   |  |          |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Finalize development of multi-physics transductional material modeling capa phonon engineering.</li> <li>Deliver proof of concept thermoelectric devices with improved performance of Deliver proof of concept devices based on multiferroic or phase change material the-art.</li> </ul>   | over the state-of-the-art.   | e-of-  |          |              |         |
| Title: Reconfigurable Structures   |  |  | 11.337   | 17.694       | 23.310  |
| <b>Description:</b> In the Reconfigurable Structures thrust, new combinations of advanced materials, devices, structural architectures, and platforms are being developed to allow military systems to adapt to changing mission requirements and unpredictable environments. This includes the demonstration of new materials and devices that will enable the military to function more effectively in the urban theater of operations. In addition, this thrust will develop a principled, scientific basis for improved robotic mobility, manipulation, and supervised autonomy; and, leverage these results to develop and demonstrate innovative robot design tools, fabrication methods, and control methodologies. One specific objective of this thrust is to create the scientific basis for understanding, modeling, developing, testing and evaluating autonomous systems with one or more human supervisors, and one or more remote physical agents. Another thrust is the development of architectures that harness systems and human organizations working collaboratively. |  |  |          |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Investigated new control algorithms to enable sensing and processing for fas environments.</li> <li>Designed platforms for low-Size, Weight and Power (SWaP) experimentation</li> </ul>   |  |  |          |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Determine limits for GPS free navigation for short duration missions.</li> <li>Model and develop behavioral controls to enable an Intelligence Surveillance clutter environment.</li> <li>Exploit novel mathematical tools and techniques for understanding the fundation complex systems and systems-of-systems.</li> <li>Investigate architectures that harness systems and human organizations work</li> </ul>   | mentals of design science and design phenom  |  |          |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop representations and behaviors that enable an ISR mission in a high-</li> </ul>  | -clutter environment.  |  |          |              |         |

PE 0602715E: *MATERIALS AND BIOLOGICAL TECHNOLOGY* Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   |                          |                    | Date: February 2016 |         |  |
|---|---|--------------------------|--------------------|---------------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 2   | Project (N<br>MBT-01 / /<br>TECHNOL   | MATERIA                  | lame)<br>LS PROCES | SING                |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY                       | 2015               | FY 2016             | FY 2017 |  |
| <ul> <li>Establish new paradigms for how systems and their constituent modules are optimized.</li> <li>Demonstrate management of complexity to enable inverse design of systems</li> </ul>  |   |                          |                    |                     |         |  |
| Title: Compact Neutron Sources  |   |                          | 11.500             | 15.854              | 16.960  |  |
| <b>Description:</b> The Compact Neutron Sources thrust will develop the platform te sources for in-field sensing, detection, and imaging. A focus of this thrust will be Today's neutron imaging technology allows for unique sensing modalities that installations. The research and development pursued under this thrust will end the field at time-scales and logistical footprints compatible with DoD missions. multi-functional materials with tuned physical and electrical characteristics and integrated in laboratory demonstration test beds. | be the development of compact neutron source<br>can currently only be performed at facility-sized<br>able the use of neutron imaging and detection i<br>Multiple component technologies, such as new        | s.<br>I<br>1<br><i>i</i> |                    |                     |         |  |
| <ul> <li>Developed and refined notional high-voltage particle accelerator system arch</li> <li>Designed components with 10-100x performance in key metrics as determin</li> <li>Developed and used high-performance design tools to conduct design and fe components.</li> </ul>  | ed by system architecture requirements.   |                          |                    |                     |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Incorporate technical findings from component design into expected perform</li> <li>Refine components and begin integration into demonstration neutron source</li> <li>Use component performance tests for design tool validation and development</li> </ul>   | testbed.  |                          |                    |                     |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Identify successful compact neutron source components and integrate them</li> <li>Perform initial integrated compact neutron source prototype testing.</li> </ul>  | into prototype systems.   |                          |                    |                     |         |  |
| Title: Manufacturable Gradient Index Optics (M-GRIN)  |   |                          | 7.814              | 3.065               | -       |  |
| <b>Description:</b> The Manufacturable Gradient Index Optics (M-GRIN) program see optics (GRIN) lenses from a Technology Readiness Level (TRL) 3 to a Manufa expand the application of GRIN by providing compact, lightweight, and cost-eff and aberrations that will replace large assemblies of conventional lenses. The and surfaces creates the potential for new or significantly improved military opt portable designators, highly efficient fiber optics, and imaging systems. The pro-   | Icturing Readiness Level (MRL) 6. The program<br>fective optical systems with controlled dispersion<br>ability to create entirely new optical materials<br>tical applications, such as solar concentrators, | n will<br>n              |                    |                     |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency   | Date: F     | ebruary 2016 |         |
|---|--|-------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | Project (Number/I<br>/IBT-01 / MATERI/<br>/ECHNOLOGY   |             | SING         |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015     | FY 2016      | FY 2017 |
| technologies to glass, ceramic, and other inorganic materials in order to allow t<br>for mid-wave and long-wave infrared (MWIR and LWIR) applications. A key co<br>tools that enable optics designers to incorporate dynamic material properties, f<br>The integration of new materials, design tools, and manufacturing processes w<br>designs to be manufactured. This new manufacturing paradigm will enable flex<br>unit to thousands of units.   | omponent of the program is to develop new desi<br>abrication methods, and manufacturing toleranc<br>vill enable previously unattainable 3-D optical                                | gn<br>es.   |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed GRIN lens production scale-up and demonstrated process control enable sustainable manufacturing.</li> <li>Upgraded design tools and expanded potential user pool from advanced to mimprovements of the GRIN design modules, to provide user-friendly interface for Completed expansion of design tools to add 3D and arbitrary gradients as were Completed process characterization and control to achieve target yields and a Initiated prototype builds to demonstrate system performance and/or size, we optical systems.</li> <li>Initiated thermal model and implement in optical system design to mitigate the Initiated demonstration of rapid redevelopment/prototyping capability.</li> </ul> | nid-level optical designers, through upgrades ar<br>or customers.<br>ell as improve computational efficiency.<br>turn-around times.<br>eight and power (SWaP) improvement from GRI |             |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete prototype builds to demonstrate system performance and/or SWaF</li> <li>Complete thermal model and implement in optical system design to mitigate</li> <li>Complete demonstration of rapid redevelopment/prototyping capability.</li> </ul>   |  |             |              |         |
|   | Accomplishments/Planned Programs Subto   | tals 90.101 | 124.172      | 121.703 |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks<br>D. Acquisition Strategy<br>N/A<br>E. Performance Metrics<br>Specific programmatic performance metrics are listed above in the program ac   | ccomplishments and plans section.  |             |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |         |         |                 |   |                  | Date: Feb | ruary 2016                           |           |           |                     |               |
|--|----------------|---------|---------|-----------------|---|------------------|-----------|--------------------------------------|-----------|-----------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 2  |                |         |         | PE 060271       | <b>am Elemen</b><br>15E / MATE<br>CAL TECHN | RÍALS AND        |           | Project (N<br>MBT-02 / E<br>MATERIAL | BIOLOGICA | LLY BASED | 1                   |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO                              | FY 2017<br>Total | FY 2018   | FY 2019                              | FY 2020   | FY 2021   | Cost To<br>Complete | Total<br>Cost |
| MBT-02: BIOLOGICALLY<br>BASED MATERIALS AND<br>DEVICES                                       | -              | 54.308  | 81.943  | 98.753          | -   | 98.753           | 123.418   | 135.797                              | 140.170   | 140.731   | -                   | -             |

#### A. Mission Description and Budget Item Justification

This project acknowledges the growing and pervasive influence of the biological sciences on the development of new DoD capabilities. This influence extends throughout the development of new materials, devices, and processes and relies on the integration of biological breakthroughs with those in engineering and the physical sciences. Contained in this project are thrusts that apply biology's unique fabrication and manufacturing capabilities to produce novel chemicals and materials at scale, as well as research to develop new high-throughput methods and devices to analyze biological changes at the cellular and molecular level. This project also includes major efforts aimed at integrating biological, computational, and digital sensing methodologies to explore neuroscience technology and maintain human combat performance.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: BioDesign   | 13.916  | 13.500  | 13.58   |
| <b>Description:</b> BioDesign will employ system engineering methods in combination with advances in biological and chemical technologies to create novel methods for threat response. This thrust will develop new high-throughput technologies for monitoring the function of cellular machinery at the molecular level and the response(s) of that machinery to physical, chemical, or biological threats. While conventional approaches typically require decades of research, new high-throughput approaches will permit rapid assessment of the impact of known or unknown threats on identified biomolecules and cell function. Successful research in this thrust will both reduce the time required to understand the mechanism of action for new pharmaceutical compounds and enhance response capabilities for emerging and engineered threats. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Utilized high throughput approaches to characterize intracellular components and mechanistic interactions that reveal the effects of challenge compounds on intracellular machinery.</li> <li>Demonstrated high throughput methods using cells of human origin.</li> <li>Demonstrated the ability to identify intracellular components and events that occur hours after the application of a challenge compound.</li> <li>Demonstrated the ability to localize relevant molecules and events to one intracellular compartment (membrane, nucleus, or cytoplasm) upon the application of a challenge compound.</li> </ul>   |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   |  | Date: F  | ebruary 2016 |         |         |
|---|--|--|--------------|---------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | R-1 Program Element (Number/Name)<br>PE 0602715E / MATERIALS AND<br>BIOLOGICAL TECHNOLOGY  | <b>Project (Number/Name)</b><br>MBT-02 <i>I BIOLOGICALLY BASED</i><br><i>MATERIALS AND DEVICES</i> |              |         |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  |  | FY 2015      | FY 2016 | FY 2017 |
| <ul> <li>Reconstructed and confirmed greater than 20 percent of the molecules and mechanism of action for a demonstration compound which has been applied to</li> </ul>   |  | I  |              |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate the ability to localize relevant molecules and events to one or nucleus, or cytoplasm) upon the application of a challenge compound.</li> <li>Demonstrate the ability to identify intracellular components and events that challenge compound.</li> <li>Reconstruct and confirm greater than 60 percent of the molecules and mech mechanism of action for a demonstration compound which has been applied to</li> </ul>  | occur within minutes after the application of a<br>anistic events that comprise the canonical  | ane,   |              |         |         |
| <ul> <li>FY 2017 Plans:</li> <li>Continue to demonstrate the ability to localize relevant molecules and events membrane, nucleus, or cytoplasm) upon the application of a challenge compoure.</li> <li>Demonstrate the ability to identify intracellular components and events that challenge compound.</li> <li>Reconstruct and confirm greater than 80 percent of the molecules and mech</li> </ul>   | e.g.,  |  |              |         |         |
| mechanism of action for a demonstration compound which has been applied to  |  |  |              |         |         |
| <i>Title:</i> Living Foundries  |  |  | 24.838       | 28.900  | 27.700  |
| <b>Description:</b> The goal of the Living Foundries program is to create a revolution<br>for the DoD and the Nation. With its ability to perform complex chemistries, be<br>adapt to changing environments, and self-repair, biology represents one of the<br>Living Foundries seeks to develop the foundational technological infrastructure<br>speeding the biological design-build-test-learn cycle and expanding the comple<br>Living Foundries aims to provide game-changing manufacturing paradigms for<br>production of critical and high-value molecules.                            | e flexibly programmed through DNA code, scale<br>most powerful manufacturing platforms known<br>to transform biology into an engineering pract<br>exity of systems that can be engineered. Ultim   | e,<br>n.<br>ice,   |              |         |         |
| Research thrusts will focus on the development and demonstration of open tec<br>(months vs. years) design and construction of new bio-production systems. Th<br>across the areas of design, fabrication, debugging, analysis, optimization, and<br>life-cycle and enabling the ability to rapidly assess and improve designs. Key to<br>design, fabrication of systems, debugging using multiple characterization data<br>iterative design and experimentation will be accurate, efficient and controlled.<br>a variety of DoD-relevant, novel molecules with complex functionalities, such a | ne result will be an integrated, modular infrastruvalidation spanning the entire development<br>to success will be tight coupling of computation<br>types, analysis, and further development such<br>Demonstration platforms will be challenged to | acture<br>al<br>that<br>build  |              |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | Date: F   | ebruary 2016   |         |         |         |
|--|---|--|---------|---------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602715E <i>I MATERIALS AND</i><br><i>BIOLOGICAL TECHNOLOGY</i>                                      | <b>Project (Number/Name)</b><br>MBT-02 <i>I BIOLOGICALLY BASED</i><br><i>MATERIALS AND DEVICES</i> |         |         |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | ſ  | FY 2015 | FY 2016 | FY 2017 |
| materials precursors, and polymers (e.g., those tolerant of harsh environments in PE 0601101E, Project TRS-01.   | ). This program has basic research efforts fun  | ded  |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Expanded the capabilities of the rapid design and prototyping infrastructure to produce using traditional synthesis mechanisms.</li> <li>Expanded access and experimental scale to promote the production capabilition infrastructure.</li> <li>Began establishing the efficacy of the integrated design-build-test-learn feed of target molecules via the prototyping facility's established processes.</li> </ul>   | ties of rapid design and prototyping facilities   | zation   |         |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate the ability of infrastructure pipelines to rapidly generate target in</li> <li>Initiate pressure tests of the Foundries to test capabilities of the design and p<br/>breadth, and efficacy of the infrastructure designs.</li> <li>Implement learn capabilities into design algorithms based on testing and cha<br/>order to improve the processes.</li> <li>Improve forward design and rapid optimization of target molecules via the pro-<br/>Initiate development of computational infrastructure to link component technologies.</li> </ul>   | prototyping pipelines in demonstrating the spee<br>racterization of previously prototyped targets in<br>ptotyping facility's established processes. | n  |         |         |         |
| <ul> <li>FY 2017 Plans:</li> <li>Further advance infrastructure pipelines capable of rapidly prototyping and ge emphasis on system integration, throughput, and process optimization.</li> <li>Continue pressure tests of the infrastructure facilities to test capabilities of the the speed, breadth, and efficacy of the infrastructure designs.</li> <li>Test the ability to produce ten molecules that are relevant to the DoD.</li> <li>Incorporate learn capabilities into design algorithms based on testing and chaorder to improve the processes.</li> <li>Begin developing the infrastructure pipelines to prototype production of know molecules.</li> </ul> | e design and prototyping pipelines in demonstr<br>aracterization of previously prototyped targets   | rating   |         |         |         |
| Title: Adaptive Immunomodulation-Based Therapeutics  |   |  | 12.554  | 22.000  | 22.971  |
| <b>Description:</b> The Adaptive Immunomodulation-Based Therapeutics program w<br>and define the biological pathways that modulate the immune response and cri<br>this capability will require the development of new tools to stimulate and measu   | tical organ function. One approach to achieve   | •  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced   | Research Projects Agency  |                | Date: F | ebruary 2016 |         |
|---|---|----------------|---------|--------------|---------|
| map the bioelectric code modulates. This program will also identify immune function correlates for health and early detection of disease. An additional approach involves characterizing the host response in patients with severe infections, and developing a quantitative framework that can be used to guide modulation of the immune response. Algorithms will be developed to evaluate and predict various physiological conditions within an individual. Advances made under the Adaptive Immunomodulation-Based Therapeutics program will improve our response capability against severe infectious diseases and biological threats and offer new avenues for treating disease or organ function. <i>FY 2015 Accomplishments:</i> - Initiated development of capabilities to characterize the neural-immune interface, including real-time measurement of biomarkers Began identifying novel, actionable targets for neural immune modulation Started identifying specific neuro-visceral circuits which can be targeted by electrical, optical, ultrasonic, or other novel stimulation approaches to modulate function. <i>FY 2016 Plans:</i> - Develop novel interface technologies to monitor and stimulate peripheral nerves to selectively alter organ function. <i>FY 2017 plans:</i> - Identify peripheral intervention points and modulation parameters for control of mammalian autonomic function for improving health or treating disease Develop multi-site electrode array and stimulator to improve targeting of vagal nerve stimulation. <i>FY 2017 Plans:</i> - Develop multi-site electrode array and stimulator to improve targeting of vagal nerve stimulation Compare specificit of and addition diatory of vagal nerve stimulation Develop multi-site electrode array and stimulator to improve targeting of vagal nerve stimulation Develop multi-site electrode array and stimulator to improve targeting of vagal nerve stimulation Develop multi-site electrode array and stimulator to improve targeting of vagal nerve stimulation Develop computational nodels to simulate noninvasive              | Đ   |                |         |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY             | 2015    | FY 2016      | FY 2017 |
| disease. An additional approach involves characterizing the host response<br>quantitative framework that can be used to guide modulation of the immune<br>and predict various physiological conditions within an individual. Advances<br>Therapeutics program will improve our response capability against severe   | e in patients with severe infections, and developing<br>e response. Algorithms will be developed to evalues<br>made under the Adaptive Immunomodulation-Bas | a<br>ate<br>ed |         |              |         |
| <ul> <li>Initiated development of capabilities to characterize the neural-immune ir biomarkers.</li> <li>Began identifying novel, actionable targets for neural immune modulatior</li> <li>Started identifying specific neuro-visceral circuits which can be targeted labeled labeled</li></ul> | ۱.  |                |         |              |         |
| <ul> <li>Develop novel interface technologies to monitor and stimulate peripheral</li> <li>Compare specificity of novel interface technologies with state of the art w</li> <li>Define input/output models of mammalian autonomic functions such as the response.</li> <li>Identify peripheral intervention points and modulation parameters for conhealth or treating disease.</li> </ul>  | whole-nerve stimulation devices.<br>he immune system and/or the autonomic stress<br>atrol of mammalian autonomic function for improvin                      | 3              |         |              |         |
| <ul> <li>Initiate demonstrations of advanced peripheral nerve interface technolog inflammatory and neuropsychiatric disease outcomes.</li> <li>Develop computational models to simulate noninvasive peripheral nerve outcome.</li> <li>Elucidate mechanisms of action for peripheral nerve modulation via nonini- Identify panels of relevant biomarkers that are indicative of diseased stat</li> </ul>  | modulation approaches for desired physiological nvasive techniques.   | asure          |         |              |         |
| Title: Biological-Computational Platforms   |   |                | -       | 8.468        | 10.382  |
| <b>Description:</b> The Biological-Computational Platforms program is a multi-diadvanced computer science, mathematical modeling, and novel interfaces  |   |                |         |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency   |                  | Date: F   | ebruary 2016 |         |
|---|--|------------------|---|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602715E <i>I MATERIALS AND</i><br><i>BIOLOGICAL TECHNOLOGY</i>   | MBT-0            | c <b>t (Number/N</b><br>)2 / BIOLOGI<br>RIALS AND | ICALLY BASE  | Đ       |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | ſ                | FY 2015   | FY 2016      | FY 2017 |
| for DoD applications. The program will research and develop tools that enable computing systems for facilitating perception, communication, and control. Not program will be able to operate on relevant environmental, physiological and not to develop hybrid biological-computational interfaces that optimize human-com   | vel hardware and software developed through<br>eural information. The ultimate goal of this wo   | this             |   |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Analyze architectures and systems for utilizing complex biological signals get</li> <li>Investigate new approaches for neural sensor design to provide high spatial a invasive microelectrode implant.</li> <li>Begin studying approaches to transform neural representations of meaning, or protocols with devices and computers.</li> </ul>  | and temporal resolution without the use of an  | ons              |   |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Integrate multimodal input processing and demonstrate successful capacity for performance.</li> <li>Facilitate neurophysiologic-computer interfaces that enable direct control of replatforms.</li> <li>Identify and quantify parameters of normal task performance involving fixed a</li> <li>Develop methods for assembling and rapidly deploying suites of physiologic machine learning.</li> </ul>   | nultiple aspects of fixed facilities and mobile<br>and mobile platforms.   |                  |   |              |         |
| <i>Title:</i> Biological Robustness in Complex Settings (BRICS)   |  |                  | -   | 9.075        | 10.200  |
| <b>Description:</b> The Biological Robustness in Complex Settings (BRICS) program<br>enable radical new approaches for engineering biology. This area will focus or<br>facilitate the development and integration of fundamental tools and methods be<br>within this area may focus on the development of tools for genetic engineering<br>high-resolution characterization of biological communities. Ultimately, this area<br>technologies developed under PE 0601101E, TRS-01 into a platform technologies<br>communities for the prevention and treatment of disease. This program has bar<br>Project TRS-01. | n the creation of enabling technologies that will<br>bing explored under the BRICS program. Res-<br>of traditionally intractable species and tools fo<br>a seeks to integrate the fundamental compone<br>gy capable of engineering robust, stable, and s | earch<br>r<br>nt |   |              |         |
| <b>FY 2016 Plans:</b> - Develop technologies to design and build biological pathways that will function range of phyla (prokaryotic or eukaryotic).   | on in undomesticated microbial species from a  | wide             |   |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced F  | Research Projects Agency   |                             | Date: F | ebruary 2016 | 6       |
|--|--|-----------------------------|---------|--------------|---------|
| ropriation/Budget ActivityR-1 Program Element (Number/Name)Project (N1 2PE 0602715E / MATERIALS ANDMBT-02 / IBIOLOGICAL TECHNOLOGYMATERIAL   |  |                             | BIOLOG  | ĒD           |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | F                           | Y 2015  | FY 2016      | FY 2017 |
| <ul> <li>Develop theoretical tools that allow the prediction of metrics of behavior ar<br/>resource utilization, and small molecule communication within a multi-specie</li> <li>Fabricate generalizable culture substrates that provide control over comm<br/>growth of both prokaryotic and eukaryotic cells.</li> </ul>   | es consortium.   |                             |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Integrate promising component technologies that may be readily adapted biological communities.</li> <li>Demonstrate reliable function of engineered microbial communities in com</li> <li>Demonstrate potential for safe use of engineered consortia under condition</li> </ul>   | nplex laboratory environments.   | d safe                      |         |              |         |
| Title: Enhancing Neuroplasticity   |  |                             | -       | -            | 13.918  |
| <b>Description:</b> The Enhancing Neuroplasticity program will explore and devel promote synaptic plasticity that is expected to impact higher cognitive function will both create an anatomical and functional map of the underlying biological stimulation and training protocols to enable long-term retention. Once succe targeted plasticity training can be applied to a broad range of cognitive function language learning, or data and intelligence analysis.   | ons. Key advances anticipated from this researce<br>al circuitry that mediates plasticity and optimize<br>essfully identified, the underlying mechanisms of  | ch<br>:                     |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Determine the effects of nerve stimulation parameters (amplitude, rate, and - Compare effectiveness of deep and superficial nerve stimulation sites in performance on language learning tasks.</li> <li>Demonstrate effects of training on tuning functions of neurons in auditory and - Perform studies to compare neurophysiology and learning effects of invasional states.</li> </ul>   | and speech areas of the brain.   | ty.                         |         |              |         |
| Title: Neuroscience Technologies   |  |                             | 3.000   | -            | -       |
| <b>Description:</b> The Neuroscience Technologies thrust leveraged recent advass science, molecular biology, and modeling of complex systems to sustain and faced with challenging operational conditions. Warfighters experience a wide and physical, that degrade critical cognitive functions such as memory, learn degrade the warfighter's ability to multitask, leading to decreased ability to reterm impact of these stressors on the brain is unknown, both at the molecular modern neuroscientific techniques to develop quantitative models of this implement, or restore physical and cognitive functioning during and after experience. | d protect the cognitive functioning of the warfight<br>de variety of operational stressors, both mental<br>ning, and decision making. These stressors also<br>espond quickly and effectively. Currently, the lor<br>ar and behavioral level. This thrust area investig<br>pact and explored mechanisms to protect, maint | ter<br>ng-<br>lated<br>ain, |         |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ac  | Ivanced Research Projects Agency  |                      | Date: Fe   | ebruary 2016 |         |
|--|---|----------------------|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 2  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602715E <i>I MATERIALS AND</i><br><i>BIOLOGICAL TECHNOLOGY</i>  | MBT-02               | <b>Project (Number/Name)</b><br>MBT-02 <i>I BIOLOGICALLY BASED</i><br><i>MATERIALS AND DEVICES</i> |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   |                      | FY 2015  | FY 2016      | FY 2017 |
| approaches for using physiological and neural signals to make hun intense were identified.   | nan-machine systems more time efficient and less worklo   | ad                   |  |              |         |
| FY 2015 Accomplishments:<br>- Investigated methods to exploit recent advances in neurophysiol<br>in conjunction with emerging solutions in neurally enabled human-r<br>human cognitive functions such as memory, learning, and decision<br>- Exploited recent advances in computational analysis, systems id<br>methods to research novel computational tools for rapid analysis, v<br>- Researched methods for joint computation and operations between | nachine interface technologies to characterize dynamics<br>making.<br>entification, data intensive computing, and statistical infer-<br>alidation, and integration of computational models of the | of<br>ence<br>brain. |  |              |         |
|  | Accomplishments/Planned Programs Sub  | ototale              | 54.308   | 81.943       | 98.75   |

## C. Other Program Funding Summary (\$ in Millions)

N/A

<u>Remarks</u>

#### D. Acquisition Strategy

N/A

## E. Performance Metrics

Specific programmatic performance metrics are listed above in the program accomplishments and plans section.

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| Exhibit R-2, RDT&E Budget Iter  | m Justificat   | tion: PB 20 | 17 Defense | Advanced        | Research P     | rojects Age      | ncy     |         |         | Date: Febr | ruary 2016          |               |
|---|----------------|-------------|------------|-----------------|----------------|------------------|---------|---------|---------|------------|---------------------|---------------|
| Appropriation/Budget Activity       R-1 Program Element (Number/Name)         0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:       PE 0602716E I ELECTRONICS TECHNOLOGY         Applied Research       PE 0602716E I ELECTRONICS TECHNOLOGY |                |             |            |                 |                |                  |         |         |         |            |                     |               |
| COST (\$ in Millions)   | Prior<br>Years | FY 2015     | FY 2016    | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018 | FY 2019 | FY 2020 | FY 2021    | Cost To<br>Complete | Total<br>Cost |
| Total Program Element   | -              | 169.690     | 174.798    | 221.911         | -              | 221.911          | 234.424 | 236.582 | 233.270 | 245.370    | -                   | -             |
| ELT-01: ELECTRONICS<br>TECHNOLOGY   | -              | 169.690     | 174.798    | 221.911         | -              | 221.911          | 234.424 | 236.582 | 233.270 | 245.370    | -                   | -             |

### A. Mission Description and Budget Item Justification

This program element is budgeted in the Applied Research budget activity because its objective is to develop electronics that make a wide range of military applications possible.

Advances in microelectronic device technologies, including digital, analog, photonic and MicroElectroMechanical Systems (MEMS) devices, continue to have significant impact in support of defense technologies for improved weapons effectiveness, improved intelligence capabilities and enhanced information superiority. The Electronics Technology program element supports the continued advancement of these technologies through the development of performance driven advanced capabilities, exceeding that available through commercial sources, in electronic, optoelectronic and MEMS devices, semiconductor device design and fabrication techniques, and new materials and material structures for device applications. A particular focus for this work is the exploitation of chip-scale heterogeneous integration technologies that permit the optimization of device and integrated module performance.

The phenomenal progress in current electronics and computer chips will face the fundamental limits of silicon technology in the early 21st century, a barrier that must be overcome in order for progress to continue. The program element will therefore explore alternatives to silicon-based electronics in the areas of new electronic devices. The program element will also explore new architectures to use devices of all types, new software to program the systems, and new methods to fabricate the chips. Approaches include nanotechnology, nanoelectronics, molecular electronics, spin-based electronics, quantum-computing, new circuit architectures optimizing these new devices, and new computer and electronic systems architectures. Projects will investigate the feasibility, design, and development of powerful information technology devices and systems using approaches for electronic device designs that extend beyond traditional Complementary Metal Oxide Semiconductor (CMOS) scaling, including non-silicon-based materials technologies to achieve low cost, reliable, fast and secure computing, communication, and storage systems. This investigation is aimed at developing new capabilities from promising directions in the design of information processing components using both inorganic and organic substrates, designs of components and systems leveraging quantum effects and chaos, and innovative approaches to computing designs incorporating these components for such applications as low cost seamless pervasive computing, ultra-fast computing, and sensing and actuation devices.

This project has five major thrusts: Electronics, Photonics, MicroElectroMechanical Systems, Architectures, Algorithms, and other Electronic Technology research.

|   | efense Advanced     | Research Project  | s Agency              | Date:                 | February 2016       |               |  |  |
|---|---------------------|-------------------|-----------------------|-----------------------|---------------------|---------------|--|--|
| ppropriation/Budget Activity  |                     |                   | ement (Number/Name)   |                       |                     |               |  |  |
| 100: Research, Development, Test & Evaluation, Defense-   | <i>Vide I</i> BA 2: | PE 0602716E / E   | ELECTRONICS TECHN     | OLOGY                 |                     |               |  |  |
| pplied Research   | <b>EV 0045</b>      | <b>E</b> \/ 0040  | EV 0047 D             | EV 0047 000           | - 17<br>- 22<br>- 5 |               |  |  |
| . Program Change Summary (\$ in Millions)   | <u>FY 2015</u>      | <u>FY 2016</u>    | FY 2017 Base          | FY 2017 OCO           |                     |               |  |  |
| Previous President's Budget   | 169.203             | 174.798           | 170.783               | -                     |                     | .783          |  |  |
| Current President's Budget  | 169.690             | 174.798           | 221.911               | -                     |                     | .911          |  |  |
| Total Adjustments   | 0.487               | 0.000             | 51.128                | -                     | 51                  | .128          |  |  |
| <ul> <li>Congressional General Reductions</li> </ul>  | 0.000               | 0.000             |                       |                       |                     |               |  |  |
| <ul> <li>Congressional Directed Reductions</li> </ul>   | 0.000               | 0.000             |                       |                       |                     |               |  |  |
| <ul> <li>Congressional Rescissions</li> </ul>   | 0.000               | 0.000             |                       |                       |                     |               |  |  |
| <ul> <li>Congressional Adds</li> </ul>  | 0.000               | 0.000             |                       |                       |                     |               |  |  |
| <ul> <li>Congressional Directed Transfers</li> </ul>  | 0.000               | 0.000             |                       |                       |                     |               |  |  |
| <ul> <li>Reprogrammings</li> </ul>  | 5.640               | 0.000             |                       |                       |                     |               |  |  |
| <ul> <li>SBIR/STTR Transfer</li> </ul>  | -5.153              | 0.000             |                       |                       |                     |               |  |  |
| <ul> <li>TotalOtherAdjustments</li> </ul>   | -                   | -                 | 51.128                | -                     | 51                  | .128          |  |  |
| <ul> <li>FY 2015: Increase reflects reprogrammings offset by FY 2016: N/A</li> <li>FY 2017: Increase reflects initiation of new start programporting precision, navigation and timing and elect</li> <li>Accomplishments/Planned Programs (\$ in Millions)</li> </ul> | grams: Limits of TI | hermal Sensors (L | OTS) and Connect.Ever | ything, and expansion | of several effor    | ts<br>FY 2017 |  |  |
| <i>itle:</i> Adaptive Radio Frequency Technology (ART)  |                     |                   |                       | 24.00                 |                     | 8.50          |  |  |
|   |                     |                   |                       |                       |                     | 0.00          |  |  |

FPGA).

energy Signal Analysis and Sensing Integrated Circuits (CLASIC), and Radio-Frequency Field-Programmable Gate Arrays (RF-

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency  | Date: F | ebruary 2016 | ;       |
|--|---------|--------------|---------|
| Appropriation/Budget Activity       R-1 Program Element (Number/Name)         0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:       PE 0602716E I ELECTRONICS TECHNOLOGY         Applied Research       PE 0602716E I ELECTRONICS TECHNOLOGY  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated a radio reconfigurable between five different RF systems using integrated phase-change switch and silicon germanium (SiGe) technologies. Phase change switch reliability improved to 400,000 cycles and power handling improved by 10X to nearly 1 watt.</li> <li>Integrated a highly reconfigurable RF front-end into a commercial software defined radio board that broadened the user base for RF-FPGA technology and transitioned multiple RF-FPGA cognitive radios.</li> <li>Demonstrated the ability to, without prior knowledge, classify 32 wireless communication signal types in a hand held form factor while consuming less than 10 mW of power.</li> <li>Demonstrated an adaptable, fully integrated radio system that is small enough to be carried by a warfighter and is resistant to jamming.</li> </ul>  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Investigate transition paths for phase change switch technology including potential transitions into a commercial semiconductor foundry.</li> <li>Investigate transition paths for RF-FPGA reconfigurable RF front-ends including supplying demo units to DoD end users and investigating commercial paths for suppling the technology to the DoD.</li> <li>Increase power handling of phase change switch technology to &gt; 1W and improve reliability to &gt; 1 Million cycles to meet the performance requirements of military and commercial communications systems.</li> <li>Demonstrate an RF front-end reconfigurable between five different RF systems with performance approaching (&gt; 90%) that of a fixed point solution.</li> </ul>  |         |              |         |
| <b>FY 2017 Plans:</b> - Finalize transition plans for a fully reconfigurable RF circuit technology at the component and system levels.   |         |              |         |
| Title: Diverse & Accessible Heterogeneous Integration (DAHI)   | 29.400  | 16.983       | 11.500  |
| <b>Description:</b> The scaling of silicon (Si) transistors to ever smaller dimensions has led to dramatic gains in processor performance over the past fifty years. In parallel, Integrated Circuits (IC) designers for RF circuits have leveraged the different material properties of compound semiconductor (CS) technologies such as indium phosphide (InP), gallium arsenide (GaAs), gallium nitride (GaN) and silicon-germanium (SiGe) to enable devices that operate at frequencies and powers difficult or impossible to achieve in Silicon. Historically, a designer would have to decide between the high density of Si circuits or the high performance of CS materials. Prior DARPA efforts have demonstrated the ability to achieve near-ideal "mix-and-match" capability for DoD circuit designers with limited demonstrations of the heterogeneous integration of silicon and InP technologies that far exceeded what can be accomplished with one technology alone. Specifically, the Compound Semiconductor Materials On Silicon (COSMOS) program enabled transistors of InP to be freely mixed with silicon complementary metal-oxide semiconductor (CMOS) circuits to obtain the benefits of both technologies (very high speed and very high circuit complexity/density, respectively). The Diverse & |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | Research Projects Agency   | Date: F | ebruary 2016 | 6       |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i>  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016      | FY 2017 |
| Accessible Heterogeneous Integration (DAHI) effort will take this capability to integration of a variety of semiconductor devices (for example, GaN, InP, GaA microelectromechanical (MEMS) sensors and actuators, photonic devices (e.g. structures. This capability will revolutionize our ability to build true "systems or volume reductions while enabling higher performance such as power, bandwice electronic warfare, communications and radar.  | as, antimonide based Compound Semiconductors),<br>g., lasers, photo-detectors) and thermal management<br>n a chip" (SoCs) and allow dramatic size, weight and  |         |              |         |
| In the Applied Research part of this program, high performance RF/optoelectro<br>specific DoD transition applications will be developed as a demonstration of th<br>to the DoD, these processes will be transferred to a manufacturing flow and m<br>design support) to a wide variety of DoD laboratory, Federally Funded Resear<br>and industrial designers. Manufacturing yield and reliability of the DAHI techn<br>program has advanced technology development efforts funded in PE 0603739  | e DAHI technology. To provide maximum benefit<br>hade available (with appropriate computer aided<br>ch and Development Center (FFRDC), academic<br>ologies will be characterized and enhanced. This  |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed first run development of new CMOS-compatible processes to act types of compound semiconductor transistors, MEMS, and non-silicon photon management approaches.</li> <li>Developed wafer-bonding-based and assembly-based heterogeneous integra demonstration circuits.</li> <li>Completed first manufacturing run demonstrating yield and reliability enhance developed diverse heterogeneous integration processes.</li> <li>Successfully created circuits using the DAHI process that represent a variety EW, and communications. These include Gallium Nitride (GAN) -Indium Phose heterogeneously integrated RF/optoelectronic circuits, and integrated polypha amplifier chains using silicon CMOS, InP Heterojunction Bipolar Transistor (HE (HEMTs).</li> </ul> | ic devices, including interconnect and thermal<br>ration process technology, enabling the design of<br>cement for multi-user foundry capability based on<br>y of next generation systems, such as radar,<br>sphide (InP) differential and push-pull amplifiers,<br>se transmitter and voltage-controlled oscillator- |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate heterogeneous integration of advanced node silicon CMOS prosemiconductor transistors, MEMS, and non-silicon photonic devices, including approaches.</li> <li>Transition multi-user foundry interface to independent design service from p access to diverse heterogeneous integration processes.</li> </ul>  | interconnect and thermal management  |         |              |         |

| ibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency   |   | Date: F | ebruary 2016 |         |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| - Demonstrate sustainable model and accessibility via foundry/customer eng quotations.   | agements, including detailed cost models and  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate heterogeneous integration process variant based on low cost integrated multi-technology circuits with high Q passive technologies.</li> <li>Demonstrate integration of emerging device technologies into established process deviation.</li> </ul>  |   |         |              |         |
| <i>Title:</i> Common Heterogeneous integration & IP reuse Strategies (CHIPS)*  |   | 4.823   | 14.800       | 25.500  |
| Description: *Formerly Fast and Big Mixed-Signal Designs (FAB)   |   |         |              |         |
| The scaling of silicon transistors to ever smaller dimensions has led to drama<br>years. In parallel, IC designers for RF circuits have leveraged the different m<br>technologies such as gallium arsenide (GaAs), gallium nitride (GaN) and silic<br>at frequencies and powers difficult or impossible to achieve in silicon. When<br>these technologies has been demonstrated to far exceed what can be accom<br>of integrating CS technologies on silicon currently requires that the silicon tra-<br>requires designs to be remade for various combinations of technology and p<br>This program will investigate the potential for a truly process-agnostic integra<br>future circuit fabrication technology with a standardized interconnect topolog<br>of individual circuit intellectual property (IP) blocks, such as low-noise amplif<br>goal of re-using them across applications and resulting in time and cost savii<br>design cost of these blocks over several designs instead of leveling the burd<br>designed in the fabrication process best suited for the performance goals an<br>single-chip (monolithic) systems-on-a-chip. Through standardization of the i<br>the advancements driven by the global semiconductor market rather than rel<br>proprietary circuit designs owned by a few traditional prime performers.<br>In the Applied Research part of this program, focus will be placed on the rap<br>the CHIPS technology. For example, the development of an ADC combining<br>CMOS will be explored. This program has advanced technology development<br><b>FY 2015 Accomplishments:</b> | aterial properties of compound semiconductor (CS)<br>con-germanium (SiGe) to enable devices that operate<br>integrated together the heterogeneous integration of<br>pplished with any one technology alone. The process<br>ansistor dimension, or process node, be fixed which<br>rocess node, a costly and time consuming effort.<br>ation technology that is inclusive of any current or<br>y. Such a technology platform will enable the design<br>ers or analog-to-digital converters (ADC), with a<br>ngs. Re-use will allow the DoD to spread the upfront<br>en on a single program. Furthermore, the IP can be<br>d evolve more quickly than larger, more expensive<br>nterface, CHIPS will enable the DoD to leverage<br>ying on a single on-shore foundry provider or on |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | ed Research Projects Agency  | Date: F | ebruary 2016 | ;       |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Determined the best choices for the RF and digital technologies and the besilicon via (TSV) and interposer) in order to achieve program objectives, alon integration.</li> <li>Began circuit design activities to determine performance benefits of new prostudied the best technology for various RF functional blocks for optimal us</li> <li>Investigated a methodology for enabling reuse of government funded or confuture use.</li> <li>Initiated studies that investigated the benefits to development cycle and conreuse at a large defense contractor.</li> </ul>                   | ng with identifying partner(s) for fabrication and/or<br>rocesses enabled by the program.<br>e of mixed technologies.<br>commercial IP and mechanisms for storing them for   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Continue to investigate choices for the RF and digital technologies and the silicon via (TSV) and interposer) in order to achieve program objectives, alon integration.</li> <li>Continue to study the best technology for various RF functional blocks for continue to study the best technology for various co-integration (monolithic, through-si digital technologies.</li> <li>Develop a cost model to analyze the impact of IP reuse using insight gaine study.</li> <li>Study the system level impact of IP re-use for the optimal use of RF mixed</li> </ul> | ng with identifying partner(s) for fabrication and/or<br>optimal use of mixed technologies.<br>ilicon via (TSV) and interposer) strategies for RF and<br>ed from large defense contractor development cycle                    |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Finalize potential standards definitions for high-bandwidth interfaces of CM</li> <li>Study the system level impact of IP re-use for the optimal use of RF mixed</li> <li>Initiate circuit demonstrations of chip-to-chip interconnects for CMOS chip</li> <li>Initiate circuit demonstrations with heterogeneous integration of DOD IP ble</li> <li>Continue circuit design activities to determine performance benefits of new</li> </ul>  | technology functional blocks.<br>stacks.<br>ocks and commercial IP blocks.   |         |              |         |
| Title: Direct On-Chip Digital Optical Synthesis (DODOS)   |  | 3.664   | 9.400        | 13.000  |
| <b>Description:</b> The development of techniques for precise frequency control or revolutionized modern warfare. Frequency control is the enabling technology and positioning and navigation technology, among many other core DoD cap frequencies is relatively immature, comparable to the state-of-the-art of micro demonstration of optical frequency synthesis, utilizing a self-referenced optic the precision and accuracy of optical measurements has improved by four or  | y for RADAR, satellite and terrestrial communications,<br>pabilities. By comparison, frequency control at optical<br>pwave control in the 1930's. The first practical<br>cal comb, was performed in 1999 and, since that time, |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | Research Projects Agency   | Date: F | ebruary 2016 |         |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  | ٦  | FY 2015 | FY 2016      | FY 2017 |
| atomic clocks utilizing optical-frequency atomic transitions that far outperform<br>To date, however, optical frequency control has been constrained to laboratory<br>and high cost of optical comb-based synthesizers. Recent developments in se<br>resonators enable the development of a fully-integrated chip-scale optical freq<br>optical frequency synthesis is expected to create a similar disruptive capability<br>synthesis did in the 1940's, enabling high-bandwidth coherent optical commun<br>portable high-accuracy atomic clocks, high-resolution standoff gas/toxin detect<br>applications. | y experiments due to the large size, relative fragility,<br>elf-referenced optical frequency combs in microscale<br>uency synthesizer. Ubiquitous low-cost robust<br>in optical technology as microwave frequency<br>nications, coherent synthesized-aperture LiDAR, |         |              |         |
| The Direct On-chip Digital Optical Synthesis (DODOS) program will integrate a components to create a microscale, high-accuracy optical frequency synthesiz deployment in a wide variety of mission-critical DoD applications. Significant of heterogeneous devices and materials that are incompatible with convention circuits, optimizing efficient on-chip pump lasers and high-bandwidth detectors electronics with low power consumption. Basic research for this program is fu  | er, in a compact, robust package, suitable for<br>challenges in the program include the integration<br>al high-volume manufacturing of integrated<br>s, and developing high-precision microwave control  |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed modeling and proof-of-concept experiments to validate low-thres</li> <li>Developed DODOS system architectures and integration approaches.</li> </ul>   | hold approaches to optical frequency combs.  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Validate device-level performance requirements, such as the control-loop bather DODOS program metrics at the system level.</li> <li>Prototype critical photonic components in processes consistent with subsequer Demonstrate tabletop DODOS, utilizing microscale components compliant with subsequer Demonstrate tabletop DODOS.</li> </ul>  | uent co-integration.   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Validate prototype photonic integrated circuits containing all optical compone</li> <li>Implement off-chip electronics and algorithms and demonstrate DODOS electronics</li> <li>Develop packaging techniques to co-integrate DODOS photonics and electronics</li> </ul>   | ents required by the DODOS system architecture.<br>ctro-optic functionality.   |         |              |         |
| <i>Title:</i> Arrays at Commercial Timescales (ACT)   |  | 25.000  | 26.550       | 20.000  |
| <b>Description:</b> Phased arrays are critical system components for high performa in communications, electronic warfare and radar. The DoD relies heavily on p in nearly every theater of conflict. The DoD cannot update these high cost spectrum.  | hased arrays to maintain technological superiority   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency  | Date: F | ebruary 2016 | 6       |
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| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i>   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>   | ,<br>   |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| counter adversarial threats. The Arrays at Commercial Timescales (ACT) prog<br>of-the-shelf components that can undergo technology refresh far more frequer<br>environment. ACT will develop adaptive and standardized digital-at-every-ele<br>beamformers with cost effective digital array systems capable of a yearly tech<br>become ubiquitous throughout the DoD, moving onto many platforms for which<br>expensive to develop or maintain.   | ntly in response to a continually changing threat<br>ment arrays that can replace static analog<br>nology refresh. By doing so, phased arrays will  |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Continued development and integration of common hardware components, field programmable gate arrays, for a wide range of phased array antenna syst for ACT demonstration units to be completed and tested in FY16.</li> <li>Signed Memorandum of Understanding (MOU) between the Army Research testing of ACT Common Modules for potential Army transition opportunities.</li> <li>Continued to identify government application spaces and transition paths for antenna apertures to include the planned use of ACT technology components</li> <li>Finalized design and started fabrication of application specific integrated circle CMOS and Silicon Germanium (SiGe) technologies that enable commonality a Performed first measurements on fabricated SiGe ASICS demonstrating RF predicted by modeling.</li> </ul> | stems; finalized initial designs and began fabrication<br>In Lab (ARL) and DARPA to support the performance<br>in the ACT Common Module and reconfigurable<br>in a new AFRL program.<br>cuits (ASIC) in 32 nanometer (nm) CMOS, 65 nm<br>across a wide range of phased array platforms. |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate Common Module hardware viability through government testing government furnished system platform.</li> <li>Organize an ACT common module demonstration day to inform potential trameasured performance of the Phase I modules.</li> <li>Investigate the benefits of and develop plans and preliminary designs for up art fabrication process.</li> <li>Demonstrate a fundamental element of a reconfigurable antenna array and or DoD application space.</li> <li>Continue to identify government application spaces and transition paths for antenna apertures.</li> </ul>   | ansition partners and industrial users on the<br>ograding the ACT Common Module in a state-of-the-<br>define a list of personalities possible to cover the  |         |              |         |
| <b>FY 2017 Plans:</b> - Develop the ACT common module using an advanced process node and de to the common module developed with an earlier node in Phase I.  | emonstrate the performance improvement compared   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | d Research Projects Agency  | Date: F | ebruary 2016 |         |
|---|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>   |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Demonstrate rapid technology refresh of the common modules developed</li> <li>Drive the ACT common module technology transition process by gathering</li> <li>Develop a reconfigurable antenna array using 16 elements that cover multiple technology demonstrated earlier in the program.</li> </ul>  | and sharing test results with potential users.  |         |              |         |
| Title: High power Amplifier using Vacuum electronics for Overmatch Capabil  | ity (HAVOC)   | -       | 12.000       | 18.00   |
| <b>Description:</b> The effectiveness of combat operations across all domains inclute the electromagnetic (EM) spectrum, and to deny its use to our adversaries. If inexpensive high-power commercial RF sources has made the EM spectrum dominance. The numerous tactical advantages offered by operating at higher available, is driving both commercial and DoD solid-state and vacuum electrowave) spectrum above 30 GHz. Control of the mm-wave spectrum necessitate electronic components and systems. The performance of these systems structure impacts how much power the system can radiate.  | Below 30 GHz, the proliferation and availability of<br>crowded and contested, challenging our spectrum<br>er frequencies, most notably the wide bandwidths<br>onic amplifiers into the millimeter wave (mm-<br>ates advanced and increasingly more sophisticated  |         |              |         |
| The High power Amplifier using Vacuum electronics for Overmatch Capability<br>dominance of the EM spectrum and create overmatch capability by developin<br>electronic amplifiers. The size, weight, and power (SWaP) will be consistent<br>an increased offset range and the ability to engage multiple targets at the spe<br>vacuum electronic amplifier technology will require significant advancements<br>lifetime, beam-wave interaction circuits with wide bandwidth and high power<br>windows, and compact magnetic structures for electron beam transport. The<br>to air, ground, and ship-based communications, sensing, and electronic warfs<br>technology to the Services will be identified during the execution of the early<br>efforts will follow a spiral development process to mitigate risk and provide th<br>developments as they occur. Basic research for this program is funded within | ng a new class of wideband, high-power vacuum<br>with reusable airborne and mobile platforms enabling<br>eed of light. Realization of wideband, high power<br>in cathodes with high current-density and long<br>handling capability, wideband and low-loss vacuum<br>HAVOC amplifier will provide leap-ahead capabilities<br>are systems. Opportunities for transfer of the HAVOC<br>phases of the program. The technology transfer<br>e opportunity to incorporate new technological |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Initiate the design and modeling of a wide-bandwidth, high power mm-wave</li> <li>Identify performance parameters and engineering tradeoffs required to mee<br/>bandwidth in a compact form factor, incorporating new concepts for novel be<br/>management.</li> </ul>   | et or exceed the program metrics for both power and   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance  | d Research Projects Agency  | Date: F | ebruary 2016 |         |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br>Applied Research  | R-1 Program Element (Number/Name)<br>PE 0602716E / ELECTRONICS TECHNOLOGY   |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Assess state of the art in cathodes, vacuum windows, and magnetic structu<br/>components and technologies that meet or exceed design requirements.</li> </ul>   | res for electron beam transport and identify  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Design, fabricate, and test high current-density cathodes capable of product power requirements.</li> <li>Design, fabricate, and test wide bandwidth interaction structures with high be handling capability.</li> <li>Design, fabricate, and test wide bandwidth vacuum windows with high power.</li> <li>Investigate new magnetic materials and magnet configurations that enable architectures.</li> <li>Integrate components into prototype amplifiers and begin testing.</li> </ul>  | beam-wave interaction efficiency and high power<br>er handling capability.  |         |              |         |
| <i>Title:</i> Precise Robust Inertial Guidance for Munitions (PRIGM)   |   | -       | 10.000       | 21.91   |
| <b>Description:</b> The DoD relies on GPS for ubiquitous and accurate positioning prevalence of intentional GPS jamming, spoofing, and other GPS-denial threat contested theaters and alternative sources of PNT are required. In particular and among the most demanding of GPS-denial challenges, due to the necess the stringent requirements for minimization of cost, size, weight, and power of Guidance for Munitions (PRIGM) program will develop low-CSWaP inertial set PRIGM comprises two focus areas: 1) Development of a Navigation-Grade In state-of-the-art MEMS to DoD platforms by 2020; and 2) Research and devel to achieve gun-hard, high-bandwidth, high dynamic range navigation requirer 2030. PRIGM will advance state-of-the-art MEMS gyros from TRL-3 devices enables Service Labs to perform TRL-7 field demonstrations. PRIGM will exp of photonics and CMOS and advanced MEMS technology to realize novel ine environments and beyond navigation-grade performance. | ats, GPS access is increasingly unavailable in<br>, guided munitions navigation is the most immediate<br>sity of operating in highly contested theaters and<br>onsumption (CSWaP). The Precise Robust Inertial<br>ensor technology for GPS-free munitions navigation.<br>The transitions opment of Advanced Inertial MEMS Sensors (AIMS)<br>nents with the objective of complete autonomy in<br>to a TRL-6 transition platform (complete IMU) that<br>plotit recent advances in heterogeneous integration |         |              |         |
| Future warfighting scenarios will take place in a GPS-denied world. High-dyr<br>munitions, require low-CSWaP inertial sensors demonstrating high bandwidth<br>Conventional MEMS inertial sensors rely on capacitive sensing to measure p<br>asymmetry, temperature sensitivity, parasitic capacitances, and squeeze film<br>have been proposed to overcome challenges with capacitive readout. One s<br>high sensitivity, low noise position sensing and potential to reject external vib  | n, high precision, and high shock tolerance.<br>osition, which suffer from perturbations due to<br>damping of gas in narrow gaps. Various methods<br>olution is optical sensing, which has demonstrated   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | d Research Projects Agency  | Date: F | ebruary 2016 | ;       |
|---|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016      | FY 2017 |
| integration, on-chip optical waveguides, and quantum-assisted sensing and recapabilities to enable candidate technologies for PRIGM. The candidate tech gyroscopes and accelerometers, waveguide optical gyroscopes, and rate-inte program is funded within PE 0601101E, Project ES-01 and advanced develop Project MT-15.  | nologies include optically interrogated MEMS grating MEMS gyroscopes. Basic research for this   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Model and design architectures for chip-scale, waveguide optical gyroscope functionality of ring-laser into a photonic integrated circuit.</li> <li>Model and design optically interrogated MEMS inertial sensors, leveraging the precision machining and low-CSWaP enabled by MEMS.</li> <li>Develop processes for co-fabrication of MEMS and photonic integrated circuit.</li> <li>Design and simulate photonic and MEMS-photonic sensors suitable for high</li> </ul> | the high sensitivity of optical interrogation with the uits.  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Integrate component technology and demonstrate integrated photonic-MEN performance.</li> <li>Design and fabricate heterogeneously integrated, chip-scale waveguide opt</li> <li>Demonstrate navigation grade accuracy and stability of integrated inertial set</li> </ul>  | tical gyroscopes.   |         |              |         |
| <i>Title:</i> Near Zero Energy RF and Sensor Operations (N-ZERO)  |   | -       | 4.500        | 13.000  |
| <b>Description:</b> The DoD has an unfilled need for a persistent, event driven senses other sensors can be pre-placed and remain dormant until awoken by an extense active electronics to monitor the environment for the external trigger. The limits the sensor lifetime to durations of weeks to months. The Near Zero Pow will extend the lifetime of remotely deployed sensors from months to years. N and demonstrate the capability to continuously and passively monitor the environment events. | ernal trigger or stimulus. State-of-the-art sensors<br>power consumed by these electronic circuits<br>wer RF and Sensor Operations (N-ZERO) program<br>I-ZERO will develop the underlying technologies<br>ironment and wake-up an electronic circuit upon |         |              |         |
| The N-ZERO program will replace the power consuming electronic circuits us<br>in current systems with passive or extremely low power devices. The N-ZERO<br>physical sensor systems that collect, process, and detect the presence of use<br>and noise, using the energy in the collected information to perform these func<br>standby power consumption from the battery. By doing so, the N-ZERO prog   | O program will develop RF communications and<br>ful information, while rejecting spurious signals<br>tions. This will eliminate or significantly reduce the   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency   | Date: F | ebruary 2016 |         |
|--|--|---------|--------------|---------|
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| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| systems with drastically increased mission life. The basic research component<br>Project ES-01.  | of this program is budgeted under PE 0601101E,   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Design and fabricate hardware components and microsystems for detecting I nano-Watt while consuming less than 10 nW of power.</li> <li>Design and fabricate hardware components and microsystems for detecting a machine at a distance of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consuming less than 10 nW of power considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer considered in the state of 0.5 m while consumer consistence of 0.5 m</li></ul> | and discriminating the presence of a specific sumption.  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Evaluate the detection performance and power consumption of the RF and plant plan</li></ul> | energy collection, processing and detection of RF ength.   |         |              |         |
| Title: Wafer-scale Infrared Detectors (WIRED)*   |  | -       | 6.000        | 13.500  |
| Description: *Formerly Microwaves and Magnetics (M&M)  |  |         |              |         |
| Leveraging investments in high-volume wafer scale processing has made digital making high resolution digital cameras common place in every cell phone. A set to the development of long-wave infrared (LWIR) thermal imaging sensors. The scale, and they are becoming widely available due to the low cost relative to ex similar technology exists in the tactically and strategically important short-wave Wafer-scale Infrared Detectors (WIRED) program addresses these needs by de Focal Plane Array (FPA) technologies that are manufactured at the wafer scale distances to smaller Tier I and II class unmanned aerial vehicle platforms, low of surveillance systems, helmet-mounted systems, and ground vehicle-mounted to the surveillance systems.  | maller scale revolution is currently underway due<br>ese sensors are also manufactured at the wafer<br>isting infrared (IR) imaging technologies. No<br>and mid-wave IR (SWIR/MWIR) bands. The<br>eveloping high performance SWIR and MWIR<br>. These sensors will provide increased standoff<br>cost missiles, hand held weapon sights/handheld |         |              |         |
| The MWIR detector technologies developed under WIRED will provide, for the expensive cryogenic coolers. The SWIR detector technologies will provide, for compact optics. Significant challenges include obtaining high detector performate deposited directly onto readout integrated circuits (ROICs). New ROIC designs reduction and pixel pitches required for the suggested applications.  | the first time, diffraction limited imaging with ance from disordered materials that can be  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency   | Date: F | ebruary 2016 |         |
|--|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>FY 2016 Plans:</li> <li>Explore fundamental properties of disordered materials, and evaluate the prooperating temperatures.</li> <li>Develop and evaluate MWIR sensor technology that is compatible with wafe performance at operating temperatures compatible with low-cost thermoelectric.</li> <li>Develop and evaluate SWIR sensor technology that is compatible with wafer which will scale to a near diffraction-limited pixel pitch.</li> </ul>  | r-scale processing, and demonstrates high c coolers.   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop models that describe the fundamental behavior of disordered mater</li> <li>Demonstrate imaging from MWIR detectors that are integrated directly onto a characteristics at temperatures of 230 K.</li> <li>Demonstrate imaging from small pixel SWIR detectors that are integrated directed directly onto a performance/ characteristics.</li> </ul>   | ROICs and evaluate detector performance/   |         |              |         |
| Title: Modular Optical Aperture Building Blocks (MOABB)*   |  | -       | 8.000        | 15.000  |
| Description: *Formerly MultiPLEX   |  |         |              |         |
| While radio-enabled technologies manipulate radio waves for sensing (e.g. RA visible light and can enable foliage-penetrating light detection and ranging (LID communications. Although the basic technology already exists, optical systems traditional optical telescope, for instance, requires expensive precision lenses a focusing light, and heavy mechanical steering components. Mechanical steering use of optical systems in certain defense applications, although LIDAR is more   | DAR), navigation, 3D imaging, and long-range<br>s have been limited by their size, weight, and cost. A<br>and mirrors, large empty volumes for gathering and<br>ng's limited speed and precision also impedes the<br>e suitable for detailed imaging relative to RADAR.                                  |         |              |         |
| The Modular Optical Aperture Building Blocks (MOABB) program seeks to great<br>while increasing steering rates. Specifically, MOABB aims to construct milliment<br>arrayed onto a flat surface to form a much larger, higher power device. These<br>lenses, mirrors, and mechanical components from a conventional optical syste<br>phased arrays, borrowing from RADAR the technology required to steer electron<br>mechanical components. These advances would allow for a 100-fold reduction<br>in steering rate. For applications such as LIDAR, laser communications and las<br>opportunity to replace empty space and bulk components with a planar, integra | ter scale optical unit cells that can be coherently<br>building blocks would replace the precision<br>m. MOABB would also develop scalable optical<br>omagnetic waves, such as light and radio, without<br>in size and weight and a 1,000-fold increase<br>ser illumination, MOABB provides a compelling |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | ced Research Projects Agency  | Date: F | ebruary 2016 | i       |
|---|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i>  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>   |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>FY 2016 Plans:</li> <li>Design and simulate non-mechanically steered millimeter-scale transmit a</li> <li>Perform preliminary thermal modeling of the device, demonstrating a path</li> </ul>  |   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete architecture design and application study for chip-scale LIDAR.</li> <li>Fabricate and test a millimeter-scale unit cell transmit and receive element</li> <li>Simulate low-loss grating design.</li> </ul>   |   |         |              |         |
| Title: Circuit Realization At Faster Timescales (CRAFT)*  |   | -       | 9.000        | 21.000  |
| <b>Description:</b> *Formerly Diamond Enhanced Devices (DiamEnD)  |   |         |              |         |
| High performance electronics are at the heart of most modern military syste<br>advanced systems, DoD programs must choose between a high performing<br>or a significantly lower performing general purpose integrated circuit that ca<br>performance and time has placed the DoD in an undesirable state. The Cir<br>Program will break this paradigm by developing a custom integrated circuit for<br>reduce the amount of effort required to design a custom integrated circuit by<br>will enable critical DoD electronic system needs by reducing the barrier to the<br>in leading-edge CMOS technology. | g, custom integrated circuit that takes years to design,<br>in be designed in a few months. The tradeoff between<br>cuit Realization At Faster Timescales (CRAFT)<br>design flow and methodology that will drastically<br>y 10 times while preserving high performance. CRAFT |         |              |         |
| The CRAFT program will investigate novel design flows that utilize recent are<br>reduce the amount of required design time. The goal will be a reduction in the<br>much of the design tasks with automated generators. In addition, CRAFT we<br>the flexibility of transferring a design from one foundry to another as well as<br>advanced technology.   | the manual labor required for verification by automating vill explore increasing the level of design reuse and  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete design submissions for the first Fin Field Effect Transistor (FinF</li> <li>Define the initial architecture of the proposed object-oriented design flows</li> <li>Initiate effort to establish a repository where the Intellectual Property (IP), object oriented design flow will be stored and distributed.</li> </ul>  | S   |         |              |         |
| <b>FY 2017 Plans:</b> - Complete and evaluate the first two FinFET multi-project wafer shuttle rur  | ns.   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | d Research Projects Agency  | Date: F | ebruary 2016 |         |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i>   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Initiate efforts to transfer design elements between foundries and across ter</li> <li>Complete initial testing of at least two full object oriented design flows.</li> </ul>   | chnology nodes.   |         |              |         |
| Title: Atomic Clock with Enhanced Stability (ACES)*  |   | -       | 5.000        | 14.000  |
| Description: *Formerly Next Generation Atomic Clock  |   |         |              |         |
| Atomic clock technology provides the high-performance backbone of timing a communications, Intelligence Surveillance and Reconnaissance (ISR), and E investment in Chip-Scale Atomic Clock (CSAC) technology has led to recent enabled by the availability of atomic-quality timing in portable battery-powered Stability (ACES) program will develop a next-generation low-size, weight, and improvement in key performance parameters, by employing alternative approparticular focus on developing the component technologies necessary to enal harsh DoD environments. | ectronic Warfare (EW) systems. Prior DARPA<br>demonstrations of enhanced DoD capabilities,<br>d applications. The Atomic Clock with Enhanced<br>I power (SWaP) atomic clock, with 100X-1000X<br>aches to atomic confinement and interrogation, with |         |              |         |
| ACES will develop chip-scale atomic clocks achieving temperature coefficient<br>instability < 10^-11/sqrt(tau), and retrace < 10^-13 which are robust against a<br>10^-13/gauss, respectively). This will enable precise timing on low size, weig<br>mission duration. In order to achieve these performance metrics, new enablin<br>integrated into systems.  | cceleration and magnetic fields (10^-13/g and ht, and power (SWaP) platforms with extended  |         |              |         |
| <i>FY 2016 Plans:</i> - Begin modelling and simulation to support architecture development of the  | ACES device.  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Perform Laboratory demonstration of functioning clock of the ACES archited.</li> <li>Develop and verify low-SWaP physics package components consistent with power consumption of &lt;250 mW.</li> <li>Demonstrate a breadboard atomic clock physics package with power consustant sqrt(tau), and frequency retrace of less than 10^-11.</li> <li>Develop and design an integrated physics package with overall volume of &lt;</li> </ul>  | n proposed performance and overall physics package<br>umption < 250 mW, instability of less than 10^-11/  |         |              |         |
| <i>Title:</i> Limits of Thermal Sensors (LOTS)   |   | -       | -            | 9.000   |
| <b>Description:</b> The long wave infrared (LWIR) is the most commonly used spe must choose between high performance cryogenically cooled focal plane arra   |   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance  | ed Research Projects Agency  | Date: F | ebruary 2016 |         |
|--|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY   |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   | ١  | FY 2015 | FY 2016      | FY 2017 |
| Microbolometers offer a significant reduction in size, weight, and cost (SWall response time. The objective of the LOTS program is to demonstrate a deters pace by providing the same benefits in SWaP-C as current microbolometer cooled sensor. The result will be the ability to deploy smaller, lighter, cheap missions.   | ector technology that breaks this traditional trade<br>rs while approaching the sensitivity of a cryogenically   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate at least 3x performance improvement in uncooled microbological descention of the production environment.</li> </ul>   | meters over current production performance.  |         |              |         |
| Title: Connect.Everything  |  | -       | -            | 9.00    |
| <b>Description:</b> The Connect.Everything program will focus on the fielding of loc<br>high functionality density to enable ubiquitous connectivity. Research efforts<br>investment in future wireless technology to develop communication modules<br>frequency (RF) and millimeter wave (mm-wave) frequency bands. Employin<br>multi-channel transceiver array including antenna, RF front-end amplifiers, p<br>realized with a goal of reducing the barrier of connecting an existing device is<br>communication modules will be capable of accepting digital input data and D<br>generating RF/mm-wave radio signals, and receiving and demodulating exter<br>data. More importantly, built-in calibration, tuning, and self-test functions will<br>not require costly post-manufacture testing and evaluation. The program wi<br>Output (MIMO) techniques toward future applications which require gigahert<br>efficiency to support seamless connectivity between users, sensors, payload<br>spectrum. | s will focus on leveraging commercial industry<br>s that operate within the various unlicensed radio<br>ng advanced silicon technology, a fully-integrated<br>bassives, modems, and digital processors will be<br>into a high data rate network. These universal<br>DC power only, modulating the digital data and<br>ernal RF/mm-wave radio signals into digital output<br>I be integrated so that the communication module will<br>II extend current state of art Multiple-Input Multiple-<br>tz bandwidth, low latency, low power, and high power |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop a design concept for a fully-integrated, multi-channel communication</li> <li>processing capability to enable digital-in-to-RF transmitters and RF-in-to-dig</li> <li>Design a subset of the critical RF/mm-wave and digital circuits that enhance</li> <li>Evaluate the communication capabilities, limitations, power consumption, and the processing below and the processing transmitters and the processing capacity of the critical RF/mm-wave and digital circuits that enhance</li> </ul>   | ital-out receivers on a single integrated circuit.<br>ce the functionality of the communication module.  |         |              |         |
| communications module using modeling and simulation tools.   | and output power as wer as spectrum enciency of the  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency  |  | Date: F | ebruary 2016 | 5       |
|--|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| <b>Description:</b> The IntraChip Enhanced Cooling (ICECool) program is explori<br>barriers to the operation of military electronic systems, while significantly red<br>thermal barriers will be removed by integrating thermal management into the<br>completion of this program will raise chip heat removal rates to above 1 kW/<br>1kW/cm^3 in RF arrays and embedded computers.   | lucing size, weight, and power consumption. These chip, substrate, or package technology. Successful   |         |              |         |
| Specific areas of focus in this program include overcoming limiting evaporationation the micro/nano scale to provide an order-of-magnitude increase in on-chip h feasibility of exploiting these mechanisms for intrachip thermal management of-failure of high heat density, intrachip cooling technologies, and integrating prototype high power electronics in RF arrays and embedded computing system.   | eat flux and heat removal density, determining the<br>, characterizing the performance limits and physics-<br>, chip-level thermal management techniques into  |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated the full implementation of the fundamental building blocks of micron-scale microfluidic channels with pin fins in 3D Silicon (Si) chips with the of the Art (SoA) that successfully handled die-level heat fluxes of 1 kW/cm2 as embedded High Performance Computers (HPC) thermal test vehicles that - Designed application-oriented electrical test vehicles to demonstrate the p and related these results to system-level performance and size, weight, pow record through the use of intrachip thermal management technologies.</li> <li>Designed fully-functional HPAs and HPCs to demonstrate the thermal and microfluidic cooling where the reduction in thermal resistance will enable a 3 computational performance (HPCs) compared to the State of the Art (SOA)</li> </ul> | wo-phase flow approaching 90% vapor exit quality.<br>rmal resistance reduced by 3x compared to the State<br>and transistor hot spots fluxes of 30 kW/cm2 as well<br>it successfully handled hot spot fluxes of 2 kW/cm2.<br>erformance benefits of embedded microfluidic cooling<br>rer and cost (SWaPC) benefits to DoD programs-of-<br>electrical performance benefits of embedded<br>ix or greater increase in output power (HPAs) or |         |              |         |
| FY 2016 Plans: - Perform reliability testing of ICECool electrical demonstration modules to e  | establish mean time to failure and compatibility with  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | l Research Projects Agency   | Date: February 2016 |         |         |
|--|--|---------------------|---------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY   |                     |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015             | FY 2016 | FY 2017 |
| - Engage in transition activities for the ICECool technology to include insertion subsystems such as transmit/receive modules and embedded airborne computed and embedded and embed |  |                     |         |         |
| <i>Title:</i> In vivo Nanoplatforms (IVN)  |  | 14.500              | 9.765   | -       |
| <b>Description:</b> The In vivo Nanoplatforms (IVN) program seeks to develop the mand physiologic monitoring and delivery vehicles for targeted biological therap bio) threat agents. The nanoscale components to be developed will enable conglucose, nucleic acids, biomarkers) and large molecules (e.g., biological threat that targets gene regulatory sequences will enable tailored therapeutic deliver compartments) in response to traditional, emergent, and engineered threats. include safety, toxicity, biocompatibility, sensitivity, response, and targeted de therapeutic goals that enable a versatile, rapidly adaptable system to provide the safety.   | eutics against chemical and biological (chem-<br>ontinuous in vivo monitoring of both small (e.g.,<br>it agents). A reprogrammable therapeutic platform<br>y to specific areas of the body (e.g., cells, tissue,<br>The key challenges to developing these systems<br>livery. The IVN program will have diagnostic and |                     |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated broad capability of in vivo nanoplatform sensors to detect addition an animal model with a robust signal.</li> <li>Demonstrated broad capability of in vivo nanoplatform therapeutics targeting health and reduce additional military-relevant pathogens or disease cofactors disease) in an animal model.</li> <li>Updated regulatory approval pathway with results from animal model safety</li> </ul>   | g gene regulatory sequences to maintain force<br>(e.g., multi-drug resistant bacteria, neurological  |                     |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate enhanced therapeutic performance via molecular targeting appendix of skin-based sensors to detect physiologically relevent cortisol) in an animal model.</li> <li>Demonstrate the ability of an in vivo nanoplatform to protect against infection</li> <li>Continue to update regulatory approval pathway with results from animal model</li> </ul>   | vant molecules (e.g., pH, ions, glucose, lactate, and us disease in an animal model.   |                     |         |         |
| Title: Pixel Network (PIXNET) for Dynamic Visualization  |  | 13.000              | 9.500   | -       |
| <b>Description:</b> The PIXNET program addresses the squad level capability gap<br>in day/night missions through real-time fusion of visible and thermal infrared (I<br>the warfighter a small and versatile camera that would be affordable for individ<br>with fusion capability to take full advantage of different wavelength-band phen<br>future, the availability of the PIXNET camera would enable a peer-to-peer net<br>thereby providing a better common operating picture of the battlefield and sign  | R) imagery. The vision of the program is to offer<br>dual soldiers and provide multiple band imagery<br>comenology in a compact single unit. In the<br>worked system for image sharing within a squad,   |                     |         |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency   | Date: F | ebruary 2016 | 5       |
|--|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br><i>Applied Research</i>   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| understanding. The program aims to develop a low size, weight and power (S camera that will provide real-time single and multiple band imagery using a co<br>The use of fused imagery in the PIXNET design will allow the soldier to detect<br>decoys. The PIXNET camera will eliminate limitations posed by current came<br>and identification of targets from a single camera in daylight or no-light conditi  | ombination of a thermal and reflected spectral band.<br>camouflaged targets and distinguish targets from<br>ra systems, allowing for the detection, recognition  |         |              |         |
| The PIXNET program will focus on a significant reduction in SWaP and cost of<br>deployment of this technology to a wide range of participants in the theater. To<br>opportunities such as surveillance with small Unmanned Aerial Vehicles (UAV<br>as well as helmet-mounted and handheld surveillance systems. The phenome<br>different infrared wavelengths for target detection will be exploited. The comb<br>soldier level will enable more effective tactics, techniques and procedures (TT<br>takes advantage of the computing capability of smart phones to process and f<br>still images to the warfighter's helmet-mounted display via a wireless or wired | The emphasis on a small form factor will enable new<br>(), multi-band rifle sights, vehicle-mounted systems,<br>enology of utilizing the unique characteristics of<br>ination of a smart phone and PIXNET camera at the<br>(P) over the current capability. The PIXNET program<br>use multicolor images and send them as videos or |         |              |         |
| <b>FY 2015 Accomplishments:</b> - Demonstrated brass board components for the visible and near infrared/long camera.   | g-wave infrared (VNIR/LWIR) helmet mounted   |         |              |         |
| <ul> <li>Refined algorithms to fuse data from thermal and reflective bands with good</li> <li>Completed data fusion demonstration and preliminary imaging for short-way mounted camera.</li> </ul>   | • •  |         |              |         |
| <ul> <li>Completed prototype design for short-wave infrared/mid-wave infrared (SWI</li> <li>Achieved 99.8% operability with MWIR Focal Plane Array (FPA) base layer of</li> </ul>  |  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate the VNIR/LWIR camera and program completion.</li> <li>Demonstrate the SWIR/LWIR helmet mounted camera on smart phone with completion.</li> <li>Demonstrate bench-scale brassboard SWIR/MWIR camera with image fusion</li> </ul>   |  |         |              |         |
| <ul> <li>functionality.</li> <li>Demonstrate final SWIR/MWIR clip-on weapon sight with on-board fusion al<br/>program completion.</li> </ul>   |  |         |              |         |
| Title: Vanishing Programmable Resources (VAPR)   |  | 5.500   | 9.000        | 9.000   |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency  | Date: F | ebruary 2016 | 6       |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY  |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| <b>Description:</b> The Vanishing Programmable Resources (VAPR) program will c<br>capable of physically disappearing (either in whole or in part) in a controlled, tr<br>as transience. The program will develop and establish an initial set of materia<br>manufacturing capabilities to undergird a fundamentally new class of electroni<br>performance and transience. These transient electronics and structural syster<br>to Commercial Off-The-Shelf (COTS) systems, but with limited device persiste<br>time, triggered, and/or be sensitive to the deployment environment. Application<br>environments (buildings, transportation, and materiel), environmental monitoria<br>and health monitoring in the field and airborne delivery vehicles with vanishing<br>characteristics of electronic devices and structural materials as well as build or<br>and transient structural materials a deployable technology for the DoD and Na<br>through VAPR will be demonstrated through two final test vehicles. The transis<br>sensor with RF link. The sensor with RF link will serve as an application vehic<br>process developed in the VAPR program being performed in PE 0601101E, P<br>to be functional on its own, but also a leading indicator of the types of circuits p<br>structural materials demonstration will be a vanishing air delivery vehicle capa<br>(~3 lbs.). This demonstration will be functional on its own and will also be a le<br>mechanical structures enabled by VAPR materials and technologies. The resi<br>capability to gently, precisely, and without debris deliver mission-critical payloa<br>concepts of operation (CONOPS) relevant to national security.  | riggerable manner, a characteristic referred to<br>Is and components along with integration and<br>cs and mechanical structures defined by their<br>ms ideally should perform in a manner comparable<br>ence that can be programmed, adjusted in real-<br>ons include sensors for conventional indoor/outdoor<br>ng over large areas, simplified diagnosis, treatment,<br>properties. VAPR will explore transience<br>ut an initial capability to make transient electronics<br>tion. The technological capability developed<br>ient electronics test vehicle will be a vanishing<br>cle showing the manufacturability of the research and<br>roject TRS-01. The sensor with RF link is meant<br>possible under the VAPR program. The transient<br>ble of precise, gentle drops of small payloads<br>ading indicator of the types of complex vanishing<br>ulting prototype designs will establish a fundamental |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Achieved a transience time of less than or equal to 5 minutes for simple electeristic electeristic electeristic electeristic electeristic electeristic electeristic electronic electronic electronic electronic electronic electeristic electeristic electronic electroni</li></ul> | s for simple electronic devices.  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete integration of transient devices and materials to form fully function</li> <li>Achieve a transience time of less than or equal to 30 seconds for transient fit</li> <li>Improve the variability of transience time to less than or equal to 10 seconds</li> <li>Realize reliable operation of transient microsystems for greater than 100 hot transience.</li> </ul>   | unctional microsystems.   |         |              |         |
| FY 2017 Plans:   |   |         |              |         |

| xhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency  |  | Date: February 2016 |         |         |
|---|--|---------------------|---------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research  | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>  |                     |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  | Γ  | FY 2015             | FY 2016 | FY 2017 |
| <ul> <li>Optimize novel transient materials for application in the air delivery vehicle, s<br/>requirements while guaranteeing full and complete transience.</li> <li>Initiate commercial-scale production of novel transient materials.</li> <li>Complete preliminary design reviews of air delivery system that meets programmers.</li> </ul>   |  |                     |         |         |
| Title: Hyper-wideband Enabled RF Messaging (HERMES)   |  | 2.000               | 3.000   | -       |
| <b>Description:</b> Modern weapons systems are dependent on radio frequency (Rf geolocation and battle management. This dependence will only grow with the To create assured RF links in contested environments, HERMES will study the enable spread-spectrum links with 10 GHz of instantaneous bandwidth. The ul This program will explore the limits of jammer suppression through a combinat hyper-wideband system.   | move to disaggregated systems in the battlefield.<br>e architectures and develop the technologies to<br>timate objective is >70 dB of jammer suppression.  |                     |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Performed analysis and simulation of frequency-dependent channel propaga</li> <li>Defined system architecture to include wireless RF transmitter and receiver a</li> <li>Tested prototype communication link demonstrating 6 GHz of instantaneous</li> </ul>   | architectures.   |                     |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop and test photonic-enabled wideband receivers for future scaling of I size, weight and power (SWaP).</li> <li>Demonstrate a prototype broadband wireless communication link with 10 GF suppression.</li> </ul>  |  |                     |         |         |
| Title: Direct SAMpling Digital ReceivER (DISARMER)  |  | 2.000               | -       | -       |
| <b>Description:</b> The goal of the Direct SAMpling Digital ReceivER (DISARMER) analog-to-digital converter (ADC) capable of coherently sampling the entire X-leectronic wideband receivers are limited in dynamic range by both the electron an ultra-stable optical clock, the DISARMER program will allow for mixer-less of 100x over the state of the art. Such a wide bandwidth, high fidelity receiver will intelligence systems while dramatically reducing the cost, size and weight of the | band (8-12 GigaHertz (GHz)). Conventional<br>nic mixer and the back-end digitizers. By employing<br>digitization and thereby improve the dynamic range<br>Il have applications in electronic warfare and signals |                     |         |         |
|   |  | I                   | I       |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency  |   | Date: F | 6       |         |
|--|---|---------|---------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY  |         |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   | ]   | FY 2015 | FY 2016 | FY 2017 |
| The DISARMER program will develop a low jitter mode-locked laser to be use develop a novel photonic architecture in a compact platform capable of hybrid coherent photo-detection. This program has advanced technology development  | electronic-photonic track-and-hold functionality and  |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated 5 femtosecond (fs) optical clock jitter in a compact mode-lock</li> <li>Fabricated and tested the building block optical circuits for coherent demodu</li> <li>Finalize fabrication and packaging of temperature stable laser module capal</li> <li>5 fs of integrated timing jitter.</li> <li>Finalize fabrication and integration of photonic de-modulation module with h</li> </ul>   | ulation of the optical signal.<br>ole of 8 GHz repetition rate, 1 ps pulse width, and <   |         |         |         |
| Title: Micro-Technology for Positioning, Navigation, and Timing (Micro PN&T)   |   | 13.500  | -       | -       |
| <b>Description:</b> The Micro-Technology for Positioning, Navigation, and Timing (Neight, and Power (CSWaP) inertial sensors and timing sources for navigation on the development of miniature solid state and atomic gyroscopes and clocks for small platform or dismount soldier applications. Micro Electro-Mechanical S but excellent CSWaP, while atomic sensors are capable of excellent performant to complexity and high CSWaP. Micro-PNT advanced both technology approximential sensors and by miniaturizing atomic devices. Ultimately, low-CSWaP is guidance and navigation on all platforms, including guided munitions, unmanned ismounted soldiers. | n in GPS degraded environments, primarily focusing<br>s. Both classes of sensors are currently unsuitable<br>Systems (MEMS) sensors have limited performance<br>nce but are limited to laboratory experiments due<br>aches by improving the performance of MEMS<br>inertial sensors and clocks will enable ubiquitous |         |         |         |
| The successful realization of Micro-PNT depends on development of new micro-<br>for fundamentally different sensing modalities, as well as understanding the error relationships for size reduction of sensors based on atomic physics techniques<br>novel techniques for fabrication and integration of three-dimensional MEMS de studies of new architectures and geometries for MEMS inertial sensing. Atom<br>of new architectures for atomic inertial sensing and investigation of miniature ecounterparts are currently large, power hungry, and temperature sensitive, limit<br>demonstrations. Advanced research for the program is budgeted in PE 06037   | ror sources at the microscale and the scaling<br>s. The Micro-PNT program included research into<br>evices as well as theoretical and experimental<br>ic physics research included the development<br>enabling technologies, whose conventional<br>iting high performance sensors to laboratory                       |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated on-chip MEMS calibration stages to track gyro bias and scale</li> <li>Demonstrated proof of concept sourcing and sinking of Rb for alkali vapor p</li> </ul>   |   |         |         |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency   |  | Date: February 2016 |         |         |
|---|--|---------------------|---------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY   |                     |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015             | FY 2016 | FY 2017 |
| <ul> <li>Demonstrated ultra-narrow linewidth lasers.</li> <li>Demonstrated waveguide modulation of on-chip lasers at atomic resonance</li> <li>Demonstrated self-calibrating MEMS gyroscope with long-term scale factor range.</li> </ul>   | •  |                     |         |         |
| Title: Terahertz Electronics  |  | 8.020               | -       |         |
| <b>Description:</b> The Terahertz Electronics program developed the critical semic<br>necessary to realize compact, high-performance microelectronic devices and<br>1 Terahertz (THz). There are numerous benefits for electronics operating in t<br>radar, communications, and spectroscopy. The Terahertz Electronics progra<br>Terahertz Transistor Electronics that included the development and demonst<br>solid-state transistors and integrated circuits for receivers and exciters that of<br>Amplifier Modules that included the development and demonstration of vacuu<br>high power amplification of THz signals.  | I circuits that operate at center frequencies exceeding<br>he THz regime and new applications in imaging,<br>im was divided into two major technical activities:<br>ration of materials and processing technologies for<br>perate at THz frequencies; and Terahertz High Power   |                     |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed measurements of receiver/exciter technologies at and above 0.</li> <li>Demonstrated oscillator circuits at 1.03 THz.</li> <li>Demonstrated a prototype THz transceiver link using THz indium phosphid</li> <li>Demonstrated a 1.03 THz solid-state amplifier, the first time a solid state ci</li> <li>Demonstrated improved thermal performance of vacuum amplifier for high</li> <li>Demonstrated the first vacuum electronic traveling wave tube amplifier at 1</li> </ul>  | e high electron mobility transistor (HEMT) technology.<br>rcuit has operationally crossed the THz barrier.<br>duty cycle operation at THz frequencies.   |                     |         |         |
| Title: Nitride Electronic NeXt-Generation Technology (NEXT)   |  | 4.280               | -       | -       |
| <b>Description:</b> To realize high performance analog, Radio Frequency (RF) and transistor technology with high cutoff frequency and high breakdown voltage large voltage swing circuits for military applications that the current state-of-th support. The objective of the NEXT program was to develop a revolutionary, simultaneously provides extremely high-speed and high-voltage swing [Johns (THz)-V] in a process consistent with large scale integration of enhancement more transistors. In addition, this fabrication process was reproducible, high-accomplishment of this goal was validated through the demonstration of spee Circuits such as 5, 51 and 501-stage ring oscillators in each program phase. | is under development. This technology enabled<br>ne-art silicon transistor technology cannot<br>wide band gap, nitride transistor technology that<br>son Figure of Merit (JFoM) larger than 5 Terahertz<br>/depletion (E/D) mode logic circuits of 1,000 or<br>-yield, high-uniformity, and highly reliable. The<br>cific program Process Control Monitor (PCM) Test |                     |         |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency   |  | Date: F | 6       |         |  |  |
|---|--|---------|---------|---------|--|--|
| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 2:<br>Applied Research   | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E <i>I ELECTRONICS TECHNOLOGY</i>  | GY      |         |         |  |  |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016 | FY 2017 |  |  |
| technology is the speed, linearity, and power efficiency improvement of RF a communications, electronic warfare and sensing.  | nd mixed-signal electronic circuits used in military   |         |         |         |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Established the baseline of the high-speed / high breakdown voltage NEXT yield.</li> <li>Designed, fabricated, and tested military-relevant circuits, such as millimeter triplers, using the developed NEXT transistor technology.</li> </ul>  | er-wave low noise amplifiers, power amplifiers and   |         |         |         |  |  |
| <ul> <li>Developed NEXT process design kit to allow circuit designers to utilize NEX</li> <li><i>Title:</i> Microscale Plasma Devices (MPD)</li> </ul>  | XT technology in other advanced circuit designs.   | 2.000   |         |         |  |  |
| <b>Description:</b> The goal of the Microscale Plasma Devices (MPD) program was<br>technologies, circuits, and substrates. The MPD program focused on develor<br>micro-plasma switches capable of operating in extreme conditions, such as h<br>Specific focus was given to methods that provide efficient generation of ions<br>radio frequency (RF) through light electromagnetic energy over a range of gas<br>far reaching, including the construction of complete high-frequency plasma-b<br>resistance to radiation and extreme temperature environments. Two and mu<br>were developed and optimized under the scope of this program. MPDs were<br>demonstrate the efficacy of different approaches. MPD-based microsystems<br>electronic systems must survive in extreme environments. | pment of fast, small, reliable, high-carrier-density,<br>high-radiation and high-temperature environments.<br>that can perform robust signal processing of<br>as pressures. Applications for such devices are<br>ased circuits, and microsystems with superior<br>liti-terminal devices consisting of various architectures<br>a developed in various circuits and substrates to |         |         |         |  |  |
| The MPD applied research program focused on transferring the fundamental ES-01 to produce complex circuit designs that may be integrated with comme in the design and modeling tools, as well as the fabrication capabilities necess microscale-plasma-device-based electronic systems for advanced DoD appli   | ercial electronic devices. The MPD program resulted sary to commercially manufacture high-performance  |         |         |         |  |  |
| FY 2015 Accomplishments:  |  |         |         |         |  |  |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency                                  |  | Date: February 2016 |         |         |
|--|--|---------------------|---------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 2:<br>Applied Research | <b>R-1 Program Element (Number/Name)</b><br>PE 0602716E / ELECTRONICS TECHNOLOGY |                     |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   | ]  | FY 2015             | FY 2016 | FY 2017 |
| <ul> <li>Completed demonstration of plasma-based materials and devices in repre<br/>DoD customers.</li> </ul>                    | sentative system applications for transition to multiple                         |                     |         |         |
|  | Accomplishments/Planned Programs Subtotals                                       | 169.690             | 174.798 | 221.91  |
| D. Other Program Funding Summary (\$ in Millions)<br>N/A<br><u>Remarks</u>   |  |                     |         |         |
| <u>E. Acquisition Strategy</u><br>N/A  |  |                     |         |         |
| F. Performance Metrics   |  |                     |         |         |
| Specific programmatic performance metrics are listed above in the program  | accomplishments and plans section.   |                     |         |         |
|  |  |                     |         |         |
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| xhibit R-2, RDT&E Budget Iter   | n Justincati   |   | Delense            | Auvanced   |  |   | -   |   |              | Date: Febr    | uary 2010  |   |
|---|--|---|--------------------|--|--|---|---|---|--------------|---------------|--|---|
| <b>Appropriation/Budget Activity</b><br>400: Research, Development, T   | est & Evalua   | tion, Defen   | <i>se-Wide I</i> B | A 3:   |  |   | <b>t (Number</b> /<br>NCED AER  |   | YSTEMS       |               |  |   |
| dvanced Technology Developm   | ent (ATD)  |   |                    |  |  |   |   |   |              |               |  |   |
| COST (\$ in Millions)   | Prior<br>Years   | FY 2015   | FY 2016            | FY 2017<br>Base  | FY 2017<br>OCO   | FY 2017<br>Total  | FY 2018   | FY 2019                                       | FY 2020      | FY 2021       | Cost To<br>Complete                                    | Tota<br>Cos                             |
| otal Program Element  | -  | 123.292   | 173.631            | 182.327  | -  | 182.327   | 156.089   | 169.521                                       | 184.156      | 189.156       | -  |   |
| IR-01: ADVANCED<br>EROSPACE SYSTEMS   | -  | 123.292   | 173.631            | 182.327  | -  | 182.327   | 156.089   | 169.521                                       | 184.156      | 189.156       | -  |   |
| A. Mission Description and Bud  | daet Item Ju   | stification   |                    |  |  |   |   |   |              |               |  |   |
| dramatically reduce costs associ  | ated with adv  |   |                    |  |  |   |   |   |              |               |  |   |
| nission requirements. Research<br>his project include examination   |  |   |                    |  |  |   |   |   |              |               |  |   |
| mission requirements. Research<br>his project include examination a<br><b>3. Program Change Summary</b> (   | and evaluations  | on of emerg   | ging aerosp        | ace threats<br><u>FY 2015</u>  | , technologie<br>FY 201  | es, concept   | s, and appli  | cations for se                                |              | unitions, and | d vehicle sys<br>FY 2017 To                            | stems.<br><u>tal</u>                    |
| mission requirements. Research<br>his project include examination a<br><b>b. Program Change Summary (</b><br>Previous President's Budg  | and evaluations<br>( <u>\$ in Millions</u><br>get  | on of emerg   | ging aerosp        | ace threats<br><u>FY 2015</u><br>129.723   | , technologie<br><u>FY 201</u><br>185.04   | es, concept<br>  <u>6                                    </u>   | s, and appli<br><b>Y 2017 Ba</b><br>193.0 <sup>,</sup>                        | cations for i<br>se<br>I1                     | missiles, mu | unitions, and | d vehicle sys<br>FY 2017 To<br>193.0                   | stems.<br><u>tal</u><br>11              |
| mission requirements. Research<br>his project include examination<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg  | and evaluations<br>( <u>\$ in Millions</u><br>get  | on of emerg   | ging aerosp        | ace threats<br>FY 2015<br>129.723<br>123.292   | , technologie<br><u>FY 201</u><br>185.04<br>173.63   | es, concept<br>1 <u>6 F</u><br>13<br>31   | s, and appli<br>FY 2017 Bas<br>193.0 <sup>-</sup><br>182.32                   | cations for 1<br>se<br>11<br>27               | missiles, mu | unitions, and | d vehicle sys<br><u>FY 2017 To</u><br>193.0<br>182.3   | stems.<br><u>tal</u><br>11<br>27        |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments   | and evaluations<br>( <b>\$ in Millions</b><br>get<br>et  | on of emerg   | ging aerosp        | ace threats<br><u>FY 2015</u><br>129.723<br>123.292<br>-6.431  | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41  | es, concept<br>1 <u>6 F</u><br>13<br>13<br>12   | s, and appli<br><b>Y 2017 Ba</b><br>193.0 <sup>,</sup>                        | cations for 1<br>se<br>11<br>27               | missiles, mu | unitions, and | d vehicle sys<br>FY 2017 To<br>193.0                   | stems.<br><u>tal</u><br>11<br>27        |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional (  | and evaluations<br>( <b>\$ in Millions</b> )<br>get<br>et<br>General Redu  | on of emerg<br><b>5)</b><br>uctions                                   | ging aerosp        | ace threats<br><b>FY 2015</b><br>129.723<br>123.292<br>-6.431<br>0.000   | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39   | es, concept<br>1 <b>6 F</b><br>13<br>13<br>12<br>14<br>14<br>14<br>14<br>14<br>14<br>14<br>14<br>14<br>14                   | s, and appli<br>FY 2017 Bas<br>193.0 <sup>-</sup><br>182.32                   | cations for 1<br>se<br>11<br>27               | missiles, mu | unitions, and | d vehicle sys<br><u>FY 2017 To</u><br>193.0<br>182.3   | stems.<br><u>tal</u><br>11<br>27        |
| nission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional D   | and evaluations<br>( <b>\$ in Millions</b><br>get<br>et<br>General Redu<br>Directed Red  | on of emerg<br><b>5)</b><br>uctions                                   | ging aerosp        | ace threats<br><u>FY 2015</u><br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000  | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01   | es, concept<br>1 <u>6 F</u><br>13<br>13<br>12<br>12<br>14<br>18   | s, and appli<br>FY 2017 Bas<br>193.0 <sup>-</sup><br>182.32                   | cations for 1<br>se<br>11<br>27               | missiles, mu | unitions, and | d vehicle sys<br><u>FY 2017 To</u><br>193.0<br>182.3   | stems.<br><u>tal</u><br>11<br>27        |
| nission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional F<br>• Congressional F  | and evaluations<br>( <b>\$ in Millions</b><br>get<br>et<br>General Redu<br>Directed Red<br>Rescissions   | on of emerg<br><b>5)</b><br>uctions                                   | ging aerosp        | ace threats<br><u>FY 2015</u><br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000<br>0.000   | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01<br>0.00                                 | es, concept<br>1 <u>6 F</u><br>13<br>13<br>14<br>12<br>14<br>18<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10 | s, and appli<br>FY 2017 Bas<br>193.0 <sup>-</sup><br>182.32                   | cations for 1<br>se<br>11<br>27               | missiles, mu | unitions, and | d vehicle sys<br><u>FY 2017 To</u><br>193.0<br>182.3   | stems.<br><u>tal</u><br>11<br>27        |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional F<br>• Congressional F<br>• Congressional F   | and evaluations<br>( <b>\$ in Millions</b><br>get<br>et<br>General Redu<br>Directed Red<br>Rescissions<br>Adds   | uctions   | ging aerosp        | ace threats<br><u>FY 2015</u><br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000                                 | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01<br>0.00<br>0.00                         | es, concept<br>1 <u>6 F</u><br>13<br>13<br>12<br>14<br>18<br>10<br>00<br>00<br>00   | s, and appli<br>FY 2017 Bas<br>193.0 <sup>-</sup><br>182.32                   | cations for 1<br>se<br>11<br>27               | missiles, mu | unitions, and | d vehicle sys<br><u>FY 2017 To</u><br>193.0<br>182.3   | tems.<br><u>tal</u><br>11<br>27         |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F  | and evaluation<br>get<br>et<br>General Redu<br>Directed Red<br>Rescissions<br>Adds<br>Directed Tran  | uctions   | ging aerosp        | ace threats<br><u>FY 2015</u><br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000                        | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01<br>0.00<br>0.00<br>0.00                 | es, concept<br>1 <u>6 F</u><br>13<br>13<br>12<br>14<br>18<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10       | s, and appli<br>FY 2017 Bas<br>193.0 <sup>-</sup><br>182.32                   | cations for 1<br>se<br>11<br>27               | missiles, mu | unitions, and | d vehicle sys<br><u>FY 2017 To</u><br>193.0<br>182.3   | tems.<br><u>tal</u><br>11<br>27         |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional F<br>• Congressional F<br>• Congressional F   | and evaluation<br>get<br>et<br>General Redu<br>Directed Red<br>Rescissions<br>Adds<br>Directed Tran  | uctions   | ging aerosp        | ace threats<br><u>FY 2015</u><br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000                                 | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01<br>0.00<br>0.00                         | es, concept<br>16 F<br>13<br>12<br>14<br>18<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10                     | s, and appli<br>FY 2017 Bas<br>193.0 <sup>-</sup><br>182.32                   | cations for 1<br>se<br>11<br>27               | missiles, mu | unitions, and | d vehicle sys<br><u>FY 2017 To</u><br>193.0<br>182.3   | stems.<br><u>tal</u><br>11<br>27        |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F  | and evaluation<br>( <u>\$ in Millions</u><br>get<br>et<br>General Redu<br>Directed Red<br>Rescissions<br>Adds<br>Directed Tran<br>Is<br>nsfer                      | uctions   | ging aerosp        | ace threats<br><b>FY 2015</b><br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>-2.480                       | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01<br>0.00<br>0.00<br>0.00<br>0.00         | es, concept<br>16 F<br>13<br>12<br>14<br>18<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10                     | s, and appli<br>FY 2017 Bas<br>193.0 <sup>-</sup><br>182.32                   | cations for 1<br>5 <u>e</u><br>11<br>27<br>34 | missiles, mu | unitions, and | d vehicle sys<br><u>FY 2017 To</u><br>193.0<br>182.3   | stems.<br>t <u>al</u><br>11<br>27<br>84 |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional C<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• SBIR/STTR Tra<br>• TotalOtherAdjus   | and evaluation<br>( <b>\$ in Millions</b> )<br>get<br>et<br>General Redu<br>Directed Red<br>Rescissions<br>Adds<br>Directed Trans<br>solutions<br>nsfer<br>stments | uctions   | ging aerosp        | ace threats<br><b>FY 2015</b><br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>-2.480                       | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01<br>0.00<br>0.00<br>0.00<br>0.00         | es, concept<br>16 F<br>13<br>12<br>14<br>18<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10                     | s, and appli<br>F <u>Y 2017 Bas</u><br>193.0 <sup>7</sup><br>182.32<br>-10.68 | cations for 1<br>5 <u>e</u><br>11<br>27<br>34 | missiles, mu | unitions, and | d vehicle sys<br>FY 2017 To<br>193.0<br>182.3<br>-10.6 | stems.<br>t <u>al</u><br>11<br>27<br>84 |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional F<br>• Congressional F | and evaluation<br>( <b>\$ in Millions</b><br>get<br>et<br>General Redu<br>Directed Red<br>Rescissions<br>Adds<br>Directed Trans<br>Is<br>Insfer<br>Stments         | bn of emerg<br>b)<br>uctions<br>uctions                               | jing aerosp        | ace threats<br><u>FY 2015</u><br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>-2.480<br>-3.951<br>-        | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01<br>0.00<br>0.00<br>0.00<br>0.00<br>0.00 | es, concept<br>16 F<br>13<br>12<br>14<br>18<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10                     | s, and appli<br>F <u>Y 2017 Bas</u><br>193.0 <sup>7</sup><br>182.32<br>-10.68 | cations for 1<br>5 <u>e</u><br>11<br>27<br>34 | missiles, mu | unitions, and | d vehicle sys<br>FY 2017 To<br>193.0<br>182.3<br>-10.6 | stems.<br>t <u>al</u><br>11<br>27<br>84 |
| mission requirements. Research<br>his project include examination a<br><b>B. Program Change Summary (</b><br>Previous President's Budg<br>Current President's Budg<br>Total Adjustments<br>• Congressional C<br>• Congressional C<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• Congressional F<br>• SBIR/STTR Tra<br>• TotalOtherAdjus   | and evaluation<br>( <b>\$ in Millions</b> )<br>get<br>et<br>General Redu<br>Directed Red<br>Rescissions<br>Adds<br>Directed Trans<br>station<br>structs reprogram  | n of emerg<br><b>5)</b><br>uctions<br>uctions<br>nsfers<br>nmings and | jing aerospa       | ace threats<br>FY 2015<br>129.723<br>123.292<br>-6.431<br>0.000<br>0.000<br>0.000<br>0.000<br>0.000<br>-2.480<br>-3.951<br>-<br>STTR trans | , technologie<br>FY 201<br>185.04<br>173.63<br>-11.41<br>-1.39<br>-10.01<br>0.00<br>0.00<br>0.00<br>0.00<br>0.00 | es, concept<br>1 <u>6 F</u><br>13<br>12<br>14<br>18<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10             | s, and appli<br>F <u>Y 2017 Bas</u><br>193.0 <sup>7</sup><br>182.32<br>-10.68 | cations for 1<br>5 <u>e</u><br>11<br>27<br>34 | missiles, mu | unitions, and | d vehicle sys<br>FY 2017 To<br>193.0<br>182.3<br>-10.6 | stems.<br>t <u>al</u><br>11<br>27<br>84 |

| C. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: Tactically Exploited Reconnaissance Node (TERN)   | 44.558  | 32.000  | 12.000  |
| <b>Description:</b> The goal of the Tactically Exploited Reconnaissance Node (TERN) program, a joint effort with the Office of Naval Research, is to develop a systems approach for, and perform technical demonstration of, a Medium-Altitude, Long-Endurance |         |         |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Resea  | arch Projects Agency  | Date: F | ebruary 2016 | 6       |
|---|---|---------|--------------|---------|
|   | Program Element (Number/Name)<br>603286E / ADVANCED AEROSPACE SYSTEN  | IS      |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  | ٦   | FY 2015 | FY 2016      | FY 2017 |
| Unmanned Aerial Vehicle (MALE UAV) capability from smaller ships. The program w<br>and recovery of large unmanned aircraft capable of providing persistent 24/7 Intelliger<br>(ISR) and strike capabilities at long radius orbits. By extending the ISR/strike radius a<br>beyond current capabilities from smaller ships, TERN will enable novel operational co<br>and responsive, persistent deep overland ISR and strike, without requirement for forw<br>program will create new concepts for aircraft launch and recovery, aircraft logistics an<br>associated with maritime operating conditions. The program will culminate in a launch<br>of TERN technologies and operational concepts will enable a novel and cost efficient<br>transition partner is the Navy. | nce, Surveillance, and Reconnaissance<br>and simultaneously increasing time on station<br>oncepts including maritime surveillance<br>vard basing. To achieve these goals, the<br>nd maintenance, and aircraft flight in regimes<br>th and recovery demonstration. Application |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Continued technology maturation and completion of preliminary design.</li> <li>Continued integrated aircraft risk reduction simulation and testing.</li> <li>Initiated subscale bench testing of propulsion system.</li> <li>Commenced integrated ship-aircraft simulation activity.</li> <li>Initiated software in the loop / hardware in the loop design.</li> <li>Conducted large-scale demonstration of select technology development elements.</li> </ul>   |   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete high fidelity integrated ship-aircraft simulation.</li> <li>Commence procurement of long-lead demonstrator system components.</li> <li>Complete detailed design of demonstrator aircraft.</li> <li>Begin fabrication and testing of demonstrator system hardware.</li> <li>Initiate software in the loop / hardware in the loop build.</li> <li>Complete integrated testing of propulsion subsystem.</li> <li>Initial testing of ship relative navigation system.</li> <li>Perform subsystem risk reduction demonstrations.</li> </ul>  |   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Conduct demonstrator system Critical Design Review (CDR).</li> <li>Commence demonstrator system wing and fuselage fabrication.</li> <li>Perform demonstrator system integrated avionics testing.</li> <li>Conduct integrated propulsion system testing.</li> <li>Complete vehicle structure tooling.</li> <li>Conduct vehicle structure assembly and testing.</li> </ul>   |   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency  |   | Date: Fe | ebruary 2016 |         |
|--|---|----------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTEM   | MS       |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015  | FY 2016      | FY 2017 |
| <ul> <li>Conduct demonstrator system assembly ground checkout.</li> </ul>  |   |          |              |         |
| Title: Collaborative Operations in Denied Environment (CODE)   |   | 19.000   | 28.543       | 29.027  |
| <b>Description:</b> The goal of the Collaborative Operations in Denied Environmen performance, reduce cost, confound adversaries, and reduce reliance on space distributing mission functions such as sensing, communication, precision navious platforms and increasing their level of autonomy. Collaboration of multiple assessions using smaller air platforms to enhance survivability, reduce overall a communications range and robustness in denied environments, increase sear prosecution reaction time, and provide multi-mission capabilities by combinate developing and demonstrating approaches that will expand the mission capability. Navy.  | ce assets for navigation and communication by<br>gation, kinetic, and non-kinetic effects to small<br>sets offers new possibilities to conduct military<br>cquisition cost, create new effects, increase<br>rch area, increase areas held at risk, reduce target<br>ons of assets. This effort will specifically focus on<br>bilities of legacy air assets through autonomy and |          |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Performed trade studies and decomposed selected missions.</li> <li>Developed collaborative algorithms, autonomous tactics, concepts for comm</li> <li>Developed software module specifications compliant with standard based of system control segment and other standards when applicable.</li> <li>Evaluated algorithms, tactics, communication and interfaces, in high fidelity performance objectives.</li> </ul>   | pen architecture including OSD unmanned aircraft  |          |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Implement algorithms in first release of flightworthy software (release 1) host demonstration platform and objective operational platforms.</li> <li>Modify demonstration platform to include mission computer and mesh networe.</li> <li>Demonstrate in-flight capabilities of release 1 focused on basic software funincluding formation flight, GPS denied navigation, and other vehicle level autoprocessing, contingency management, and mission planning.</li> <li>Demonstrate release 1 collaboration algorithms in real time simulation, inclutasking that maximizes system effectiveness.</li> <li>Develop collaborative algorithms, tactics, concepts for communication, and</li> <li>Evaluate algorithms, tactics, communication and interfaces, in non-real time</li> </ul> | ork capable radio.<br>Inctionality verification, initial autonomy modules<br>onomy modules such as on-board real time sensor<br>ading low bandwidth sensor fusion and collaborative<br>human interface.   |          |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency   |  | Date: February 2016 |         |         |
|---|--|---------------------|---------|---------|
| Appropriation/Budget Activity<br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTE   | MS                  |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015             | FY 2016 | FY 2017 |
| <ul> <li>Continue development of collaborative algorithms.</li> <li>Select algorithms for the current leading capabilities: collaborative navigation of arrival from multiple azimuth against moving targets, dynamic prioritized tar synchronized search using multiple sensor types, collaborative communication tracking and identification, and terse communication protocols for data fusion a Continue software maturation through progressive software releases.</li> <li>Validate software in hardware in the loop testing that includes mesh network fidelity air vehicle simulator.</li> <li>Validate major software release 2 and 3 in flight with increasing number of results.</li> </ul>                  | get re-assignment to compensate for attrition,<br>n using relays or other techniques, closed loop<br>and task allocation.<br>k, mission computer, mission sensors, and high<br>eal and virtual unmanned airplanes.   |                     |         |         |
| Title: Hypersonic Air-breathing Weapon Concept (HAWC)   |  | 5.500               | 13.500  | 49.500  |
| <b>Description:</b> The Hypersonic Air-breathing Weapon Concept (HAWC) progradevelop and demonstrate technologies to enable transformational changes in or heavily defended targets. HAWC will pursue flight demonstration of the criticair-launched hypersonic cruise missile. These technologies include advanced hypersonic flight, hydrocarbon scramjet-powered propulsion to enable sustain approaches designed for high-temperature cruise, and affordable system desitechnologies also extend to reusable hypersonic air platforms for applications program will leverage advances made by the previously funded Falcon, X-51, the Air Force, and HAWC technologies are planned for transition to the Air Force. | responsive, long-range strike against time-critical<br>ical technologies for an effective and affordable<br>d air vehicle configurations capable of efficient<br>ed hypersonic cruise, thermal management<br>igns and manufacturing approaches. HAWC<br>such as global presence and space lift. The HAWC<br>and HyFly programs. This is a joint program with |                     |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Continued risk reduction testing of subsystem technologies for hypersonic a</li> <li>Completed technology demonstration system requirements review and beganissile flight demonstration system.</li> <li>Initiated full-scale freejet propulsion system design and fabrication.</li> <li>Initiated detailed plans for flight testing of the air-breathing missile demonstration</li> </ul>   | an preliminary design of hypersonic air-breathing  |                     |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete preliminary design of hypersonic air-breathing missile flight demon</li> <li>Complete full-scale freejet propulsion system testing.</li> <li>Begin fabrication and testing of thermal protection system materials.</li> <li>Begin detailed design of the hypersonic air-breathing missile flight demonstration</li> <li>Begin creating test-validated performance databases to anchor demonstration</li> </ul>  | ration system.   |                     |         |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency   |  | Date: F |         |         |
|---|--|---------|---------|---------|
| Appropriation/Budget Activity<br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTE   | SYSTEMS |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016 | FY 2017 |
| - Continue detailed plans for flight testing of the air-breathing missile demons  | stration system.   |         |         |         |
| <ul> <li>FY 2017 Plans:</li> <li>Continue updating test-validated performance databases to anchor demons</li> <li>Complete critical design of hypersonic air-breathing missile flight demonstration</li> <li>Conduct preliminary traceability assessment between the HAWC demonstration</li> <li>Complete software architecture and algorithm design.</li> <li>Begin software-in-the-loop testing for the demonstration vehicle.</li> <li>Begin procurement of long lead hardware for hypersonic air-breathing missing</li> <li>Initiate flight certification reviews with the test range.</li> <li>Begin hardware-in-the-loop testing for the flight demonstration vehicle.</li> <li>Initiate full-scale flight-like freejet engine testing.</li> <li>Continue detailed plans for flight testing of the air-breathing missile demonstration</li> </ul> | ation system.<br>ation system and the HAWC operational system.<br>ile flight demonstration vehicle.  |         |         |         |
| Title: Tactical Boost Glide   | · · · · · · · · · · · · · · · · · · ·  | 15.100  | 11.200  | 22.800  |
| <b>Description:</b> The Tactical Boost Glide (TBG) program is a Joint DARPA / Air technologies to enable air-launched tactical range hypersonic boost glide sys is traceable to an operationally relevant weapon that can be launched from cut traceability to, and ideally compatibility, with the Navy Vertical Launch System include total range, time of flight, payload, accuracy, and impact velocity. The issues required to enable development of a hypersonic boost glide system correquired aerodynamic and aero-thermal performance, controllability and robu system attributes and subsystems required to be effective in relevant operation for transition to the Air Force and the Navy.  | tems, including flight demonstration of a vehicle that<br>urrent platforms. The program will also consider<br>in (VLS). The metrics associated with this objective<br>e program will address the system and technology<br>insidering (1) vehicle concepts possessing the<br>stness for a wide operational envelope, (2) the<br>onal environments, and (3) approaches to reducing |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed TBG Concept of Operations (ConOps), Operational System condocumentation.</li> <li>Completed TBG Demonstration System conceptual design and systems red</li> <li>Completed initial Technology Maturation Plans (TMPs).</li> <li>Completed initial Risk Management Plans (RMP).</li> <li>Conducted initial test range and range safety coordination.</li> <li>Began Phase I aerodynamic and aerothermal concept testing.</li> <li>Began development of first generation aero databases.</li> </ul>   |  |         |         |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency   |  |         | Date: February 2016 |         |  |
|---|--|---------|---------------------|---------|--|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTE   | MS      |                     |         |  |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016             | FY 2017 |  |
| <ul> <li>Completed aerodynamic and aerothermal Government Reference Vehicle (G</li> <li>Completed booster range and energy management study.</li> <li>Selected booster and launch platforms.</li> </ul>   | SRV) risk reduction testing.   |         |                     |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete operational analysis of the performer TBG operational systems.</li> <li>Complete operational analysis of evolved Government Reference Vehicle (G</li> <li>Select TBG demonstration test range.</li> <li>Complete Phase I aerodynamic and aerothermal concept testing.</li> <li>Complete first generation aero databases.</li> <li>Continue risk reduction testing.</li> <li>Develop initial flight test plan.</li> <li>Update TMPs and RMPs.</li> <li>Complete Preliminary Design Reviews (PDR).</li> <li>Complete initial range safety documentation.</li> </ul>   | RV).   |         |                     |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Begin TBG concept refinement testing.</li> <li>Continue risk reduction testing.</li> <li>Complete second generation aero databases.</li> <li>Complete Critical Design Review (CDR).</li> <li>Begin procurement of hardware for demonstration vehicles.</li> <li>Begin hardware in the loop (HWIL), software in the loop (SIL), and qualificati</li> <li>Begin Assembly, Integration, and Test (AI&amp;T).</li> <li>Continue detailed flight test and range safety planning, coordination, and door</li> </ul>  |  |         |                     |         |  |
| Title: Advanced Aerospace System Concepts   |  | 6.360   | 6.000               | 3.000   |  |
| <b>Description:</b> Studies conducted under this program examine and evaluate em<br>concepts for applicability to military use. This includes the degree and scope of<br>operations, mission utility, and warfighter capability. Studies are also conducted<br>with possible methods and technologies to counter them. The feasibility of ach<br>resources, schedule, and technological risk, is also evaluated. The results from<br>programs or refocus ongoing work. Topics of consideration include: methods of<br>technologies to increase precision, range, endurance, and lethality of weapons<br>air vehicle control, power, propulsion, materials, and architectures; and payload | of potential impact/improvements to military<br>ed to analyze emerging aerospace threats along<br>hieving potential improvements, in terms of<br>in these studies are used, in part, to formulate future<br>of defeating enemy anti-aircraft attacks; munition<br>for a variety of mission sets; novel launch systems; |         |                     |         |  |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency   | Date: F | ebruary 2016 | 6       |
|--|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTE   | MS      |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed hypersonic propulsion integration and flowpath assessments.</li> <li>Performed study of rotating detonation engine operation with hydrocarbon fue concepts.</li> <li>Initiated studies of emerging concepts.</li> </ul>   | els, including system design and operational   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Perform feasibility experiments of candidate technologies and system conce</li> <li>Conduct trade studies and modeling and simulation for novel technologies.</li> </ul>  | pts.   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Validate sub-system performance and conduct sub-system risk reduction tes</li> <li>Conduct enabling technology and sub-system feasibility experiments.</li> </ul>   | sting.   |         |              |         |
| Title: Technology for Enriching and Augmenting Manned - Unmanned System  | s  | -       | 9.588        | -       |
| <b>Description:</b> The Technology for Enriching and Augmenting Manned - Aircraft<br>survivability, payload, and reach of combat aircraft by: (i) teaming them (wingn<br>(UAVs), and (ii) enabling swarming employment and operations of manned an<br>between the mission tailored UAV wingmen and the less survivable, but decisi<br>to contested airspace and enhance force projection. UAV wingmen will reduce<br>reducing training costs. Legacy manned platforms will train with virtual unman<br>and logistics costs associated with manned wingmen. Unmanned wingmen ca<br>including penetrating intelligence, surveillance, and reconnaissance (ISR), elec<br>operations of manned and unmanned systems in a swarming configuration can<br>networked-integrated air defenses and to support operations in highly contester<br>reduced development and integration costs. Finally, leveraging existing platfor<br>recapitalizes existing investments, making these 4th and 5th generation platfor<br>denial scenarios where they may have limited survivability. Balancing in situ b<br>specific unmanned teammates will offset new threat technologies, enabling mo<br>the survivability of the manned platform team leader. The anticipated transition<br>Marine Corps. | hen) with advanced Unmanned Aerial Vehicles<br>d unmanned airborne systems. The synergy<br>on making manned platforms will provide access<br>e air dominance lifecycle costs by dramatically<br>ned teammates saving operations, maintenance,<br>an be developed for a wide variety of missions<br>ctronic attack (EA), and weapons delivery. Mixed<br>h be developed to support missions against<br>ed environments. A common core will enable<br>rms for command, control, and battle management<br>rms viable participants in future anti-access, area<br>attle management with highly capable, mission<br>pre cost effective mission execution, and increasing |         |              |         |
| <i>FY 2016 Plans:</i> - Perform operational analysis and technology maturity assessments to deterr<br>and technology advances required of an unmanned teammate.  | nine the minimum set of critical platform attributes   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | d Research Projects Agency   | Date: F | ebruary 2016 |         |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTE   | MS      |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016      | FY 2017 |
| <ul><li>Create a technology development and system attributes demonstration road</li><li>Develop and refine the final unmanned vehicle design and concept.</li></ul>  | dmap.  |         |              |         |
| Title: Vertical Take-Off and Landing (VTOL) Technology Demonstrator   |  | -       | 58.800       | 52.000  |
| <b>Description:</b> The Vertical Take-Off and Landing (VTOL) Technology Demonst<br>improvements in (heavier than air) VTOL air vehicle capabilities and efficience<br>component technologies, aircraft configurations and system integration. The<br>10,000 - 12,000 lb aircraft capable of sustained speeds in excess of 300 kt, de<br>25 percent of the ideal power loading, and a lift-to-equivalent drag ratio no less<br>designed to have a useful load of no less than 40 percent of the gross weight<br>the gross weight. A strong emphasis will be placed on the development of ele<br>demonstrate net improvements in aircraft efficiencies to enable new and vasti<br>developed under this program will be made available to all Services for applic<br>program is a continuation of applied research efforts funded in PE 0602702E,<br>for this effort are the Army, Marine Corps, and Special Operations Forces.  | es through the development of subsystem and<br>program will build and flight test an unmanned<br>emonstrate system level hover efficiency within<br>s than ten. Additionally, the demonstrator will be<br>with a payload capacity of at least 12.5 percent of<br>egant, multi-functional subsystem technologies that<br>y improved operational capabilities. Technologies<br>ation to future air systems development. This |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Flight test and analyze data from a sub-scale vehicle demonstrator (~340 lb</li> <li>Continue preliminary design refinements leading toward detailed design of t subsystems.</li> <li>Select performer for detailed design, fabrication, and flight test.</li> <li>Complete preliminary design reviews of configuration and all subsystems.</li> <li>Refine system design and initiate subsystem critical design reviews.</li> <li>Initiate software design and flight control law development and simulation.</li> <li>Develop detailed airworthiness and flight test preparation requirements in su</li> <li>Perform subsystem testing necessary for subsystem design validation and of</li> <li>Initiate aircraft assembly and manufacturing processes to include tooling de</li> <li>Procure long-lead items for aircraft fabrication.</li> <li>FY 2017 Plans:</li> <li>Complete detailed sub- and system-level validation and verification tests an</li> <li>Perform hardware/software-in-the-loop testing.</li> <li>Complete vehicle management system development and avionics requirement</li> </ul> | he demonstrator aircraft and associated<br>upport of the full-scale technology demonstrator.<br>critical design reviews.<br>sign and fabrication.<br>d analyses.   |         |              |         |
| operator/pilot stations Complete flight test range selection and finalize flight test plans.  |  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance  | ed Research Projects Agency   | Date: F | ebruary 2016 |         |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTE/   | MS      |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Complete test and evaluation of all elements and sub-systems of the aircra</li> <li>Fabricate and assemble the full, complete aircraft with integrated systems</li> </ul>   |   |         |              |         |
| Title: Distributed Fires (DFires)  |   | -       | 6.000        | 5.000   |
| <b>Description:</b> The goal of the Distributed Fires (DFires) program is to create extended ranges to be rapidly accessed for use. The DFires system would be trucks, rotorcraft, or boats and delivered to supporting locations on the battle communications link and pass along targeting commands to the onboard stot tube launched munitions. Technology areas to be developed include the over requirements and protocols, and specific stores. The anticipated transition processes of the stores.  | be a stand-alone system that would be transported by<br>field. The modular launcher unit would provide the<br>ores. The onboard stores would consist of multiple<br>erall system architecture, the communications |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Identify critical anti-access/area-denial theaters of operation.</li> <li>Conduct trade space analysis and develop overall system architecture.</li> <li>Assess target value, conduct preliminary design of multiple types of onboa</li> <li>Explore new technologies which could reduce vehicle size, enhance penel</li> </ul>   |   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Conduct Systems Requirements Review (SRR).</li> <li>Develop system concept of operations (CONOPS) and command and com</li></ul> | trol (C2).  |         |              |         |
| Title: Advanced Full Range Engine (AFRE)   |   | -       | -            | 9.000   |
| <b>Description:</b> The Advanced Full Range Engine (AFRE) program will establist through a two-pronged approach. AFRE will demonstrate turbine to Dual Mc Combined Cycle (TBCC) propulsion system utilizing an off-the-shelf turbine of propulsion system will be developed and demonstrated independently, follow mode transition ground test. Accomplishing these objectives will enable future changes in long range strike, high speed Intelligence, Surveillance and Record operations.   | ode Ramjet (DMRJ) transition of a Turbine-Based<br>engine. Large scale components of this complex<br>ved by a full-scale freejet TBCC propulsion system<br>ire hypersonic systems resulting in transformational   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Begin preliminary design of the TBCC transition demonstration propulsion technology development plans.</li> <li>Design, fabricate, and initiate large scale dual-inlet testing.</li> </ul>  | system, and develop ground test and associated  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | d Research Projects Agency   | Date: Fe | ebruary 2016 |         |
|---|--|----------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTE/  | MS       |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015  | FY 2016      | FY 2017 |
| <ul><li>Design, fabricate, and initiate large-scale direct-connect combustor testing,</li><li>Initiate procurement of the turbine engine.</li></ul>   |  |          |              |         |
| Title: Aerial Reconfigurable Embedded System (ARES)   |  | 18.000   | 8.000        | -       |
| <b>Description:</b> Current and future land and ship-to-shore operations will require<br>on the battlefield. The Aerial Reconfigurable Embedded System (ARES) prog<br>(VTOL), modular unmanned air vehicle that can carry a 3,000 lb useful load a<br>fuel. ARES enabled distributed operations and access to compact, high altitud<br>hostile threats and bypass ground obstructions. ARES modular capability allo<br>and deployed at the company level. This enables the flexible employment of<br>casualty evacuation, reconnaissance, weapons platforms, and other types of<br>resupply isolated small units. ARES was suited for enhanced company opera-<br>team increased situational awareness for operations in an urban environment<br>under the ARES program included vertical and translational flight, conversion<br>propulsion systems, lightweight materials, tailless configuration, modularity, a<br>transition from vertical to horizontal flight. Additionally, the program explored<br>integration of new, key technologies and capabilities. These included adapta<br>irregular landing zones and moving launch/recovery platforms, and autonomo-<br>partners for this effort are the Army, Marine Corps, and Special Operations Fo | gram developed a vertical take-off and landing<br>at a range of 250 nautical miles on a single tank of<br>ide landing zones to reduce warfighter exposure to<br>owed mission modules to be quickly interchanged<br>many different capabilities including: cargo resupply,<br>operations. ARES vehicles could be dispatched to<br>ations concepts that would provide the warfighter/<br>t. The enabling technologies of interest developed<br>between powered lift and wing borne lift, ducted fan<br>nd advanced over-actuated flight controls for stable<br>opportunities for the design, development, and<br>ble landing gear concepts to enable operations from<br>ous take off and landing. The anticipated transition |          |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed assembly of drive train components for testing.</li> <li>Completed assembly of airframe structure for load testing.</li> <li>Completed proof load testing with flight hardware.</li> <li>Completed review and revision of rotor control components.</li> <li>Completed fabrication and assembly of revised rotor control components.</li> <li>Completed drive train testing with flight components.</li> <li>Completed development of flight control software to ensure successful fligh</li> <li>Conducted subsystem testing and integration of components into the full sc</li> <li>Completed hardware-in-the-loop and software-in-the-loop testing with fully i</li> <li>Conducted ground demonstrations of the prototype vehicle in preparation for</li> </ul>   | ale prototype ARES system.<br>integrated full scale prototype ARES system.   |          |              |         |

| ed Research Projects Agency  | Date: Fo   | ebruary 2016  | 6   |
|--|--|---|---|
| <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE SYSTE/  | MS   |   |   |
|  | FY 2015  | FY 2016   | FY 2017   |
| ves by flying with and without a cargo module to show performance.   |  |   |   |
|  | 14.774   | -   | -   |
| increased close air support (CAS) capabilities<br>the supported ground commander. The enabling<br>uphical user interfaces, data links, digital guidance<br>itally task a CAS platform from the ground to attack<br>er (JTAC) the ability to rapidly engage multiple moving<br>y task a CAS platform to attack multiple/simultaneous<br>The system was designed to reduce collateral damage<br>Force, Special Operations Command (SOCOM), and |  |   |   |
| tem on both an A-10C and MV-22.<br>COM.  |  |   |   |
| Accomplishments/Planned Programs Subtotals   | 123.292  | 173.631   | 182.32  |
| accomplishments and plans section.   |  |   |   |
|  | R-1 Program Element (Number/Name)         PE 0603286E / ADVANCED AEROSPACE SYSTE/         //es by flying with and without a cargo module to show performance.         increased close air support (CAS) capabilities he supported ground commander. The enabling phical user interfaces, data links, digital guidance tally task a CAS platform from the ground to attack r (JTAC) the ability to rapidly engage multiple moving y task a CAS platform to attack multiple/simultaneous he system was designed to reduce collateral damage Force, Special Operations Command (SOCOM), and tem on both an A-10C and MV-22. COM.         Accomplishments/Planned Programs Subtotals | R-1 Program Element (Number/Name)<br>PE 0603286E I ADVANCED AEROSPACE SYSTEMS         ves by flying with and without a cargo module to show<br>performance.         increased close air support (CAS) capabilities<br>he supported ground commander. The enabling<br>phical user interfaces, data links, digital guidance<br>tally task a CAS platform from the ground to attack<br>r (JTAC) the ability to rapidly engage multiple moving<br>y task a CAS platform to attack multiple/simultaneous<br>he system was designed to reduce collateral damage<br>Force, Special Operations Command (SOCOM), and         tem on both an A-10C and MV-22.<br>COM.       123.292 | R-1 Program Element (Number/Name)<br>PE 0603286E / ADVANCED AEROSPACE SYSTEMS         /es by flying with and without a cargo module to show<br>performance.         increased close air support (CAS) capabilities<br>he supported ground commander. The enabling<br>phical user interfaces, data links, digital guidance<br>tally task a CAS platform from the ground to attack<br>r (JTAC) the ability to rapidly engage multiple moving<br>y task a CAS platform to attack multiple/simultaneous<br>he system was designed to reduce collateral damage<br>Force, Special Operations Command (SOCOM), and         tem on both an A-10C and MV-22.<br>COM.       123.292       173.631 |

| Exhibit R-3, RDT&E F  | Project C                    | ost Analysis: PB 2                | 2017 Defe      | ense Adv | anced Res     | search Pr | ojects Ag     | jency  |                             |      |               | Date:                              | February            | 2016          |                                |
|---|------------------------------|-----------------------------------|----------------|----------|---------------|-----------|---------------|--------|-----------------------------|------|---------------|------------------------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budge<br>0400 / 3   | et Activity                  | 1                                 |                |          |               |           | 3286E / A     |        | l <b>umber/N</b><br>ED AERO |      |               | i <b>(Numbe</b> i<br>I ADVAN<br>MS | ,                   | OSPACE        | -                              |
| Product Developmer  | nt (\$ in Mi                 | illions)                          |                | FY       | 2015          | FY 2      | 2016          |        | 2017<br>ase                 |      | 2017<br>CO    | FY 2017<br>Total                   | ]                   |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost     | Award<br>Date | Cost      | Award<br>Date | Cost   | Award<br>Date               | Cost | Award<br>Date | Cost                               | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Tactically Exploited<br>Reconnaissance Node<br>(TERN)                   | C/CPFF                       | AeroVironment,Inc. :<br>CA        | -              | 13.035   | Oct 2014      | 0.000     |               | 0.000  |                             | -    |               | 0.000                              | Continuing          | Continuing    | Continuing                     |
| Tactically Exploited<br>Reconnaissance Node<br>(TERN)                   | C/CPFF                       | NorthropGrumman :<br>CA           | -              | 17.209   | Oct 2014      | 27.370    |               | 9.540  |                             | -    |               | 9.540                              | Continuing          | Continuing    | Continuing                     |
| Tactically Exploited<br>Reconnaissance Node<br>(TERN)                   | C/Various                    | Various : Various                 | -              | 10.202   |               | 0.000     |               | 0.000  |                             | -    |               | 0.000                              | Continuing          | Continuing    | Continuing                     |
| Collaborative Operations<br>in Denied Environment<br>(CODE)             | C/Various                    | Various : Various                 | -              | 16.033   |               | 4.514     |               | 0.000  |                             | -    |               | 0.000                              | Continuing          | Continuing    | Continuing                     |
| Collaborative Operations<br>in Denied Environment<br>(CODE)             | C/TBD                        | TBD : TBD                         | -              | 0.000    |               | 19.960    |               | 22.915 |                             | -    |               | 22.915                             | Continuing          | Continuing    | Continuing                     |
| Hypersonic Air-breathing<br>Weapon Concept (HAWC)                       | C/Various                    | Various : Various                 | -              | 2.651    |               | 0.000     |               | 0.000  |                             | -    |               | 0.000                              | Continuing          | Continuing    | Continuing                     |
| Hypersonic Air-breathing<br>Weapon Concept (HAWC)                       | C/TBD                        | TBD : TBD                         | -              | 0.000    |               | 10.585    |               | 43.045 |                             | -    |               | 43.045                             | Continuing          | Continuing    | Continuing                     |
| Tactical Boost Glide  | C/CPFF                       | LockheedMartin : CA               | -              | 6.159    | May 2015      | 0.000     |               | 0.000  |                             | -    |               | 0.000                              | Continuing          | Continuing    | Continuing                     |
| Tactical Boost Glide  | C/Various                    | Various : Various                 | -              | 2.936    |               | 0.000     |               | 0.000  |                             | -    |               | 0.000                              | Continuing          | Continuing    | Continuing                     |
| Tactical Boost Glide  | C/TBD                        | TBD : TBD                         | -              | 0.000    |               | 8.692     |               | 17.048 |                             | -    |               | 17.048                             | Continuing          | Continuing    | Continuing                     |
| Advanced Aerospace<br>System Concepts                                   | C/Various                    | Various : Various                 | -              | 5.788    |               | 5.460     |               | 2.730  |                             | -    |               | 2.730                              | Continuing          | Continuing    | Continuing                     |
| Technology for Enriching<br>and Augmenting Manned -<br>Unmanned Systems | C/TBD                        | Various : Various                 | -              | 0.000    |               | 7.920     |               | 0.000  |                             | -    |               | 0.000                              | 0                   | 7.920         | 0                              |
| Vertical Take-Off<br>and Landing (VTOL)<br>Technology Demonstrator      | C/TBD                        | Various : Various                 | -              | 0.000    |               | 53.008    |               | 45.170 |                             | -    |               | 45.170                             | Continuing          | Continuing    | Continuing                     |
| Distributed Fires (DFires)  | C/TBD                        | Various : Various                 | -              | 0.000    |               | 5.995     |               | 4.550  |                             | -    |               | 4.550                              | Continuing          | Continuing    | Continuing                     |
| Advanced Full Range<br>Engine (AFRE)                                    | C/TBD                        | Various : Various                 | -              | 0.000    |               | 0.000     |               | 8.190  |                             | -    |               | 8.190                              | Continuing          | Continuing    | Continuing                     |

Volume 1 - 192

| Exhibit R-3, RDT&E  | _                            |                                   | 2017 Defe      | ense Adva | anced Re      | 1       |               | -                   |               |      | 1             |                                     | February            | / 2016        |                                |
|---|------------------------------|-----------------------------------|----------------|-----------|---------------|---------|---------------|---------------------|---------------|------|---------------|-------------------------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budge<br>0400 / 3                             | et Activity                  |                                   |                |           |               |         | 3286E / A     | ement (N<br>ADVANCE |               | ,    |               | : <b>(Numbe</b> i<br>I ADVANO<br>MS | ,                   | OSPACE        | Ē                              |
| Product Developmer  | nt (\$ in Mi                 | illions)                          |                | FY 2      | 2015          | FY 2    | 016           | FY 2<br>Ba          | -             |      | 2017<br>CO    | FY 2017<br>Total                    |                     |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost    | Award<br>Date | Cost                | Award<br>Date | Cost | Award<br>Date | Cost                                | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Aerial Reconfigurable<br>Embedded System<br>(ARES)          | C/CPFF                       | Lockheed Martin : TX              | -              | 7.277     | Mar 2015      | 0.000   |               | 0.000               |               | -    |               | 0.000                               | 0                   | 7.277         |                                |
| Aerial Reconfigurable<br>Embedded System<br>(ARES)          | C/Various                    | Various : Various                 | -              | 8.599     |               | 5.550   |               | 0.000               |               | -    |               | 0.000                               | 0                   | 14.149        | (                              |
| Persistent Close Air<br>Support (PCAS)                      | C/Various                    | Various : Various                 | -              | 13.272    |               | 0.000   |               | 0.000               |               | -    |               | 0.000                               | 0                   | 13.272        | . (                            |
|   |                              | Subtotal                          | -              | 103.161   |               | 149.054 |               | 153.188             |               | -    |               | 153.188                             | -                   | -             | -                              |
| Support (\$ in Million                                      | s)                           |                                   |                | FY 2      | 2015          | FY 2    | 016           | FY 2<br>Ba          |               |      | 2017<br>CO    | FY 2017<br>Total                    | ]                   |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost    | Award<br>Date | Cost                | Award<br>Date | Cost | Award<br>Date | Cost                                | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Government Support  | MIPR                         | Various : Various                 | -              | 4.936     |               | 6.945   |               | 7.293               |               | -    |               | 7.293                               | Continuing          | Continuing    | Continuin                      |
|   |                              | Subtotal                          | -              | 4.936     |               | 6.945   |               | 7.293               |               | -    |               | 7.293                               | -                   | -             | -                              |
| Test and Evaluation   | (\$ in Milli                 | ons)                              |                | FY 2      | 2015          | FY 2    | 016           | FY 2<br>Ba          | -             |      | 2017<br>CO    | FY 2017<br>Total                    | ]                   |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost    | Award<br>Date | Cost                | Award<br>Date | Cost | Award<br>Date | Cost                                | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Tactically Exploited<br>Reconnaissance Node<br>(TERN)       | C/TBD                        | Various : Various                 | -              | 0.000     |               | 1.750   |               | 1.380               |               | -    |               | 1.380                               | Continuing          | Continuing    | g Continuin                    |
| Collaborative Operations<br>in Denied Environment<br>(CODE) | C/Various                    | Various : Various                 | -              | 1.257     |               | 1.500   |               | 3.500               |               | -    |               | 3.500                               | Continuing          | Continuing    | g Continuin                    |
| Hypersonic Air-breathing<br>Weapon Concept (HAWC)           | C/Various                    | Various : Various                 | -              | 2.354     |               | 1.700   |               | 2.000               |               | -    |               | 2.000                               | Continuing          | Continuing    | Continuin                      |
| weapon concept (nAwc)                                       |                              | 1                                 |                | 4.555     |               | 1.500   |               | 3.700               |               | -    | İ             | 0 700                               | 0                   |               | Continuin                      |

Defense Advanced Research Projects Agency

| A  | -<br>4 A - 4114.             |                                   |                |         |               | D 4 Due | ,             | · · ·               |               |      | Ducies        |                                     | ·///                |               |                                |
|--|------------------------------|-----------------------------------|----------------|---------|---------------|---------|---------------|---------------------|---------------|------|---------------|-------------------------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budge<br>0400 / 3                                    | et Activity                  |                                   |                |         |               |         | 3286E / A     | ement (N<br>ADVANCE |               | ,    |               | : <b>(Numbe</b> i<br>I ADVANO<br>MS | ,                   | OSPACE        | :                              |
| Test and Evaluation  | (\$ in Milli                 | ons)                              |                | FY 2    | 2015          | FY 2    | 016           | FY 2<br>Ba          | -             |      | 2017<br>CO    | FY 2017<br>Total                    |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost    | Award<br>Date | Cost    | Award<br>Date | Cost                | Award<br>Date | Cost | Award<br>Date | Cost                                | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Vertical Take-Off<br>and Landing (VTOL)<br>Technology Demonstrator | C/TBD                        | Various : Various                 | -              | 0.000   |               | 0.500   |               | 2.150               |               | -    |               | 2.150                               | Continuing          | Continuing    | Continuing                     |
| Aerial Reconfigurable<br>Embedded System<br>(ARES)                 | C/Various                    | Various : Various                 | -              | 0.504   |               | 2.000   |               | 0.000               |               | -    |               | 0.000                               | 0                   | 2.504         | C                              |
| Persistent Close Air<br>Support (PCAS)                             | C/Various                    | Various : Various                 | -              | 0.355   |               | 0.000   |               | 0.000               |               | -    |               | 0.000                               | 0                   | 0.355         | C                              |
|  |                              | Subtotal                          | -              | 9.025   |               | 8.950   |               | 12.730              |               | -    |               | 12.730                              | -                   | -             | -                              |
| Management Service   | es (\$ in M                  | illions)                          |                | FY 2    | 2015          | FY 2    | 016           | FY 2<br>Ba          | -             |      | 2017<br>CO    | FY 2017<br>Total                    | ]                   |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost    | Award<br>Date | Cost    | Award<br>Date | Cost                | Award<br>Date | Cost | Award<br>Date | Cost                                | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Management Support   | C/Various                    | Various : Various                 | -              | 6.170   |               | 8.682   |               | 9.116               |               | -    |               | 9.116                               | Continuing          | Continuing    | Continuin                      |
|  |                              | Subtotal                          | -              | 6.170   |               | 8.682   |               | 9.116               |               | -    |               | 9.116                               | -                   | -             | -                              |
|  |                              |                                   | Prior<br>Years | FY 2    | 2015          | FY 2    | 016           | FY 2<br>Ba          |               |      | 2017<br>CO    | FY 2017<br>Total                    | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
|  |                              | Project Cost Totals               | -              | 123.292 |               | 173.631 |               | 182.327             |               | -    |               | 182.327                             | -                   | -             | -                              |

Remarks

| xhibit R-4, RDT&E Schedule Profile: PB 2017 D                                    | )efe | nse | Adva | ance | d Re | esea | irch | Proj | ects                        | Age  | ency |   |   |    |      |   |   |    |      |   |     | Da  | te: F       | ebru | Jary | 201 | 6                |   |
|--|------|-----|------|------|------|------|------|------|-----------------------------|------|------|---|---|----|------|---|---|----|------|---|-----|-----|-------------|------|------|-----|------------------|---|
| ppropriation/Budget Activity<br>400 / 3  |      |     |      |      |      |      |      | PE ( | <b>Prog</b><br>0603<br>STEN | 286  |      |   |   |    |      |   |   |    | AIF  |   | ÌAL | DVA | ber/<br>ANC |      |      | OSF | ACE              |   |
|  |      | FY  | 201  | 5    |      | FY 2 | 2016 | 6    |                             | FY 2 | 2017 | , |   | FY | 2018 | 3 |   | FY | 2019 | ) |     | FY  | 202         | 0    |      | FY  | 202 <sup>,</sup> | 1 |
|  | 1    | 2   | 3    | 4    | 1    | 2    | 3    | 4    | 1                           | 2    | 3    | 4 | 1 | 2  | 3    | 4 | 1 | 2  | 3    | 4 | 1   | 2   | 2 3         | 4    | 1    | 2   | 3                | 4 |
| Tactically Exploited Reconnaissance Node (TERN)                                  |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Risk Reduction Testing   |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  | - |
| Large Scale On-Water Demo  |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| SideArm Full-Scale Test  |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Demonstrator System Critical Design Review                                       |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Collaborative Operations in Denied<br>Environment (CODE)                         |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| System Requirements Review   |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Release 1: Single Vehicle Autonomy & Virtual<br>Multi-Vehicle Demonstration      |      |     |      |      |      |      | l    |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  | - |
| Preliminary Design Review  |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Critical Design Review   |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Flight Readiness Review  |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Release 2: Collaborative Autonomy with Few<br>Vehicles                           |      |     |      |      |      |      |      |      |                             |      |      |   |   | -  |      |   | - | -  |      |   | -   |     |             |      |      |     |                  |   |
| Release 3: Advanced Supervisory Interface<br>and Additional Vehicles             |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| <i>Hypersonic Air-breathing Weapon Concept</i> (HAWC)                            |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  | - |
| System Requirements Review   |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Full-Scale Freejet Propulsion Fabrication  |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  | - |
| Preliminary Design Review  |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Begin design of the hypersonic air-breathing missile flight demonstration system |      |     |      |      |      |      |      | I    |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |
| Critical Design Review   |      |     |      |      |      |      |      |      |                             |      |      |   |   |    |      |   |   |    |      |   |     |     |             |      |      |     |                  |   |

| xhibit R-4, RDT&E Schedule Profile: PB 2017 [                         | Defe | nse | Adva | ance | d Re | sear  | ch Pr | oject | s Ag | ency |              |   |      |   |   |   |   |                        |    | Da  | ate | : Feb | orua | ary | 201 | 6   |   |   |
|---|------|-----|------|------|------|-------|-------|-------|------|------|--------------|---|------|---|---|---|---|------------------------|----|-----|-----|-------|------|-----|-----|-----|---|---|
| Appropriation/Budget Activity<br>400 / 3                              |      |     |      |      |      |       | PE    |       | 3286 |      | emen<br>ADVA |   |      |   |   |   |   | Proje<br>AIR-0<br>SYST | 1/ | ADV |     |       |      |     | OSP | ACE | Ē |   |
|   |      | _   | 2015 |      |      | FY 20 |       |       | _    | 2017 |              |   | FY 2 |   |   | F |   | 2019                   |    |     |     | 020   |      |     |     | 202 | _ | _ |
|   | 1    | 2   | 3    | 4    | 1    | 2     | 3 4   | 4 1   | 2    | 3    | 4            | 1 | 2    | 3 | 4 | 1 | 2 | 3 4                    | •  | 1   | 2   | 3     | 4    | 1   | 2   | 3   | 4 | _ |
| Hardware Qualification Testing  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     | _ | _ |
| Tactical Boost Glide  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     | _ | _ |
| Concept of Operations (ConOps)  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     | _ |   |
| System Requirements Review  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Preliminary Design Review   |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Begin Procurement of Hardware for Demo Vehicles                       |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Critical Design Review  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Advanced Aerospace System Concepts                                    |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Hypersonic Propulsion Integration and<br>Flowpath Assessments         |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Initiate Studies of Emerging Concepts                                 |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Trade Studies for Novel Technologies                                  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Sub-System Risk Reduction Testing                                     |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Sub-System Feasibility Experiments                                    |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Technology for Enriching and Augmenting<br>Manned - Unmanned Systems  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Refine Final Unmanned Vehicle Design And Concept                      |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Vertical Take-Off and Landing (VTOL)<br>Technology Demonstrator       |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Preliminary Design Review   |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   | _ |
| Source Selection for Detailed Design,<br>Fabrication, and Flight Test |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   |   |
| Final Design Review   |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   | 1 |
| Assemble Complete Aircraft  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     | _ | 1 |
| Distributed Fires (DFires)  |      |     |      |      |      |       |       |       |      |      |              |   |      |   |   |   |   |                        |    |     |     |       |      |     |     |     |   | 1 |

| Exhibit R-4, RDT&E Schedule Profile: PB 2017 [ | Defe | ense | e Adv | /anc | ed F | Rese | arch | Projec                         | ts A | Ager | су  |   |   |      |     |   |   |    |     |      |   | Dat | <b>e:</b> Fe | ebru | ary 2 | 2016 | 6    |   |
|--|------|------|-------|------|------|------|------|--------------------------------|------|------|-----|---|---|------|-----|---|---|----|-----|------|---|-----|--------------|------|-------|------|------|---|
| Appropriation/Budget Activity<br>0400 / 3      |      |      |       |      |      |      |      | <b>R-1 Pi</b><br>PE 06<br>SYST | 032  | 286E |     |   |   |      |     |   |   |    | All | R-01 |   | DVA | er/N<br>NCE  |      |       | DSPA | ACE  |   |
|  |      | F١   | 201   | 5    |      | FY   | 2016 | 5                              | F    | Y 20 | )17 |   |   | FY : | 201 | 8 |   | FY | 201 | 9    |   | FY  | 2020         | )    |       | FY 2 | 2021 |   |
|  | 1    | 2    | 2 3   | 4    | 1    | 2    | 3    | 4 <sup>•</sup>                 | 1    | 2    | 3 4 | 4 | 1 | 2    | 3   | 4 | 1 | 2  | 3   | 4    | 1 | 2   | 3            | 4    | 1     | 2    | 3    | 4 |
| Conduct Trade Space Analysis                   |      |      | ·     | ·    |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      | ,    |   |
| System Requirements Review                     |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Preliminary Design Review                      |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Advanced Full Range Engine (AFRE)              |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Propulsion Trade Study Down Select             |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Aerial Reconfigurable Embedded System (ARES)   |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Hardware-In-The-Loop Testing                   |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Flight Testing                                 |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Persistent Close Air Support (PCAS)            |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Live-Fire Demonstration                        |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| A-10 Test                                      |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| PCAS Ground Software Prototype For UAS         |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |
| Transition Technologies to USMC and SOCOM      |      |      |       |      |      |      |      |                                |      |      |     |   |   |      |     |   |   |    |     |      |   |     |              |      |       |      |      |   |

| ibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Research          |  |      | Date: Febru   | uary 2016 |
|---|--|------|---|-----------|
| 0/3 PE  | 1 Program Element (Number<br>5 0603286E / ADVANCED AER<br>/STEMS | ,    | Project (Number/Nam<br>AIR-01 / ADVANCED A<br>SYSTEMS | ,         |
| Schec   | ule Details  |      |   |           |
|   | Sta  | art  | En  | d         |
| Events by Sub Project   | Quarter  | Year | Quarter   | Year      |
| Tactically Exploited Reconnaissance Node (TERN)                               |  |      |   |           |
| Risk Reduction Testing  | 2  | 2015 | 2   | 2015      |
| Large Scale On-Water Demo   | 2  | 2015 | 2   | 2015      |
| SideArm Full-Scale Test   | 1  | 2016 | 1   | 2016      |
| Demonstrator System Critical Design Review                                    | 1  | 2017 | 1   | 2017      |
| Collaborative Operations in Denied Environment (CODE)                         |  |      |   |           |
| System Requirements Review  | 3  | 2015 | 3   | 2015      |
| Release 1: Single Vehicle Autonomy & Virtual Multi-Vehicle Demonstration      | 2  | 2016 | 2   | 2016      |
| Preliminary Design Review   | 2  | 2016 | 2   | 2016      |
| Critical Design Review  | 1  | 2017 | 1   | 2017      |
| Flight Readiness Review   | 2  | 2017 | 2   | 2017      |
| Release 2: Collaborative Autonomy with Few Vehicles                           | 2  | 2017 | 2   | 2017      |
| Release 3: Advanced Supervisory Interface and Additional Vehicles             | 4  | 2017 | 4   | 2017      |
| Hypersonic Air-breathing Weapon Concept (HAWC)                                |  |      |   |           |
| System Requirements Review  | 2  | 2015 | 2   | 2015      |
| Full-Scale Freejet Propulsion Fabrication                                     | 3  | 2015 | 3   | 2015      |
| Preliminary Design Review   | 1  | 2016 | 1   | 2016      |
| Begin design of the hypersonic air-breathing missile flight demonstration sys | item 3   | 2016 | 3   | 2016      |
| Critical Design Review  | 2  | 2017 | 2   | 2017      |
| Hardware Qualification Testing  | 4  | 2017 | 4   | 2017      |
| Tactical Boost Glide  |  |      |   |           |
| Concept of Operations (ConOps)  | 3  | 2015 | 3   | 2015      |

| ibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Rese<br>ropriation/Budget Activity<br>0 / 3 | R-1 Program El | <b>R-1 Program Element (Number/Name)</b><br>PE 0603286E / ADVANCED AEROSPACE<br>SYSTEMS |      |                                       | uary 2016<br>I <b>e)</b><br>AEROSPACE |
|---|----------------|---|------|---------------------------------------|---------------------------------------|
|   |                | Sta   | art  | Er                                    | nd                                    |
| Events by Sub Project   |                | Quarter   | Year | Quarter                               | Year                                  |
| System Requirements Review  |                | 3   | 2015 | 3                                     | 2015                                  |
| Preliminary Design Review   |                | 2   | 2016 | 2                                     | 2016                                  |
| Begin Procurement of Hardware for Demo Vehicles   |                | 3   | 2017 | 3                                     | 2017                                  |
| Critical Design Review  |                | 4   | 2017 | 4                                     | 2017                                  |
| Advanced Aerospace System Concepts  |                |   |      | · · · · ·                             |                                       |
| Hypersonic Propulsion Integration and Flowpath Assessments  |                | 2   | 2015 | 2                                     | 2015                                  |
| Initiate Studies of Emerging Concepts   |                | 2   | 2015 | 2                                     | 2015                                  |
| Trade Studies for Novel Technologies  |                | 2   | 2016 | 2                                     | 2016                                  |
| Sub-System Risk Reduction Testing   |                | 2   | 2017 | 2                                     | 2017                                  |
| Sub-System Feasibility Experiments  |                | 3   | 2017 | 3                                     | 2017                                  |
| Technology for Enriching and Augmenting Manned - Unmanned Sy  | /stems         |   |      | · · · · ·                             |                                       |
| Refine Final Unmanned Vehicle Design And Concept  |                | 4   | 2016 | 4                                     | 2016                                  |
| Vertical Take-Off and Landing (VTOL) Technology Demonstrator  |                |   |      |                                       |                                       |
| Preliminary Design Review   |                | 1   | 2016 | 1                                     | 2016                                  |
| Source Selection for Detailed Design, Fabrication, and Flight Test                                      |                | 1   | 2016 | 1                                     | 2016                                  |
| Final Design Review   |                | 2   | 2017 | 2                                     | 2017                                  |
| Assemble Complete Aircraft  |                | 3   | 2017 | 3                                     | 2017                                  |
| Distributed Fires (DFires)  |                |   |      | · · · · · · · · · · · · · · · · · · · |                                       |
| Conduct Trade Space Analysis  |                | 3   | 2016 | 2                                     | 2017                                  |
| System Requirements Review  |                | 3   | 2017 | 3                                     | 2017                                  |
| Preliminary Design Review   |                | 4   | 2017 | 4                                     | 2017                                  |
| Advanced Full Range Engine (AFRE)   |                |   |      | · · · · · · · · · · · · · · · · · · · |                                       |
| Propulsion Trade Study Down Select  |                | 3   | 2017 | 3                                     | 2017                                  |
| Aerial Reconfigurable Embedded System (ARES)  |                | L   |      | · · · · · · · · · · · · · · · · · · · |                                       |
| Hardware-In-The-Loop Testing  |                | 3   | 2015 | 3                                     | 2015                                  |

| hibit R-4A, RDT&E Schedule Details: PB 2017 Defense Adva | nced Research Projects Age | ency                              |      |                                       | Date: Febr | uary 2016        |
|--|----------------------------|-----------------------------------|------|---------------------------------------|------------|------------------|
| propriation/Budget Activity<br>00 / 3                    |                            | Element (Number<br>I ADVANCED AEI |      | Project (Nu<br>AIR-01 / AD<br>SYSTEMS | DVANCED    | ne)<br>AEROSPACE |
|  |                            | Sta                               | art  |                                       | E          | nd               |
| Events by Sub Project                                    |                            | Quarter                           | Year | Q                                     | uarter     | Year             |
| Flight Testing   |                            | 1                                 | 2016 |                                       | 1          | 2016             |
| Persistent Close Air Support (PCAS)                      |                            |                                   |      | 1                                     |            |                  |
| Live-Fire Demonstration                                  |                            | 1                                 | 2015 |                                       | 1          | 2015             |
| A-10 Test  |                            | 2                                 | 2015 |                                       | 2          | 2015             |
| PCAS Ground Software Prototype For UAS                   |                            | 4                                 | 2015 |                                       | 4          | 2015             |
| Transition Technologies to USMC and SOCOM                |                            | 4                                 | 2015 |                                       | 1          | 2016             |

| Exhibit R-2, RDT&E Budget Iten  | n Justificat   | tion: PB 20 <sup>-</sup> | 17 Defense  | Advanced        | Research P   | rojects Age      | псу     |         |         | Date: Febr | uary 2016           |               |
|---|----------------|--------------------------|-------------|-----------------|--|------------------|---------|---------|---------|------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400: Research, Development, Te<br>Advanced Technology Developme |                | ation, Defen             | se-Wide I B |                 | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E <i>I SPACE PROGRAMS AND TECHNOLOGY</i> |                  |         |         |         |            |                     |               |
| COST (\$ in Millions)   | Prior<br>Years | FY 2015                  | FY 2016     | FY 2017<br>Base | FY 2017<br>OCO   | FY 2017<br>Total | FY 2018 | FY 2019 | FY 2020 | FY 2021    | Cost To<br>Complete | Total<br>Cost |
| Total Program Element   | -              | 172.504                  | 126.692     | 175.240         | -  | 175.240          | 237.435 | 271.971 | 252.726 | 227.726    | -                   | -             |
| SPC-01: SPACE PROGRAMS<br>AND TECHNOLOGY  | -              | 172.504                  | 126.692     | 175.240         | -  | 175.240          | 237.435 | 271.971 | 252.726 | 227.726    | -                   | -             |

### A. Mission Description and Budget Item Justification

The Space Programs and Technology program element is budgeted in the Advanced Technology Development budget activity because it addresses high payoff opportunities to dramatically reduce costs associated with advanced space systems and provides revolutionary new system capabilities for satisfying current and projected military missions.

A space force structure that is robust against attack represents a stabilizing deterrent against adversary attacks on space assets. The keys to a secure space environment are situational awareness to detect and characterize potential threats, a proliferation of assets to provide robustness against attack, ready access to space, and a flexible infrastructure for maintaining the capabilities of on-orbit assets. Ready access to space requires the delivery of capabilities, replenishment of supplies into orbit, and rapid manufacturing of affordable space capabilities. Developing space access and spacecraft servicing technologies will lead to reduced ownership costs of space systems and new opportunities for introducing technologies for the exploitation of space.

Systems development is also required to increase the interactivity of space systems, space-derived information and services with terrestrial users. Studies under this project include technologies and systems that will enable satellites and microsatellites to operate more effectively by increasing maneuverability, survivability, and situational awareness; enabling concepts include novel power/propulsion/propellants, unique manufacturing or assembly processes; and precision control of multi-payload systems.

| B. Program Change Summary (\$ in Millions)            | <u>FY 2015</u> | <u>FY 2016</u> | FY 2017 Base | FY 2017 OCO | FY 2017 Total |
|---|----------------|----------------|--------------|-------------|---------------|
| Previous President's Budget                           | 179.883        | 126.692        | 130.091      | -           | 130.091       |
| Current President's Budget                            | 172.504        | 126.692        | 175.240      | -           | 175.240       |
| Total Adjustments                                     | -7.379         | 0.000          | 45.149       | -           | 45.149        |
| <ul> <li>Congressional General Reductions</li> </ul>  | 0.000          | 0.000          |              |             |               |
| <ul> <li>Congressional Directed Reductions</li> </ul> | 0.000          | 0.000          |              |             |               |
| <ul> <li>Congressional Rescissions</li> </ul>         | 0.000          | 0.000          |              |             |               |
| Congressional Adds                                    | 0.000          | 0.000          |              |             |               |
| <ul> <li>Congressional Directed Transfers</li> </ul>  | 0.000          | 0.000          |              |             |               |
| Reprogrammings  | -1.900         | 0.000          |              |             |               |
| SBIR/STTR Transfer                                    | -5.479         | 0.000          |              |             |               |
| <ul> <li>TotalOtherAdjustments</li> </ul>             | -              | -              | 45.149       | -           | 45.149        |
|   |                |                |              |             |               |
| L   |                |                |              |             |               |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance  | ed Research Projects Agency   |               | Date: February 2016 |         |  |
|--|---|---------------|---------------------|---------|--|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E / SPACE PROGRAMS AND TECHNO   | DLOGY         |                     |         |  |
| Change Summary ExplanationFY 2015: Decrease reflects reprogrammings and the SBIR/STTR tranFY 2016: N/AFY 2017: Increase reflects expanded requirements in the ExperimentRadar Net programs.  |   | ationary Sate | llites (RSGS)       | , and   |  |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015       | FY 2016             | FY 2017 |  |
| Title: Experimental Spaceplane One (XS-1)  |   | 25.000        | 30.000              | 50.500  |  |
| <b>Description:</b> The XS-1 program will mature the technologies and operations and global reach. Past efforts have identified and demonstrated critical enable structures, propellant tanks, thermal protection systems, rocket propulsion and technology gap is integration into a flight demonstration able to deliver aircraft technologies on the ground, and then fabricate an X-Plane to demonstrate: 1, 3) design capable of a 10X lower cost space access for cargos from 3,000-5, critical technologies for a wide range of next generation high speed aircraft er reconnaissance, global transport, small responsive space access aircraft and partners are the Air Force, Navy and commercial sector.   | ling technologies including composite or light weight<br>d advanced avionics/software. A critically important<br>t-like operability. The program will validate key<br>) 10 flights in 10 days, 2) up to Mach 10+ flight, and<br>000 lbs to low earth orbit. A key goal is validating the<br>nabling new military capabilities including worldwide |               |                     |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Conducted risk reduction studies for propulsion, thermal protection systems tanks and space based communications.</li> <li>Conducted a mid-phase Conceptual Design and Systems Requirements Reference component, wind tunnel, propulsion, cryogenic propellant tank, operations and subsystem testing and verification.</li> <li>Continued to develop detailed XS-1 designs including mass properties, comprotection data.</li> <li>Conducted a Preliminary Design Review and selected design for technolog</li> <li>FY 2016 Plans:</li> <li>Develop detailed finite element model structural and thermal analysis for the Perform aerodynamic Computational Fluid Dynamics analysis and initiate https://doi.org/10.1001/1</li></ul> | eview.<br>thermal protection, aero-elasticity testing, ground<br>figuration, aerodynamic, trajectory and thermal<br>y risk reduction.<br>e XS-1 design.   |               |                     |         |  |
| <ul> <li>testing for the XS-1 design to verify aerodynamic models.</li> <li>Conduct component demonstration and validation ground tests for cryogen elasticity, and additive manufacture of propulsion components and flight demonstration</li> </ul>  | ic propellant tanks, thermal protection, wing tip aero-   |               |                     |         |  |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | d Research Projects Agency  | Date: F | ebruary 2016 |         |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E / SPACE PROGRAMS AND TECHNO   | OLOGY   |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Validate recurring operational costs via discrete event simulations for groun integration costs.</li> <li>Complete the system and subsystem designs, mass properties and configu design.</li> <li>Finalize the concept of operation including the maintenance concept, perfor</li> <li>Develop initial plan to accomplish ground operations, facility modifications a</li> <li>Coordinate with the Federal Aviation Administration (FAA), DoD ranges and planning.</li> </ul>   | ration required to support the integrated vehicle<br>mance, trajectories and design reference missions.<br>and flight demonstration.  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete hypersonic wind tunnel and upper stage separation testing for the</li> <li>Complete structure, thermal protection, and cryogenic tank demonstration at flight vehicle design.</li> <li>Complete propulsion component demonstration and validation testing.</li> <li>Complete airframe/propulsion integration for incorporation in the XS-1 flight</li> <li>Mature the XS-1 concept through critical design review including complete of freedom trajectory calculations, mass properties and associated ground syster</li> <li>Conduct Critical Design Review to approve XS-1 vehicle design for comport integration.</li> <li>Complete design for all launch facilities/modifications and mature range plat submittal of range documentation supporting operational requirements.</li> <li>Coordinate with the FAA, DoD ranges and commercial spaceports.</li> <li>Begin fabrication of flight and ground system hardware.</li> </ul> | vehicle design.<br>configuration, aero-thermodynamics, six degree of<br>ems.<br>hent acquisition, fabrication, assembly, and  |         |              |         |
| Title: Phoenix   |   | 55.000  | 19.000       | 8.740   |
| <b>Description:</b> To date, servicing operations have never been conducted on sp<br>number of national security and commercial space systems operate at geosyn<br>many end-of-life or failed spacecraft drift without control through portions of the<br>spacecraft. Technologies for servicing of spacecraft with the expectation that<br>autonomous and remotely (i.e., ground-based) tele-operated robotic systems<br>program will build upon these legacy technologies, tackling the more complex<br>traditional servicing functions. The program will examine utilization of a new of<br>Orbital Delivery (POD) system, supporting hardware delivery for upgrading, re<br>In addition, the program will include a LEO flight experiment focused on satisf  | nchronous earth orbit (GEO) altitudes; furthermore,<br>he GEO belt, creating a growing hazard to operational<br>such servicing would involve a mix of highly<br>have been previously pursued. The Phoenix<br>a GEO environment and expanding beyond pure<br>commercial ride-along system to GEO called Payload<br>epairing, assembling, and reconfiguring satellites. |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency  | Date: Fe | ebruary 2016 | ;       |
|--|---|----------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E / SPACE PROGRAMS AND TECHN  | OLOGY    |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015  | FY 2016      | FY 2017 |
| a path of risk reduction for modular assembly on orbit. The anticipated transition spacecraft servicing providers.   | on partners are the Air Force and the commercial  |          |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed delta critical design of satlets and of communications system for</li> <li>Completed delta critical design of POD for first GEO flight.</li> <li>Validated specific servicing mission types that maximize value for commercial</li> <li>Began fabrication of robotic hardware and software.</li> </ul>  |   |          |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Deliver early LEO satlet experiment equipment to launch integrator.</li> <li>Launch early LEO satlet experiment and conduct experiment operations.</li> <li>Complete delta critical design of satlets per lessons learned from LEO exper</li> <li>Develop PODs payload hardware for launch.</li> </ul>  | iment.  |          |              |         |
| <i>FY 2017 Plans:</i><br>- Launch POD and conduct on-orbit testing.  |   |          |              |         |
| Title: Robotic Servicing of Geostationary Satellites (RSGS)  |   | 4.000    | 12.000       | 33.000  |
| <b>Description:</b> A large number of national security and commercial space system providing persistence and enabling ground station antennas to point in a fixed spacecraft would involve a mix of highly automated and remotely operated (fro of Geostationary Satellites (RSGS) program, an outgrowth of the Phoenix progrestablish the capability to acquire robotic services in GEO suitable for a variety and cooperation with existing satellite owners, and with sufficient propellant for challenges include robotic tool/end effector requirements, efficient orbital mane automation of certain spacecraft operations, and development of the infrastruc and client spacecraft operations teams. The anticipated transition is to a commercial space of the robotic payload and who will operate the robotic servicer. | direction. Technologies for servicing of GEO<br>om Earth) robotic systems. The Robotic Servicing<br>gram budgeted within this Project, seeks to<br>v of potential servicing tasks, in full collaboration<br>r several years of follow-on capability. Key RSGS<br>euvering of a servicing vehicle, robotic arm systems,<br>ture for coordinated control between the servicer |          |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed detailed requirements developed from mission description and co</li> <li>Completed system requirements review of robotic servicing system including</li> </ul>  |   |          |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Continue development of servicer robotic payload initiated under the Phoeniz</li> </ul>   | x program.  |          |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | ed Research Projects Agency  | Date: F | ebruary 2016 | j       |
|---|--|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E / SPACE PROGRAMS AND TECHN   | OLOGY   |              |         |
| <ul> <li>C. Accomplishments/Planned Programs (\$ in Millions)</li> <li>Conduct studies of suitable satellites to carry the robotic payload.</li> <li>Establish system requirements for the robotic payload in accordance with payl</li></ul> | primary missions.  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>FY 2017 Plans:</li> <li>Select provider for satellite to carry robotic payload.</li> <li>Develop interface definition between robotic payload and satellite.</li> <li>Begin flight software coding.</li> <li>Begin development of operator workstations.</li> <li>Begin procurement of long-life space hardware for robotic payload and inst</li> </ul>  | trumentation.  |         |              |         |
| <i>Title:</i> Space Surveillance Telescope (SST)<br><i>Description:</i> The Space Surveillance Telescope (SST) program has develop optical system to enable detection and tracking of faint objects in space, whil major goal of the SST program, to develop the technology for large curved for telescope design combining high detection sensitivity, short focal length, wide orders of magnitude improvements in space surveillance has been achieved of un-cued objects in deep space for purposes such as asteroid detection ant transitioning to Air Force Space Command.  | e providing rapid, wide-area search capability. A<br>ocal surface array sensors to enable an innovative<br>e field of view, and rapid step-and-settle to provide<br>. This capability enables ground-based detection   | 9.000   | 9.000        | 10.000  |
| The SST Australia effort will provide a further operational demonstration of th<br>E. Holt near Exmouth, Western Australia. Such a location presents a more of<br>and more interesting population of SSA targets in geosynchronous orbit. A construction performance comparable to the requirement in Australia. In addition, the der<br>efforts, which will be used to further refine and evaluate data processing tech<br>fusion effort. This program will address technical challenges which may arise<br>different telescope environment, and the logistical and communications chall<br>than the current SST location.   | operationally relevant demonstration, with a richer<br>lemonstration in New Mexico will validate telescope<br>monstration will generate data for analysis and fusion<br>iniques, such as those developed under the data<br>e from an Australian site, including adaptations to a |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Continued to refine SST relocation plan jointly with Air Force Space Comm<br/>Defense partners.</li> <li>Conducted SST sustainment studies.</li> </ul>   | and (AFSPC) and the Australian Department of   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | Research Projects Agency   | Date: F | ebruary 2016 |         |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E / SPACE PROGRAMS AND TECHNO  | OLOGY   |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016      | FY 2017 |
| - Developed capability to deliver SST data to Joint Space Operations Center (<br>Processor (NDPP).  | JSpOC) through Non-Traditional Data Pre-   |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Make improvements to Wide Field Camera (WFC) #2 for improved SST capa</li> <li>Install and characterize WFC #2 at White Sands Missile Range (WSMR) site</li> <li>Support Joint Space Operations Center (JsPOC) data delivery.</li> <li>Develop plan to transition SST to AFSPC.</li> </ul>   |  |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete required documentation for Australian facility.</li> <li>Support transition to the Air Force.</li> </ul>  |  |         |              |         |
| Title: Radar Net  |  | -       | 15.000       | 45.000  |
| <b>Description:</b> The Radar Net program will develop lightweight, low power, wide communications and remote sensing for a space based platform. The enabling and space capable deployable antenna structures. Current deployable antenna be dependable on small payload launches, leaving current capabilities trending launch systems are expected to have long operational lifetimes, which can leaving developments. The technologies developed under Radar Net will enable small rapid technology refresh capabilities. | g technologies of interest are extremely lightweight<br>a options have not been sufficiently developed to<br>g to large and more costly launch systems. These<br>we them behind the pace of state of the art technical |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Develop a detailed system architecture assessment.</li> <li>Begin cubesat deployable antenna risk reduction.</li> <li>Commence thermal cycling, power availability, and electrical system analysis</li> <li>Conduct pathfinder spacecraft Critical Design Review (CDR).</li> <li>Conduct prototype Preliminary Design Review (PDR).</li> </ul>   | 5.   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Conduct prototype CDR.</li> <li>Conduct pathfinder laboratory and ground tests.</li> <li>Conduct pathfinder flight qualification.</li> <li>Launch and conduct pathfinder on-orbit demonstration of multiple deployable</li> <li>Demonstrate software defined radio RF capability on appropriate platform.</li> <li>Perform risk reduction signal processing demonstration.</li> </ul>  | e antenna technologies.  |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | d Research Projects Agency  | Date: F | ebruary 2016 |         |
|---|---|---------|--------------|---------|
| Appropriation/Budget Activity<br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E / SPACE PROGRAMS AND TECHNO   | OLOGY   |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Integrate results from applications study and pathfinder/risk reduction into</li> <li>Perform early system design reviews.</li> </ul>  | prototype design.   |         |              |         |
| Title: Hallmark   |   | -       | 10.000       | 28.000  |
| <b>Description:</b> The Hallmark program seeks to demonstrate a space Battle Matto provide U.S. senior leadership the tools needed to effectively manage space command and control decision tools for full-spectrum space operations, man Hallmark will demonstrate the ability to increase space threat awareness via tasking. The program will also improve the ability to protect against threats the natural and adversary intent determination and course of action developmen visualization techniques to increase commander and operator awareness to communicate and facilitate time-critical decision making. The anticipated tra  | ce assets in real time. The program will develop<br>agement, and control from peace to potential conflict.<br>use of multi-data fusion and time-relevant sensor<br>by use of modeling and simulation tools for both<br>t. The program will employ comprehension and<br>transform information to knowledge and effectively |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Initiate space BMC2 interactive simulation environment development.</li> <li>Conduct demonstration of integrated Government Furnished Equipment (G.</li> <li>Perform demonstration of space BMC2 interactive simulation environment.</li> <li>Develop a research and development test bed to facilitate the rapid injection</li> <li>Operations Center (JSpOC) and Joint Interagency Coalition Space Operation</li> <li>Initiate the cognitive evaluation of operators and decision makers in a dem</li> <li>Complete preliminary system design.</li> <li>Initiate real-time decision tools design development.</li> <li>Develop sensor data fusion algorithms.</li> <li>Define course of action data scheme.</li> <li>Develop intuitive applications and adaptive understanding capabilities for the Define integration of space BMC2 interactive simulation environment with the EX 2017 Plane.</li> </ul> | on of new technologies into the Joint Space<br>ns Center (JICSpOC).<br>onstration environment to maximize comprehension.<br>ne next-generation space information fusion center.   |         |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Perform existing tool integration.</li> <li>Develop modeling and simulation infrastructure.</li> <li>Complete algorithm prototypes.</li> <li>Complete study of extensible framework.</li> <li>Commence integration of existing space situational awareness, indications tools.</li> </ul>  | and warning, course of action, and decision support   |         |              |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency  | Date: February 2016 |         |         |
|--|---------------------|---------|---------|
| Appropriation/Budget Activity         R-1 Program Element (Number/Name)           0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:         PE 0603287E I SPACE PROGRAMS AND TECHN           Advanced Technology Development (ATD)         PE 0603287E I SPACE PROGRAMS AND TECHN   | OLOGY               |         |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015             | FY 2016 | FY 2017 |
| <ul> <li>Demonstrate and document integrated tools, algorithms and data schemes.</li> </ul>  |                     |         |         |
| Title: Airborne Launch Assist Space Access (ALASA)   | 60.000              | 20.000  | -       |
| <ul> <li>Description: The ALASA program seeks to make access to space more affordable by reducing the cost per launch to under one million dollars per flight for 100 lb payloads to low earth orbit. In addition, the program seeks to improve the responsiveness of space access by reducing the interval from call-up to launch to a single day. This enables rapid delivery of spacecraft in response to evolving situations, such as a humanitarian crisis or unexpected conflict, and is accomplished by developing rapid mission planning tools which streamline existing range processes, and automated flight safety systems which reduce reliance on expensive and fragile range infrastructure. These tools enable the program's third goal: to escape the limitations of fixed launch sites by achieving a greater flexibility in the direction and location of launch. Challenges include, but are not limited to: development of a high-energy, low cost monopropellant, development of alternatives to current range processes, and achieving a cost per flight of one million dollars, including range support costs, to deploy satellites on the order of one hundred pounds. The anticipated transition partners are the Air Force and the emerging commercial space launch industry.</li> <li>FY 2015 Accomplishments:</li> <li>Conducted propellant production, handling activities, and propellant ignition testing.</li> </ul> |                     |         |         |
| <ul> <li>Conducted analysis of launch performance metrics and identified opportunities for system design and integration optimization.</li> <li>Investigated and developed alternative propulsion approach.</li> <li>Performed system redesign to simplify interfaces and improve payload capacity.</li> </ul>   |                     |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete propellant characterization to determine operating envelope.</li> <li>Conduct engine testing to determine constraints and obtain thermal management and performance measurements.</li> <li>Develop risk assessment and perform modeling and testing of spaced based telemetry, planning tools, and flight termination technology which could decrease impact of launch on commercial air traffic.</li> <li>Assess alternative propellants and launch systems.</li> </ul>   |                     |         |         |
| Title: Optical Aperture Self-Assembly in Space (OASIS)   | 2.000               | 6.000   | -       |
| <b>Description:</b> The Optical Apertures Self-assembling in Space program seeks to demonstrate the feasibility of constructing large optical apertures in orbit from a number of smaller modular components that self-organize in space. The program will demonstrate the technologies needed to assemble a large (>5m) and near-diffraction limited optical aperture from modular components that are launched as separate payloads. The program will include a scalable zero-g demonstration of a functional optical system that maintains the precision and large-scale physical stability required, and utilizes at least one segmented optical surface. This program will address technical challenges of precision mechanical assembly from modular components, multiple object rendezvous and coupling in space, and active surface measurement, compensation and control. Modular construction  |                     |         |         |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | Research Projects Agency  | Date: F | ebruary 2016 | j       |
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| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 3:<br>Advanced Technology Development (ATD)   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E / SPACE PROGRAMS AND TECHNO   | DLOGY   |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016      | FY 2017 |
| in space is intrinsically more challenging than ground-based assembly in that t<br>support infrastructure and equipment available, such as interferometer test tow<br>design must include self-contained measurement and alignment capabilities to<br>OASIS program will demonstrate the feasibility of assembling complex and hig<br>form, are larger than the capacity of any existing or planned space launch veh<br>surveillance and communications instruments in orbit that are not possible tod.<br>The anticipated transition partners are the Air Force, Navy and commercial sec | vers. Therefore, the modular pieces and system<br>b be employed after or during assembly. The<br>ghly precise structures in space which, in assembled<br>icle. This capability could enable a number of<br>ay or in the near future under the current paradigm. |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Investigated essential technologies to facilitate self-organizing robotic constr</li> <li>Developed improved piezopolymer controlled deformable mirrors which can aperture.</li> <li>Developed a Photonic Integrated Circuit (PIC) for a proof of concept interfere angle and zoom capabilities from a single device with no moving parts.</li> <li>Performed risk reduction activities on strain-deployed, piezo-aligned, lightwe orbital Intelligence, Surveillance, and Reconnaissance (ISR).</li> </ul>                                    | be deployed in a self-assembling orbital optical ometry demonstration, to enable simultaneous wide  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Continue risk reduction activities on strain-deployed, piezo-aligned, lightweig<br/>Intelligence, Surveillance, and Reconnaissance (ISR).</li> <li>Conduct laboratory demonstration of high resolution capability with light weig<br/>approach combined with novel image reconstruction algorithm and PIC, which<br/>zoom capabilities on the same device with no moving parts.</li> <li>Construct improved piezopolymer controlled deformable mirrors.</li> </ul>   | ght optics by leveraging a precision interferometric  |         |              |         |
| <i>Title:</i> Space Domain Awareness (SDA)  |   | 17.504  | 5.692        | -       |
| <b>Description:</b> The goal of the Space Domain Awareness (SDA) program is to of<br>and responsive defense application to enhance the availability of vulnerable sp<br>sensors cannot detect, track, or determine the future location and threat poten<br>deep space orbits, where a majority of DoD spacecraft are located. Additional<br>(GEO) orbits will require exquisite situational awareness, from ultra-high-accur<br>orbits to high resolution imaging of GEO spacecraft for service mission plannin   | pace-based resources. Current space surveillance<br>tial of small advanced technology spacecraft in<br>lly, servicing missions to geosynchronous earth<br>racy debris tracking for mission assurance at GEO   |         |              |         |
| SDA will investigate revolutionary technologies in two areas: 1) advanced space characterize space objects, with an emphasis on deep space objects, and 2) s  |   |         |              |         |

| HNOLOGY<br>FY 2015 | FY 2016      | FY 2017               |
|--------------------|--------------|-----------------------|
|                    | FY 2016      | FY 2017               |
|                    |              |                       |
| 1                  |              |                       |
|                    | 400.000      | 475.04                |
| 172.504            | 4 126.692    | 175.240               |
| ota                | otals 172.50 | otals 172.504 126.692 |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | I Research Projects Agency  | Date: February 2016 |
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| <b>Appropriation/Budget Activity</b><br>0400: <i>Research, Development, Test &amp; Evaluation, Defense-Wide I</i> BA 3:<br><i>Advanced Technology Development (ATD)</i> | <b>R-1 Program Element (Number/Name)</b><br>PE 0603287E / SPACE PROGRAMS AND TECHNOLO | GY                  |
| D. Other Program Funding Summary (\$ in Millions)   |   |                     |
| <u>Remarks</u>  |   |                     |
| <u>E. Acquisition Strategy</u><br>N/A   |   |                     |
| F. Performance Metrics  |   |                     |
| Specific programmatic performance metrics are listed above in the program a   | ccomplishments and plans section.   |                     |
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| Exhibit R-3, RDT&E I                                       | Project C                    | ost Analysis: PB 2                               | 2017 Defe      | ense Adva | anced Re      | search Pr | ojects Ag     | gency  |                    |      |               | Date:                       | February            | / 2016        |                                |
|--|------------------------------|--|----------------|-----------|---------------|-----------|---------------|--------|--------------------|------|---------------|-----------------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budge<br>0400 / 3                            | et Activity                  | /  |                |           |               | PE 0603   |               |        | lumber/N<br>ROGRAN |      | SPC-01        | (Number<br>I SPACE<br>OLOGY |                     | AMS ANI       | D                              |
| Product Developme  | nt (\$ in Mi                 | illions)   |                | FY        | 2015          | FY 2      | 016           |        | 2017<br>Ise        |      | 2017<br>CO    | FY 2017<br>Total            |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location                | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost   | Award<br>Date      | Cost | Award<br>Date | Cost                        | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Airborne Launch Assist<br>Space Access (ALASA)             | C/CPFF                       | The Boeing<br>Company : CA                       | -              | 53.964    | Oct 2014      | 0.000     |               | 0.000  |                    | -    |               | 0.000                       | 0                   | 53.964        | 0                              |
| Airborne Launch Assist<br>Space Access (ALASA)             | C/Various                    | Various : Various                                | -              | 0.000     |               | 14.750    |               | 0.000  |                    | -    |               | 0.000                       | 0                   | 14.750        | 0                              |
| Experimental Spaceplane<br>One (XS-1)                      | C/Various                    | The Boeing<br>Company : CA                       | -              | 5.857     | Oct 2014      | 2.504     |               | 0.000  |                    | -    |               | 0.000                       | Continuing          | Continuing    | Continuing                     |
| Experimental Spaceplane<br>One (XS-1)                      | C/CPFF                       | Northrop Grumman :<br>CA                         | -              | 5.427     | Dec 2014      | 2.120     |               | 0.000  |                    | -    |               | 0.000                       | Continuing          | Continuing    | Continuing                     |
| Experimental Spaceplane<br>One (XS-1)                      | C/Various                    | Various : Various                                | -              | 11.466    |               | 5.376     |               | 0.000  |                    | -    |               | 0.000                       | Continuing          | Continuing    | ) Continuing                   |
| Experimental Spaceplane<br>One (XS-1)                      | C/TBD                        | TBD : TBD  | -              | 0.000     |               | 17.163    |               | 44.455 |                    | -    |               | 44.455                      | Continuing          | Continuing    | continuing                     |
| Phoenix  | MIPR                         | Naval Research<br>Laboratory : Various           | -              | 15.766    | Nov 2014      | 15.375    |               | 5.900  |                    | -    |               | 5.900                       | Continuing          | Continuing    | Continuing                     |
| Phoenix  | C/Various                    | Various : Various                                | -              | 34.284    |               | 1.915     |               | 2.053  |                    | -    |               | 2.053                       | Continuing          | Continuing    | Continuing                     |
| Robotic Servicing of<br>Geostationary Satellites<br>(RSGS) | MIPR                         | Naval Research<br>Laboratory : Various           | -              | 2.000     | Nov 2014      | 4.000     |               | 15.000 |                    | -    |               | 15.000                      | Continuing          | Continuing    | ) Continuing                   |
| Robotic Servicing of<br>Geostationary Satellites<br>(RSGS) | C/Various                    | Various : Various                                | -              | 1.640     |               | 1.500     |               | 5.350  |                    | -    |               | 5.350                       | Continuing          | Continuing    | ) Continuing                   |
| Robotic Servicing of<br>Geostationary Satellites<br>(RSGS) | C/TBD                        | TBD : TBD  | -              | 0.000     |               | 5.420     |               | 10.180 |                    | -    |               | 10.180                      | Continuing          | Continuing    | ) Continuing                   |
| Space Surveillance<br>Telescope (SST)                      | SS/CPFF                      | Massachusetts<br>Institute of<br>Technology : MA | -              | 8.190     | Nov 2014      | 8.190     |               | 9.100  |                    | -    |               | 9.100                       | Continuing          | Continuing    | ) Continuinç                   |
| Radar Net  | C/TBD                        | Various : Various                                | -              | 0.000     |               | 14.100    |               | 36.950 |                    | -    |               | 36.950                      | Continuing          | Continuing    | Continuing                     |
| Hallmark   | C/TBD                        | Various : Various                                | -              | 0.000     |               | 9.100     |               | 20.480 |                    | -    |               | 20.480                      | Continuing          | Continuing    | Continuing                     |
| Optical Aperture Self-<br>Assembly in Space<br>(OASIS)     | C/Various                    | Various : Various                                | -              | 1.820     |               | 5.460     |               | 0.000  |                    | -    |               | 0.000                       | 0                   | 7.280         | 0                              |

| Appropriation/Budge<br>0400 / 3   | et Activity                  |  |                |         |               | PE 060  |               | ement (N<br>SPACE PF |               |      | SPC-01        | (Number<br>/ SPACE<br>OLOGY |                          | AMS ANL       | 0                              |
|---|------------------------------|--|----------------|---------|---------------|---------|---------------|----------------------|---------------|------|---------------|-----------------------------|--------------------------|---------------|--------------------------------|
| Product Developme   | nt (\$ in Mi                 | llions)                                |                | FY 2    | 015           | FY 2    | 016           | FY 2<br>Bas          |               |      | 2017<br>CO    | FY 2017<br>Total            |                          |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location      | Prior<br>Years | Cost    | Award<br>Date | Cost    | Award<br>Date | Cost                 | Award<br>Date | Cost | Award<br>Date | Cost                        | Cost To<br>Complete      | Total<br>Cost | Target<br>Value of<br>Contract |
| Space Domain Awareness<br>(SDA)   | C/Various                    | Various : Various                      | -              | 15.929  |               | 5.180   |               | 0.000                |               | -    |               | 0.000                       | 0                        | 21.109        | C                              |
|   |                              | Subtotal                               | -              | 156.343 |               | 112.153 |               | 149.468              |               | -    |               | 149.468                     | -                        | -             | -                              |
| Support (\$ in Million  | s)                           |  |                | FY 2    | 015           | FY 2    | 016           | FY 2<br>Bas          |               |      | 2017<br>CO    | FY 2017<br>Total            |                          |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location      | Prior<br>Years | Cost    | Award<br>Date | Cost    | Award<br>Date | Cost                 | Award<br>Date | Cost | Award<br>Date | Cost                        | Cost To<br>Complete      | Total<br>Cost | Target<br>Value of<br>Contract |
| Government Support  | MIPR                         | Various : Various                      | -              | 6.900   |               | 5.068   |               | 7.010                |               | -    |               | 7.010                       | Continuing               | Continuing    | Continuing                     |
|   |                              | Subtotal                               | -              | 6.900   |               | 5.068   |               | 7.010                |               | -    |               | 7.010                       | -                        | -             | -                              |
| Test and Evaluation   | (\$ in Milli                 | ons)                                   |                | FY 2    | 015           | FY 2    | 016           | FY 2<br>Bas          |               |      | 2017<br>CO    | FY 2017<br>Total            |                          |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location      | Prior<br>Years | Cost    | Award<br>Date | Cost    | Award<br>Date | Cost                 | Award<br>Date | Cost | Award<br>Date | Cost                        | Cost To<br>Complete      | Total<br>Cost | Target<br>Value of<br>Contract |
| Airborne Launch Assist<br>Space Access (ALASA)  | C/Various                    | Various : Various                      | -              | 0.636   |               | 3.000   |               | 0.000                |               | -    |               | 0.000                       | 0                        | 3.636         | C                              |
| Space Access (ALASA)  |                              |  |                |         |               |         |               |                      |               |      |               |                             |                          |               |                                |
| Experimental Spaceplane   | C/Various                    | Various : Various                      | -              | 0.000   |               | 0.136   |               | 1.500                |               | -    |               | 1.500                       | Continuing               | Continuing    | Continuin                      |
|   | C/Various<br>C/TBD           | Various : Various<br>Various : Various | -              | 0.000   |               | 0.136   |               | 1.500<br>0.500       |               | -    |               |                             | Continuing<br>Continuing |               |                                |
| Experimental Spaceplane<br>One (XS-1)<br>Robotic Servicing of<br>Geostationary Satellites           |                              |  | -              |         |               |         |               |                      |               |      |               | 0.500                       | -                        | Continuing    | Continuing                     |
| Experimental Spaceplane<br>One (XS-1)<br>Robotic Servicing of<br>Geostationary Satellites<br>(RSGS) | C/TBD                        | Various : Various                      | -              | 0.000   |               | 0.000   |               | 0.500                |               | -    |               | 0.500                       | Continuing               | Continuing    | Continuing                     |

| Exhibit R-3, RDT&E             | Project Co                   | ost Analysis: PB 2                | 017 Defe       | ense Adva | anced Re      | search Pr | ojects Ag     | gency                |               |      |               | Date:   | February            | 2016          |                                |
|--------------------------------|------------------------------|-----------------------------------|----------------|-----------|---------------|-----------|---------------|----------------------|---------------|------|---------------|---|---------------------|---------------|--------------------------------|
| Appropriation/Budg<br>0400 / 3 | et Activity                  | ,                                 |                |           |               | PE 060    | -             | ement (N<br>SPACE Pf |               |      | SPC-01        | t <b>(Numbe</b><br>I <i>I SPACE</i><br>IOLOGY |                     | AMS ANI       | ס                              |
| Management Servic              | es (\$ in M                  | illions)                          |                | FY        | 2015          | FY 2      | 2016          | FY 2<br>Ba           |               |      | 2017<br>CO    | FY 2017<br>Total                              | ]                   |               |                                |
| Cost Category Item             | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost                 | Award<br>Date | Cost | Award<br>Date | Cost  | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Management Support             | C/Various                    | Various : Various                 | -              | 8.625     |               | 6.335     |               | 8.762                |               | -    |               | 8.762   | Continuing          | Continuing    | Continuin                      |
|                                |                              | Subtotal                          | -              | 8.625     |               | 6.335     |               | 8.762                |               | -    |               | 8.762   | -                   | -             | -                              |
|                                |                              |                                   | Prior<br>Years | FY        | 2015          | FY 2      | 2016          | FY 2<br>Ba           |               |      | 2017<br>CO    | FY 2017<br>Total                              | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
|                                |                              | Project Cost Totals               | -              | 172.504   |               | 126.692   |               | 175.240              |               | -    |               | 175.240                                       | -                   | -             | -                              |

**Remarks** 

| xhibit R-4, RDT&E Schedule Profile: PB 2017 D  | )efe | nse | Adv | anc | ed F | Rese | earc  | h Pro | jects | s Ag | ency                  |   |   |      |      |   |   |    |      |      |              | Dat                 | <b>e:</b> Fe | ebru | ary | 2016 | 6    |   |
|--|------|-----|-----|-----|------|------|-------|-------|-------|------|-----------------------|---|---|------|------|---|---|----|------|------|--------------|---------------------|--------------|------|-----|------|------|---|
| ppropriation/Budget Activity<br>400 / 3  |      |     |     |     |      |      |       | PE    |       | 3287 | m El<br>7E / S<br>DGY |   |   |      |      |   |   |    | SP   | Č-01 | 1 <i>Ì</i> S | umb<br>SPAC<br>OG \ | CE P         |      |     | MS   | ANI  | כ |
|  |      | FY  | 201 | 5   |      | FY   | ′ 20′ | 16    |       | FY   | 2017                  | , |   | FY 2 | 2018 | 6 |   | FY | 2019 | )    |              | FY                  | 2020         | )    |     | FY 2 | 2021 | 1 |
|  | 1    | 2   | 3   | 4   | 1    | 2    | 2 3   | 3 4   | 1     | 2    | 3                     | 4 | 1 | 2    | 3    | 4 | 1 | 2  | 3    | 4    | 1            | 2                   | 3            | 4    | 1   | 2    | 3    | 4 |
| Airborne Launch Assist Space Access<br>(ALASA)   |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Propellant Ignition and Interim Hazard<br>Classification Testing                                       |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Engine Testing   |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Experimental Spaceplane One (XS-1)   |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Design & Risk Reduction  |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Preliminary Design Review  |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Wind Tunnel Testing  |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Fabrication and Flight Test  |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Complete integrated vehicle design   |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Propulsion Demonstration, Validation, and Design Integration   |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Phoenix  |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Fabrication of Robotic Hardware and Software   |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Completed Delta Critical Design of POD for<br>First GEO Flight   |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Completed Delta Critical Design of Satlets<br>and of Communications System for Early<br>LEO Experiment |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Launch Early LEO Satlet Experiment and<br>Conduct Experiment Operations                                |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Launch POD and Conduct On-Orbit Testing  |      |     |     |     |      |      |       |       |       |      |                       | l |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      |   |
| Robotic Servicing of Geostationary<br>Satellites (RSGS)  |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      | - |
| Develop Detailed Program Requirements  |      |     |     |     |      |      |       |       |       |      |                       |   |   |      |      |   |   |    |      |      |              |                     |              |      |     |      |      | _ |

| Exhibit R-4, RDT&E Schedule Profile: PB 2017 D  | efense Advanced Research Projects Agency  | Date: February 2016                                      |
|---|---|--|
| Appropriation/Budget Activity<br>0400 / 3   | PE 0603287E / SPACE PROGRAMS AND SPC-   | ect (Number/Name)<br>-01 / SPACE PROGRAMS AND<br>HNOLOGY |
|   | FY 2015         FY 2016         FY 2017         FY 2018         FY 2019                     | FY 2020 FY 2021  |
|   | 1     2     3     4     1     2     3     4     1     2     3     4     1     2     3     4 | 4 1 2 3 4 1 2 3 4  |
| Continue Development of Servicer Robotic<br>Payload   |   |  |
| Conduct Studies of Suitable Satellites to<br>Carry the Robotic Payload                              |   |  |
| Begin Development of Operator Workstations  |   |  |
| Develop Interface Definition Between Robotic<br>Payload and Satellite                               |   |  |
| Space Surveillance Telescope (SST)  |   |  |
| Refine SST relocation plan with Air Force<br>Space Command (AFSPC) and the Australian<br>Department |   |  |
| Wide Field Camera #2 Demonstration  |   |  |
| Develop Plan to Transition SST to AFSPC   |   |  |
| Finalize Plans to Remove and Recoat Mirrors at Kitt Peak Arizona                                    |   |  |
| Radar Net   |   |  |
| Risk Reduction  |   |  |
| System Design   |   |  |
| On-Orbit Risk Reduction Demonstration   |   |  |
| Signal Processing Risk Reduction<br>Demonstration   |   |  |
| System Conceptual Design Review   |   |  |
| Hallmark  |   |  |
| Initiate space BMC2 interactive simulation<br>environment development                               |   |  |
| Complete Architecture Definition  |   |  |

| Exhibit R-4, RDT&E Schedule Profile: PB 2017 D                         | )efen | se Ao | dvai | nceo | d Re | esea | rch F | Proje | ects | Age  | ncy                         |   |     |       |     |   |    |      |      |   | Date | : Fe | brua        | ry 2 | 2016 |     |   |
|--|-------|-------|------|------|------|------|-------|-------|------|------|-----------------------------|---|-----|-------|-----|---|----|------|------|---|------|------|-------------|------|------|-----|---|
| Appropriation/Budget Activity<br>0400 / 3                              |       |       |      |      |      |      | F     | PE 0  | 603  |      | <b>Eler</b><br>E / SF<br>GY |   |     |       |     |   |    | SP   | Č-01 | • | PAC  | E PF | ame)<br>ROG |      | MS A | AND |   |
|  |       | FY 20 | )15  |      |      | FY 2 | 2016  |       |      | FY 2 | 017                         |   | F١  | Y 20' | 18  |   | FY | 2019 | )    |   | FY 2 | 2020 |             |      | FY 2 | 021 |   |
|  | 1     | 2     | 3    | 4    | 1    | 2    | 3     | 4     | 1    | 2    | 3 4                         | 4 | 1 2 | 2 3   | 6 4 | 1 | 2  | 3    | 4    | 1 | 2    | 3    | 4           | 1    | 2    | 3   | 4 |
| Demonstrate and document integrated tools, algorithms and data schemes |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| Develop modeling and simulation infrastructure                         |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| <i>Optical Aperture Self-Assembly in Space (OASIS)</i>                 |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| Developed Improved Piezopolymer<br>Controlled Deformable Mirrors       |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| Conduct final demonstration of Image Quality Refinement                |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| Space Domain Awareness (SDA)   |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| Identify Advanced Collection Technique Need                            |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| Second Data Buy Option   |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| Advanced Collection Technique First Collect                            |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |
| Complete initial capability demonstration                              |       |       |      |      |      |      |       |       |      |      |                             |   |     |       |     |   |    |      |      |   |      |      |             |      |      |     |   |

| 0/3 PE   | Program Element (Number<br>0603287E / SPACE PROGR/<br>CHNOLOGY |      | Project (Number/Nam<br>SPC-01 / SPACE PRO<br>TECHNOLOGY |      |  |  |  |  |
|--|--|------|---|------|--|--|--|--|
| Schedu   | le Details   |      |   |      |  |  |  |  |
|  | Sta  | nrt  | En  | nd   |  |  |  |  |
| Events by Sub Project  | Quarter  | Year | Quarter   | Year |  |  |  |  |
| Airborne Launch Assist Space Access (ALASA)  |  |      |   |      |  |  |  |  |
| Propellant Ignition and Interim Hazard Classification Testing                              | 2  | 2015 | 2   | 2016 |  |  |  |  |
| Engine Testing   | 3  | 2016 | 4   | 2016 |  |  |  |  |
| Experimental Spaceplane One (XS-1)   |  |      |   |      |  |  |  |  |
| Design & Risk Reduction  | 1  | 2015 | 2   | 2015 |  |  |  |  |
| Preliminary Design Review  | 1  | 2015 | 3   | 2015 |  |  |  |  |
| Wind Tunnel Testing  | 2  | 2015 | 3   | 2015 |  |  |  |  |
| Fabrication and Flight Test  | 4  | 2016 | 4   | 2017 |  |  |  |  |
| Complete integrated vehicle design   | 1  | 2017 | 4   | 2017 |  |  |  |  |
| Propulsion Demonstration, Validation, and Design Integration                               | 2  | 2017 | 3   | 2017 |  |  |  |  |
| Phoenix  |  |      |   |      |  |  |  |  |
| Fabrication of Robotic Hardware and Software   | 1  | 2015 | 4   | 2017 |  |  |  |  |
| Completed Delta Critical Design of POD for First GEO Flight                                | 3  | 2015 | 3   | 2015 |  |  |  |  |
| Completed Delta Critical Design of Satlets and of Communications System for LEO Experiment | Early 4  | 2015 | 4   | 2015 |  |  |  |  |
| Launch Early LEO Satlet Experiment and Conduct Experiment Operations                       | 2  | 2016 | 4   | 2016 |  |  |  |  |
| Launch POD and Conduct On-Orbit Testing  | 2  | 2017 | 3   | 2017 |  |  |  |  |
| Robotic Servicing of Geostationary Satellites (RSGS)                                       |  |      |   |      |  |  |  |  |
| Develop Detailed Program Requirements  | 2  | 2015 | 2   | 2015 |  |  |  |  |
| Continue Development of Servicer Robotic Payload   | 1  | 2016 | 4   | 2016 |  |  |  |  |
| Conduct Studies of Suitable Satellites to Carry the Robotic Payload                        | 3  | 2016 | 1   | 2017 |  |  |  |  |
| Begin Development of Operator Workstations   | 1  | 2017 | 1   | 2017 |  |  |  |  |

| ibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Resear<br>propriation/Budget Activity<br>0 / 3 | R-1 Program | Element (Number                          | AMS AND SP | Date: Febru<br>Toject (Number/Nam<br>PC-01 / SPACE PRO<br>ECHNOLOGY | e)   |
|--|-------------|--|------------|---|------|
|  |             | Sta                                      | nrt        | En  | ld   |
| Events by Sub Project  |             | Quarter                                  | Year       | Quarter   | Year |
| Develop Interface Definition Between Robotic Payload and Satellite   |             | 2  | 2017       | 4   | 2017 |
| Space Surveillance Telescope (SST)   |             | ·  |            |   |      |
| Refine SST relocation plan with Air Force Space Command (AFSPC) an Australian Department                   | d the       | 1  | 2015       | 4   | 2015 |
| Wide Field Camera #2 Demonstration   |             | 2  | 2016       | 3   | 2016 |
| Develop Plan to Transition SST to AFSPC  |             | 4  | 2016       | 4   | 2016 |
| Finalize Plans to Remove and Recoat Mirrors at Kitt Peak Arizona   |             | 1  | 2017       | 1   | 2017 |
| Radar Net  |             | t_                                       |            |   |      |
| Risk Reduction   |             | 1  | 2016       | 3   | 2017 |
| System Design  |             | 3  | 2016       | 4   | 2017 |
| On-Orbit Risk Reduction Demonstration  |             | 3  | 2017       | 3   | 2017 |
| Signal Processing Risk Reduction Demonstration   |             | 3  | 2017       | 3   | 2017 |
| System Conceptual Design Review  |             | 3  | 2017       | 3   | 2017 |
| Hallmark   |             |  |            |   |      |
| Initiate space BMC2 interactive simulation environment development   |             | 3  | 2016       | 3   | 2016 |
| Complete Architecture Definition   |             | 3  | 2016       | 4   | 2016 |
| Demonstrate and document integrated tools, algorithms and data schem                                       | ies         | 2  | 2017       | 2   | 2017 |
| Develop modeling and simulation infrastructure   |             | 2  | 2017       | 4   | 2017 |
| Optical Aperture Self-Assembly in Space (OASIS)  |             | ·  |            |   |      |
| Developed Improved Piezopolymer Controlled Deformable Mirrors  |             | 2  | 2015       | 2   | 2015 |
| Conduct final demonstration of Image Quality Refinement  |             | 2  | 2016       | 2   | 2016 |
| Space Domain Awareness (SDA)   |             | L. L |            | /   |      |
| Identify Advanced Collection Technique Need  |             | 3  | 2015       | 3   | 2015 |
| Second Data Buy Option   |             | 3  | 2015       | 3   | 2015 |
| Advanced Collection Technique First Collect  |             | 2  | 2016       | 2   | 2016 |
| Complete initial capability demonstration  |             | 4  | 2016       | 4   | 2016 |

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| Exhibit R-2, RDT&E Budget Iten  | n Justifica    | tion: PB 20 <sup>2</sup> | 17 Defense  | Advanced        | Research P     | rojects Age               | ncy     |         |         | Date: Febr | uary 2016           |               |
|---|----------------|--------------------------|-------------|-----------------|----------------|---------------------------|---------|---------|---------|------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400: Research, Development, Te<br>Advanced Technology Developme |                | ation, Defen             | se-Wide I B | 3A 3:           | -              | am Element<br>39E / ADVA/ | •       | •       | TECHNOL | OGIES      |                     |               |
| COST (\$ in Millions)   | Prior<br>Years | FY 2015                  | FY 2016     | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total          | FY 2018 | FY 2019 | FY 2020 | FY 2021    | Cost To<br>Complete | Total<br>Cost |
| Total Program Element   | -              | 81.119                   | 76.021      | 49.807          | -              | 49.807                    | 74.033  | 87.960  | 119.359 | 165.172    | -                   | -             |
| MT-12: <i>MEMS</i><br>AND INTEGRATED<br>MICROSYSTEMS<br>TECHNOLOGY                                | -              | 13.363                   | 2.200       | 0.000           | -              | 0.000                     | 0.000   | 0.000   | 0.000   | 0.000      | -                   | -             |
| MT-15: MIXED TECHNOLOGY<br>INTEGRATION  | -              | 67.756                   | 73.821      | 49.807          | -              | 49.807                    | 74.033  | 87.960  | 119.359 | 165.172    | -                   | -             |

### A. Mission Description and Budget Item Justification

The Advanced Electronics Technologies program element is budgeted in the Advanced Technology Development Budget Activity because it seeks to design and demonstrate state-of-the-art manufacturing and processing technologies for the production of various electronics and microelectronic devices, sensor systems, actuators and gear drives that have military applications and potential commercial utility. Introduction of advanced product design capability and flexible, scalable manufacturing techniques will enable the commercial sector to rapidly and cost-effectively satisfy military requirements.

The MicroElectroMechanical Systems (MEMS) and Integrated Microsystems Technology program is a broad, cross-disciplinary initiative to merge computation and power generation with sensing and actuation to realize a new technology for both perceiving and controlling weapons systems and battlefield environments. Using fabrication processes and materials similar to those used to make microelectronic devices, MEMS applies the advantages of miniaturization, multiple components and integrated microelectronics to the design and construction of integrated electromechanical and electro-chemical-mechanical systems. The MEMS program addresses issues ranging from the scaling of devices and physical forces to new organization and control strategies for distributed, high-density arrays of sensor and actuator elements. These issues include microscale power and actuation systems as well as microscale components that survive harsh environments. Thermal management technologies will develop heat resistant thermal layers to provide efficient operation for cooling electronic devices.

The Mixed Technology Integration project funds advanced development and demonstrations of selected basic and applied electronics research programs. Examples of activities funded in this project include, but are not limited to: (1) component programs that integrate mixed signal (analog and digital; photonic and electronic) or mixed substrate (Gallium Nitride, Gallium Arsenide, Indium Phosphide, or Silicon Germanium with CMOS) technology that will substantially improve the capability of existing components and/or reduce size, weight and power requirements to a level compatible with future warfighter requirements; (2) development and demonstration of brassboard system applications in such areas as laser weaponry or precision navigation and timing to address mid-term battlefield enhancements; and (3) novel technological combinations (i.e. photonics, magnetics, frequency attenuators) that could yield substantial improvement over current systems.

| xhibit R-2, RDT&E Budget Item Justification: PB 2017 D   | efense Advanced     | Research Project | s Agency                                 | Date        | : February 2016 |
|--|---------------------|------------------|--|-------------|-----------------|
| Appropriation/Budget Activity<br>400: Research, Development, Test & Evaluation, Defense-N<br>Advanced Technology Development (ATD) | <i>Vide I</i> BA 3: |                  | ement (Number/Name)<br>ADVANCED ELECTROI |             | S               |
| 8. Program Change Summary (\$ in Millions)   | <u>FY 2015</u>      | FY 2016          | FY 2017 Base                             | FY 2017 OCO | FY 2017 Total   |
| Previous President's Budget  | 92.246              | 79.021           | 87.381                                   | -           | 87.381          |
| Current President's Budget   | 81.119              | 76.021           | 49.807                                   | -           | 49.807          |
| Total Adjustments  | -11.127             | -3.000           | -37.574                                  | -           | -37.574         |
| <ul> <li>Congressional General Reductions</li> </ul>   | 0.000               | -3.000           |  |             |                 |
| <ul> <li>Congressional Directed Reductions</li> </ul>  | 0.000               | 0.000            |  |             |                 |
| <ul> <li>Congressional Rescissions</li> </ul>  | 0.000               | 0.000            |  |             |                 |
| <ul> <li>Congressional Adds</li> </ul>   | 0.000               | 0.000            |  |             |                 |
| <ul> <li>Congressional Directed Transfers</li> </ul>   | 0.000               | 0.000            |  |             |                 |
| Reprogrammings   | -8.317              | 0.000            |  |             |                 |
| SBIR/STTR Transfer   | -2.810              | 0.000            |  |             |                 |
| <ul> <li>TotalOtherAdjustments</li> </ul>  | -                   | -                | -37.574                                  | -           | -37.574         |

### **Change Summary Explanation**

FY 2015: Decrease reflects reprogrammings and the SBIR/STTR transfer.

FY 2016: Decrease reflects congressional reduction.

FY 2017: Decrease reflects completion of several Endurance, Diverse & Accessible Heterogeneous Integration (DAHI), and FLASH - Scaling Fiber Arrays at Near Perfect Beam Quality program milestones.

| Exhibit R-2A, RDT&E Project J  | Justification  | n: PB 2017 E   | Defense Adv  | anced Res  | search Proje   | ects Agency  |   |  | n                                       | Date: Fe        | oruary 2016  |               |
|--|--|--|--|--|--|--|---|--|---|-----------------|--------------|---------------|
| Appropriation/Budget Activity<br>0400 / 3  |  | PE 060373  | am Elemen<br>39E / ADVAI<br>NICS TECH  | NČED   |  | MT-12 / M  | ect (Number/Name)<br>2 I MEMS AND INTEGRATED<br>ROSYSTEMS TECHNOLOGY                        |  |   |                 |              |               |
| COST (\$ in Millions)  | Prior<br>Years   | FY 2015  | FY 2016  | FY 2017<br>Base  | FY 2017<br>OCO   | FY 2017<br>Total   | FY 2018   | FY 2019  | FY 2020                                 | 2020 FY 2021 Co |              | Total<br>Cost |
| MT-12: <i>MEMS</i><br>AND INTEGRATED<br>MICROSYSTEMS<br>TECHNOLOGY   | -  | 13.363   | 2.200  | 0.000  | -  | 0.000  | 0.000   | 0.000  | 0.000                                   | 0.00            | 0 -          |               |
| A. Mission Description and Bu  | ıdaet İtem J   | ustification   | 1  |  |  |  |   |  |   |                 |              |               |
| ntegrated microelectronics to the<br>ssues ranging from the scaling<br>elements. These issues include<br>systems need to operate in a variable   | of devices a<br>e microscale   | nd physical precision, n   | forces to ne<br>avigation, a   | w organiza<br>nd timing s  | ation and co<br>systems as v   | ntrol strateg<br>vell as micro   | ies for distr   | ibuted, high   | -density ar                             | rays of ser     | sor and actu | ator          |
| 3. Accomplishments/Planned   | Programs (   | \$ in Million  | <u>s)</u>  |  |  |  |   |  | F۱                                      | ( 2015          | FY 2016      | FY 2017       |
| Title: Micro-Technology for Pos  | itioning, Nav  | rigation, and  | Timing (Mid  | ro PN&T)   |  |  |   |  |   | 13.363          | 2.200        |               |
| <b>Description:</b> The Micro-Techno<br>Weight, and Power (CSWaP) indon<br>on the development of miniature<br>for small platform or dismount so<br>but excellent CSWaP, while ator<br>to complexity and high CSWaP.<br>inertial sensors and by miniaturi<br>guidance and navigation on all p<br>dismounted soldiers. | ertial sensors<br>solid state a<br>oldier applica<br>mic sensors<br>Micro-PNT<br>zing atomic o | s and timing<br>and atomic g<br>ations. Micr<br>are capable<br>is advancin<br>devices. Ult | sources for<br>gyroscopes<br>o Electro-Mo<br>of excellen<br>g both techr<br>imately, low | navigation<br>and clocks<br>echanical S<br>t performar<br>hology appi<br>-CSWaP ir | n in GPS de<br>Both class<br>Systems (ME<br>nce but are l<br>roaches by i<br>nertial senso | graded envir<br>ses of senso<br>EMS) senso<br>imited to lat<br>mproving th<br>prs and cloc | ronments, p<br>ors are curre<br>rs have limi<br>poratory exp<br>ne performa<br>ks will enab | primarily foc<br>ently unsuita<br>ted perform<br>periments d<br>nce of MEM<br>le ubiquitou | using<br>able<br>ance<br>ue<br>IS<br>IS |                 |              |               |
| Successful realization of Micro-F<br>fundamentally different sensing<br>inertial sensors based on atomic<br>dissimilar devices on a single ch<br>small, low power architecture. T  | modalities, u<br>c physics. In<br>hip, such that   | understandir<br>novative mi  | ng of error so<br>crofabricatio  | ources at th<br>on techniqu  | ne micro-sca<br>les under de   | ale, and dev   | elopment o<br>will allow co   | f miniature<br>-fabrication  | of                                      |                 |              |               |

| R-1 Program Element (Number/Name)  |  |   |   |  |
|--|--|---|---|--|
| PE 0603739E / ADVANCED   |  |   |   | ED<br>GY   |
|  | Γ  | FY 2015   | FY 2016   | FY 2017  |
| vith Angle Random Walk (ARW) < 0.05 degrees/sqrt(hr) and<br>n scale factor and bias of < 10 parts per million (ppm) of full<br>atomic beam atom interferometers. |  |   |   |  |
| e-4deg/rt(hr) and bias stability <1e-4deg/hr in <150cc   | deg/hr   |   |   |  |
|  | btotals  | 13.363  | 2.200   |  |
|  |  |   |   |  |
| the program accomplishments and plans section.   |  |   |   |  |
|  | m scale factor and bias of < 10 parts per million (ppm) of full<br>atomic beam atom interferometers.<br>FG) on integrated rotation/calibration stage.<br>proscope with ARW < 5e-4deg/rt(hr) and bias stability <1e-4d<br>5e-4deg/rt(hr) and bias stability <1e-4deg/hr in <150cc | vith Angle Random Walk (ARW) < 0.05 degrees/sqrt(hr) and bias<br>on scale factor and bias of < 10 parts per million (ppm) of full scale<br>atomic beam atom interferometers.<br>FG) on integrated rotation/calibration stage.<br>Proscope with ARW < 5e-4deg/rt(hr) and bias stability <1e-4deg/hr<br>Se-4deg/rt(hr) and bias stability <1e-4deg/hr in <150cc | as with ring down time > 100 seconds.<br>with Angle Random Walk (ARW) < 0.05 degrees/sqrt(hr) and bias<br>an scale factor and bias of < 10 parts per million (ppm) of full scale<br>atomic beam atom interferometers.<br>FG) on integrated rotation/calibration stage.<br>FGoroscope with ARW < 5e-4deg/rt(hr) and bias stability <1e-4deg/hr<br>Se-4deg/rt(hr) and bias stability <1e-4deg/hr<br>MS inertial measurement unit. | is with ring down time > 100 seconds.<br>with Angle Random Walk (ARW) < 0.05 degrees/sqrt(hr) and bias<br>in scale factor and bias of < 10 parts per million (ppm) of full scale<br>atomic beam atom interferometers.<br>FG) on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stage.<br>FG on integrated rotation/calibration stag |

| Appropriation/Budge<br>0400 / 3   | et Activity                  | 1                                 |                |        |               | PE 0603 | 3739E / A     | ement (N<br>DVANCE<br>TECHNO |               | ame) | MT-12         | <b>Project (Number/Name)</b><br>MT-12 <i>I MEMS AND INTEGRATED</i><br><i>MICROSYSTEMS TECHNOLOGY</i> |                     |               |                                |  |
|---|------------------------------|-----------------------------------|----------------|--------|---------------|---------|---------------|------------------------------|---------------|------|---------------|--|---------------------|---------------|--------------------------------|--|
| Product Developme   | nt (\$ in M                  | illions)                          |                | FY 2   | 2015          | FY 2    | 016           | FY 2<br>Ba                   | -             |      | 2017<br>CO    | FY 2017<br>Total   |                     |               |                                |  |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost    | Award<br>Date | Cost                         | Award<br>Date | Cost | Award<br>Date | Cost   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |  |
| Micro-Technology for<br>Positioning, Navigation,<br>and Timing (Micro PN&T) | C/Various                    | Various : Various                 | -              | 12.160 |               | 2.002   |               | 0.000                        |               | -    |               | 0.000  | 0                   | 14.162        | (                              |  |
|   | 1                            | Subtotal                          | -              | 12.160 |               | 2.002   |               | 0.000                        |               | -    |               | 0.000  | 0.000               | 14.162        | 0.000                          |  |
| Support (\$ in Million  | s)                           |                                   |                | FY 2   | 2015          | FY 2    | 016           | FY 2<br>Ba                   | -             |      | 2017<br>CO    | FY 2017<br>Total   |                     |               |                                |  |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost    | Award<br>Date | Cost                         | Award<br>Date | Cost | Award<br>Date | Cost   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |  |
| Government Support  | MIPR                         | Various : Various                 | -              | 0.535  |               | 0.088   |               | 0.000                        |               | -    |               | 0.000  | 0                   | 0.623         | (                              |  |
|   |                              | Subtotal                          | -              | 0.535  |               | 0.088   |               | 0.000                        |               | -    |               | 0.000  | 0.000               | 0.623         | 0.000                          |  |
| Management Service  | es (\$ in M                  | illions)                          |                | FY 2   | 2015          | FY 2    | 016           | FY 2<br>Ba                   | -             |      | 2017<br>CO    | FY 2017<br>Total   |                     |               |                                |  |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost    | Award<br>Date | Cost                         | Award<br>Date | Cost | Award<br>Date | Cost   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |  |
| Management Support  | C/Various                    | Various : Various                 | -              | 0.668  |               | 0.110   |               | 0.000                        |               | -    |               | 0.000  | 0                   | 0.778         | C                              |  |
|   |                              | Subtotal                          | -              | 0.668  |               | 0.110   |               | 0.000                        |               | -    |               | 0.000  | 0.000               | 0.778         | 0.000                          |  |
|   |                              |                                   | Prior<br>Years | FY 2   | 2015          | FY 2    | 016           | FY 2<br>Ba                   | -             |      | 2017<br>CO    | FY 2017<br>Total   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |  |
|   |                              | Project Cost Totals               | -              | 13.363 |               | 2.200   |               | 0.000                        |               | -    |               | 0.000  | 0.000               | 15.563        | 0.000                          |  |

| xhibit R-4, RDT&E Schedule Profile: PB 2017  | bit R-4, RDT&E Schedule Profile: PB 2017 Defense Advanced Research Projects Agency |   |      |     |     |                               |      |      |     | Date: February 2016 |      |     |   |    |  |   |   |    |      |   |   |    |      |   |   |      |      |   |
|--|--|---|------|-----|-----|-------------------------------|------|------|-----|---------------------|------|-----|---|----|--|---|---|----|------|---|---|----|------|---|---|------|------|---|
| opropriation/Budget Activity<br>00 / 3   |  |   |      |     |     | R-1 F<br>PE 0<br><i>ELE</i> ( | 603  | 3739 | E/A | ADV/                | 4NC  | CED |   |    | ame) Project (Number/Name)<br>MT-12 / MEMS AND INTEGR.<br>MICROSYSTEMS TECHNOL |   |   |    |      |   |   |    |      |   |   |      |      |   |
|  |  | F | Y 20 | 15  |     | FY                            | 2016 | ;    |     | FY                  | 2017 |     |   | FY | 2018   |   |   | FY | 2019 | ) |   | FY | 2020 | ) |   | FY 2 | 2021 |   |
|  | 1  |   | 2 3  | 3 4 | . 1 | 2                             | 3    | 4    | 1   | 2                   | 3    | 4   | 1 | 2  | 3  | 4 | 1 | 2  | 3    | 4 | 1 | 2  | 3    | 4 | 1 | 2    | 3    | 4 |
| Micro-Technology for Positioning,<br>Navigation, and Timing (Micro PN&T)                           |  |   |      |     |     |                               |      |      |     |                     |      |     |   |    |  |   |   |    |      |   |   |    |      |   |   |      | ,    |   |
| Whole angle 3D microgyroscope demonstration  |  |   |      |     |     |                               |      |      |     |                     |      |     |   |    |  |   |   |    |      |   |   |    |      |   |   |      |      |   |
| Chip-scale combinatorial atomic navigator<br>(C-SCAN) integrated atomic gyroscope<br>demonstration |  |   |      |     |     |                               |      |      |     |                     |      |     |   |    |  |   |   |    |      |   |   |    |      |   |   |      |      |   |
| C-SCAN atomic gyroscope government evaluation  |  |   |      |     |     |                               |      |      |     |                     |      |     |   |    |  |   |   |    |      |   |   |    |      |   |   |      |      |   |

| Exhibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Rese | Date: February 2016   |            |   |
|---|---|------------|---|
| Appropriation/Budget Activity<br>0400 / 3                           | <b>R-1 Program Element (Number/Name)</b><br>PE 0603739E <i>I ADVANCED</i><br>ELECTRONICS TECHNOLOGIES | MT-12 / ME | umber/Name)<br>EMS AND INTEGRATED<br>STEMS TECHNOLOGY |

## Schedule Details

|  | Sta     | art  | En      | d    |
|--|---------|------|---------|------|
| Events by Sub Project  | Quarter | Year | Quarter | Year |
| Micro-Technology for Positioning, Navigation, and Timing (Micro PN&T)                        |         |      |         |      |
| Whole angle 3D microgyroscope demonstration  | 4       | 2015 | 4       | 2015 |
| Chip-scale combinatorial atomic navigator (C-SCAN) integrated atomic gyroscope demonstration | 1       | 2016 | 1       | 2016 |
| C-SCAN atomic gyroscope government evaluation  | 3       | 2016 | 4       | 2016 |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |   |         |                 |                |                  |         |         |         |         | Date: February 2016 |               |  |  |
|--|----------------|---|---------|-----------------|----------------|------------------|---------|---------|---------|---------|---------------------|---------------|--|--|
| Appropriation/Budget Activity<br>0400 / 3  |                | R-1 Program Element (Number/Name)Project (Number/Name)PE 0603739E / ADVANCEDMT-15 / MIXED TECHNOLOGIESELECTRONICS TECHNOLOGIESINTEGRATION |         |                 |                |                  | ,       |         |         |         |                     |               |  |  |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015   | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018 | FY 2019 | FY 2020 | FY 2021 | Cost To<br>Complete | Total<br>Cost |  |  |
| MT-15: <i>MIXED TECHNOLOGY</i><br>INTEGRATION  | -              | 67.756  | 73.821  | 49.807          | -              | 49.807           | 74.033  | 87.960  | 119.359 | 165.172 | -                   | -             |  |  |

#### A. Mission Description and Budget Item Justification

The Mixed Technology Integration project funds advanced development and demonstrations of selected basic and applied electronics research programs. Examples of activities funded in this project include, but are not limited to: (1) component programs that integrate mixed signal (analog and digital; photonic and electronic) or mixed substrate (Gallium Nitride, Gallium Arsenide, Indium Phosphide, or Silicon Germanium with CMOS) technology that will substantially improve the capability of existing components and/or reduce size, weight and power requirements to a level compatible with future warfighter requirements; (2) development and demonstration of brassboard system applications in such areas as laser weaponry or precision navigation and timing to address mid-term battlefield enhancements; and (3) novel technological combinations (i.e., photonics, magnetics, frequency attenuators) that could yield substantial improvement over current systems.

| FY 2015 | FY 2016 | FY 2017       |
|---------|---------|---------------|
| 37.669  | 23.473  | 15.307        |
|         |         |               |
|         |         |               |
|         |         |               |
|         |         |               |
| •       |         | 37.669 23.473 |

PE 0603739E: *ADVANCED ELECTRONICS TECHNOLOGIES* Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ad  | lvanced Research Projects Agency   | Date: F  | ebruary 2016          |         |  |
|--|--|--|-----------------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603739E <i>I ADVANCED</i><br>ELECTRONICS TECHNOLOGIES  | Project (Number/I<br>MT-15 / MIXED TE<br>INTEGRATION                                     | 15 I MIXED TECHNOLOGY |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015  | FY 2016               | FY 2017 |  |
| <ul> <li>Obtain necessary range approvals for live-fire testing.</li> </ul>  |  |  |                       |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Test the brassboard laser weapon system at outdoor test ranges</li> <li>Assess brassboard system performance in live-fire testing.</li> <li>Develop a preliminary engineering design for a flight-prototype of</li> </ul>   |  | targets.   |                       |         |  |
| Title: Diverse & Accessible Heterogeneous Integration (DAHI)   |  | 15.496   | 15.335                | 6.00    |  |
| <b>Description:</b> The scaling of silicon (Si) transistors to ever smaller d<br>over the past fifty years. In parallel, integrated circuit (IC) designers<br>material properties of compound semiconductor (CS) technologies<br>gallium nitride (GaN) and silicon-germanium (SiGe) to enable device<br>impossible to achieve in Silicon. Historically, a designer would have<br>high performance of CS materials. Prior DARPA efforts have demo<br>capability for DoD circuit designers with limited demonstrations of the<br>that far exceeded what can be accomplished with one technology a<br>Silicon (COSMOS) program enabled transistors of InP to be freely r<br>(CMOS) circuits to obtain the benefits of both technologies (very hig<br>The Diverse & Accessible Heterogeneous Integration (DAHI) effort<br>the seamless co-integration of a variety of semiconductor devices (<br>Semiconductors), microelectromechanical (MEMS) sensors and act<br>thermal management structures. This capability will revolutionize o<br>dramatic size, weight and volume reductions while enabling higher<br>our electronic systems for electronic warfare, communications and | s for radio frequency (RF) circuits have leveraged the diff<br>such as indium phosphide (InP), gallium arsenide (GaAs<br>ces that operate at frequencies and powers difficult or<br>e to decide between the high density of Si circuits or the<br>onstrated the ability to achieve near-ideal "mix-and-match<br>he heterogeneous integration of silicon and InP technolo<br>alone. Specifically, the Compound Semiconductor Mater<br>mixed with silicon complementary metal-oxide semicond<br>gh speed and very high circuit complexity/density, respect<br>will take this capability to the next level, ultimately offerin<br>for example, GaN, InP, GaAs, antimonide based Compo-<br>tuators, photonic devices (e.g., lasers, photo-detectors) a<br>bur ability to build true "systems on a chip" (SoCs) and all<br>performance such as power, bandwidth or dynamic rang | erent<br>s),<br>ch"<br>gies<br>ials On<br>uctor<br>ctively).<br>ng<br>pund<br>and<br>low |                       |         |  |
| This program has applied research efforts funded in PE 0602716E,<br>part of this program will leverage these complementary efforts to for<br>technology for device-level heterogeneous integration of a wide arra<br>multiple electronics and MEMS technologies) with complex silicon-e<br>substrate platform. This part of the program is expected to culmina<br>demonstrations of advanced microsystems with innovative architect<br>By the end of the program, this effort seeks to establish a technologi<br>available (with appropriate computer-aided design support) to a wide<br>Development Center (FFRDC), academic and industrial designers.  | cus on the establishment of an accessible, manufactural<br>ay of materials and devices (including, for example,<br>enabled (e.g. CMOS) architectures on a common silicon<br>ate in accessible foundry processes of DAHI technology a<br>stures and designs that leverage heterogeneous integrati-<br>gically mature, sustainable DAHI foundry service to be m  | and<br>on.<br>ade  |                       |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | earch Projects Agency   | Γ                 | Date: F   | ebruary 2016 |         |  |  |  |
|---|---|-------------------|---|--------------|---------|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603739E / ADVANCED<br>ELECTRONICS TECHNOLOGIES  | MT-15 / MIX       | <b>Project (Number/Name)</b><br>MT-15 / MIXED TECHNOLOGY<br>INTEGRATION |              |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2              | 2015  | FY 2016      | FY 2017 |  |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed a high-yield, high-reliability accessible manufacturing process flow foundry activity providing heterogeneously integrated circuits with four materials heterojunction bipolar transistors (HBTs), GaN high-electron-mobility transistors</li> <li>Demonstrated heterogeneously integrated yield test circuits using three device HEMTs) with measured reliability data. Tracked fabrication process issues and them, resulting in yield structures which meet program metrics.</li> <li>Demonstrated capability for supporting multi-project wafer runs using the heter between a multi-project wafer run including eight external design teams wafer foundry through development and support of process design kit and them</li> </ul> | s/device technologies (silicon (Si) CMOS, InP<br>s (HEMTs), and high-Q passive devices).<br>ce technologies (Si CMOS, InP HBTs, and Ga<br>d risks and systematically mitigated or eliminat<br>erogeneous foundry service under developme<br>s using the DAHI process. Facilitated multi-pro | N<br>ed<br>nt.    |   |              |         |  |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete development of a high-yield, high-reliability accessible manufacturi sustaining foundry activity providing heterogeneously integrated circuits with for HBTs, GaN HEMTs, and high-Q passive devices).</li> <li>Complete demonstration of capability for supporting multi-project wafer runs of development.</li> </ul>  | ur materials/device technologies (Si CMOS, Ir   | P                 |   |              |         |  |  |  |
| FY 2017 Plans:  |   |                   |   |              |         |  |  |  |
| <ul> <li>Complete development of a high-yield, high-reliability accessible manufacturi sustaining foundry activity providing heterogeneously integrated circuits with fo HBTs, GaN HEMTs, and high-Q passive devices). Finalize refinements of yield foundry activity to ensure successful transition of heterogeneous integration tegration to complete demonstration of capability for supporting multi-project wafer runs development. Finalize the development of seamless process design kits and in foundry service by external users.</li> </ul>   | ur materials/device technologies (Si CMOS, Ir<br>d and reliability, and coordinate with self-susta<br>chnology.<br>using the heterogeneous foundry service unde   | IP<br>ining<br>er |   |              |         |  |  |  |
| Title: FLASH - Scaling Fiber Arrays at Near Perfect Beam Quality  |   | 1                 | 2.591   | 15.813       | 12.500  |  |  |  |
| <b>Description:</b> The goal of the FLASH program is to demonstrate a transportable packaged laser system by coherently combining the outputs of an array of ultra lasers. The packaged FLASH laser system will project a >30-kW-class beam v electrical-to-optical efficiency. The SWaP will be consistent with weight and vo of laser weapons on a broad range of military platforms, including 4th and 5th g these objectives, FLASH will: (1) greatly reduce the overall size and weight of p fiber laser amplifiers while greatly simplifying the demands they make on support   | a-lightweight, flight-worthy high-power fiber<br>with near perfect beam quality and very high<br>lume densities needed to support the integrati<br>generation aircraft and UAVs. To accomplish<br>backaged coherently-combinable high-power   | on                |   |              |         |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |                         | Date: F   | ebruary 2016 |         |  |  |  |
|---|---|-------------------------|---|--------------|---------|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603739E / ADVANCED<br>ELECTRONICS TECHNOLOGIES  | MT-1                    | ject (Number/Name)<br>15 / MIXED TECHNOLOGY<br>EGRATION |              |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |                         | FY 2015   | FY 2016      | FY 2017 |  |  |  |
| support structures while increasing their efficiency and resistance to shock, vib<br>these ultralight fiber-laser amplifiers and integrate them with advanced battery<br>combination sub-systems into a transportable, fully packaged, ultra-low SWaP   | power, thermal management and coherent-be   | •                       |   |              |         |  |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed and tested a packaged, flight-worthy, coherently-combinable, fibe quality, size and weight consistent with system integration on tactical aircraft.</li> <li>Developed a preliminary design for a &gt;30 kW transportable, packaged laser power systems, and beam combination.</li> <li>Demonstrated, on a lab bench, the coherent combination of over 100 low po concept for the high power system.</li> <li>Demonstrated, on a lab bench, the coherent combination of 42 output beams high efficiency and near-perfect beam quality.</li> </ul>  | system including fiber lasers, thermal manage<br>wer fiber lasers into a single beam as a proof-  | of-                     |   |              |         |  |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Develop a critical design for a &gt;30 kW transportable, packaged laser system</li> <li>Fabricate and/or procure parts and hardware for the &gt;30 kW transportable, p</li> <li>Assemble and test key subsystems for the &gt;30 kW transportable, packaged</li> <li>Begin the integration of key subsystems for a &gt;30 kW transportable, packaged</li> </ul>   | backaged laser system.<br>laser system.   |                         |   |              |         |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Complete integration of the &gt;30 kW transportable, packaged laser system.</li> <li>Test and demonstrate the &gt;30 kW transportable, packaged laser system.</li> </ul>   |   |                         |   |              |         |  |  |  |
| <i>Title:</i> Common Heterogeneous integration & IP reuse Strategies (CHIPS)*   |   |                         | -   | 4.200        | 5.500   |  |  |  |
| Description: *Formerly Fast and Big Mixed-Signal Designs (FAB)  |   |                         |   |              |         |  |  |  |
| Developing capabilities to intermix and tightly integrate silicon processes which<br>and by different vendors is critical to increasing the capabilities of high-perform<br>Silicon-Germanium (SiGe) Bipolar Complementary Metal-oxide Semiconductor<br>integrated with radio frequency (RF) heterojunction bipolar transistors (HBTs),<br>analog capabilities tightly coupled to digital processing. However, the SiGe pro<br>CMOS technology node and significant design and engineering effort is required<br>BiCMOS processes tend to lag behind commercial CMOS by several generation<br>process-agnostic integration technology, i.e., one that is inclusive of any current | nance military microelectronics. For example,<br>r (BiCMOS) processes allow CMOS logic to b<br>which enables mixed-signal circuits having R<br>ocess flow was developed to integrate to a sir<br>ed to retarget the flow for a new node. Thus,<br>ons. CHIPS will investigate the potential for a | e<br>F<br>Igle<br>truly |   |              |         |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced  | Research Projects Agency   |  | Date: F                                 | ebruary 2016 | <b>j</b> |
|--|--|--|---|--------------|----------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603739E / ADVANCED<br>ELECTRONICS TECHNOLOGIES   | MT-15  | ct (Number/I<br>5 / MIXED TE<br>GRATION | ,            |          |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | ſ  | FY 2015                                 | FY 2016      | FY 2017  |
| Gallium Arsenide (GaAs), Gallium Nitride (GaN) and SiGe with a standardiz<br>will enable the design of individual circuit Intellectual Property (IP) blocks, si<br>converters, with a goal of re-use of the IP across applications. Re-use will a<br>these blocks over several designs instead of leveling the burden on a single<br>the fabrication process best suited for the performance goals and evolve mo<br>systems-on-a-chip. Through standardization of the interface, CHIPS will en<br>the global semiconductor market rather than relying on a single on-shore fo<br>by a handful of traditional prime performers.   | uch as low-noise amplifiers and analog-to-digital<br>allow the DoD to amortize the upfront design cos<br>e program. Furthermore, the IP can be designed<br>ore quickly than larger, more expensive single cl<br>able the DoD to leverage the advancements driv   | t of<br>in<br>nip<br>ven by                            |   |              |          |
| In the Advanced Technology Development part of this program, focus will b<br>and insertion of microsystems utilizing III-V semiconductors and other micro<br>program has Applied Research efforts funded in PE 0602716E, Project ELT   | pelectronic technologies with advanced Si CMOS   |  |   |              |          |
| <ul> <li>FY 2016 Plans:</li> <li>Investigate analog intellectual property (IP) reuse techniques for efficient, circuits.</li> <li>Develop standardized, high-bandwidth interfaces for chiplet-to-chip interce</li> <li>Initiate circuit demonstration using intellectual property reuse techniques.</li> </ul>   |  | vave   |   |              |          |
| <ul> <li>FY 2017 Plans:</li> <li>Conduct system demonstrations using standardized, high-bandwidth inter heterogeneous IP.</li> <li>Initiate circuit demonstrations of chip-to-chip interconnects for heterogeneous</li> </ul>  |  |  |   |              |          |
| Title: Precise Robust Inertial Guidance for Munitions (PRIGM)  |  |  | -                                       | 13.000       | 10.500   |
| <b>Description:</b> The DoD relies on GPS for ubiquitous and accurate positionin prevalence of intentional GPS jamming, spoofing, and other GPS-denial thr contested theaters and alternative sources of PNT are required. In particula and among the most demanding of GPS-denial challenges, due to the nece the stringent requirements for minimization of cost, size, weight, and power Guidance for Munitions (PRIGM) program will develop low-CSWaP inertial a PRIGM comprises two focus areas: 1) Development of a Navigation-Grade state-of-the-art MEMS to DoD platforms by 2020; and 2) Research and dev to achieve gun-hard, high-bandwidth, high dynamic range navigation require 2030. PRIGM will advance state-of-the-art MEMS gyros from TRL-3 device | eats, GPS access is increasingly unavailable in<br>ar, guided munitions navigation is the most imme<br>essity of operating in highly contested theaters ar<br>consumption (CSWaP). The Precise Robust Ine<br>sensor technology for GPS-free munitions navig<br>Inertial Measurement Unit (NGIMU) that transition<br>elopment of Advanced Inertial MEMS Sensors (<br>ements with the objective of complete autonomy | ediate<br>nd<br>ertial<br>ation.<br>ons<br>AIMS)<br>in |   |              |          |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  |            | Date: February 2016                                  |         |         |  |
|--|--|------------|--|---------|---------|--|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603739E / ADVANCED<br>ELECTRONICS TECHNOLOGIES   |            | ect (Number/Name)<br>5 I MIXED TECHNOLOGY<br>GRATION |         |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |            | 2015   | FY 2016 | FY 2017 |  |
| enables Service Labs to perform TRL-7 field demonstrations. PRIGM will exploit<br>of photonics and CMOS and advanced MEMS technology to realize novel inert<br>environments and beyond navigation-grade performance.   |  |            |  |         |         |  |
| At present, DoD suffers a trade-space dichotomy between low-CSWaP tactical<br>and relatively high-CSWaP navigation-grade IMUs, based on ring-laser or inter<br>RLG/iFOG is the technology of choice for high-value platforms. However, for th<br>UAVs), CSWaP necessitates the use of lower-performance, MEMS-based IMU<br>developed MEMS gyroscopes with performance rivaling that of navigation-grade<br>exposing a new tradespace for low-CSWaP navigation grade IMUs. The ultima<br>MEMS-based navigation-grade IMU with an identical mechanical/electronic inter<br>MEMS IMUs, thereby providing a drop-in replacement for existing DoD system<br>demonstrations. | ferometric fiber-optic gyroscopes (RLG/iFOG)<br>ne vast majority of platforms (munitions, dismo-<br>ls. Under the micro-PNT program, DARPA ha<br>le interferometric fiber optic gyros (IFOGs), thu<br>ate goal of the program is to develop a comple<br>erface to existing DoD-standard tactical-grade<br>s and rapid transition through early insertion | unts,<br>s |  |         |         |  |
| 0602716E, Project ELT-01.  |  |            |  |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Initiate efforts to demonstrate MEMS inertial sensors that meet all NGIMU per Design, fabricate, and characterize MEMS gyroscopes meeting stability and navigation-grade performance.</li> <li>Design, fabricate, and characterize MEMS accelerometers meeting stability a navigation-grade performance.</li> </ul>   | repeatability specifications consistent with   |            |  |         |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Demonstrate and deliver five MEMS gyroscopes meeting stability and repeat grade performance.</li> <li>Demonstrate and deliver five MEMS accelerometers meeting stability and regrade performance.</li> <li>Commence development of MEMS-based, navigation-grade, integrated IMU metrics, excluding environmental requirements and shock survival.</li> </ul>  | peatability specifications consistent with naviga  | ation-     |  |         |         |  |
| Title: Direct SAMpling Digital ReceivER (DISARMER)   |  |            | 2.000  | 2.000   | -       |  |
| <b>Description:</b> The goal of the Direct SAMpling Digital ReceivER (DISARMER) ganalog-to-digital converter (ADC) capable of coherently sampling the entire X-b   |  | onic       |  |         |         |  |

| xhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |  |                        | Date: February 2016   |         |         |  |
|--|--|------------------------|---|---------|---------|--|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603739E / ADVANCED<br>ELECTRONICS TECHNOLOGIES   | MT-15                  | <b>Project (Number/Name)</b><br>MT-15 <i>I MIXED TECHNOLOGY</i><br><i>INTEGRATION</i> |         |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | Γ                      | FY 2015   | FY 2016 | FY 2017 |  |
| electronic wideband receivers are limited in dynamic range by bo<br>an ultra-stable optical clock, the DISARMER program will allow for<br>100x over the state of the art. Such a wide-bandwidth, high-fideli<br>intelligence systems with the potential to drastically reduce the co<br>The DISARMER program will design, fabricate, and test a hybrid<br>This involves the integration of electronic and photonic circuits, pa<br>delivering a field programmable gate array with the necessary firr<br>research efforts funded in PE 0602716E, Project ELT-01. | or mixer-less digitization and thereby improve the dynamic r<br>ity receiver will have applications in electronic warfare and s<br>ost, size and weight of these systems.<br>photonic-electronic ADC packaged in a standard form factor<br>ackaging of a mode-locked laser with ultralow jitter, and | ange<br>signals<br>or. |   |         |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Designed, assembled, and tested the prototype track-and-hold the parasitic capacitance of the circuit.</li> <li>Demonstrated direct sampling of a 4 GHz-wide bandwidth signal</li> </ul>  |  | nimize                 |   |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Demonstrate direct sampling of a 4 GHz-wide bandwidth signal</li> <li>Test system performance across both baseband and the entire</li> </ul>  |  |                        |   |         |         |  |
|  | Accomplishments/Planned Programs Sul   | ototals                | 67.756  | 73.821  | 49.80   |  |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A<br><u>Remarks</u><br>D. Acquisition Strategy   |  |                        |   |         |         |  |
| <u>D. Acquisition Strategy</u><br>N/A  |  |                        |   |         |         |  |
| E. Performance Metrics<br>Specific programmatic performance metrics are listed above in the  | ne program accomplishments and plans section.  |                        |   |         |         |  |
|  |  |                        |   |         |         |  |

| Appropriation/Budge<br>0400 / 3                                      | et Activity                  | ,                                 |                |        |               | PE 060 | 3739E / A     | ement (N<br>Advance<br>Techno | Đ             | ame) | MT-15 /       | ( <b>Numbe</b> )<br>MIXED 1<br>RATION |                     | LOGY          |                                |
|--|------------------------------|-----------------------------------|----------------|--------|---------------|--------|---------------|-------------------------------|---------------|------|---------------|---------------------------------------|---------------------|---------------|--------------------------------|
| Product Developmer   | nt (\$ in Mi                 | llions)                           |                | FY 2   | 2015          | FY 2   | 016           | FY 2<br>Ba                    | -             |      | 2017<br>CO    | FY 2017<br>Total                      |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost   | Award<br>Date | Cost                          | Award<br>Date | Cost | Award<br>Date | Cost                                  | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Endurance  | C/CPFF                       | NorthropGrumman :<br>CA           | -              | 18.920 | Sep 2015      | 10.742 |               | 7.063                         |               | -    |               | 7.063                                 | Continuing          | Continuing    | Continuin                      |
| Endurance  | C/Various                    | Various : Various                 | -              | 12.932 |               | 8.534  |               | 3.652                         |               | -    |               | 3.652                                 | Continuing          | Continuing    | Continuin                      |
| Diverse & Accessible<br>Heterogeneous Integration<br>(DAHI)          | C/CPFF                       | NorthropGrumman :<br>CA           | -              | 11.004 | May 2015      | 5.910  |               | 0.000                         |               | -    |               | 0.000                                 | Continuing          | Continuing    | Continuin                      |
| Diverse & Accessible<br>Heterogeneous Integration<br>(DAHI)          | C/Various                    | Various : Various                 | -              | 3.097  |               | 8.045  |               | 5.185                         |               | -    |               | 5.185                                 | Continuing          | Continuing    | continuin                      |
| FLASH - Scaling Fiber<br>Arrays at Near Perfect<br>Beam Quality      | C/Various                    | Various : Various                 | -              | 11.568 |               | 14.280 |               | 11.375                        |               | -    |               | 11.375                                | Continuing          | Continuing    | ) Continuin                    |
| Direct SAMpling Digital<br>ReceivER (DISARMER)                       | C/Various                    | Various : Various                 | -              | 1.820  |               | 1.820  |               | 0.000                         |               | -    |               | 0.000                                 | Continuing          | Continuing    | Continuin                      |
| Common Heterogeneous<br>integration & IP reuse<br>Strategies (CHIPS) | C/TBD                        | Various : Various                 | -              | 0.000  |               | 3.672  |               | 4.755                         |               | -    |               | 4.755                                 | Continuing          | Continuing    | ) Continuin                    |
| Precise Robust Inertial<br>Guidance for Munitions<br>(PRIGM)         | C/TBD                        | Various : Various                 | -              | 0.000  |               | 11.830 |               | 9.555                         |               | -    |               | 9.555                                 | Continuing          | Continuing    | ) Continuin                    |
|  | <u> </u>                     | Subtotal                          | -              | 59.341 |               | 64.833 |               | 41.585                        |               | -    |               | 41.585                                | -                   | -             | -                              |
| Support (\$ in Million   | s)                           |                                   |                | FY 2   | 2015          | FY 2   | 016           | FY 2<br>Ba                    | -             |      | 2017<br>CO    | FY 2017<br>Total                      |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost   | Award<br>Date | Cost                          | Award<br>Date | Cost | Award<br>Date | Cost                                  | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Government Support   | MIPR                         | Various : Various                 | -              | 2.655  |               | 3.083  |               | 2.242                         |               | -    |               | 2.242                                 | Continuing          | Continuing    | Continuin                      |
|  |                              | Subtotal                          | -              | 2.655  |               | 3.083  |               | 2.242                         |               | -    |               | 2.242                                 | -                   | -             | -                              |

| Exhibit R-3, RDT&E             | Project Co                   | ost Analysis: PB 2                | 2017 Defe      | ense Adva | anced Re      | search Pr | ojects Ag     | gency                         |               |      |               | Date:                                  | February            | 2016          |                                |
|--------------------------------|------------------------------|-----------------------------------|----------------|-----------|---------------|-----------|---------------|-------------------------------|---------------|------|---------------|--|---------------------|---------------|--------------------------------|
| Appropriation/Budg<br>0400 / 3 | et Activity                  | ,                                 |                |           |               | PE 0603   | 3739E / A     | ement (N<br>Advance<br>Techno | Đ             | ame) | MT-15         | t <b>(Numbe</b><br>I MIXED 1<br>RATION |                     | .OGY          |                                |
| Test and Evaluation            | (\$ in Milli                 | ons)                              |                | FY 2      | 2015          | FY 2      | 016           | FY 2<br>Ba                    |               |      | 2017<br>CO    | FY 2017<br>Total                       |                     |               |                                |
| Cost Category Item             | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost                          | Award<br>Date | Cost | Award<br>Date | Cost                                   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Endurance Testing              | C/Various                    | Various : Various                 | -              | 2.427     |               | 2.084     |               | 3.214                         |               | -    |               | 3.214                                  | Continuing          | Continuing    | Continuing                     |
|                                |                              | Subtotal                          | -              | 2.427     |               | 2.084     |               | 3.214                         |               | -    |               | 3.214                                  | -                   | -             | -                              |
| Management Servic              | es (\$ in M                  | illions)                          |                | FY 2      | 2015          | FY 2      | 016           | FY 2<br>Ba                    |               |      | 2017<br>CO    | FY 2017<br>Total                       | ]                   |               |                                |
| Cost Category Item             | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost                          | Award<br>Date | Cost | Award<br>Date | Cost                                   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Management Support             | C/Various                    | Various : Various                 | -              | 3.333     |               | 3.821     |               | 2.766                         |               | -    |               | 2.766                                  | Continuing          | Continuing    | Continuing                     |
|                                |                              | Subtotal                          | -              | 3.333     |               | 3.821     |               | 2.766                         |               | -    |               | 2.766                                  | -                   | -             | -                              |
|                                |                              |                                   | Prior<br>Years | FY 2      | 2015          | FY 2      | 016           | FY 2<br>Ba                    |               |      | 2017<br>CO    | FY 2017<br>Total                       | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
|                                |                              | Project Cost Totals               | -              | 67.756    |               | 73.821    |               | 49.807                        |               | -    |               | 49.807                                 | -                   | -             | -                              |

Remarks

| hibit R-4, RDT&E Schedule Profile: PB 2017 De                  | esea | arch | Pro | jects | s Age | ency |     |    |      |                     |     |      |     |     |      |   | Dat | te: Fo | ebru | ary 2 | 2016  | 5   |               |   |   |      |   |   |
|--|------|------|-----|-------|-------|------|-----|----|------|---------------------|-----|------|-----|-----|------|---|-----|--------|------|-------|-------|-----|---------------|---|---|------|---|---|
| propriation/Budget Activity<br>00 / 3                          |      |      |     |       |       |      |     | ΡE | 0603 | gran<br>3739<br>RON | EIA | ADV/ | ANC | CED |      |   | me) |        | MT   |       | I ÌMI | XEL | Der/N<br>D TE |   |   | ϽGY  | , |   |
|  |      | FY 2 |     |       |       | -    | 201 | 6  |      | FY 2                |     |      |     | -   | 2018 | 3 |     | FY 2   | 1    | _     |       |     | 2020          | ) |   | FY 2 |   | 1 |
|  | 1    | 2    | 3   | 4     | 1     | 2    | 3   | 4  | 1    | 2                   | 3   | 4    | 1   | 2   | 3    | 4 | 1   | 2      | 3    | 4     | 1     | 2   | 3             | 4 | 1 | 2    | 3 | 4 |
| Endurance  |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| System Integration Critical Design Review                      |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Fabricate and Test Subsystem                                   |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Integrated System Initial Laboratory Test                      |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Live Fire Range Test   |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Diverse & Accessible Heterogeneous<br>Integration (DAHI)       |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| HI Complex Circuit Design                                      |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| HI Complex Circuit Fabrication and Test                        |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| HI Complex Circuit Iteration Design                            |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| HI Complex Circuit Iteration Fabrication and Test              |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| FLASH - Scaling Fiber Arrays at Near<br>Perfect Beam Quality   |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   | _ |
| Compact Laser Preliminary Design Review                        |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Compact Laser Critical Design Review                           |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Compact Laser Amplifier Prototype                              |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Integrated Laser System Initial Test                           |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Integrated Laser System Final Demonstration                    |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Direct SAMpling Digital ReceivER<br>(DISARMER)                 |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Full System Demonstration                                      |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Integration of Sub-Modules                                     |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Final System Demonstration                                     |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |
| Common Heterogeneous integration & IP reuse Strategies (CHIPS) |      |      |     |       |       |      |     |    |      |                     |     |      |     |     |      |   |     |        |      |       |       |     |               |   |   |      |   |   |

| khibit R-4, RDT&E Schedule Profile: PB 2017 Defense Advanced |   |    |      |    |   |   |      |     | h P  | roje | cts                | Age  | enc | у |   |    |      |     |   |   |    |     |    |             | C | )ate | : Fe | ebru | ary | 201 | 6   |   |   |
|--|---|----|------|----|---|---|------|-----|------|------|--------------------|------|-----|---|---|----|------|-----|---|---|----|-----|----|-------------|---|------|------|------|-----|-----|-----|---|---|
| Appropriation/Budget Activity 400 / 3 FY 2015 FY 2           |   |    |      |    |   | P | E 06 | 603 | 3739 | 9E / | lem<br>ADV<br>S TE | /AN  | ĊΕ  | D |   |    | ne)  |     | M | • | ÌÀ | ЛIX | ΈD | er/N<br>TE( |   |      | OG   | Y    |     |     |     |   |   |
|  |   | FY | ′ 20 | 15 |   |   | FY   | 20  | 16   |      |                    | FY : | 201 | 7 |   | F١ | Y 20 | )18 |   |   | FY | 201 | 9  |             | F | Y 2  | 2020 | )    |     | FY  | 202 | 1 |   |
|  | 1 | 2  | 2 :  | 3  | 4 | 1 | 2    |     | 3    | 4    | 1                  | 2    | 3   | 4 | 1 | 2  | 2    | 3   | 4 | 1 | 2  | 3   | 4  | •           | 1 | 2    | 3    | 4    | 1   | 2   | 3   | 4 |   |
| Program Initiation   |   |    |      |    | ĺ |   |      |     |      |      |                    |      |     |   |   |    |      |     |   |   |    |     | ·  |             |   |      |      |      |     |     |     | _ | 7 |
| Phase 1 Contract Awards                                      |   |    |      |    |   |   |      |     |      |      |                    |      |     |   |   |    |      |     |   |   |    |     |    |             |   |      |      |      |     |     |     |   |   |
| Standard Interface Design Review                             |   |    |      |    |   |   |      |     |      |      |                    |      |     |   |   |    |      |     |   |   |    |     |    |             |   |      |      |      |     |     |     |   |   |
| Heterogeneous Chip Modular Design Review                     |   |    |      |    |   |   |      |     |      |      |                    |      |     |   |   |    |      |     |   |   |    |     |    |             |   |      |      |      |     |     |     |   |   |
| Precise Robust Inertial Guidance for<br>Munitions (PRIGM)    |   |    |      |    |   |   |      |     |      |      |                    |      |     |   |   |    |      |     |   |   |    |     |    |             |   |      |      |      |     |     |     |   |   |
| Program Initiation   |   |    |      |    |   |   |      |     |      |      |                    |      |     |   |   |    |      |     |   |   |    |     |    |             |   |      |      |      |     |     |     |   |   |
| Government Evaluation of Inertial Sensors                    |   |    |      |    |   |   |      |     |      |      |                    |      |     |   |   |    |      |     |   |   |    |     |    |             |   |      |      |      |     |     |     |   |   |
| Phase 1 to 2 Transition Decision                             |   |    |      |    |   |   |      |     |      |      |                    |      |     |   |   |    |      |     |   |   |    |     |    |             |   |      |      |      |     |     |     |   | 1 |

| Exhibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Research Projects AgencyDate: February 2016 |   |  |  |  |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603739E <i>I ADVANCED</i><br>ELECTRONICS TECHNOLOGIES |  | umber/Name)<br>IXED TECHNOLOGY<br>TION |  |  |  |  |  |  |  |  |

# Schedule Details

|  | Sta     | art  | En                                    | d    |
|--|---------|------|---------------------------------------|------|
| Events by Sub Project  | Quarter | Year | Quarter                               | Year |
| Endurance  |         |      |                                       |      |
| System Integration Critical Design Review                      | 4       | 2015 | 4                                     | 2015 |
| Fabricate and Test Subsystem                                   | 3       | 2016 | 3                                     | 2016 |
| Integrated System Initial Laboratory Test                      | 2       | 2017 | 2                                     | 2017 |
| Live Fire Range Test   | 4       | 2017 | 4                                     | 2017 |
| Diverse & Accessible Heterogeneous Integration (DAHI)          |         |      |                                       |      |
| HI Complex Circuit Design                                      | 2       | 2015 | 3                                     | 2015 |
| HI Complex Circuit Fabrication and Test                        | 4       | 2015 | 3                                     | 2016 |
| HI Complex Circuit Iteration Design                            | 1       | 2016 | 3                                     | 2016 |
| HI Complex Circuit Iteration Fabrication and Test              | 3       | 2016 | 2                                     | 2017 |
| FLASH - Scaling Fiber Arrays at Near Perfect Beam Quality      |         |      |                                       |      |
| Compact Laser Preliminary Design Review                        | 4       | 2015 | 4                                     | 2015 |
| Compact Laser Critical Design Review                           | 2       | 2016 | 2                                     | 2016 |
| Compact Laser Amplifier Prototype                              | 4       | 2016 | 4                                     | 2016 |
| Integrated Laser System Initial Test                           | 2       | 2017 | 2                                     | 2017 |
| Integrated Laser System Final Demonstration                    | 4       | 2017 | 4                                     | 2017 |
| Direct SAMpling Digital ReceivER (DISARMER)                    |         |      |                                       |      |
| Full System Demonstration                                      | 3       | 2015 | 3                                     | 2015 |
| Integration of Sub-Modules                                     | 3       | 2015 | 3                                     | 2016 |
| Final System Demonstration                                     | 4       | 2016 | 4                                     | 2016 |
| Common Heterogeneous integration & IP reuse Strategies (CHIPS) |         |      | · · · · · · · · · · · · · · · · · · · |      |
| Program Initiation   | 1       | 2016 | 1                                     | 2016 |

PE 0603739E: ADVANCED ELECTRONICS TECHNOLOGIES Defense Advanced Research Projects Agency

| hibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced I | Research Projects Age | ency   |      |   | Date: Febr                        | uary 2016 |
|--|-----------------------|--|------|---|-----------------------------------|-----------|
| propriation/Budget Activity<br>00 / 3                          | PE 0603739E           | Element (Number<br>I ADVANCED<br>S TECHNOLOGIE |      |   | Number/Nan<br>MIXED TECH<br>ATION |           |
|  | Sta                   | art  |      | E | nd                                |           |
| Events by Sub Project  |                       | Quarter  | Year |   | Quarter                           | Year      |
| Phase 1 Contract Awards  |                       | 3  | 2016 |   | 3                                 | 2016      |
| Standard Interface Design Review                               |                       | 2  | 2017 |   | 2                                 | 2017      |
| Heterogeneous Chip Modular Design Review                       |                       | 4  | 2017 |   | 4                                 | 2017      |
| Precise Robust Inertial Guidance for Munitions (PRIGM)         |                       |  |      | ı |                                   |           |
| Program Initiation   |                       | 1  | 2016 |   | 1                                 | 2016      |
| Government Evaluation of Inertial Sensors                      |                       | 3  | 2016 |   | 3                                 | 2016      |
| Phase 1 to 2 Transition Decision                               |                       | 3  | 2017 |   | 3                                 | 2017      |

| Exhibit R-2, RDT&E Budget Iten  | bit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced Research Projects Agency |         |         |                                |                |                  |           |         |         |         |                     |               |  |  |
|---|---|---------|---------|--------------------------------|----------------|------------------|-----------|---------|---------|---------|---------------------|---------------|--|--|
| Appropriation/Budget Activity<br>0400: Research, Development, Te<br>Advanced Technology Developme | A 3:  | -       |         | <b>t (Number/</b><br>/AND, CON | ,              | COMMUN           | IICATIONS | SYSTEMS |         |         |                     |               |  |  |
| COST (\$ in Millions)   | Prior<br>Years  | FY 2015 | FY 2016 | FY 2017<br>Base                | FY 2017<br>OCO | FY 2017<br>Total | FY 2018   | FY 2019 | FY 2020 | FY 2021 | Cost To<br>Complete | Total<br>Cost |  |  |
| Total Program Element   | -   | 229.945 | 201.335 | 155.081                        | -              | 155.081          | 185.554   | 174.104 | 163.853 | 164.183 | -                   | -             |  |  |
| CCC-02: INFORMATION<br>INTEGRATION SYSTEMS  | -   | 124.497 | 102.415 | 93.781                         | -              | 93.781           | 129.204   | 123.909 | 142.233 | 152.183 | -                   | -             |  |  |
| CCC-04: SECURE<br>INFORMATION AND<br>NETWORK SYSTEMS  | -   | 2.450   | 0.000   | 0.000                          | -              | 0.000            | 0.000     | 0.000   | 0.000   | 0.000   | -                   | -             |  |  |
| CCC-06: COMMAND,<br>CONTROL AND<br>COMMUNICATION SYSTEMS  | -   | 102.998 | 98.920  | 61.300                         | -              | 61.300           | 56.350    | 50.195  | 21.620  | 12.000  | -                   | -             |  |  |

#### A. Mission Description and Budget Item Justification

The Command, Control and Communications Systems program element is budgeted in the Advanced Technology Development Budget Activity because its purpose is to demonstrate and evaluate advanced information systems research and development concepts.

The goal of the Information Integration Systems project is to develop and demonstrate technologies that will provide effective communications to U.S. forces. The success of military operations depends on timely, reliable, secure, and synchronized dissemination of command and control and relevant situational awareness information to every military echelon. While wired communications and networks are fairly well developed, providing assured high-bandwidth mobile wireless capabilities that match or exceed commercial wired infrastructure is needed to meet the demands of military users. Approaches to this goal include developing technologies in these areas:

- High-Capacity Links technologies enables greater back-haul capability.
- Advanced Networking technologies supports resilience, adaptability, and scalability.
- Low Probability of Detection and Anti-Jam (LPD/AJ) technologies provides assured communications in a very high-threat environments.
- Novel Radio Frequency and Spectral Sensing (RF/SS) supports efficient spectrum management in congested environments and detection of electromagnetic threats.

The Secure Information and Network Systems project developed and demonstrated computer and network technologies and systems suitable for use in military networks, U.S. government enterprise networks, critical infrastructure, and embedded computing systems. The project developed, integrated, and tested technologies for re-using software components.

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 D  | efense Advanced     | Research Projects | s Agency            | Date:            | February 2016 |
|--|---------------------|-------------------|---------------------|------------------|---------------|
| Appropriation/Budget Activity  |                     | R-1 Program Ele   | ement (Number/Name) |                  |               |
| 0400: Research, Development, Test & Evaluation, Defense-1<br>Advanced Technology Development (ATD) | <i>Vide I</i> BA 3: | PE 0603760E / C   | COMMAND, CONTROL    | AND COMMUNICATIO | ONS SYSTEMS   |
| B. Program Change Summary (\$ in Millions)   | FY 2015             | FY 2016           | FY 2017 Base        | FY 2017 OCO      | FY 2017 Total |
| Previous President's Budget  | 239.265             | 201.335           | 122.646             | -                | 122.646       |
| Current President's Budget   | 229.945             | 201.335           | 155.081             | -                | 155.081       |
| Total Adjustments  | -9.320              | 0.000             | 32.435              | -                | 32.435        |
| <ul> <li>Congressional General Reductions</li> </ul>   | 0.000               | 0.000             |                     |                  |               |
| <ul> <li>Congressional Directed Reductions</li> </ul>  | 0.000               | 0.000             |                     |                  |               |
| <ul> <li>Congressional Rescissions</li> </ul>  | 0.000               | 0.000             |                     |                  |               |
| <ul> <li>Congressional Adds</li> </ul>   | 0.000               | 0.000             |                     |                  |               |
| <ul> <li>Congressional Directed Transfers</li> </ul>   | 0.000               | 0.000             |                     |                  |               |
| Reprogrammings   | -2.033              | 0.000             |                     |                  |               |
| SBIR/STTR Transfer   | -7.287              | 0.000             |                     |                  |               |
| <ul> <li>TotalOtherAdjustments</li> </ul>  | -                   | -                 | 32.435              | -                | 32.435        |

#### **Change Summary Explanation**

FY 2015: Decrease reflects reprogrammings and the SBIR/STTR transfer.

FY 2016: N/A

FY 2017: Increase reflects expansion of Project CCC-06 programs.

| Exhibit R-2A, RDT&E Project J              | nibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |         |         |                 |                |                  |  |         |                                     |          |                     |               |  |
|--|--|---------|---------|-----------------|----------------|------------------|--|---------|-------------------------------------|----------|---------------------|---------------|--|
| Appropriation/Budget Activity<br>0400 / 3  |  |         |         |                 | PE 060376      | OE / COM         | <b>t (Number/</b><br>//AND, CON<br>ONS SYSTE | ITROĹ   | Project (N<br>CCC-02 / I<br>SYSTEMS | NFORMATI | ne)<br>ION INTEGF   | RATION        |  |
| COST (\$ in Millions)                      | Prior<br>Years   | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018                                      | FY 2019 | FY 2020                             | FY 2021  | Cost To<br>Complete | Total<br>Cost |  |
| CCC-02: INFORMATION<br>INTEGRATION SYSTEMS | -  | 124.497 | 102.415 | 93.781          | -              | 93.781           | 129.204                                      | 123.909 | 142.233                             | 152.183  | -                   | -             |  |

#### A. Mission Description and Budget Item Justification

The success of military operations depends on timely, reliable, secure, and synchronized dissemination of command and control and relevant situational awareness information to every military echelon. While wired communications and networks are fairly well developed, providing assured high-bandwidth mobile wireless capabilities that match or exceed commercial wired infrastructure is needed to meet the demands of military users. The goal of the Information Integration Systems project is to develop and demonstrate technologies that will provide effective communications to U.S. forces. Approaches to this goal include developing technologies in these areas:

- High-Capacity Links technologies enables greater back-haul capability.
- Advanced Networking technologies supports resilience, adaptability, and scalability.
- Low Probability of Detection and Anti-Jam (LPD/AJ) technologies provides assured communications in very high-threat environments.
- Novel Radio Frequency and Spectral Sensing (RF/SS) supports efficient spectrum management in congested environments and detection of electromagnetic threats.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: 100 Gb/s RF Backbone  | 13.200  | 21.750  | 15.638  |
| <b>Description:</b> The proliferation of video, voice, chat, and other important data-streams on the battlefield is driving a need for higher capacity, reliable, assured, and all-weather communications that are deployable on a wide range of air, ground, and maritime platforms. The goal of this High-Capacity Links technologies program is to demonstrate a 100 Gigabit-per-second (Gb/s) radio frequency (RF) backbone that will meet the anticipated mid-term (within 3-10 years) wireless networking requirements of deployed military forces. DARPA's hybrid Free Space Optical RF Communications Adjunct (ORCA) system has broken the 10 Gb/s wireless network boundary using free-space optical links, but all-weather Ku band components are currently limited to much less than 1Gb/s capacity. Furthermore, the hybrid optical/RF system exhibits size, weight, and power (SWaP) consumption characteristics that preclude deployment on many SWaP-limited platforms. Moving to a millimeter-wave (mmW) solution will provide high capacity and all-weather resiliency, but presents technical challenges that include the generation of higher-order waveforms (beyond common data link), efficient power transmission, high-speed routing, and low-noise receivers. This program seeks to develop the constituent subsystems (waveform generation, efficient power amplifiers, and receivers) and spatial multiplexing architectures to construct an all-weather mmW 100 Gb/s backbone at half the SWaP consumption of the current ORCA system. The 100 Gb/s RF Backbone program is intended for transition to multiple Services. |         |         |         |
| <b>FY 2015 Accomplishments:</b> - Built and evaluated modulators capable of generating higher-order waveforms and demodulators capable of digitizing the higher-order waveforms.   |         |         |         |

SYST...

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency  |                                    | Date: F | ebruary 2016                |         |
|--|---|------------------------------------|---------|-----------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603760E / COMMAND, CONTROL<br>AND COMMUNICATIONS SYSTEMS  |                                    |         | <b>lame)</b><br>ATION INTEC | GRATION |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | F                                  | Y 2015  | FY 2016                     | FY 2017 |
| <ul> <li>Evaluated higher-order modulation approaches at mmW frequencies in field of</li> <li>Evaluated hardware and software capable of spatially multiplexing and de-me</li> <li>Evaluated mmW spatial multiplexing approaches to distances at or beyond th</li> <li>Commenced design and development of an integrated prototype system that multiplexing.</li> </ul>  | ultiplexing multiple mmW signals.<br>ne Rayleigh Range.   |                                    |         |                             |         |
| <ul> <li>FY 2016 Plans:</li> <li>Continue to reduce the size, weight, and power of the system components to endurance aerial platforms.</li> <li>Conduct laboratory tests of merged higher-order modulation and spatial multi-</li> <li>Initiate prototype performance evaluation planning for mountain-to-ground test</li> <li>Conduct initial prototype testing using multiple system configurations to characterized and the system configurations to charact</li></ul> | iplexing technologies.<br>sts at a Government test range.   |                                    |         |                             |         |
| <ul> <li>FY 2017 Plans:</li> <li>Conduct multiple field tests of the prototype hardware at a Government test r</li> <li>Integrate prototype onto test aircraft and conduct air-to-ground testing at a G</li> <li>Transition the 100 Gb/s RF Backbone system to multiple Services.</li> </ul>   |   |                                    |         |                             |         |
| Title: Spectrum Efficiency and Access  |   |                                    | 17.462  | 16.990                      | 15.752  |
| <b>Description:</b> Current Presidential Initiatives, FCC Broadband Task Force, and transition large swaths of spectrum (up to 500 MHz) from Federal (DoD is the p telecommunications. The DoD will need more highly integrated and networked will therefore need new technology that requires less spectrum to operate. The program is to investigate improvements in spectral reuse, such as spectrum sh leverage technical trends in cooperative sharing to exploit radar anti-jam and in enable spectrum sharing by allowing overlay of communications within the same exploring real-time control data links between radars and communications syste components to enable radars and communication networks to operate in close spectrum loss into a net gain of up to hundreds of MHz in capacity. Technolog DoD.  | primary contributor) to civilian use for broadbar<br>data/sensor capacity over the next decades a<br>e objective of the Spectrum Efficiency and Acc<br>aring of sensor/radar bands. The program winterference mitigation technologies that could<br>ne spectral footprint. The approach will include<br>ems, and developing the advanced waveform<br>proximity. The ultimate goal is to turn the Do | and<br>ess<br>l<br>e<br>s and<br>D |         |                             |         |
| <b>FY 2015 Accomplishments:</b> - Modeled and assessed multiple mechanisms for spatial and temporal spectru networks.  | um sharing between radars and communication   | ns                                 |         |                             |         |

PE 0603760E: COMMAND, CONTROL AND COMMUNICATIONS SYST... Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense A  | dvanced Research Projects Agency  | Date: F   | ebruary 2016                       | i           |  |  |  |
|---|---|---|------------------------------------|-------------|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3   | PE 0603760E / COMMAND, CONTROL  | Project (Number/I<br>CCC-02 / INFORM<br>SYSTEMS | mber/Name)<br>FORMATION INTEGRATIC |             |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015   | FY 2016                            | FY 2017     |  |  |  |
| <ul> <li>Developed and assessed a baseline set of strategies to defend<br/>information between military radars and commercial communication<br/>- Developed concepts for a control system to manage mechanism<br/>systems.</li> <li>Demonstrated technologies for signal separation between radar<br/>place, and frequency.</li> <li>Developed concepts and approaches for a joint system design is<br/>systems operating in a shared spectrum allocation that improves of<br/>environments.</li> </ul>   | ons systems.<br>ns for spectrum sharing between radars and communication<br>and communications systems operating at the same time,<br>between military radar and military communications  | 1   |                                    |             |  |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Model and assess methods for automatically mitigating interfering communications devices.</li> <li>Develop and assess updated strategies to defend military system between military radars and commercial communications systems</li> <li>Develop baseline version of control system to manage spectrum</li> <li>Conduct laboratory demonstrations of spectrum sharing among systems that incorporates multiple sharing mechanisms.</li> <li>Perform initial vulnerability assessment of the spectrum sharing attacks.</li> <li>Model and assess performance of jointly designed military radar spectrum allocation in electronic countermeasure operating environment.</li> </ul> | ms against threats created by sharing spectrum information<br>s.<br>n sharing mechanisms.<br>conforming radar and military and commercial communication<br>control system and sharing mechanisms through simulated<br>r and military communications systems operating in a shared | ons   |                                    |             |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Develop improved version of control system to manage spectru</li> <li>Modify military and commercial radio and communications syste</li> <li>Conduct field demonstrations of spectrum sharing among conformultiple sharing mechanisms.</li> <li>Reassess vulnerability of the spectrum sharing control system a</li> <li>Develop methods for automatically mitigating interfering transmic communications devices and assess through simulations.</li> </ul>   | ems to support spectrum sharing mechanisms.<br>rming radar and communications systems that incorporates<br>and sharing mechanisms through simulated attacks.  |   |                                    |             |  |  |  |
| Title: Advanced RF Mapping  |   | 17.705  | 17.125                             | 11.866      |  |  |  |
| <b>Description:</b> One of the key advantages on the battlefield is the a environment, enabling reliable and assured communications, as v   | vell as effectively mapping and manipulating the adversary's  | RF)   |                                    |             |  |  |  |
| PE 0603760E: COMMAND, CONTROL AND COMMUNICATIONS<br>SYST  | UNCLASSIFIED  |   |                                    | ume 1 - 245 |  |  |  |

Defense Advanced Research Projects Agency

Volume 1 - 245

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advance  | ced Research Projects Agency  |                                   | Date: F | ebruary 2016         | 6       |
|---|---|-----------------------------------|---------|----------------------|---------|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603760E / COMMAND, CONTROL<br>AND COMMUNICATIONS SYSTEMS  | Project (N<br>CCC-02 /<br>SYSTEMS | INFORM  | Name)<br>IATION INTE | GRATION |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY                                | 2015    | FY 2016              | FY 2017 |
| communications in ways that defy their situational awareness, understat<br>based, with the signal processing techniques focused on array and time<br>environment becomes more complex and cluttered, the number of collect<br>inhibits our capability to pervasively sense and manipulate at the precise<br>action. To address these Radio Frequency and Spectral Sensing (RF/S<br>will develop and demonstrate new concepts for sensing and manipulatic<br>centralized collection. This approach will take advantage of the prolifer<br>the battlefield. To leverage these existing devices effectively, the progreenvironment with minimal communication load between devices. It will<br>of the RF environment and the distributed proximity of RF devices to pre-<br>warfighter as well as to infiltrate or negate our adversaries' communication<br>within other programs within this project, the Advanced RF Mapping pro-<br>in complex RF environments. Advanced RF Mapping technology is plan- | e-based processing for each emitter. As the RF<br>ection assets and the required level of signal processir<br>sion (time, frequency, and space) required for effective<br>SS) challenges, the Advanced RF Mapping program<br>ng the RF environment based on distributed rather that<br>ration of RF devices, such as radios and cell phones, of<br>ram will develop new algorithms that can map the RF<br>also develop approaches to exploit our precise knowl<br>rovide reliable and assured communications for our<br>tions networks. Building upon technologies investigate<br>ogram will enable both offensive and defensive operations | an<br>on<br>edge<br>ed            |         |                      |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Carried out field experiments that demonstrated use of currently depl mapping network.</li> <li>Developed a software layer that simplifies addition of new capabilities been fielded.</li> <li>Demonstrated improved battlefield spectrum planning and spectrum rutilization information from RF sensors.</li> <li>Developed a command and control system for optimizing use of device</li> <li>Developed and demonstrated geo-location capability of RF emitters used.</li> </ul>   | s to the heterogeneous RF mapping network after it hat<br>management operations through feedback of spectrur<br>ces as RF sensors in a changing operational environn  | as<br>n                           |         |                      |         |
| <ul> <li>FY 2016 Plans:</li> <li>Conduct RF Mapping tactical demonstrations.</li> <li>Develop a baseline sensor management user interface and command task RF devices and configure the RF mapping system.</li> <li>Develop a baseline user interface for presenting RF mapping information.</li> <li>Develop software for interconnecting the RF mapping capability with cueing and results sharing.</li> <li>Develop interface control documentation (ICD) that permits vendors to applications for use as additional RF Mapping sensors.</li> </ul>  | ation to tactical units.<br>other tactical Electronic Warfare (EW) systems enabli   |                                   |         |                      |         |
| PE 0603760E: COMMAND. CONTROL AND COMMUNICATIONS  |   |                                   |         |                      |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ac  | dvanced Research Projects Agency  | Date: F  | ebruary 2016 |         |  |
|--|---|--|--------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 3  | Project (Number/N<br>CCC-02 / INFORM<br>SYSTEMS   | Name)<br>IATION INTEGRATION                            |              |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015  | FY 2016      | FY 2017 |  |
| - Develop software for storing RF maps and querying the stored d   | ata for both tactical use and post-mission analysis.  |  |              |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Enhance the baseline sensor management and RF Mapping use</li> <li>Develop final Command and Control (C2) software configuration architectures, to enhance RF sensing capacity.</li> <li>Continue to participate in Service exercises to demonstrate the sension new tactics, techniques and procedures.</li> </ul>   | s to integrate RF Mapping sensors into existing Service   | ıd   |              |         |  |
| <i>Title:</i> Communication in Contested Environments (C2E)  |   | 18.000   | 18.000       | 9.26    |  |
| <b>Description:</b> Building upon the technologies explored and develop<br>Systems (CLASS) program budgeted in this PE/Project, the Comm<br>to address communications problems anticipated in networked aird<br>Expected growth in sensor systems, unmanned systems, and inter-<br>that our current communications technology can support in the con-<br>the DoD will need new techniques to quickly and efficiently accom-<br>capabilities, specifically communications systems with higher capa-<br>detectability. As part of Advanced Networking technologies efforts<br>approach: first, to develop heterogeneous networking capabilities a<br>Low Probability of Detection (LPD), Anti-Jam (AJ), low latency, and<br>Second, to create a government controlled and maintained referen-<br>commercial communication architectures. The defense contractor<br>upon this reference architecture. Finally, C2E will create a govern<br>refresh of communications technology and allow third party native<br>communications technologies. Technologies from this program arc   | nunication in Contested Environments (C2E) program will<br>borne systems in the mid-21st century.<br>Intervented weapons systems will strain the size of network<br>networked weapons systems will strain the size of network<br>networked weapons adversary capabilities advance,<br>modate better networking and improved communications<br>icity, lower latency, greater jamming resistance, and reduce<br>to the C2E program addresses these needs with a three-pr<br>and advanced communication technology for airborne systems<br>d high capacity communication protocols will be developed<br>ice architecture for communications systems that draws fr<br>community can build specific communications systems bar<br>ment controlled development environment to allow rapid<br>application and waveform developers to contribute their or | seek<br>ks<br>ed<br>onged<br>tems.<br>J.<br>om<br>ased |              |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Designed, built, and tested the RF Transceiver and Digital waveform the DARPA CLASS program.</li> <li>Designed, built, and tested a communications reference hardware</li> <li>Decomposed waveform implementations into re-usable processing reference hardware, including initial design for an application-species.</li> <li>Tested infrastructure networking code on the reference system and the second seco</li></ul> | re system to support L-band and microwave communication<br>ng elements and compiled representative waveforms for t<br>ific integrated circuit (ASIC).   | ons.   |              |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced R  |   | Date: February 2016                  |         |                      |         |  |  |  |  |
|--|---|--------------------------------------|---------|----------------------|---------|--|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603760E / COMMAND, CONTROL<br>AND COMMUNICATIONS SYSTEMS  |                                      |         | lame)<br>ATION INTEC | GRATION |  |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   |                                      | FY 2015 | FY 2016              | FY 2017 |  |  |  |  |
| - Deployed the first instantiation of the software development environment for and applications.   | or streamlined creation of C2E compliant wavefo   | orms                                 |         |                      |         |  |  |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete development of advanced network processing functions for implete Finalize and integrate LPD/AJ capabilities.</li> <li>Release updated version of the combined software architecture, developmenvironment, and repository.</li> <li>Demonstrate Heterogeneous Networking LPD/AJ features, and implement small form factor radio.</li> <li>Finalize development of the C2E waveforms and demonstrate performance</li> <li>Demonstrate airborne tactical network waveform interoperability on the C2</li> <li>Enhance the software development environment to improve functionality at FY 2017 Plans:</li> <li>Finalize verification testing and system integration of the C2E ASIC.</li> <li>Complete development of the C2E ASIC operating system, hardware drive</li> <li>Demonstrate legacy waveform interoperability on the small form factor radio</li> </ul>   | ent environment and tool set, verification<br>an initial prototype of the C2E reference design<br>e through laboratory testing.<br>E reference architecture.<br>nd ease of use.<br>ers, and encoder drivers.<br>rated C2E ASIC.   | on a                                 |         |                      |         |  |  |  |  |
| <i>Title:</i> Communications Module - Millimeter-wave (COMMO-MMW)  |   |                                      | -       | 7.000                | 22.762  |  |  |  |  |
| <b>Description:</b> The Communications Module - Millimeter-wave (COMMO-MMV millimeter wave (mm-wave) active electronically scanned array (AESA) modulinks. The module will focus on low cost connectivity of weapons platforms are exploitation of mass manufacturing techniques at the chip scale and a reduct into existing platforms. The COMMO-MMW module will operate in the high fr to take advantage of reduced competition for bandwidth compared to the incluse platforms are enhance system performance, the COMMO-MMW program will realize afford ubiquitous across the domains of modern warfare. Additionally, mm-wave op data rate communications links that are intrinsically jam resistant and low processes and atmospheric propagation characteristics at these frequencies. The lack of wave band will further increase the military advantage gained by this capabilit semiconductor devices and circuits for high performance, high power efficient and/or heterogeneous integration approaches to build a compact, scalable, respectively. | ule to enable high-performance communications<br>and systems. The cost will be reduced through<br>tion in size of the system which will aid in retrofit<br>requency portion of the electromagnetic spectrum<br>reasingly congested bands at lower frequencies<br>and advances in compound semiconductors to<br>dable mm-wave communications that can be ma<br>beration offers the potential for extremely high<br>obability of detection due to narrow beamwidths<br>of commercial component technology in the mm<br>ity. This program will develop the critical compo-<br>ncy mm-wave front end electronics, and will appli- | ting<br>n<br>de<br>-<br>und<br>y 3-D |         |                      |         |  |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency   |            | Date: F | ebruary 2016         | ;       |
|--|--|------------|---------|----------------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603760E / COMMAND, CONTROL<br>AND COMMUNICATIONS SYSTEMS   |            |         | lame)<br>ATION INTEC | GRATION |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |            | FY 2015 | FY 2016              | FY 2017 |
| revolutionize Command, Control, Communications, Computers, Intelligence, S<br>but also make it possible and affordable to retrofit existing military systems and<br>capability to smaller platforms. Technologies developed under this program wil<br>capability of "fiber-like" connectivity rates in infrastructure free environments.   | d extend high performance communications lin   | k İ        |         |                      |         |
| <ul> <li>FY 2016 Plans:</li> <li>Analyze and design a compact, scalable, mm-wave AESA module supporting range power-constrained missions.</li> <li>Define specifications for the critical components of a 4 x 4 element AESA.</li> <li>Develop and demonstrate integration approaches for a compact, scalable, m high power-added efficiency.</li> </ul>   | -  |            |         |                      |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop and demonstrate mm-wave devices and circuits to be integrated for</li> <li>Develop a system integration and test plan for the 4x4 element AESA system</li> <li>Develop and demonstrate a low-bandwidth communications link based on the</li> </ul>  | n.   |            |         |                      |         |
| <i>Title:</i> Dynamic Network Adaptation for Mission Optimization (DyNAMO)*  |  |            | -       | 5.050                | 18.500  |
| Description: *Formerly Self-Optimizing Networks  |  |            |         |                      |         |
| Wireless networks have evolved into complex systems having many configural<br>power settings, inter-network gateways, and security associations. The optima<br>on the mission for which the network is deployed and the environment in which<br>features are optimized off-line for specific scenarios and assumptions and are<br>capability for the settings to adapt if the actual mission or environment differs fit<br>the network. The problem is exacerbated in scenarios in which intelligent adver<br>of the network unpredictably and on short timescales. Furthermore, future oper<br>interconnected on the same platform, and those existing networks lack a common<br>Network Adaptation for Mission Optimization (DyNAMO) program will develop<br>preventing information sharing across independent airborne networks and develop<br>metworks and networks of networks for operation in dynamic and contested em-<br>within legacy and future military networks, interactions between networks, and<br>support mission success. Technologies developed under this program will trans- | al settings for these features vary greatly depend<br>it is operating. Currently, the majority of these<br>pre-set before use in a mission. There is no<br>room the original assumptions used to configure<br>ersaries can affect the topology and operation<br>erations will include multiple, different radios<br>non standard for interoperability. The Dynamic<br>software that addresses the incompatibilities<br>elop new approaches to configure and control<br>vironments. The program will address optimiz<br>availability of necessary network services to | nding<br>e |         |                      |         |
| FY 2016 Plans:   |  |            |         |                      |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency   |   | Date: F                                   | ebruary 2016 | ;       |
|--|--|---|---|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603760E / COMMAND, CONTROL<br>AND COMMUNICATIONS SYSTEMS   |   | <b>ct (Number/N</b><br>02 / INFORM<br>EMS |              | GRATION |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |   | FY 2015                                   | FY 2016      | FY 2017 |
| <ul> <li>Commence development of candidate near-real-time optimization algorithms<br/>affected by advanced threats.</li> <li>Propose and analyze candidate inter-network coordination and decentralized<br/>peer adversary.</li> <li>Commence development of mission-based network architecture control and</li> <li>Conduct testing of individual technology developments in an emulation environment</li> </ul>  | d network services for operation in the presence information delivery mechanisms.  |   |   |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Continue development of near-real-time optimization algorithms.</li> <li>Develop and integrate inter-network coordination and decentralized network</li> <li>Continue development and integration of mission-based network architecture</li> <li>Conduct system-level emulation test of system with internetwork coordination</li> <li>Conduct hardware-in-the-loop test of system with internetwork coordination and</li> </ul>  | e control and information delivery mechanisms<br>n and mission-based control.  |   |   |              |         |
| Title: Wireless Network Defense  |  |   | 18.880                                    | 16.500       | -       |
| <b>Description:</b> A highly networked and enabled force increases efficiency, effect available when it is needed and at the appropriate location (person/platform/sy reliable wireless communications to all U.S. forces, platforms, and devices in a this effort, the Spectrum Efficiency and Access program in this PE/Project was commercial communications and radar systems when occupying the same spectechnologies effort, the Wireless Network Defense program increases wireless with the ultimate vision of making high quality data services pervasive through advanced threats particular to the security of wireless networks. The program network to identify sources of misinformation, whether malicious or due to poor of the complex system, and mitigate the corresponding effects. Technologies Services. | stem). Accomplishing this depends on providi<br>ill phases of conflict. Based on initial work und<br>created to enable reliable operation of military<br>ectrum bands. As part of the Advanced Netwo<br>network capacity and reliability for tactical use<br>but the DoD. The primary focus is mitigation of<br>intends to leverage the capabilities of the dyna<br>r configuration, across the functional compone | ng<br>ler<br>v and<br>rks<br>ers,<br>f<br>amic<br>nts |   |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed integration of candidate algorithms and protocols for protecting nemisinformation attacks in laboratory-based prototype systems.</li> <li>Created emulation testbed for evaluating performance of network under variation.</li> <li>Tested resilience of prototype capabilities in a laboratory environment.</li> <li>Refined protection mechanisms based on test findings and began development.</li> </ul>  | ous network attacks.   |   |   |              |         |
|  |  |   |   |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced  | Research Projects Agency  |  | Date: F | ebruary 2016        | 6            |
|--|---|--|---------|---------------------|--------------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603760E / COMMAND, CONTROL<br>AND COMMUNICATIONS SYSTEMS  |  |         | lame)<br>ATION INTE | GRATION      |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | F  | Y 2015  | FY 2016             | FY 2017      |
| - Quantified the performance impact of network misconfiguration in simula  | ations of networks in contested environments.   |  |         |                     |              |
| <ul> <li>FY 2016 Plans:</li> <li>Increase severity of attacks on prototype system and continue to test residence of prototype capabilities against advanced attacks in a fire</li> <li>Refine protection mechanisms based on test findings and begin develop</li> <li>Integrate with military tactical radios and quantify the performance impact</li> </ul>   | or field experiments.<br>eld environment.<br>ment of systems for transition to military tactical ra   | adios.   |         |                     |              |
| <i>Title:</i> Computational Leverage Against Surveillance Systems (CLASS)  |   |  | 24.600  | -                   | -            |
| <b>Description:</b> Commercial Test and Measurement equipment has advance<br>and wireless local area network technology and can be used to intercept, a<br>signals. The Computational Leverage Against Surveillance Systems (CLA<br>of Detection/Anti-Jam (LPD)/(AJ) technologies, sought new ways to protect<br>sophisticated adversaries in ways that can be maintained as commercial to<br>developed: 1) Waveform Complexity uses advanced communications wav<br>and understanding of the signals itself; 2) Spatial Diversity uses distributed<br>environment to disguise and dynamically vary the apparent location of the<br>the clutter in the signal environment to make it difficult for an adversary to<br>was to make modular communications technology that was inexpensive to<br>\$100 incremental cost) but pushed adversaries to need more than 1,000x<br>power. Another track of the program extended the CLASS technology to p<br>drastically reduced the detectability of communications signals beyond cur<br>techniques to better trade information rate for communications capacity. T<br>Services. | analyze, and exploit our military communications<br>(SS) program worked to expand Low Probability<br>et our signals from exploitation by increasingly<br>echnology advances. Three different techniques<br>eforms that are difficult to recover without knowled<br>d communications devices and the communication<br>signal; and 3) Interference Exploitation makes us<br>isolate a particular signal. The program's objective<br>incorporate in existing and emerging radio syster<br>our processing power - supercomputer-level proc<br>provide LPD communications. These techniques<br>rent capabilities. Scalable performance allowed L | were<br>dge<br>e of<br>e<br>ms (<<br>essing<br>_PD |         |                     |              |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed concepts for integrating CLASS technologies with aircraft and</li> <li>Measured CLASS modem performance processing power, power consule</li> <li>Integrated CLASS modular technology with host processor.</li> <li>Demonstrated CLASS communication capability with and without interfe</li> <li>Measured CLASS modem transmit power reduction as number of cooper multiple transmitters.</li> <li>Conducted field tests of integrated CLASS system.</li> <li>Analyzed field test data and compared achieved performance to program</li> </ul>  | mption, and radio waveform interoperability.<br>rence against Army threat intercept surrogates.<br>rative transmitters was increased from 1 transmit  | er to  |         |                     |              |
| PE 0603760E: COMMAND, CONTROL AND COMMUNICATIONS SYST  | UNCLASSIFIED  |  |         | N-                  | lumo 1 - 251 |

Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ac   | Ivanced Research Projects Agency  | Date: F   | ebruary 2016 |             |
|---|---|---|--------------|-------------|
| Appropriation/Budget Activity<br>0400 / 3   | PE 0603760E / COMMAND, CONTROL  | Project (Number/N<br>CCC-02 / INFORM<br>SYSTEMS |              | GRATION     |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015   | FY 2016      | FY 2017     |
| - Transitioned CLASS technology to Army and Navy customers.   |   |   |              |             |
| <i>Title:</i> Mobile Hotspots   |   | 14.650  | -            | -           |
| <ul> <li>Description: Communications requirements have grown exponent motion video), Unmanned Aerial Vehicles (UAVs), and the emerge within military networks. However, limited spectrum availability res availability. Supporting the development of Advanced Networks tercapacity data distribution network to interconnect groups of tactical tiered approach of interconnecting cell towers and wireless hotspot technology and airborne networking to develop a self-organizing, 1 directional communications links to interconnect mounted and dism and intelligence, surveillance and reconnaissance (ISR) assets. Lowith commercial and military communications equipment and mour access to mobile users via infrastructure-less hotspots compatible transition to the Army and Marine Corps Expeditionary Forces.</li> <li>FY 2015 Accomplishments:         <ul> <li>Evaluated initial capabilities of the Mobile Hotspot prototype networks of the tests to evaluate air and ground vehicle system improvement.</li> <li>Identified and implemented system and subsystem improvement - Conducted ground testing of integrated air and ground vehicle system configurations.</li> </ul> </li> </ul> | nce of the Soldier/Marine as both an operator and a sensor<br>ults in a large disparity between capacity requirement and<br>chnologies, Mobile Hotspots developed an airborne high<br>users in a manner conceptually similar to the commercial<br>s. Mobile Hotspots exploited advances in millimeter-wave<br>Gb/s mobile tactical airborne network formed from highly-<br>nounted warfighters, dispersed tactical operations centers,<br>ow size, weight, and power (SWaP) designs were integrated<br>inted on tactical UAVs and ground vehicles to provide netwo<br>with existing radios. The Mobile Hotspots program will<br>work and millimeter-wave tactical airborne network in an initi<br>s in preparation for final field experimentation and flight test<br>restems to validate system operation and performance. | d<br>rk<br>al                                   |              |             |
|   | Accomplishments/Planned Programs Subto  | otals 124.497                                   | 102.415      | 93.781      |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks<br>D. Acquisition Strategy<br>N/A<br>E. Performance Metrics<br>Specific programmatic performance metrics are listed above in the<br>PE 0603760E: COMMAND, CONTROL AND COMMUNICATIONS  | program accomplishments and plans section.  |   |              |             |
| SYST  | UNCLASSIFIED  |   | Val          | ume 1 - 252 |
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Defense Advanced Research Projects Agency

Page 12 of 24

| Appropriation/Budge<br>0400 / 3                                    | et Activity                  | ,   |      |               |          | PE 0603       | <b>gram El</b><br>3760E / C<br>D <i>MMUNI</i> |               |      |               |            |                     |               |                                |             |  |
|--|------------------------------|---|------|---------------|----------|---------------|---|---------------|------|---------------|------------|---------------------|---------------|--------------------------------|-------------|--|
| Product Developmer   | nt (\$ in Mi                 | llions)   |      | FY            | 2015     | FY 2          | 016   | FY 2<br>Ba    | -    |               | 2017<br>CO | FY 2017<br>Total    |               |                                |             |  |
| Cost Category Item   | Contract<br>Method<br>& Type | Prior<br>Years  | Cost | Award<br>Date | Cost     | Award<br>Date | Cost  | Award<br>Date | Cost | Award<br>Date | Cost       | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |             |  |
| 100 Gb/s RF Backbone<br>(100G)                                     | C/Various                    | Various : Various                                     | -    | 3.680         |          | 5.900         |   | 7.700         |      | -             |            | 7.700               | Continuing    | Continuing                     | Continuin   |  |
| 100 Gb/s RF Backbone<br>(100G)                                     | C/CPFF                       | NORTHROP<br>GRUMMAN<br>SYSTEMS<br>CORPORATION :<br>CA | -    | 8.771         | Sep 2015 | 12.607        |   | 4.350         |      | -             |            | 4.350               | Continuing    | Continuing                     | Continuin   |  |
| Spectrum Efficiency and Access                                     | C/Various                    | Various : Various                                     | -    | 10.950        |          | 8.942         |   | 10.413        |      | -             |            | 10.413              | Continuing    | Continuing                     | Continuin   |  |
| Spectrum Efficiency and Access                                     | C/CPFF                       | LEIDOS,INC. : VA                                      | -    | 5.353         | Oct 2015 | 6.832         |   | 2.820         |      | -             |            | 2.820               | Continuing    | Continuing                     | , Continuin |  |
| Advanced RF Mapping  | C/Various                    | Various : Various                                     | -    | 6.648         |          | 6.926         |   | 7.273         |      | -             |            | 7.273               | Continuing    | Continuing                     | Continuin   |  |
| Advanced RF Mapping  | C/CPFF                       | LOCKHEED<br>MARTIN<br>CORPORATION :<br>VA             | -    | 8.311         | Sep 2015 | 7.918         |   | 3.750         |      | -             |            | 3.750               | Continuing    | Continuing                     | Continuin   |  |
| Communication in<br>Contested Environments<br>(C2E)                | C/Various                    | Various : Various                                     | -    | 13.797        |          | 13.876        |   | 8.051         |      | -             |            | 8.051               | Continuing    | Continuing                     | Continuin   |  |
| Communications Module -<br>Millimeter-wave (COMMO-<br>MMW)         | C/Various                    | Various : Various                                     | -    | 0.000         |          | 6.500         |   | 13.987        |      | -             |            | 13.987              | Continuing    | Continuing                     | Continuin   |  |
| Dynamic Network<br>Adaptation for Mission<br>Optimization (DyNAMO) | C/Various                    | Various : Various                                     | -    | 0.000         |          | 4.500         |   | 16.900        |      | -             |            | 16.900              | Continuing    | Continuing                     | Continuin   |  |
| Wireless Network Defense   | C/Various                    | Various : Various                                     | -    | 14.145        |          | 12.193        |   | 0.000         |      | -             |            | 0.000               | 0             | 26.338                         | 0           |  |
| Computational Leverage<br>Against Surveillance<br>Systems (CLASS)  | C/Various                    | Various : Various                                     | -    | 18.514        |          | 0.000         |   | 0.000         |      | -             |            | 0.000               | 0             | 18.514                         | C           |  |
| Mobile Hotspots  | C/Various                    | Various : Various                                     | -    | 5.674         |          | 0.000         |   | 0.000         |      | -             |            | 0.000               | 0             | 5.674                          | 0           |  |
| Mobile Hotspots  | C/CPFF                       | L-3<br>COMMUNICATIONS                                 | -    | 6.200         | Nov 2014 | 0.000         |   | 0.000         |      | -             |            | 0.000               | 0             | 6.200                          | C           |  |

PE 0603760E: COMMAND, CONTROL AND COMMUNICATIONS SYST... Defense Advanced Research Projects Agency

Volume 1 - 253

| Exhibit R-3, RDT&E F   | Project C                    | ost Analysis: PB 2                | 2017 Defe      | ense Adva | anced Re      | search Pr | ojects Ag     | lency      |                               |      |               | Date:                                | February            | / 2016        |                                |
|--|------------------------------|-----------------------------------|----------------|-----------|---------------|-----------|---------------|------------|-------------------------------|------|---------------|--------------------------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budge<br>0400 / 3                                    | et Activity                  | /                                 |                |           |               | PE 060    | 3760E/C       | COMMÀN     | umber/Na<br>D, CONT<br>SYSTEN | ROĹ  |               | 2 <b>(Numbe</b> i<br>2 I INFOR<br>MS |                     | INTEGRA       | ATION                          |
| Product Developmer   | nt (\$ in Mi                 | illions)                          |                | FY 2      | 015           | FY 2      | 016           | FY 2<br>Ba | -                             |      | 2017<br>CO    | FY 2017<br>Total                     |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost       | Award<br>Date                 | Cost | Award<br>Date | Cost                                 | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
|  |                              | CORPORATION :<br>UT               |                |           |               |           |               |            |                               |      |               |                                      |                     |               |                                |
|  |                              | Subtotal                          | -              | 102.043   |               | 86.194    |               | 75.244     |                               | -    |               | 75.244                               | -                   | -             | -                              |
| Support (\$ in Million   | s)                           |                                   |                | FY 2      | 015           | FY 2      | 016           | FY 2<br>Ba | -                             |      | 2017<br>CO    | FY 2017<br>Total                     |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost       | Award<br>Date                 | Cost | Award<br>Date | Cost                                 | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Government Support   | MIPR                         | Various : Various                 | -              | 4.980     |               | 4.097     |               | 3.751      |                               | -    |               | 3.751                                | Continuing          | Continuing    | Continuing                     |
|  |                              | Subtotal                          | -              | 4.980     |               | 4.097     |               | 3.751      |                               | -    |               | 3.751                                | -                   | -             | -                              |
| Test and Evaluation  | (\$ in Milli                 | ons)                              |                | FY 2      | 015           | FY 2      | 016           | FY 2<br>Ba | -                             |      | 2017<br>CO    | FY 2017<br>Total                     |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost       | Award<br>Date                 | Cost | Award<br>Date | Cost                                 | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| 100 Gb/s RF Backbone<br>(100G)                                     | C/Various                    | Various : Various                 | -              | 0.069     |               | 0.523     |               | 3.150      |                               | -    |               | 3.150                                | Continuing          | Continuing    | Continuing                     |
| Advanced RF Mapping  | C/Various                    | Various : Various                 | -              | 0.525     |               | 1.220     |               | 0.329      |                               | -    |               | 0.329                                | Continuing          | Continuing    | Continuing                     |
| Communication in<br>Contested Environments<br>(C2E)                | SS/FFP                       | Various : Various                 | -              | 3.836     |               | 3.810     |               | 0.382      |                               | -    |               | 0.382                                | Continuing          | Continuing    | Continuing                     |
| Communications Module -<br>Millimeter-wave (COMMO-<br>MMW)         | C/Various                    | Various : Various                 | -              | 0.000     |               | 0.000     |               | 5.636      |                               | -    |               | 5.636                                | Continuing          | Continuing    | Continuing                     |
| Dynamic Network<br>Adaptation for Mission<br>Optimization (DyNAMO) | C/Various                    | Various : Various                 | -              | 0.000     |               | 0.000     |               | 0.600      |                               | -    |               | 0.600                                | Continuing          | Continuing    | Continuing                     |
| Wireless Network Defense   | C/Various                    | Various : Various                 | -              | 2.385     |               | 1.450     |               | 0.000      |                               | -    |               | 0.000                                | 0                   | 3.835         | 0                              |
| Computational Leverage<br>Against Surveillance<br>Systems (CLASS)  | SS/FFP                       | Various : Various                 | _              | 2.878     |               | 0.000     |               | 0.000      |                               | -    |               | 0.000                                | 0                   | 2.878         | C                              |

PE 0603760E: COMMAND, CONTROL AND COMMUNICATIONS SYST...

Defense Advanced Research Projects Agency

Volume 1 - 254

| Exhibit R-3, RDT&E             | Project Co                   | ost Analysis: PB 2                | 2017 Defe      | ense Adva | anced Re      | search Pr | ojects Ag     | jency                         |               |      |               | Date:            | February                    | 2016          |                                |
|--------------------------------|------------------------------|-----------------------------------|----------------|-----------|---------------|-----------|---------------|-------------------------------|---------------|------|---------------|------------------|-----------------------------|---------------|--------------------------------|
| Appropriation/Budg<br>0400 / 3 | et Activity                  | ,                                 |                |           |               | PE 0603   | 3760E/0       | ement (N<br>COMMAN<br>CATIONS | D, CONT       | ROL  |               |                  | r/ <b>Name)</b><br>MATION I | NTEGRA        | ATION                          |
| Test and Evaluation            | (\$ in Milli                 | ons)                              |                | FY 2      | 2015          | FY 2      | FY 2016       |                               | :017<br>se    |      | 2017<br>CO    | FY 2017<br>Total |                             |               |                                |
| Cost Category Item             | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost                          | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete         | Total<br>Cost | Target<br>Value of<br>Contract |
| Mobile Hotspots                | C/Various                    | Various : Various                 | -              | 1.556     |               | 0.000     |               | 0.000                         |               | -    |               | 0.000            | 0                           | 1.556         | 0                              |
|                                |                              | Subtotal                          | -              | 11.249    |               | 7.003     |               | 10.097                        |               | -    |               | 10.097           | -                           | -             | -                              |
| Management Servic              | es (\$ in M                  | illions)                          |                | FY 2      | 2015          | FY 2      | 016           | FY 2<br>Ba                    |               |      | 2017<br>CO    | FY 2017<br>Total |                             |               |                                |
| Cost Category Item             | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost                          | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete         | Total<br>Cost | Target<br>Value of<br>Contract |
| Management Support             | C/Various                    | Various : Various                 | -              | 6.225     |               | 5.121     |               | 4.689                         |               | -    |               | 4.689            | Continuing                  | Continuing    | Continuing                     |
|                                |                              | Subtotal                          | -              | 6.225     |               | 5.121     |               | 4.689                         |               | -    |               | 4.689            | -                           | -             | -                              |
|                                |                              |                                   | Prior<br>Years | FY 2      | 2015          | FY 2      | 016           | FY 2<br>Ba                    |               |      | 2017<br>CO    | FY 2017<br>Total | Cost To<br>Complete         | Total<br>Cost | Target<br>Value of<br>Contract |
|                                |                              | Project Cost Totals               | -              | 124.497   |               | 102.415   |               | 93.781                        |               | -    |               | 93.781           | -                           | -             | -                              |

Remarks

| Exhibit R-4, RDT&E Schedule Profile: PB 2017 D                       | Defe | nse | Adv  | ance | ed R | lesea | arch | Proj   | jects | Age  | ncy  |   |   |    |      |     |         |      |  |      |   | Date | e: Fe     | brua | ary 2 | 2016 |     |         |
|--|------|-----|------|------|------|-------|------|--|-------|------|------|---|---|----|------|-----|---------|------|--|------|---|------|-----------|------|-------|------|-----|---------|
| Appropriation/Budget Activity<br>0400 / 3                            |      |     |      |      |      |       |      | <b>R-1 Program Element (Number/Name)</b><br>PE 0603760E / COMMAND, CONTROL<br>AND COMMUNICATIONS SYSTEMS |       |      |      |   |   |    |      |     |         |      | <b>Project (Number/Name)</b><br>CCC-02 <i>I INFORMATION INTEGRA</i><br>SYSTEMS |      |   |      |           |      |       |      |     | TIOI    |
|  |      | FY  | 201  | 5    |      | FY    | 201  | 6  |       | FY 2 | 2017 |   |   | FY | 2018 | 018 |         | FY 2 |  | 2019 |   | FY : | 2020      |      |       | FY 2 | 021 | ]       |
|  | 1    |     |      |      | 1    | -     | _    | _  | 1     | 2    | 3    | 4 | 1 | 2  | 3    | 4   | 1       | 2    | 3  | 4    | 1 | 2    | 3         | 4    | 1     | 2    | 3   |         |
| 100 Gb/s RF Backbone   |      | _   |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       | II   |     |         |
| System design and technology development / technology demonstrations |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Prototype testing  |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      | - |      |           |      |       |      |     |         |
| Field testing  |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      | -     |      |     |         |
| System flight testing  |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Spectrum Efficiency and Access                                       |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Demonstration of signal separation technologies                      |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Lab demonstration of spectrum sharing                                |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     | _       |      |  |      |   |      |           |      |       |      |     |         |
| Limited field demonstrations   |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Advanced RF Mapping  |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Field experiments and demonstration                                  |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Demonstration of geo-location capability                             |      | _   |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      | - |      |           |      |       |      |     |         |
| Tactical demonstration   |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      | -     |      |     |         |
| Software development & testing                                       |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Field demonstrations   |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Communication in Contested Environments (C2E)                        |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Transceiver and waveform processor circuit card testing              |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Infrastructure networking code testing                               |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Software development environment deployment                          |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| Software architecture development & release                          |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      | - |      |           |      |       |      |     |         |
| Integrated system demo   |      |     |      |      |      |       |      |  |       |      |      |   |   |    |      |     |         |      |  |      |   |      |           |      |       |      |     |         |
| PE 0603760E: COMMAND, CONTROL AND COM                                | MUI  | VIC | ATIO | NS   |      |       |      | <u> </u>   |       |      |      |   |   |    |      |     |         |      |  |      | - |      | - <u></u> |      |       |      |     |         |
| SYST   |      |     |      |      |      |       | -    |  |       | IFIE | :D   |   |   |    |      |     | 4 1 : - |      | - 0  |      |   |      |           |      |       | Vol  | ume | e 1 - 2 |
| Defense Advanced Research Projects Agency                            |      |     |      |      |      |       | Р    | 'age   | 10.0  | л 24 |      |   |   |    |      | K-' | ı∟ır    | ne # | סכ   |      |   |      |           |      |       |      |     |         |

Defense Advanced Research Projects Agency

| propriation/Budget Activity                                     |   |      |      |   |   |    |     |   | <b>1 Pro</b><br>E 060 |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      | <b>me)</b><br>TION |     |      | אחר | τı   |
|---|---|------|------|---|---|----|-----|---|-----------------------|----|------|---|---|----|----|----|---|---|------|------|-----|---|----|------|------|--------------------|-----|------|-----|------|
| JU 7 S  |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      | STE |   |    | UR   | IVIA | TON                | IIN |      | JRA | .110 |
|   | F | FY 2 | 2015 | ; |   | FY | 201 | 6 |                       | FY | 2017 | 7 |   | FY | 20 | 18 |   | l | FY : | 2019 | 9   |   | F١ | ( 20 | 20   |                    | F   | FY 2 | 021 |      |
|   | 1 | 2    | 3    | 4 | 1 | 2  | 3   | 4 | 4 1                   | 2  | 3    | 4 | 1 | 2  |    | 3  | 4 | 1 | 2    | 3    | 4   | 1 | 2  | 2    | 3    | <b>4</b>           | 1   | 2    | 3   | 4    |
| Networking demonstration  |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Communications Module- Millimeter-wave<br>(COMMO-MMW)           |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Program initiation  |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| COMMO-MMW Sub-Array Integration<br>contract awards              |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Dynamic Network Adaptation for Mission<br>Optimization (DyNAMO) |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Program initiation  |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Mission based network technology testing                        |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| System-level emulation test                                     |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Mission-based network architecture integration                  |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Hardware-in-the-loop system testing                             |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Wireless Network Defense  |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Algorithm and protocol integration                              |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Algorithm and protocol integration testing                      |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Computational Leverage Against<br>Surveillance Systems (CLASS)  |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Software/hardware testing                                       |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Field tests of integrated system                                |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Mobile Hotspots   |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Build, integrate, and test / ground tests                       |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |
| Flight test and demonstration                                   |   |      |      |   |   |    |     |   |                       |    |      |   |   |    |    |    |   |   |      |      |     |   |    |      |      |                    |     |      |     |      |

| Exhibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Resear | ch Projects Agency  | Date: February 2016  |
|---|---|--|
|   | R-1 Program Element (Number/Name)<br>PE 0603760E / COMMAND, CONTROL<br>AND COMMUNICATIONS SYSTEMS | Project (Number/Name)<br>CCC-02 I INFORMATION INTEGRATION<br>SYSTEMS |

# Schedule Details

|  | Sta     | art  | En      | d    |
|--|---------|------|---------|------|
| Events by Sub Project  | Quarter | Year | Quarter | Year |
| 100 Gb/s RF Backbone   |         |      |         |      |
| System design and technology development / technology demonstrations | 2       | 2015 | 2       | 2015 |
| Prototype testing  | 2       | 2016 | 2       | 2016 |
| Field testing  | 2       | 2017 | 2       | 2017 |
| System flight testing  | 4       | 2017 | 4       | 2017 |
| Spectrum Efficiency and Access                                       |         |      |         |      |
| Demonstration of signal separation technologies                      | 1       | 2015 | 1       | 2015 |
| Lab demonstration of spectrum sharing                                | 3       | 2016 | 3       | 2016 |
| Limited field demonstrations   | 3       | 2017 | 3       | 2017 |
| Advanced RF Mapping  |         |      |         |      |
| Field experiments and demonstration                                  | 1       | 2015 | 1       | 2015 |
| Demonstration of geo-location capability                             | 4       | 2015 | 4       | 2015 |
| Tactical demonstration   | 3       | 2016 | 3       | 2016 |
| Software development & testing                                       | 2       | 2016 | 4       | 2016 |
| Field demonstrations   | 2       | 2017 | 2       | 2017 |
| Communication in Contested Environments (C2E)                        |         |      |         |      |
| Transceiver and waveform processor circuit card testing              | 2       | 2015 | 2       | 2015 |
| Infrastructure networking code testing                               | 3       | 2015 | 3       | 2015 |
| Software development environment deployment                          | 4       | 2015 | 4       | 2015 |
| Software architecture development & release                          | 2       | 2016 | 2       | 2016 |
| Integrated system demo   | 3       | 2017 | 3       | 2017 |
| Networking demonstration   | 1       | 2017 | 1       | 2017 |

PE 0603760E: COMMAND, CONTROL AND COMMUNICATIONS SYST... Defense Advanced Research Projects Agency

Volume 1 - 258

| hibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Rese | earch Projects Agen                          | су          |       |  | te: February | 2016      |
|---|--|-------------|-------|--|--------------|-----------|
| propriation/Budget Activity<br>00 / 3                             | R-1 Program E<br>PE 0603760E /<br>AND COMMUN | COMMÀND, CO | NTROL | Project (Num<br>CCC-02 / INFO<br>SYSTEMS |              | NTEGRATIO |
|   |  | Sta         | art   |  | End          |           |
| Events by Sub Project   |  | Quarter     | Year  | Quai                                     | rter         | Year      |
| Communications Module- Millimeter-wave (COMMO-MMW)                |  |             |       |  |              |           |
| Program initiation  |  | 1           | 2016  | 4  |              | 2016      |
| COMMO-MMW Sub-Array Integration contract awards                   |  | 3           | 2017  | 3  |              | 2017      |
| Dynamic Network Adaptation for Mission Optimization (DyNAMO)      |  |             |       | ·  |              |           |
| Program initiation  |  | 1           | 2016  | 4  |              | 2016      |
| Mission based network technology testing                          |  | 3           | 2016  | 4  |              | 2016      |
| System-level emulation test                                       |  | 1           | 2017  | 2  |              | 2017      |
| Mission-based network architecture integration                    |  | 2           | 2017  | 4  |              | 2017      |
| Hardware-in-the-loop system testing                               |  | 3           | 2017  | 4  |              | 2017      |
| Wireless Network Defense  |  |             |       | i  |              |           |
| Algorithm and protocol integration                                |  | 4           | 2015  | 4  |              | 2015      |
| Algorithm and protocol integration testing                        |  | 2           | 2016  | 4  |              | 2016      |
| Computational Leverage Against Surveillance Systems (CLASS)       |  |             |       | i  |              |           |
| Software/hardware testing   |  | 3           | 2015  | 3  |              | 2015      |
| Field tests of integrated system                                  |  | 4           | 2015  | 4  |              | 2015      |
| Mobile Hotspots   |  |             |       |  |              |           |
| Build, integrate, and test / ground tests                         |  | 3           | 2015  | 3  |              | 2015      |
| Flight test and demonstration                                     |  | 4           | 2015  | 4  |              | 2015      |

| Exhibit R-2A, RDT&E Project Ju   | stification                                 | : PB 2017 E                                  | Defense Adv                                    | anced Res                               | earch Proje             | ects Agency                                  |              |              | ,                                | Date: Feb   | oruary 2016         |               |
|--|---|--|--|---|-------------------------|--|--------------|--------------|----------------------------------|-------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 3  |   |  |  |   | PE 060376               | <b>am Elemen</b><br>60E / COMN<br>IMUNICATIO | /ÀND, COM    | ITROL        | Project (N<br>CCC-04 /<br>NETWOR | SECURE I    | NFÓRMATIO           | ON AND        |
| COST (\$ in Millions)  | Prior<br>Years                              | FY 2015                                      | FY 2016  | FY 2017<br>Base                         | FY 2017<br>OCO          | FY 2017<br>Total                             | FY 2018      | FY 2019      | FY 2020                          | FY 2021     | Cost To<br>Complete | Total<br>Cost |
| CCC-04: SECURE<br>INFORMATION AND<br>NETWORK SYSTEMS   | -   | 2.450  | 0.000  | 0.000                                   | -                       | 0.000  | 0.000        | 0.000        | 0.000                            | 0.00        | 0 -                 |               |
| A. Mission Description and Bud<br>Computer and networking techno<br>developed and demonstrated con<br>infrastructure, and embedded cor   | blogies have                                | e advanced<br>network teo                    | rapidly with<br>hnologies a                    | ind systems                             | s suitable fo           | or use in mili                               | tary networ  | ks, U.S. goʻ | vernment ei                      | nterprise n |                     |               |
| B. Accomplishments/Planned P   | rograms (S                                  | in Million                                   | s <u>)</u>                                     |   |                         |  |              |              | FY                               | 2015        | FY 2016             | FY 2017       |
| Title: Rapid Software Developme  | ent using Bir                               | nary Compo                                   | nents (RAF                                     | PID)                                    |                         |  |              |              |                                  | 2.450       | -                   |               |
| <ul> <li>operating systems. In many case run on unsecure and outdated operation of the oper</li></ul> | erating syst<br>capabilities<br>sed on resu | tems, impac<br>are transiti<br>ults from tec | ting operation<br>oning to the<br>chnology eva | ons. A com<br>Services.<br>aluation exe | npanion app<br>ercises. |  |              |              |                                  |             |                     |               |
|  | <u></u>                                     |  |  | •                                       |                         | shments/Pl                                   | anned Pro    | grams Sub    | totals                           | 2.450       | -                   |               |
| <u>C. Other Program Funding Sum</u><br>N/A<br><u>Remarks</u><br><u>D. Acquisition Strategy</u><br>N/A<br><u>E. Performance Metrics</u><br>Specific programmatic performan  |   |  | pove in the                                    | program ac                              | complishme              | ents and pla                                 | ins section. |              |                                  |             |                     |               |
| PE 0603760E: COMMAND, CON7   | TROL AND                                    | COMMUNI                                      | CATIONS  |   |                         |  |              |              |                                  |             |                     |               |
| SYST   |   |  | 0,1110110                                      | UN                                      | CLASSIF                 | IED  |              |              |                                  |             | Volu                | ume 1 - 26    |

SYST... Defense Advanced Research Projects Agency

Volume 1 - 260

| Exhibit R-3, RDT&E   | Project C                    | ost Analysis: PB 2                | 2017 Defe      | ense Adva | anced Re      | search Pr | ojects Ag     | jency      |                                |      | _             | Date:                                   | February            | 2016          |                                |
|--|------------------------------|-----------------------------------|----------------|-----------|---------------|-----------|---------------|------------|--------------------------------|------|---------------|---|---------------------|---------------|--------------------------------|
| Appropriation/Budge<br>0400 / 3                                  | et Activity                  |                                   |                |           |               | PE 060    | 3760E / C     | COMMÀN     | umber/N<br>ID, CONT<br>SSYSTEN | ROĹ  | CCC-04        | t <b>(Numbe</b><br>4 / SECUF<br>ORK SYS | RE INFÓR            | MATION        | AND                            |
| Product Developmer   | nt (\$ in Mi                 | illions)                          |                | FY 2      | 015           | FY 2      | :016          | FY 2<br>Ba | 2017<br>Ise                    |      | 2017<br>CO    | FY 2017<br>Total                        |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost       | Award<br>Date                  | Cost | Award<br>Date | Cost                                    | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Rapid Software<br>Development using Binary<br>Components (RAPID) | C/Various                    | Various : Various                 | -              | 2.229     |               | 0.000     |               | 0.000      |                                | -    |               | 0.000                                   | 0                   | 2.229         |                                |
|  |                              | Subtotal                          | -              | 2.229     |               | 0.000     |               | 0.000      |                                | -    |               | 0.000                                   | 0.000               | 2.229         | 0.000                          |
| Support (\$ in Million   | s)                           |                                   |                | FY 2      | 015           | FY 2      | :016          | FY 2<br>Ba |                                |      | 2017<br>CO    | FY 2017<br>Total                        |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost       | Award<br>Date                  | Cost | Award<br>Date | Cost                                    | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Rapid Software<br>Development using Binary<br>Components (RAPID) | MIPR                         | Various : Various                 | -              | 0.098     |               | 0.000     |               | 0.000      |                                | -    |               | 0.000                                   | 0                   | 0.098         | (                              |
|  |                              | Subtotal                          | -              | 0.098     |               | 0.000     |               | 0.000      |                                | -    |               | 0.000                                   | 0.000               | 0.098         | 0.000                          |
| Management Service   | es (\$ in M                  | illions)                          |                | FY 2      | 015           | FY 2      | :016          | FY 2<br>Ba | 2017<br>Ise                    |      | 2017<br>CO    | FY 2017<br>Total                        | ]                   |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost       | Award<br>Date                  | Cost | Award<br>Date | Cost                                    | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Rapid Software<br>Development using Binary<br>Components (RAPID) | C/Various                    | Various : Various                 | -              | 0.123     |               | 0.000     |               | 0.000      |                                | -    |               | 0.000                                   | 0                   | 0.123         | (                              |
|  |                              | Subtotal                          | -              | 0.123     |               | 0.000     |               | 0.000      |                                | -    |               | 0.000                                   | 0.000               | 0.123         | 0.000                          |
|  |                              |                                   | Prior<br>Years | FY 2      | 015           | FY 2      | :016          | FY 2<br>Ba | 2017<br>Ise                    |      | 2017<br>CO    | FY 2017<br>Total                        | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
|  |                              | Project Cost Totals               |                | 2.450     |               | 0.000     |               | 0.000      |                                | -    |               | 0.000                                   | 0.000               | 2.450         | 0.00                           |

Volume 1 - 261

| Exhibit R-4, RDT&E Schedule Profile: PB 2017 [             | Def | ens | e A | dva | nce | d R | esea | arch | Proj | ject | ts A | Ageno                   | су   |    |     |     |     |     |     |    |     |     |     | Da | ate:               | Feb | orua | ry 2 | 2016 | 6    |     |
|--|-----|-----|-----|-----|-----|-----|------|------|------|------|------|-------------------------|------|----|-----|-----|-----|-----|-----|----|-----|-----|-----|----|--------------------|-----|------|------|------|------|-----|
| Appropriation/Budget Activity<br>0400 / 3                  |     |     |     |     |     |     |      |      | PE ( | 060  | )37  | ram I<br>760E /<br>MMUI | I CO | M٨ | 1ÀN | ID, | CON | ITR | ROĹ |    | CC  | C-0 | 415 | SE | ber/<br>CUR<br>YST | ΕII | NFC  | ·    | ЛАТ  | ION  | ANE |
|  |     | F   | Y 2 | 015 |     |     | FY   | 2016 | 6    |      | F    | Y 20'                   | 17   |    | F   | Y 2 | 018 |     |     | FY | 201 | 9   |     | F١ | ( 202              | 20  |      |      | FY 2 | 2021 |     |
|  | 1   |     | 2   | 3   | 4   | 1   | 2    | 3    | 4    | 1    |      | 2 3                     | 3 4  |    | 1   | 2   | 3   | 4   | 1   | 2  | 3   | 4   | 1   | 1  | 2 3                | 3   | 4    | 1    | 2    | 3    | 4   |
| Rapid Software Development using Binary Components (RAPID) |     |     |     |     |     |     |      |      |      |      |      |                         |      |    |     |     |     |     |     |    |     |     |     |    |                    |     |      |      |      |      |     |
| Participated in Cyber Flag Activities                      |     |     |     |     |     |     |      |      |      |      |      |                         |      |    |     |     |     |     |     |    |     |     |     |    |                    |     |      |      |      |      |     |
| Installed Pilot Systems at Transition Partner Site         |     |     |     |     |     |     |      |      |      |      |      |                         |      |    |     |     |     |     |     |    |     |     |     |    |                    |     |      |      |      |      |     |
| Participated in Cyber Guard Activities                     |     |     |     |     |     |     |      |      |      |      |      |                         |      |    |     |     |     |     |     |    |     |     |     |    |                    |     |      |      |      |      |     |
| Participated in Red Flag Activities                        |     |     |     |     |     |     |      |      |      |      |      |                         |      |    |     |     |     |     |     |    |     |     |     |    |                    |     |      |      |      |      |     |

| xhibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Re                             | search Projects Agen                             | су          |              | Date:  | ebruary 2016     |
|--|--|-------------|--------------|--|------------------|
| ppropriation/Budget Activity<br>400 / 3  | R-1 Program El<br>PE 0603760E / (<br>AND COMMUNI | COMMÀND, CO | NTROL        | Project (Number/<br>CCC-04 / SECUR<br>NETWORK SYST | E INFORMATION AN |
|  | Schedule Details                                 |             |              |  |                  |
|  |  | Sta         | nrt          |  | End              |
| Events by Sub Project  |  | Quarter     | Year         | Quarter  | Year             |
| Rapid Software Development using Binary Components (RAPID)                                   |  |             |              |  |                  |
| Participated in Cyber Flag Activities  |  | 1           | 2015         | 1  | 2015             |
|  |  |             |              |  |                  |
| Installed Pilot Systems at Transition Partner Site   |  | 2           | 2015         | 2  | 2015             |
| Installed Pilot Systems at Transition Partner Site<br>Participated in Cyber Guard Activities |  | 2<br>3      | 2015<br>2015 | 2  | 2015<br>2015     |

| Exhibit R-2A, RDT&E Project Ju   | stification        | : PB 2017 E      | Defense Adv  | anced Res       | -              |  |             |            |            |            | ebruary 2016                                  |               |
|--|--------------------|------------------|--------------|-----------------|----------------|--|-------------|------------|------------|------------|---|---------------|
| Appropriation/Budget Activity<br>0400 / 3  |                    |                  |              |                 | PE 060376      | <b>am Elemen</b><br>50E / COMN<br>IMUNICATIO | /ÀND, COM   | ITROL      | CCC-06     |            | <mark>lame)</mark><br>ND, CONTRC<br>I SYSTEMS | DL AND        |
| COST (\$ in Millions)  | Prior<br>Years     | FY 2015          | FY 2016      | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total                             | FY 2018     | FY 2019    | FY 202     | 0 FY 202   | Cost To<br>21 Complete                        | Total<br>Cost |
| CCC-06: COMMAND,<br>CONTROL AND<br>COMMUNICATION SYSTEMS                         | -                  | 102.998          | 98.920       | 61.300          | -              | 61.300                                       | 56.350      | 50.195     | 21.6       | 20 12.0    | - 000   | -             |
| A. Mission Description and Bud   | get Item J         | ustification     | l            |                 |                |  |             |            |            |            |   |               |
| This project funds classified DAR<br>Annual Report to Congress.                  | PA progran         | ns that are r    | eported in a | accordance      | with Title 1   | 0, United St                                 | tates Code, | Section 11 | 9(a)(1) in | the Specia | al Access Pro                                 | gram          |
| B. Accomplishments/Planned P   | rograms (S         | in Million       | s <u>)</u>   |                 |                |  |             |            |            | FY 2015    | FY 2016                                       | FY 2017       |
| Title: Classified DARPA Program  | •                  |                  | ·            |                 |                |  |             |            |            | 102.998    | 98.920  | 61.30         |
| <b>Description:</b> This project funds C   | lassified D        | ARPA Prog        | rams. Detai  | Is of this su   | ubmission a    | re classified                                | 4.          |            |            |            |   |               |
| FY 2015 Accomplishments:<br>Details will be provided under sep<br>FY 2016 Plans: |                    |                  |              |                 |                |  |             |            |            |            |   |               |
| Details will be provided under sep   | arate cove         | r.               |              |                 |                |  |             |            |            |            |   |               |
| <b>FY 2017 Plans:</b> Details will be provided under sep                         | arate cove         | r.               |              |                 |                |  |             |            |            |            |   |               |
|  |                    |                  |              |                 | Accomplis      | shments/Pl                                   | anned Prog  | grams Sub  | totals     | 102.998    | 98.920  | 61.30         |
| C. Other Program Funding Sum   | <u>mary (\$ in</u> | <u>Millions)</u> |              |                 |                |  |             |            |            |            |   |               |
| <u>Remarks</u>   |                    |                  |              |                 |                |  |             |            |            |            |   |               |
| D. Acquisition Strategy  |                    |                  |              |                 |                |  |             |            |            |            |   |               |
| N/A  |                    |                  |              |                 |                |  |             |            |            |            |   |               |
| E. Performance Metrics   |                    |                  |              |                 |                |  |             |            |            |            |   |               |
| Details will be provided under sep   | oarate cove        | r.               |              |                 |                |  |             |            |            |            |   |               |
| PE 0603760E: COMMAND, CON7   | ROL AND            | COMMUNI          | CATIONS      |                 |                |  |             |            |            |            |   |               |
| SYST   |                    |                  |              | UN              | CLASSIF        | IED  |             |            |            |            | Vol   | ume 1 - 264   |

Defense Advanced Research Projects Agency

Volume 1 - 264

| Exhibit R-2, RDT&E Budget Iten  | n Justificat   | ion: PB 20   | 17 Defense  | Advanced        | Research P     | Projects Age             | ncy     |         |          | Date: Febr | uary 2016           |               |
|---|----------------|--------------|-------------|-----------------|----------------|--------------------------|---------|---------|----------|------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400: Research, Development, Te<br>Advanced Technology Developme |                | ation, Defen | se-Wide I B | 3A 3:           | -              | am Element<br>66E / NETW | •       |         | ARE TECH | INOLOGY    |                     |               |
| COST (\$ in Millions)   | Prior<br>Years | FY 2015      | FY 2016     | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total         | FY 2018 | FY 2019 | FY 2020  | FY 2021    | Cost To<br>Complete | Total<br>Cost |
| Total Program Element   | -              | 350.323      | 425.861     | 428.894         | -              | 428.894                  | 410.027 | 392.905 | 368.717  | 337.668    | -                   | -             |
| NET-01: JOINT WARFARE<br>SYSTEMS  | -              | 45.784       | 66.219      | 72.916          | -              | 72.916                   | 111.556 | 144.765 | 160.416  | 202.367    | -                   | -             |
| NET-02: MARITIME SYSTEMS  | -              | 72.980       | 119.401     | 138.303         | -              | 138.303                  | 126.321 | 162.344 | 145.301  | 135.301    | -                   | -             |
| NET-06: <i>NETWORK-CENTRIC</i><br>WARFARE TECHNOLOGY  | -              | 231.559      | 240.241     | 217.675         | -              | 217.675                  | 172.150 | 85.796  | 63.000   | 0.000      | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Network-Centric Warfare Technology program element is budgeted in the Advanced Technology Development budget activity because it addresses high payoff opportunities to develop and rapidly mature advanced technologies and systems required for today's network-centric warfare concepts. It is imperative for the future of the U.S. forces to operate flawlessly with each other, regardless of which services and systems are involved in any particular mission. The overarching goal of this program element is to enable technologies at all levels, regardless of service component, to operate as one system.

The objective of the Joint Warfare Systems project is to create enabling technologies for seamless joint operations, from strategic planning to tactical and urban operations. Joint Warfare Systems leverage current and emerging network, robotic, and information technology and provide next generation U.S. forces with greatly expanded capability, lethality, and rapid responsiveness. Critical issues facing this project are: (1) U.S. opponents utilizing systems that are flexible, robust, and difficult to neutralize; and (2) U.S. doctrine that limits the use of firepower to lessen the impact of operations on noncombatants. These problems are magnified in urban and semi-urban areas where combatants and civilians are often collocated, and in peacekeeping operations where combatants and civilians are often indistinguishable. Meeting these challenges places a heavy burden on joint war planning. Understanding opponent networks is essential so that creative options can be developed to counter their strategies. Synchronization of air and ground operations to apply force only where needed and with specific effects is required.

The Maritime Systems project will identify, develop and rapidly mature critical advanced technologies and system concepts for the naval forces' role in today's network centric warfare concept. Improvements in communications between and among submarines, surface ships and naval aircraft have allowed these forces to operate seamlessly with each other and with other Service's network centric systems. Naval forces will play an ever-increasing role in network centric warfare because of their forward deployed nature, their unique capability to operate simultaneously in the air, on the sea and under the sea and their versatile ability to provide both rapid strike and project-sustained force. The technologies developed under this project will capitalize on these attributes, improve them and enable them to operate with other network centric forces.

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Def   | ense Advanced  | Research Projects | s Agency            | Date           | : February 2016 |
|---|----------------|-------------------|---------------------|----------------|-----------------|
| Appropriation/Budget Activity                               |                | R-1 Program Ele   | ement (Number/Name) | · · · · · ·    |                 |
| 0400: Research, Development, Test & Evaluation, Defense-Wie | de / BA 3:     | PE 0603766E / /   | NETWORK-CENTRIC W   | ARFARE TECHNOL | OGY             |
| Advanced Technology Development (ATD)                       | EV 0045        | EV 0040           |                     | EV 0047 000    |                 |
| B. Program Change Summary (\$ in Millions)                  | <u>FY 2015</u> | <u>FY 2016</u>    | FY 2017 Base        | FY 2017 OCO    | FY 2017 Total   |
| Previous President's Budget                                 | 360.426        | 452.861           | 470.582             | -              | 470.582         |
| Current President's Budget                                  | 350.323        | 425.861           | 428.894             | -              | 428.894         |
| Total Adjustments   | -10.103        | -27.000           | -41.688             | -              | -41.688         |
| <ul> <li>Congressional General Reductions</li> </ul>        | 0.000          | -7.000            |                     |                |                 |
| <ul> <li>Congressional Directed Reductions</li> </ul>       | 0.000          | -20.000           |                     |                |                 |
| <ul> <li>Congressional Rescissions</li> </ul>               | 0.000          | 0.000             |                     |                |                 |
| <ul> <li>Congressional Adds</li> </ul>                      | 0.000          | 0.000             |                     |                |                 |
| <ul> <li>Congressional Directed Transfers</li> </ul>        | 0.000          | 0.000             |                     |                |                 |
| Reprogrammings  | 0.875          | 0.000             |                     |                |                 |
| SBIR/STTR Transfer  | -10.978        | 0.000             |                     |                |                 |
| <ul> <li>TotalOtherAdjustments</li> </ul>                   | -              | -                 | -41.688             | -              | -41.688         |

#### **Change Summary Explanation**

FY 2015: Decrease reflects reprogrammings offset by the SBIR/STTR transfer. FY 2016: Decrease reflects congressional reduction.

FY 2017: Decrease reflects the completion of several classified programs.

| Exhibit R-2A, RDT&E Project Ju            | ustification   | : PB 2017 C | efense Adv | anced Res       | earch Proje    | cts Agency       |  |         |                          | Date: Febr | uary 2016           |               |
|---|----------------|-------------|------------|-----------------|----------------|------------------|--|---------|--------------------------|------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 3 |                |             |            |                 | PE 060376      |                  | <b>t (Number/</b><br>/ORK-CEN1<br>.OGY | ,       | Project (N<br>NET-01 / J |            | ne)<br>FARE SYS7    | EMS           |
| COST (\$ in Millions)                     | Prior<br>Years | FY 2015     | FY 2016    | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018                                | FY 2019 | FY 2020                  | FY 2021    | Cost To<br>Complete | Total<br>Cost |
| NET-01: <i>JOINT WARFARE</i><br>SYSTEMS   | -              | 45.784      | 66.219     | 72.916          | -              | 72.916           | 111.556                                | 144.765 | 160.416                  | 202.367    | -                   | -             |

#### A. Mission Description and Budget Item Justification

The objective of the Joint Warfare Systems project is to create enabling technologies for seamless joint operations, from strategic planning to tactical and urban operations. Joint Warfare Systems leverage current and emerging network, robotic, and information technology and provide next generation U.S. forces with greatly increased capability, lethality, and rapid responsiveness. Critical issues facing this project are: (1) U.S. opponents using systems that are flexible, robust, and difficult to neutralize; and (2) U.S. doctrine that limits the use of firepower to lessen the impact of operations on noncombatants. These problems are magnified in urban and semi-urban areas where combatants and civilians are often co-located and in peacekeeping operations where combatants and civilians are often indistinguishable. Meeting these challenges places a heavy burden on joint war planning. Understanding opponent networks is essential so that creative options can be developed to counter their strategies. Synchronization of air and ground operations to apply force only where needed and with specific effects is required. This project supports all levels of the force structure including: (1) the strategic/operational level by generating targeting options against opponents' centers of gravity that have complex networked relationships; (2) the tactical/operational level by managing highly automated forces with tight coupling between air and ground platforms; and (3) the focused tactical level by developing platforms and tools, which acquire targets of opportunity and cue network-based analysis of likely enemy operations thus maximizing the effectiveness of ground forces in stability and support operations.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: System of Systems Integration Technology and Experimentation (SoSite)   | 17.411  | 36.109  | 35.681  |
| <b>Description:</b> The System of Systems Integration Technology and Experimentation (SoSite) program seeks to implement an architecture framework capable of assessing and demonstrating potential operational benefits of integrating various system capabilities to improve mission success in contested environments. Such assessments would optimize system-level trades of requirements and architectures to properly leverage an integrated set of system characteristics and capabilities. The demonstration assessment metrics will measure individual and combined system performance to further streamline resource allocation to maximize operational impact. In addition, providing a modeling and simulation (M&S) environment to assess complex systems will enable greater utility of emerging system technologies, since they can be assessed in near-real-world simulations without the real-world costs of testing fully integrated systems. The program will also develop system synthesis and integration technologies that enable rapid assimilation of new and off-the-shelf technologies face in system of systems using formal methods, compositional reasoning, and automated design space exploration. Technologies from this program will be transitioned to the Services. |         |         |         |
| <i>FY 2015 Accomplishments:</i> - Developed reference objective system of systems architecture.  |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   |       | Date: February 2016 |   |         |  |  |  |
|---|---|-------|---------------------|---|---------|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3   |   |       |                     | Project (Number/Name)<br>NET-01 / JOINT WARFARE SYSTEMS |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | F۱    | 2015                | FY 2016   | FY 2017 |  |  |  |
| <ul> <li>Commenced development of architecture demonstration plan, inclu</li> <li>Implemented M&amp;S capabilities for architecture design analysis and</li> <li>Commenced the development of system of systems synthesis and</li> <li>Commenced development of engineering tools to validate system</li> <li>Commenced development of formal verification techniques to valid systems.</li> <li>Investigated technologies to facilitate multi-level open architecture</li> <li>Explored alternative systems architectures, designs, tools, and pro</li> </ul>  | validation.<br>integration tools and protocols.<br>of systems architecture designs.<br>late integration of constituent systems into a system of<br>security.  |       |                     |   |         |  |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete development of architecture demonstration plan, including</li> <li>Develop a System Integration Laboratory (SIL) to support Governme<br/>architectures.</li> <li>Complete the development of system of systems synthesis and integration in constructive designs to implement the system</li> <li>Initiate experimentation in constructive and virtual environments to</li> <li>Assess in SIL the capability of new engineering tools to validate sy</li> <li>Assess in SIL the capability of new formal verification techniques to systems.</li> <li>Verify prototype of system of systems architectures in M&amp;S enviror</li> <li>Develop technologies to facilitate multi-level open architecture sectors.</li> </ul>  | nent verification and validation of system of systems<br>egration tools and protocols.<br>of systems concept.<br>validate system of systems approach.<br>stem of systems architecture designs.<br>o validate integration of constituent systems into a system<br>nments.<br>urity M&S.        | em of |                     |   |         |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Prepare detailed live flight experimentation plans establishing systed designs, required test articles and experiment support assets, and ar</li> <li>Secure test articles for flight test experiments: manned and unman DARPA and Service Science and Technology programs.</li> <li>Secure or develop models of test articles to support laboratory and</li> <li>Secure support assets required for flight test experiments: ranges authorizations, pilots, virtual and constructive simulation facilities.</li> <li>Conduct virtual integration and laboratory checkout of system of sy architectures will satisfy risk reduction experimentation objectives.</li> <li>Integrate test articles into system of systems architectures and constructive simulation facilities.</li> </ul> | em of systems risk reduction test objectives, experimen<br>nalysis plans.<br>ned platforms, and experimental mission systems from<br>ground checkout prior to live flight.<br>and range instrumentation, frequency and airspace<br>ystems architectures using test article models to verify t |       |                     |   |         |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency   |  |   | Date: Fe | bruary 2016                                      |         |  |  |  |
|--|--|---|----------|--|---------|--|--|--|
|  |  |   |          | ect (Number/Name)<br>-01 / JOINT WARFARE SYSTEMS |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |   | FY 2015  | FY 2016  | FY 2017 |  |  |  |
| <ul> <li>Conduct experiments of system of systems architectures in live flight, augmenticles not ready for live flight; analyze experiment outcomes and document and docum</li></ul> |  | test  |          |  |         |  |  |  |
| Title: Resilient Synchronized Planning and Assessment for the Contested Env  | vironment (RSPACE)   |   | 11.300   | 18.236   | 25.948  |  |  |  |
| <ul> <li>Description: Currently, Command and Control (C2) of air platforms is a highly independently across planning domains (intelligence, surveillance, and recommand is optimized for a permissive environment. To address the challenges fact the Resilient Synchronized Planning and Assessment for the Contested Enviror enable distribution of planning functions across the C2 hierarchy for resilience strike, ISR, and spectrum planning to maximize the contribution of all assets the synergies. The program will develop tools supporting a mixed initiative planning operator's choice, and enabling human-in-the-loop intervention and modification tracking of targeting and information needs and support assessment of progree tools will dynamically respond as directed to ad hoc requests and significant p capability, and easily adapt to technology refreshes. The RSPACE tools will the <b>FY 2015 Accomplishments:</b></li> <li>Developed initial concept of operations (CONOPS) for a distributed, commutant integrated strike, ISR, and spectrum management planning and assessment (AOC).</li> <li>Developed initial system architecture and software framework for distributed planning, assessment, and dynamic replanning.</li> <li>Developed initial models and simulation capability for testing, analysis, and assessment components working in a communications-challenged environment.</li> </ul>   | aaissance (ISR), strike, and spectrum managem<br>red in today's increasingly contested environme<br>onment (RSPACE) program will develop tools to<br>(e.g., loss of communications) while synchronic<br>prough increased utilization and exploitation of<br>ing approach, maximizing automation according<br>on. During execution, the tools will provide lifect<br>ss towards achieving the commander's intent.<br>Ian deviations via a real-time dynamic replannin<br>ransition to the Air Force and the Navy. | nts,<br>o<br>zing<br>to<br>ycle<br>The<br>ig<br>ort<br>nter |          |  |         |  |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete initial development of algorithms and prototypes for distributed plate</li> <li>Develop models and simulation capability for testing, analysis, and validation communications-challenged environment.</li> <li>Implement the framework designs into a software prototype.</li> <li>Test and evaluate candidate software frameworks and components.</li> <li>Commence development of decision support tools for operational planning.</li> </ul>  | •  |   |          |  |         |  |  |  |
| FY 2017 Plans:   |  |   |          |  |         |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |  |                                    | Date: February 2016                                   |         |         |  |
|---|--|------------------------------------|---|---------|---------|--|
| Appropriation/Budget Activity<br>0400 / 3   | R-1 Program Element (Number/Name)<br>PE 0603766E / NETWORK-CENTRIC<br>WARFARE TECHNOLOGY   |                                    | Project (Number/Name)<br>NET-01 / JOINT WARFARE SYSTE |         |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  |                                    | FY 2015   | FY 2016 | FY 2017 |  |
| <ul> <li>Develop experiments to highlight the planning and assessment carenvironment.</li> <li>Continue integration efforts with the prototype framework.</li> <li>Continue development of planning tools that combine planning for environment.</li> <li>Continue development of assessment capabilities that automatica when plans are likely to change.</li> <li>Demonstrate the ability of small, distributed staffs to plan and man modeling and simulation environment.</li> </ul>  | strike, reconnaissance and electronic warfare in a distri  | buted<br>cells                     |   |         |         |  |
| <i>Title:</i> Retrodirective Arrays for Coherent Transmission (ReACT)   |  |                                    | -   | 11.874  | 11.28   |  |
| <b>Description:</b> Worldwide advancements in signal processing and elepower-based Electronic Warfare (EW) as a viable technique in the full Transmission (ReACT) program is to develop and to demonstrate the provide high-power spatially resolved EW beams at frequencies utili will achieve this capability by synchronizing multiple distributed transplatform could support. The key technical challenge is to synchroniz for platform motion and vibration. Further, the ReACT system must the ReACT transmitters to focus on the area to be jammed, as well as The ReACT program builds upon technology developed under the Abudgeted in PE 0602716E, Project ELT-01, and will culminate with a ReACT technology is planned to transition to the Air Force and Navy | uture. The goal of the Retrodirective Arrays for Coheren<br>e capability to combine distributed mobile transmitters to<br>zed by adversary communications and radars. ReACT<br>smitters to form a much larger effective array than a sing<br>ze distributed and moving transmitters while compensati<br>sense the target's emissions and then optimally configur<br>as the minimum power required to sufficiently jam the tar<br>arrays at Commercial Timescales (ACT) program, which<br>a flight demonstration of distributed EW beamforming. T | nt<br>o<br>ng<br>re<br>rget.<br>is |   |         |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete development of algorithms and hardware for coherent b</li> <li>Design vibration compensation circuit for feedback control.</li> <li>Design algorithms that target an adversary by their emissions.</li> <li>Identify phenomenological barriers (frequency, motion, and vibration)</li> <li>Demonstrate system performance over-the-air in mobile ground entrepresentative motion and vibration.</li> <li>Integrate tracking algorithms for target motion preparing for air-to-s</li> <li>Begin coordinating program transition with the Navy.</li> </ul>  | on) and validate transition opportunities.<br>nvironments at extended ranges, under operationally  |                                    |   |         |         |  |
| FY 2017 Plans:  |  |                                    |   |         |         |  |
| - Design predictive algorithms for broadband channel estimation.  |  |                                    |   |         |         |  |

PE 0603766E: *NETWORK-CENTRIC WARFARE TECHNOLOGY* Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | earch Projects Agency  | D  | ate: F | ebruary 2016 | 6       |
|--|--|--|--------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603766E <i>I NETWORK-CENTRIC</i><br><i>WARFARE TECHNOLOGY</i>  | Project (Nur<br>NET-01 / JO                                  |        |              | STEMS   |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2   | )15    | FY 2016      | FY 2017 |
| <ul> <li>Design control and feedback circuits to track high velocity targets based on ta</li> <li>Integrate hardware for a dynamic airborne demonstration on multiple aircraft.</li> <li>Demonstrate ReACT system and quantify performance against high velocity</li> <li>Continue coordinating program transition with the Navy.</li> </ul>   |  |  |        |              |         |
| Title: High Energy Liquid Laser Area Defense System (HELLADS)  |  | 1:   | 3.073  | -            | -       |
| <ul> <li>Description: The goal of the High Energy Liquid Laser Area Defense System (laser weapon system that provides an order of magnitude reduction in weight of enabled high-energy lasers (HELs) to be integrated onto tactical aircraft and sig to ground-based systems, in addition to enabling high precision/low collateral of both offensive and defensive missions. Advancements in beam control and oth integration of a laser weapon into existing tactical platforms were explored. Wi program pursued the necessary analysis, coordination, and design activity for a HELLADS laser system and the ABC turret into air-, ground-, or sea-based tact class laser will transition to the Air Force. Additional technologies developed un FY 2015 Accomplishments:</li> <li>Completed live fire tests against rocket and mortar fly-outs to demonstrate learning of the system and the system.</li> </ul> | compared to previous laser systems. HELLAD<br>gnificantly increased engagement ranges com<br>lamage and rapid engagement of fleeting targe<br>her subsystems that are required for the practi-<br>th the assistance of the Services, the HELLAD<br>a prototype laser weapon system incorporating<br>tical vehicles. The HELLADS 150 kilowatt (kW<br>inder this program will transition to the Services<br>thal laser power at mission-relevant ranges. | S<br>bared<br>ets for<br>cal<br>S<br>the<br>the<br>the<br>S. |        |              |         |
| <ul> <li>Completed live fire performance tests of laser weapon system against target targeting of ground vehicles and self-defense against surface-to-air missiles.</li> <li>Made system available for transition to the Services, and retain as a demons Laser System Test Facility (HELSTF).</li> </ul>  | sets representative of airborne missions, to in  |  |        |              |         |
| Title: Robotics Challenge  |  |  | 4.000  | -            | -       |
| <b>Description:</b> The Robotics Challenge program sought to boost innovation in an through enhanced actuation, energy density, perception, locomotion, agile record were centered on a progressive regimen of physical problem solving, real-time designed to build "machine trust", especially when integrated with humans in a Challenge program consisted of a series of obstacle course style challenge ever demonstrate and test robot capabilities for disaster response. The program drop precision in perception tied to platform coordination, dexterity, and impulsive per to expand mobility and extend endurance of unmanned platforms, advanced ta cost effective design, validation, and construction of autonomous technology, a program was budgeted in PE 0602702E, Project TT-04. Anticipated Service us  | onfiguration, and design efficiency. Program the<br>team oriented tasks, and dynamic adaptation<br>variety of operational environments. The Rob<br>ents that focused on technology solutions to<br>ove advances in power systems, agility and sp<br>ower. Program objectives focused on technologic<br>ctile and manipulation capabilities, and tools for<br>and human-robot interaction. The 6.2 portion of   | rusts<br>otics<br>eed,<br>gies<br>or<br>this                 |        |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 D                              | Defense Advanced Research Projects Agency  |  | Date: F | ebruary 2016 |         |  |  |
|---|--|--|---------|--------------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 3   | R-1 Program Element (Number/Name)<br>PE 0603766E / NETWORK-CENTRIC<br>WARFARE TECHNOLOGY | Project (Number/Name)<br>NET-01 / JOINT WARFARE SYSTEN |         |              |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions                               | <u>s)</u>  |  | FY 2015 | FY 2016      | FY 2017 |  |  |
| FY 2015 Accomplishments:<br>- Conducted DARPA Robotics Challenge Finals.          |  |  |         |              |         |  |  |
|   | Accomplishments/Planned Programs Sul   | ototals  | 45.784  | 66.219       | 72.91   |  |  |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A<br><u>Remarks</u> |  |  |         |              |         |  |  |
| D. Acquisition Strategy   |  |  |         |              |         |  |  |
| N/A   |  |  |         |              |         |  |  |
| E. Performance Metrics  |  |  |         |              |         |  |  |
| Specific programmatic performance metrics are listed at                           | bove in the program accomplishments and plans section.                                   |  |         |              |         |  |  |
|   |  |  |         |              |         |  |  |
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|   |  |  |         |              |         |  |  |
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|   |  |  |         |              |         |  |  |
|   |  |  |         |              |         |  |  |

| Exhibit R-3, RDT&E  | Project C                    | ost Analysis: PB 2                        | 2017 Defe      | ense Adva | anced Re      | search Pr                    | ojects Ag     | jency      |               |                    |               | Date:            | February            | / 2016        |                                |
|---|------------------------------|---|----------------|-----------|---------------|------------------------------|---------------|------------|---------------|--------------------|---------------|------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budge<br>0400 / 3   | et Activity                  | 1   |                | PE 0603   | 3766E / /     | ement (N<br>NETWOR<br>HNOLOG | K-CENTF       |            |               | (Number<br>I JOINT |               | E SYSTE          | MS                  |               |                                |
| Product Developme   | nt (\$ in Mi                 | illions)                                  |                | FY 2      | 2015          | FY 2                         | 016           | FY 2<br>Ba | -             |                    | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location         | Prior<br>Years | Cost      | Award<br>Date | Cost                         | Award<br>Date | Cost       | Award<br>Date | Cost               | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| High Energy Liquid Laser<br>Area Defense System<br>(HELLADS)                        | C/Various                    | Various : Various                         | -              | 9.743     |               | 0.000                        |               | 0.000      |               | -                  |               | 0.000            | 0                   | 9.743         | (                              |
| Resilient Synchronized<br>Planning & Assessment<br>for the Contested<br>Environment | C/Various                    | Various : Various                         | -              | 10.187    |               | 16.060                       |               | 22.322     |               | -                  |               | 22.322           | Continuing          | Continuing    | Continuin                      |
| Retrodirective Arrays for<br>Coherent Transmission<br>(ReACT)                       | C/Various                    | Various : Various                         | -              | 0.000     |               | 10.937                       |               | 9.584      |               | -                  |               | 9.584            | Continuing          | Continuing    | Continuin                      |
| Robotics Challenge  | C/Various                    | Various : Various                         | -              | 3.507     |               | 0.000                        |               | 0.000      |               | -                  |               | 0.000            | 0                   | 3.507         |                                |
| System of Systems<br>Integration Technology<br>and Experimentation<br>(SoSITE)      | C/Various                    | Various : Various                         | -              | 13.099    |               | 26.035                       |               | 25.631     |               | -                  |               | 25.631           | Continuing          | Continuing    | Continuin                      |
|   |                              | Subtotal                                  | -              | 36.536    |               | 53.032                       |               | 57.537     |               | -                  |               | 57.537           | -                   | -             | -                              |
| Support (\$ in Million  | s)                           |   |                | FY 2      | 2015          | FY 2                         | 016           | FY 2<br>Ba | -             |                    | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location         | Prior<br>Years | Cost      | Award<br>Date | Cost                         | Award<br>Date | Cost       | Award<br>Date | Cost               | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Government Support  | MIPR                         | Various : Various                         | -              | 1.831     |               | 2.649                        |               | 2.917      |               | -                  |               | 2.917            | Continuing          | Continuing    | Continuin                      |
|   |                              | Subtotal                                  | -              | 1.831     |               | 2.649                        |               | 2.917      |               | -                  |               | 2.917            | -                   | -             | -                              |
| Test and Evaluation   | (\$ in Milli                 | ons)                                      |                | FY 2      | 2015          | FY 2                         | 016           | FY 2<br>Ba | -             |                    | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location         | Prior<br>Years | Cost      | Award<br>Date | Cost                         | Award<br>Date | Cost       | Award<br>Date | Cost               | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| High Energy Liquid Laser<br>Area Defense System<br>(HELLADS)                        | MIPR                         | W04W USA WHITE<br>SANDS MSL<br>RANGE : NM | -              | 0.535     | Oct 2014      | 0.000                        |               | 0.000      |               | -                  |               | 0.000            | 0                   | 0.535         | (                              |

PE 0603766E: *NETWORK-CENTRIC WARFARE TECHNOLOGY* Defense Advanced Research Projects Agency

| Exhibit R-3, RDT&E  | •                            |                                   | 2017 Defe      | ense Adva | anced Res     | 1       | , ,           |                               |               |      |               |                    | February            | 2010          |                                |
|---|------------------------------|-----------------------------------|----------------|-----------|---------------|---------|---------------|-------------------------------|---------------|------|---------------|--------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budg<br>0400 / 3  | et Activity                  | 1                                 |                |           |               | PE 0603 | 3766E//       | ement (N<br>NETWORI<br>HNOLOG | K-CENTF       |      |               | (Number<br>/ JOINT |                     | E SYSTE       | EMS                            |
| Test and Evaluation   | (\$ in Milli                 | ons)                              |                | FY 2      | 2015          | FY 2    | 016           | FY 2<br>Ba                    |               |      | 2017<br>CO    | FY 2017<br>Total   |                     |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost    | Award<br>Date | Cost                          | Award<br>Date | Cost | Award<br>Date | Cost               | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Resilient Synchronized<br>Planning & Assessment<br>for the Contested<br>Environment | C/CR                         | THE MITRE<br>CORPORATION :<br>VA  | -              | 0.850     | Mar 2015      | 1.077   |               | 2.491                         |               | -    |               | 2.491              | Continuing          | Continuing    | Continuin                      |
| Robotics Challenge  | C/Various                    | Various : Various                 | -              | 0.494     |               | 0.000   |               | 0.000                         |               | -    |               | 0.000              | 0                   | 0.494         | C                              |
| System of Systems<br>Integration Technology<br>and Experimentation<br>(SoSITE)      | C/Various                    | Various : Various                 | -              | 3.249     |               | 6.150   |               | 6.325                         |               | -    |               | 6.325              | Continuing          | Continuing    | Continuin                      |
|   |                              | Subtotal                          | -              | 5.128     |               | 7.227   |               | 8.816                         |               | -    |               | 8.816              | -                   | -             | -                              |
| Management Servic   | es (\$ in M                  | illions)                          |                | FY 2      | 2015          | FY 2    | 016           | FY 2<br>Ba                    |               |      | 2017<br>CO    | FY 2017<br>Total   |                     |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost    | Award<br>Date | Cost                          | Award<br>Date | Cost | Award<br>Date | Cost               | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Management Support  | C/Various                    | Various : Various                 | -              | 2.289     |               | 3.311   |               | 3.646                         |               | -    |               | 3.646              | Continuing          | Continuing    | Continuin                      |
|   |                              | Subtotal                          | -              | 2.289     |               | 3.311   |               | 3.646                         |               | -    |               | 3.646              | -                   | -             | -                              |
|   |                              |                                   | Prior<br>Years | FY 2      | 2015          | FY 2    | 016           | FY 2<br>Ba                    |               |      | 2017<br>CO    | FY 2017<br>Total   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
|   |                              | Project Cost Totals               | -              | 45.784    |               | 66.219  |               | 72.916                        |               | -    |               | 72.916             | -                   | -             | -                              |

| xhibit R-4, RDT&E Schedule Profile: PB 2017 D   | Defe  | nse | Ad                | van | ced | Re | sear | ch Pr | oject | s A | gency |    |   |    |     |   |                       |    |       |     |     | Da | te: F | ebru   | ary | 2016 | 6    |   |
|---|---|-----|-------------------|-----|-----|----|------|-------|-------|-----|-------|----|---|----|-----|---|-----------------------|----|-------|-----|-----|----|-------|--------|-----|------|------|---|
| ppropriation/Budget Activity<br>400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603766E / NETWORK-CENTRIC<br>WARFARE TECHNOLOGY |     |                   |     |     |    |      |       |       |     |       | e) |   |    |     |   | ber/ <b>f</b><br>/7 W |    |       | ESY | STE | MS |       |        |     |      |      |   |
|   |   | FY  | ′ 20 <sup>,</sup> | 15  |     | F  | FY 2 | 016   |       | FY  | 2017  | ,  |   | FY | 201 | 8 |                       | FY | ′ 201 | 9   |     | FY | 202   | 0      |     | FY 2 | 2021 |   |
|   | 1   | 2   | 2 3               | 3 4 | 1   | 1  | 2    | 3 4   | l 1   | 2   | 2 3   | 4  | 1 | 2  | 3   | 4 | 1                     | 2  | 2 3   | 4   | 1   | 2  | 3     | 4      | 1   | 2    | 3    | 4 |
| System of Systems Integration Technology and Experimentation (SoSITE)                     |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       | -<br>- |     |      |      |   |
| System of System Concept Review   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Open Systems Architecture Enhancement<br>Reviews/Demonstrations                           |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Prototype Architecture Design Review  |   |     |                   |     |     |    |      |       |       |     |       |    |   | _  |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Test Readiness Review   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Air-Air Kill Chain Live, Virtual, Constructive (LVC) Experimentation                      |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Resilient Synchronized Planning &<br>Assessment for the Contested Environment<br>(RSPACE) |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| System architecture and software development  |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Test Event #1 - Component & System Test   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Test Event #2 - Component & System Test   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Test Event #3 - Component & System Test   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Demonstration within modeling environment   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Retrodirective Arrays for Coherent<br>Transmission (ReACT)                                |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Vibration/Motion Compensation   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Hardware and algorithm completion   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Dynamic Nodes Demonstration   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| Airborne Target Demonstration   |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |
| High Energy Liquid Laser Area Defense<br>System (HELLADS)                                 |   |     |                   |     |     |    |      |       |       |     |       |    |   |    |     |   |                       |    |       |     |     |    |       |        |     |      |      |   |

| Ex | hibit R-4, RDT&E Schedule Profile: PB 2017 D        | )efe | ens | еA  | dva  | ince | d R | esea | arch | Proj | ects | s Age | ency                  |     |    |     |      |   |   |    |      |   |   | Da | te: F         | ebr | uary | 201 | 6    |     |
|----|---|------|-----|-----|------|------|-----|------|------|------|------|-------|-----------------------|-----|----|-----|------|---|---|----|------|---|---|----|---------------|-----|------|-----|------|-----|
|    | propriation/Budget Activity<br>00 / 3               |      |     |     |      |      |     |      |      | PE ( | 0603 | 3766  | n Ele<br>E / /<br>TEC | VET | WC | RK- |      |   |   |    |      |   | • |    | oer/l<br>IT W |     |      | ESY | ′STE | EMS |
|    |   |      | F   | Y 2 | 2015 | 5    |     | FY   | 2016 | 5    |      | FY 2  | 2017                  | ,   |    | FY  | 2018 | 3 |   | FY | 2019 | 9 |   | FY | 202           | 0   |      | FY  | 202  | 1   |
|    |   | 1    | I   | 2   | 3    | 4    | 1   | 2    | 3    | 4    | 1    | 2     | 3                     | 4   | 1  | 2   | 3    | 4 | 1 | 2  | 3    | 4 | 1 | 2  | 3             | 4   | 1    | 2   | 3    | 4   |
|    | Live fire performance tests of laser weapons system |      |     |     |      |      |     |      |      |      |      |       |                       |     |    |     |      |   |   |    |      |   | - |    |               |     |      |     |      |     |
|    | Baseline target engagements                         |      |     |     |      |      |     |      |      |      |      |       |                       |     |    |     |      |   |   |    |      |   |   |    |               |     |      |     |      | _   |
|    | Additional lethality testing for Services           |      |     |     |      |      |     |      |      |      |      |       |                       |     |    |     |      |   |   |    |      |   |   |    |               |     |      |     |      |     |
|    | Robotics Challenge                                  |      |     |     |      |      |     |      |      |      |      |       |                       |     |    |     |      |   |   |    |      |   |   |    |               |     |      |     |      |     |
|    | Conducted DARPA Robotics Challenge<br>Finals        |      |     | l   |      |      |     |      |      |      |      |       |                       |     |    |     |      |   |   |    |      |   |   |    |               |     |      |     |      |     |

| nibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Research F           | Projects Agency   |      | Date: Febru                                | ary 2016 |
|---|---|------|--|----------|
| 0/3 PE  | I Program Element (Number/I<br>0603766E / NETWORK-CENT<br>NRFARE TECHNOLOGY | ,    | Project (Number/Nam<br>NET-01 / JOINT WARF | ,        |
| Sched   | ule Details   |      |  |          |
|   | Star  | t    | En   | d        |
| Events by Sub Project   | Quarter   | Year | Quarter                                    | Year     |
| System of Systems Integration Technology and Experimentation (SoSITE              | 5)  |      |  |          |
| System of System Concept Review   | 1   | 2015 | 4  | 2015     |
| Open Systems Architecture Enhancement Reviews/Demonstrations                      | 1   | 2015 | 4  | 2016     |
| Prototype Architecture Design Review  | 1   | 2016 | 4  | 2016     |
| Test Readiness Review   | 2   | 2017 | 4  | 2017     |
| Air-Air Kill Chain Live, Virtual, Constructive (LVC) Experimentation              | 3   | 2017 | 3  | 2017     |
| Resilient Synchronized Planning & Assessment for the Contested Enviro<br>(RSPACE) | nment   |      |  |          |
| System architecture and software development                                      | 2   | 2015 | 3  | 2015     |
| Test Event #1 - Component & System Test   | 2   | 2016 | 2  | 2016     |
| Test Event #2 - Component & System Test   | 4   | 2016 | 4  | 2016     |
| Test Event #3 - Component & System Test   | 2   | 2017 | 2  | 2017     |
| Demonstration within modeling environment   | 4   | 2017 | 4  | 2017     |
| Retrodirective Arrays for Coherent Transmission (ReACT)                           |   |      |  |          |
| Vibration/Motion Compensation   | 2   | 2016 | 2  | 2016     |
| Hardware and algorithm completion   | 3   | 2016 | 3  | 2016     |
| Dynamic Nodes Demonstration   | 3   | 2016 | 3  | 2016     |
| Airborne Target Demonstration   | 2   | 2017 | 4  | 2017     |
| High Energy Liquid Laser Area Defense System (HELLADS)                            |   |      |  |          |
| Live fire performance tests of laser weapons system                               | 1   | 2015 | 1  | 2015     |
| Baseline target engagements   | 2   | 2015 | 2  | 2015     |
| Additional lethality testing for Services   | 2   | 2015 | 4  | 2015     |

| Exhibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Research | bit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Research Projects Agency |  |      |                              |      |  |  |  |  |
|---|---|--|------|------------------------------|------|--|--|--|--|
|   |   | <b>Element (Numbe</b><br>I NETWORK-CEN<br>CHNOLOGY |      | NET-01 Ì JOINT WARFARE SYSTE |      |  |  |  |  |
|   |   | St   | art  | End                          |      |  |  |  |  |
| Events by Sub Project   |   | Quarter  | Year | Quarter                      | Year |  |  |  |  |
| Robotics Challenge  |   |  |      |                              |      |  |  |  |  |
| Conducted DARPA Robotics Challenge Finals                               |   | 3  | 2015 | 3                            | 2015 |  |  |  |  |

| Exhibit R-2A, RDT&E Project Ju            | stification    |         | Date: February 2016 |                 |                |   |         |         |  |         |                     |               |  |  |
|---|----------------|---------|---------------------|-----------------|----------------|---|---------|---------|--|---------|---------------------|---------------|--|--|
| Appropriation/Budget Activity<br>0400 / 3 |                |         |                     |                 | PE 060376      | <b>am Elemen</b><br>66E / NETW<br>E TECHNOL | ORK-CEN | •       | Project (Number/Name)<br>NET-02 / MARITIME SYSTEMS |         |                     |               |  |  |
| COST (\$ in Millions)                     | Prior<br>Years | FY 2015 | FY 2016             | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total                            | FY 2018 | FY 2019 | FY 2020  | FY 2021 | Cost To<br>Complete | Total<br>Cost |  |  |
| NET-02: MARITIME SYSTEMS                  | -              | 72.980  | 119.401             | 138.303         | -              | 138.303                                     | 126.321 | 162.344 | 145.301  | 135.301 | -                   | -             |  |  |

#### A. Mission Description and Budget Item Justification

The objective of the Maritime Systems project is to identify, develop, and rapidly mature critical advanced technologies and system concepts for the naval forces' role in today's network centric warfare concept. Improvements in communications between and among submarines, surface ships, and naval aircraft have allowed these forces to operate seamlessly with each other and with other Service's network centric systems. Naval forces will play an ever-increasing role in network centric warfare because of their forward deployed nature, their unique capability to operate simultaneously in the air, on the sea and under the sea, and their versatile ability to provide both rapid strike and project sustained force. The technologies developed under this project will capitalize on these attributes, improve them, and enable them to operate with other network centric forces.

| B. Accomplishments/Planned Programs (\$ in Millions)  | FY 2015 | FY 2016 | FY 2017 |
|---|---------|---------|---------|
| Title: Hydra  | 24.790  | 29.363  | 24.210  |
| <b>Description:</b> The Hydra program will develop and demonstrate advanced capabilities for the undersea deployment and employment of unique payloads. Hydra integrates existing and emerging technologies and the ability to be positioned in the littoral undersea battlespace to create a disruptive capability. The system consists of a modular enclosure with communications, command and control, energy storage, and standard interfaces for payload systems. The modular enclosures are deployed by various means, depending on the need for speed and stealth, and remain deployed until awakened for employment. Hydra will develop critical enabling technologies for energy storage and recharging, communications, command and control, deployment, and autonomous operations. Technologies from this program will transition to the Navy. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed concept designs for the modular enclosure and potential payloads.</li> <li>Began development of a prototype modular enclosure.</li> <li>Began development of undersea and air vehicle payloads.</li> <li>Demonstrated enabling technologies and subsystems.</li> <li>Conducted initial flight test of the air vehicle.</li> </ul>  |         |         |         |
| <ul> <li>FY 2016 Plans:</li> <li>Build and test prototype modular enclosure.</li> <li>Complete preliminary design review for undersea payload.</li> <li>Complete component testing on undersea payload technologies.</li> <li>Complete critical design review for air vehicle payload.</li> </ul>   |         |         |         |

PE 0603766E: *NETWORK-CENTRIC WARFARE TECHNOLOGY* Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Adv   | vanced Research Projects Agency   | Date: F                                      | ebruary 2016 |         |
|--|---|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | Project (Number/I<br>NET-02 / MARITIN   |  |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015                                      | FY 2016      | FY 2017 |
| <ul> <li>Conduct flight tests of the air vehicle.</li> </ul>   |   |  |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Construct and demonstrate a prototype modular enclosure.</li> <li>Complete a full air vehicle flight test.</li> <li>Launch air vehicle from modular enclosure.</li> </ul>   |   |  |              |         |
| Title: Hybrid Multi Material Rotor Full Scale Demonstration (HyDem   | n)  | 9.982  | 14.000       | 7.500   |
| <b>Description:</b> The goal of the Hybrid Multi Material Rotor Full Scale<br>U.S. Navy submarine superiority. HyDem will apply breakthroughs<br>disciplinary design methods to a Virginia Class Submarine propulso<br>Navy's ability to operate their submarine fleet with improved capabil<br>could exploit expanded areas which were previously unattainable for<br>warfare (ASW), antisurface warfare (ASuW), intelligence, surveillan<br>operations, and strategic deterrence missions. The HyDem prograr<br>component for integration into a new construction Virginia Class Su<br>trials. It is envisioned that the Navy will integrate this design change<br>Replacement Submarines, and back-fit previously constructed Virgi<br>Navy.  | in materials and material system technologies, and multi<br>or, a critical component in submarine performance. The l<br>lity allows for the creation of strategic surprise. Submarine<br>or the purpose of submarine warfare, including antisubma<br>ce and reconnaissance (ISR) gathering, strike, Special F<br>m will design, manufacture, and supply the Navy with a m<br>bmarine. The Navy will evaluate this component in sea<br>e into the future development of the Virginia Class and C | -<br>J.S.<br>nes<br>arine<br>Forces<br>lovel |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Conducted a Preliminary Design Review.</li> <li>Completed manufacturing drawings and tooling.</li> <li>Conducted a Critical Design Review.</li> <li>Incorporated design lessons-learned from large scale vehicle (LS)</li> <li>Continued structural building block testing.</li> <li>Confirmed high-cycle fatigue endurance limit for structural materia</li> <li>Initiated manufacturing of the full-scale propulsor component to be</li> <li>Complete structural building block testing.</li> <li>Complete manufacturing of the full-scale propulsor component.</li> <li>Deliver full-scale propulsor component to the Navy for integration</li> <li>Assess structural and shock qualification of the propulsor component</li> </ul> | al.<br>e installed on a Virginia Class submarine.<br>into a Virginia Class submarine.   |  |              |         |

PE 0603766E: *NETWORK-CENTRIC WARFARE TECHNOLOGY* Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Adva   | anced Research Projects Agency  |  | Date: F                    | ebruary 2016 | 6       |
|---|---|--|----------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 3   | Project (Nu<br>NET-02 / M   |  | <b>Name)</b><br>IE SYSTEMS |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY   | 2015                       | FY 2016      | FY 2017 |
| <ul> <li>Provide integration support for the propulsor component.</li> <li>Complete shock building block testing.</li> <li>Initiate development of advanced concepts seeking to improve perf</li> <li>Initiate long-term environment exposure monitoring test program.</li> </ul>   | formance and affordability.   |  |                            |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete shock qualification of propulsor component.</li> <li>Complete development of advanced concepts.</li> <li>Transition long-term environmental exposure monitoring program to</li> </ul>   | o the Navy.   |  |                            |              |         |
| Title: Tactical Undersea Network Architecture   |   |  | 13.384                     | 19.500       | 22.173  |
| <b>Description:</b> Systems fighting as a network are vulnerable to a loss of is important for synchronizing forces, establishing and maintaining sitt and systems. Additionally, undersea systems are challenged to main operate over their design lifetime with little to no maintenance and reg and prevent the full exploitation of the potential of undersea systems. Agile Submarine Hunting (DASH) program budgeted within this PE/P will overcome these limitations by developing the technologies necess transfers; true plug, play, and operating standards; and rapid, cost eff and demonstrate novel technology options and designs to temporarily in contested environments using small diameter optical fiber and buo system architecture designs, lightweight optical fiber technologies, art technologies. The Tactical Undersea Network Architecture program integrated demonstrations of increasing complexity. Program technologies is the program technologies in technologies. | tuation awareness, and control of remotely operated ventain connectivity and must carry their own energy and pair. These factors inhibit their use in collaborative net By leveraging techniques explored under the Distribut roject, the Tactical Undersea Network Architecture prosary for autonomous, reliable, and secure undersea date fective deployment technologies. The program will devery restore connectivity for existing tactical data networks y relay nodes. The program will focus on innovative and rapidly deployable buoy node designs and compone will emphasize early risk reduction with future scaled a | hicles<br>works<br>ted<br>gram<br>ata<br>elop<br>s<br>nt |                            |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Commenced system architecture design trade studies, modeling, a</li> <li>Commenced small lightweight optical fiber development and fiber p</li> <li>Assessed system deployment options; developed cost model.</li> <li>Developed system component-level technologies and commenced</li> <li>Identified key system risks and technology trades.</li> </ul>  | performance testing.  |  |                            |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Evaluate environmental condition's impact on system performance</li> <li>Complete system architecture design trade studies and preliminary</li> </ul>  |   |  |                            |              |         |

|  | anced Research Projects Agency  | Date: F  | ebruary 2016 | ;       |
|--|---|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603766E / NETWORK-CENTRIC<br>WARFARE TECHNOLOGY   | Project (Number/N<br>NET-02 / MARITIM                          |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015  | FY 2016      | FY 2017 |
| <ul> <li>Continue fiber performance testing; demonstrate fiber survivability</li> <li>Conduct system-level performance modeling.</li> <li>Complete component-level testing.</li> <li>Commence prototype system design and plan for sea test.</li> </ul>  | under at-sea conditions.  |  |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete and evaluate prototype system design and design review</li> <li>Commence system fabrication and integration testing.</li> <li>Continue at-sea system demonstration planning and coordination.</li> <li>Demonstrate deployment and at-sea operation and survival.</li> </ul>  |   |  |              |         |
| Title: Blue Wolf   |   | 11.500   | 15.500       | 11.000  |
| drag due to fluid viscosity and platform powering requirements varies  |   |  |              |         |
| power density limitations create two distinct operational usage profile<br>endurance) and another for undersea weapons (high speed, short en-<br>systems such as the Navy's Vertical Launch Anti-Submarine Rocket,<br>hybrid systems can be vulnerable to air and undersea defensive sys-<br>launch platform modifications. The Blue Wolf program seeks to provi<br>an undersea demonstrator vehicle with endurance and speed capab<br>weight and volume envelopes of current Navy undersea systems. S<br>dynamic lift and drag reduction; hybrid energy system development of<br>and certification; and system integration and demonstration in at-sea<br>autonomy, guidance, navigation, and obstacle avoidance technologie<br>transition to the Navy. | es: one for unmanned undersea vehicles (low speed, lor<br>ndurance). Designers have historically solved this with<br>t, or by increasing the size of undersea systems. However<br>stems and larger undersea systems can result in significa-<br>ride a radically different solution to develop and demonstrational undersea systems within the<br>significant technical challenges to be addressed include:<br>compatible with existing manned platform safety require<br>a environment. The program will leverage Navy connect | ng<br>hybrid<br>ver,<br>ant<br>trate<br>e<br>ements<br>tivity, |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Adv  | vanced Research Projects Agency   | Date: F                              | ebruary 2016 | 6       |
|---|---|--------------------------------------|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603766E / NETWORK-CENTRIC<br>WARFARE TECHNOLOGY   | Project (Number/<br>NET-02 / MARITIN |              | }       |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015                              | FY 2016      | FY 2017 |
| - Commenced system design safety certification and system engine  | eering including test planning.   |                                      |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete component designs and design reviews.</li> <li>Commence module development and fabrication.</li> <li>Commence sub-system hardware and software testing and modu</li> <li>Update system performance models and conduct initial at-sea tes</li> <li>Commence subsystem safety certifications and testing.</li> </ul>  |   |                                      |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete module fabrication and integration.</li> <li>Continue system at-sea testing.</li> <li>Complete module and system safety and certification testing and</li> <li>Commence at-sea demonstration planning, training, and support point of the complete system integration and checkouts.</li> </ul>   | preparations.   |                                      |              |         |
| <i>Title:</i> Positioning System for Deep Ocean Navigation (POSYDON)*   |   | -                                    | 18.620       | 24.570  |
| <b>Description:</b> *Formerly Long-Range Undersea Navigation<br>The Positioning System for Deep Ocean Navigation (POSYDON) pr<br>(GPS)-level positioning accuracy to submarines and autonomous ur<br>periods of time. Undersea navigation cannot use GPS because the<br>be raised to receive GPS signals, but masts present a detection risk<br>has been inertial navigation systems (INS), but INS accuracy can de<br>explored under the Distributed Agile Submarine Hunting (DASH) pro<br>Falling Payloads program, PE 0602702E, Project TT-03, the POSYI<br>sources, analogous to GPS satellites, around the ocean basin. A su<br>and appropriate software in order to obtain, maintain, and re-acquire<br>waveforms and developing accurate acoustic propagation models to<br>acoustic sources, the submarine or AUV can determine its range fro<br>developed under this program will transition to the Navy.<br><b>FY 2016 Plans:</b><br>- Design and develop algorithms for accurately predicting acoustic sources of the system concept of operations. | ndersea vehicles (AUVs) in ocean basins over extended<br>water blocks its signals. At shallower depths, masts ca<br>a. Typically, the alternative to GPS for undersea navigat<br>egrade unacceptably over time. Building upon concepts<br>ogram, budgeted within this PE/Project, and the Upward<br>DON program will distribute a small number of acoustic<br>ubmarine or AUV will be equipped with an acoustic recei-<br>e, if lost, an initial location. By transmitting specific acoust<br>opredict and interpret the complex arrival structure of the<br>om each source and thus triangulate its position. Technology | n<br>ion<br>ver<br>stic<br>e         |              |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced  | Research Projects Agency  |                   | Date: F                | ebruary 2016        |         |
|--|---|-------------------|------------------------|---------------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603766E I NETWORK-CENTRIC<br>WARFARE TECHNOLOGY   | -                 | (Number/N<br>I MARITIM | Name)<br>IE SYSTEMS |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   |                   | FY 2015                | FY 2016             | FY 2017 |
| - Conduct at-sea experiments to validate analysis using a single source/rec<br>tracking accuracy and stability as well as signal acquisition techniques.   | ceiver pair at basin-scale range to measure signa   |                   |                        |                     |         |
| <ul> <li>FY 2017 Plans:</li> <li>Design and develop signal waveforms for transmitters and receivers.</li> <li>Refine the system concept of operations based on data collections from a</li> <li>Update ocean models to support real-time ranging.</li> <li>Conduct multiple at-sea demonstrations of real-time ranging signals in values.</li> </ul>   |   |                   |                        |                     |         |
| Title: Mobile Offboard Command, Control and Attack (MOCCA)   |   |                   | -                      | 4.200               | 16.334  |
| <b>Description:</b> The Mobile Offboard Command, Control and Attack (MOCCA submarine signature quieting technology that has significantly degraded parange and targeting performance. The MOCCA program will build on lesson Hunting (DASH) program, budgeted within this PE/Project, to nullify submar projectors deployed from a mobile unmanned undersea vehicle (UUV) and acoustic receive sonar systems. The off-board UUV sonar projector will ope from the cooperative submarine using communication links. | ssive anti-submarine warfare (ASW) sonar detect<br>ns learned under the Distributed Agile Submarine<br>rine signature reduction trends with active sonar<br>cooperatively processed with onboard submarine<br>erate, under positive control, at a significant dista<br>narine detection and precision target tracking. The<br>probability of intercept/low probability of detection | nce<br>e<br>(LPI/ |                        |                     |         |
| LPD) communication signaling, and high energy density sub-systems comp<br>addition, the MOCCA system will be integrated into submarine onboard son<br>transition to the Navy.  |   |                   |                        |                     |         |
| <b>FY 2016 Plans:</b> - Begin preliminary design of hardware and software components.  |   |                   |                        |                     |         |
| <ul> <li>FY 2017 Plans:</li> <li>Evaluate designs on compact acoustic projectors, UUV energy solutions,</li> <li>Develop subsystems including compact high output acoustic projector, UU system.</li> </ul>  | UV energy solutions, LPI/LPD communications lin   | k                 |                        |                     |         |
| <ul> <li>Commence testing to evaluate at-sea performance of UUV mobile sonar communications waveforms detectability, range performance and data rate,</li> <li>Initiate process for approval of temporary system integration into submari</li> </ul>   | , and submarine Bi-static sonar processing algorit  |                   |                        |                     |         |
| Title: Virtual Acoustic Microphone System (VAMS)   |   |                   | -                      | 5.000               | 15.958  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |               | Date: F                    | ebruary 2016              |         |
|---|---|---------------|----------------------------|---------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603766E / NETWORK-CENTRIC<br>WARFARE TECHNOLOGY   |               | : (Number/N<br>2 / MARITIM | <b>lame)</b><br>E SYSTEMS |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |               | FY 2015                    | FY 2016                   | FY 2017 |
| <b>Description:</b> The Virtual Acoustic Microphone System (VAMS) program will de<br>underwater platforms. The VAMS program seeks to develop and demonstrate<br>underwater acoustic sensor arrays with performance comparable to existing ar<br>array to be adaptively reconfigured, enabling capabilities that are not currently   | technologies that enable the laser projection erays. The VAMS approach, however, will allo  | of            |                            |                           |         |
| Expanding on lessons learned from the Distributed Agile Submarine Hunting (E<br>the program will combine reconfigurable laser transmitters with novel signal ex-<br>high-speed sensor and processor capabilities. The VAMS system has the pote<br>platforms. The acoustic sensor technology developed under the VAMS progra   | traction methods and exploit new and emerginential to be integrated into a number of underw   | ig            |                            |                           |         |
| <i>FY 2016 Plans:</i><br>- Evaluate core enabling technologies, including the application of high-speed laser-based acoustic detection.   | sensor technology to increase the sensitivity o   | of            |                            |                           |         |
| <ul> <li>FY 2017 Plans:</li> <li>Initiate system design, which will demonstrate the required acoustic capabilities</li> <li>Initiate the development of advanced signal processing methods that will enables based sensor and compensate for motion of the platform.</li> </ul>   |   | ser-          |                            |                           |         |
| Title: Cross Domain Maritime Surveillance and Targeting (CDMaST)  |   |               | -                          | 4.000                     | 16.558  |
| <b>Description:</b> The Cross Domain Maritime Surveillance and Targeting (CDMaS architectures consisting of novel combinations of manned and unmanned syste submarines and ships over large contested maritime areas. By exploiting prom seafloor systems, and emerging long-range weapon systems, the program will above sea warfighting capability. Building upon research conducted under the Experimentation (SoSite) program (budgeted in PE 0603766E, Project NET-01 Targeting (CDMaST)program will establish an analytical and experimental environment of operational effectiveness as well as engineering feasibility and robut technologies needed for command, control, and communication (C3) between architecture constructs. Through experimentation, the program will not only de also develop new tactics that capitalize on features created by the heterogeneous Surveillance and Targeting (CDMaST) program will transition to the Navy. | ems to execute long-range kill chains against<br>hising new developments in unmanned platfor<br>develop an advanced, integrated undersea ar<br>System of Systems Integration Technology at<br>), the Cross Domain Maritime Surveillance an<br>ironment to explore architecture combinations<br>istness. The program will leverage enabling<br>physical domains in order to support the<br>monstrate integrated system performance, but<br>bus architecture. The Cross Domain Maritime | nd<br>nd<br>d |                            |                           |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced R   | esearch Projects Agency   |   | Date: Fo                                   | ebruary 2016 |         |
|---|---|---|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603766E <i>I NETWORK-CENTRIC</i><br>WARFARE TECHNOLOGY  |   | <b>ct (Number/N</b><br>)2 <i>I MARITIM</i> |              |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |   | FY 2015                                    | FY 2016      | FY 2017 |
| <ul><li>FY 2016 Plans:</li><li>Establish modeling and simulation environment to conduct high fidelity mis</li></ul>   | sion-level architecture analysis.   |   |  |              |         |
| <ul> <li>FY 2017 Plans:</li> <li>Develop baseline system of systems architecture.</li> <li>Create concept design for system of systems live, virtual, and constructive</li> </ul>   | test bed environment.   |   |  |              |         |
| <i>Title:</i> Distributed Agile Submarine Hunting (DASH)  |   |   | 13.324                                     | 9.218        | -       |
| <b>Description:</b> The diesel-electric submarine is an asymmetric threat in terms relative to our legacy maritime platforms. In addition, these submarines have have grown in lethality. The Distributed Agile Submarine Hunting (DASH) prof this threat through the development of advanced standoff sensing from un developed to operate at significant depths in open ocean areas to achieve la Each deep node is the maritime equivalent of a satellite, and is referred to as the advantage of low-noise phenomena at extreme depths, will permit a scale detect and track submarines over large areas. At-sea demonstrations have a program will continue to develop prototype systems that will evolve through a the ability to integrate into the Navy's undersea systems responsible for anti-achieve breakthrough technology for long-range detection and classification, platform integration, and robust semiautonomous processing and control for transition to the Navy. | e trended toward lower acoustic signature levels<br>ogram intends to reverse the asymmetric advan<br>manned systems. Deep-ocean sonar nodes wil<br>rge fields of view to detect submarines overhead<br>a subullite. The significant field of view, along<br>able number of collaborative sensor platforms to<br>shown that the detection capability is achievable<br>additional at-sea testing. These tests will demor<br>submarine warfare (ASW). The program seeks<br>communications, energy management, sensor | and<br>tage<br>I be<br>d.<br>with<br>o<br>e. The<br>instrate<br>to<br>and |  |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Designed and developed longer duration passive and active sonar nodes.</li> <li>Conducted extended duration sonar demonstrations at sea against a targe</li> <li>Demonstrated connectivity from seafloor node to remote shore station.</li> <li>Integrated distributed communications with Navy systems for data transfer</li> <li>Computers, and Intelligence (C4I).</li> <li>Initiated test planning for passive and active sonar sea test.</li> <li>Explored alternative concepts of operations and modified architectures of I</li> <li>Initiated data collection experiments in other significant Navy operational a</li> <li>FY 2016 Plans:</li> </ul>   | and Command, Control, Communications,<br>DASH system for other applications.<br>reas to characterize DASH performance.  |   |  |              |         |
| <ul> <li>Conduct at-sea demonstrations of a distributed deep-ocean passive sonar</li> <li>Conduct at-sea demonstrations of a mobile active sonar node.</li> </ul>   | barrier using multiple nodes for extended durati  | on.   |  |              |         |

PE 0603766E: *NETWORK-CENTRIC WARFARE TECHNOLOGY* Defense Advanced Research Projects Agency

| Exhibit R-2A, RDT&E Project Justification: PB 2017 De  | efense Advanced Research Projects Agency  |         | Date: F                           | ebruary 2016       |         |
|--|---|---------|-----------------------------------|--------------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603766E <i>I NETWORK-CENTRIC</i><br><i>WARFARE TECHNOLOGY</i> |         | <b>t (Number/N</b><br>2 / MARITIM | lame)<br>E SYSTEMS |         |
| B. Accomplishments/Planned Programs (\$ in Millions  |   |         | FY 2015                           | FY 2016            | FY 2017 |
| <ul> <li>Perform data-driven signal processing development to i</li> <li>Provide analysis and data to support Navy utility assess</li> <li>Complete data collection experiments in other significant</li> <li>Continue to explore alternate techniques for long-range</li> </ul> | sments and studies to aid in transition.<br>nt Navy operational areas to characterize DASH performance.       |         |                                   |                    |         |
|  | Accomplishments/Planned Programs Su   | btotals | 72.980                            | 119.401            | 138.30  |
| D. Acquisition Strategy<br>N/A<br>E. Performance Metrics<br>Specific programmatic performance metrics are listed ab  | ove in the program accomplishments and plans section.   |         |                                   |                    |         |
|  |   |         |                                   |                    |         |

| Exhibit R-3, RDT&E F<br>Appropriation/Budge<br>0400 / 3            | -                            | -   |                | inse Auva |               | <b>R-1 Pro</b><br>PE 0603 | <b>gram El</b><br>3766E / / | ement (N<br>NETWOR<br>HNOLOG | K-CENTF       |      |               | (Number<br>I MARITI |                     |               |                                |
|--|------------------------------|---|----------------|-----------|---------------|---------------------------|-----------------------------|------------------------------|---------------|------|---------------|---------------------|---------------------|---------------|--------------------------------|
| Product Developmer   | nt (\$ in Mi                 | illions)  |                | FY        | 2015          | FY 2                      | 016                         | FY 2<br>Ba                   |               |      | 2017<br>CO    | FY 2017<br>Total    |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location                     | Prior<br>Years | Cost      | Award<br>Date | Cost                      | Award<br>Date               | Cost                         | Award<br>Date | Cost | Award<br>Date | Cost                | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Hydra  | C/CPFF                       | Oceaneering<br>International, Inc. :<br>MD            | -              | 6.474     | Jan 2015      | 0.646                     |                             | 0.000                        |               | -    |               | 0.000               | Continuing          | Continuing    | g Continuing                   |
| Hydra  | C/CPFF                       | Raytheon Company :<br>CA                              | -              | 8.437     | Mar 2015      | 19.974                    |                             | 12.393                       |               | -    |               | 12.393              | Continuing          | Continuing    | g Continuing                   |
| Hydra  | C/Various                    | Various : Various                                     | -              | 7.647     |               | 6.101                     |                             | 5.100                        |               | -    |               | 5.100               | Continuing          | Continuing    | Continuing                     |
| Hybrid Multi Material Rotor<br>Full Scale Demonstration<br>(HyDem) | C/CPFF                       | Goodrich<br>Corporation : FL                          | -              | 6.465     | Dec 2014      | 6.760                     |                             | 2.500                        |               | -    |               | 2.500               | Continuing          | Continuing    | g Continuing                   |
| Hybrid Multi Material Rotor<br>Full Scale Demonstration<br>(HyDem) | C/Various                    | Various : Various                                     | -              | 2.619     |               | 5.980                     |                             | 4.325                        |               | -    |               | 4.325               | Continuing          | Continuing    | g Continuing                   |
| Tactical Undersea Network<br>Architecture                          | C/Various                    | Various : Various                                     | -              | 11.449    |               | 14.560                    |                             | 18.815                       |               | -    |               | 18.815              | Continuing          | Continuing    | g Continuing                   |
| Blue Wolf  | C/Various                    | Various : Various                                     | -              | 10.465    |               | 14.505                    |                             | 9.590                        |               | -    |               | 9.590               | Continuing          | Continuing    | g Continuing                   |
| Positioning System for<br>Deep Ocean Navigation<br>(POSYDON)*      | C/CPFF                       | Various : Various                                     | -              | 0.000     |               | 11.903                    |                             | 23.134                       |               | -    |               | 23.134              | Continuing          | Continuing    | g Continuing                   |
| Positioning System for<br>Deep Ocean Navigation<br>(POSYDON)*      | C/CPFF                       | THE CHARLES<br>STARK DRAPER<br>LABORATORY INC :<br>MA | -              | 0.000     |               | 5.757                     |                             | 0.000                        |               | -    |               | 0.000               | 0                   | 5.757         | C                              |
| Mobile Offboard<br>Command, Control and<br>Attack (MOCCA)          | C/Various                    | Various : Various                                     | -              | 0.000     |               | 3.430                     |                             | 15.122                       |               | -    |               | 15.122              | Continuing          | Continuing    | g Continuing                   |
| Virtual Acoustic<br>Microphone System<br>(VAMS)                    | C/Various                    | Various : Various                                     | -              | 0.000     |               | 3.950                     |                             | 11.283                       |               | -    |               | 11.283              | Continuing          | Continuing    | g Continuing                   |
| Cross Domain Maritime<br>Surveillance and Targeting<br>(CDMaST)    | C/Various                    | Various : Various                                     | -              | 0.000     |               | 3.310                     |                             | 15.224                       |               | -    |               | 15.224              | Continuing          | Continuing    | g Continuing                   |

| Exhibit R-3, RDT&E F<br>Appropriation/Budge                        | -                            | <b>-</b>                          |                |        |               |         |               |         | umber/N       | amo) | Project       | (Numbe           | February            |               |                                |
|--|------------------------------|-----------------------------------|----------------|--------|---------------|---------|---------------|---------|---------------|------|---------------|------------------|---------------------|---------------|--------------------------------|
| 0400 / 3   |                              |                                   |                |        |               | PE 060  | 3766E / M     |         | K-CENTF       |      |               |                  |                     | TEMS          |                                |
| Product Developmer   | nt (\$ in Mi                 | llions)                           |                | FY 2   | 015           | FY 2    | 016           |         | 2017<br>Ise   |      | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost    | Award<br>Date | Cost    | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Distributed Agile<br>Submarine Hunting<br>(DASH)                   | SS/CPFF                      | Various : Various                 | -              | 10.926 |               | 7.290   |               | 0.000   |               | -    |               | 0.000            | 0                   | 18.216        | (                              |
|  |                              | Subtotal                          | -              | 64.482 |               | 104.166 |               | 117.486 |               | -    |               | 117.486          | -                   | -             | -                              |
| Support (\$ in Million   | s)                           |                                   |                | FY 2   | 015           | FY 2    | 016           |         | 2017<br>Ise   |      | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost    | Award<br>Date | Cost    | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Government Support   | C/Various                    | Various : Various                 | -              | 2.919  |               | 4.776   |               | 5.532   |               | -    |               | 5.532            | Continuing          | Continuing    | -                              |
|  | ļ                            | Subtotal                          | -              | 2.919  |               | 4.776   |               | 5.532   |               | -    |               | 5.532            | -                   | -             | -                              |
| Test and Evaluation  | (\$ in Milli                 | ons)                              |                | FY 2   | 015           | FY 2    | 016           |         | 2017<br>Ise   |      | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost    | Award<br>Date | Cost    | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Hydra  | C/Various                    | Various : Various                 | -              | 0.000  |               | 0.000   |               | 2.725   |               | -    |               | 2.725            | Continuing          | Continuing    | Continuin                      |
| Hybrid Multi Material Rotor<br>Full Scale Demonstration<br>(HyDem) | C/Various                    | Various : Various                 | -              | 0.000  |               | 0.000   |               | 1.750   |               | -    |               | 1.750            | Continuing          | Continuing    | Continuin                      |
| Tactical Undersea Network<br>Architecture                          | MIPR                         | Various : Various                 | -              | 0.562  |               | 3.195   |               | 0.000   |               | -    |               | 0.000            | 0                   | 3.757         | (                              |
| Positioning System for<br>Deep Ocean Navigation<br>(POSYDON)*      | MIPR                         | Various : Various                 | -              | 0.000  |               | 0.175   |               | 0.650   |               | -    |               | 0.650            | Continuing          | Continuing    | Continuin                      |
| Mobile Offboard<br>Command, Control and<br>Attack (MOCCA)          | C/TBD                        | Various : Various                 | -              | 0.000  |               | 0.000   |               | 0.200   |               | -    |               | 0.200            | Continuing          | Continuing    | Continuin                      |
| Virtual Acoustic<br>Microphone System<br>(VAMS)                    | C/TBD                        | Various : Various                 | -              | 0.000  |               | 0.000   |               | 3.045   |               | -    |               | 3.045            | Continuing          | Continuing    | Continuin                      |

PE 0603766E: *NETWORK-CENTRIC WARFARE TECHNOLOGY* Defense Advanced Research Projects Agency

| Exhibit R-3, RDT&E                               | Project Co                   | ost Analysis: PB 2                | 2017 Defe      | ense Adva | anced Re      | search Pr | ojects Ag     | gency                        |               |      |               | Date:                  | February            | 2016          |                                |
|--|------------------------------|-----------------------------------|----------------|-----------|---------------|-----------|---------------|------------------------------|---------------|------|---------------|------------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budg<br>0400 / 3                   | et Activity                  | /                                 |                |           |               | PE 060    | 3766E / /     | ement (N<br>NETWOR<br>HNOLOG | K-CENTF       |      |               | : (Number<br>2 / MARIT | r/Name)<br>IME SYST | TEMS          |                                |
| Test and Evaluation                              | (\$ in Milli                 | ons)                              |                | FY 2      | 2015          | FY 2      | 2016          | FY 2<br>Ba                   |               |      | 2017<br>CO    | FY 2017<br>Total       |                     |               |                                |
| Cost Category Item                               | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost                         | Award<br>Date | Cost | Award<br>Date | Cost                   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Distributed Agile<br>Submarine Hunting<br>(DASH) | C/Various                    | Various : Various                 | -              | 1.368     |               | 1.118     |               | 0.000                        |               | -    |               | 0.000                  | 0                   | 2.486         | 0                              |
|  |                              | Subtotal                          | -              | 1.930     |               | 4.488     |               | 8.370                        |               | -    |               | 8.370                  | -                   | -             | -                              |
| Management Servic                                | es (\$ in M                  | illions)                          |                | FY 2      | 2015          | FY 2      | 2016          | FY 2<br>Ba                   |               |      | 2017<br>CO    | FY 2017<br>Total       | ]                   |               |                                |
| Cost Category Item                               | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost                         | Award<br>Date | Cost | Award<br>Date | Cost                   | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Management Support                               | C/Various                    | Various : Various                 | -              | 3.649     |               | 5.971     |               | 6.915                        |               | -    |               | 6.915                  | Continuing          | Continuing    | Continuing                     |
|  |                              | Subtotal                          | -              | 3.649     |               | 5.971     |               | 6.915                        |               | -    |               | 6.915                  | -                   | -             | -                              |
|  |                              |                                   | Prior<br>Years | FY        | 2015          | FY 2      | 2016          | FY 2<br>Ba                   |               |      | 2017<br>CO    | FY 2017<br>Total       | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
|  |                              | Project Cost Totals               | -              | 72.980    |               | 119.401   |               | 138.303                      |               | -    |               | 138.303                | -                   | -             | -                              |

Remarks

| xhibit R-4, RDT&E Schedule Profile: PB 2017 [                                 | Defe | nse A | Adva | ince | d Re | esea | irch | Pro | jects | s Age | ency                    |     |    |     |     |   |   |    |     |   |   | D | ate:         | Feb | orua | ary 2 | 2016 |     |   |
|---|------|-------|------|------|------|------|------|-----|-------|-------|-------------------------|-----|----|-----|-----|---|---|----|-----|---|---|---|--------------|-----|------|-------|------|-----|---|
| ppropriation/Budget Activity<br>400 / 3                                       |      |       |      |      |      |      |      | ΡE  | 0603  | 3766  | n Ele<br>6E / /<br>7EC/ | VET | WO | RK- |     |   |   | )  |     |   |   |   | nber<br>RITI |     |      |       | EMS  |     |   |
|   |      | FY 2  | 2015 |      |      | FY 2 | 2010 | 6   |       | FY 2  | 2017                    | ,   |    | FY  | 201 | B |   | FY | 201 | 9 |   | F | Y 20         | 20  |      |       | FY 2 | 021 |   |
|   | 1    | 2     | 3    | 4    | 1    | 2    | 3    | 4   | 1     | 2     | 3                       | 4   | 1  | 2   | 3   | 4 | 1 | 2  | 3   | 4 | 1 |   | 2 :          | 3   | 4    | 1     | 2    | 3   | 4 |
| Hydra   |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Modular Enclosure Preliminary Design<br>Review                                |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Air Vehicle Initial Flight Test   |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Test Prototype Modular Enclosure  |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Critical Design Review of Undersea Payload and Air Vehicle Payload            |      |       |      |      |      |      | l    |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Complete a Full Air Vehicle Flight Test                                       |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Demonstrate Full Undersea Payload<br>Demonstration from the Modular Enclosure |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Hybrid Multi Material Rotor Full Scale<br>Demonstration (HyDem)               |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Preliminary Design Review   |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Critical Design Review  |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Deliver Full Scale Component to Navy  |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Support Propulsor Integration on VIRGINIA<br>Class Submarine                  |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Support Propulsor Testing   |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Tactical Undersea Network Architecture  |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| System architecture design studies  |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Preliminary Design Review of system<br>architecture                           |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Component Testing   |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Software Design Review (SDR)  |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| At-sea demonstration  |      |       |      |      |      |      |      |     |       |       |                         |     | l  |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |
| Blue Wolf   |      |       |      |      |      |      |      |     |       |       |                         |     |    |     |     |   |   |    |     |   |   |   |              |     |      |       |      |     |   |

| khibit R-4, RDT&E Schedule Profile: PB 2017 D                | Defe | ense | e Ac | lvan | iceo | d Re | esea | arch | Pro | jects | s A | geno                               | у    |     |    |      |     |   |   |    |     |   |   | D | ate | :Fe           | brua | ary | 201 | 6   |   |   |
|--|------|------|------|------|------|------|------|------|-----|-------|-----|------------------------------------|------|-----|----|------|-----|---|---|----|-----|---|---|---|-----|---------------|------|-----|-----|-----|---|---|
| opropriation/Budget Activity<br>00 / 3                       |      |      |      |      |      |      |      |      | ΡE  | 060   | 376 | <b>'am I</b><br>66E<br>E <i>TE</i> | I NE | TWO | ÒR | RK-C |     |   |   |    |     |   |   |   |     | er/Na<br>TIME |      |     | EMS | S   |   |   |
|  |      | F١   | ( 20 | 15   |      |      | FY 2 | 2016 | 6   |       | F١  | Y 20 <sup>-</sup>                  | 17   |     | F  | Y 2  | 018 |   |   | FY | 201 | 9 |   | F | Y 2 | 020           |      |     | FY  | 202 | 1 |   |
|  | 1    | 2    | 2    | 3    | 4    | 1    | 2    | 3    | 4   | 1     | 2   | 2 3                                | ; 4  | 1 1 |    | 2    | 3   | 4 | 1 | 2  | 3   | 4 | 1 | 1 | 2   | 3             | 4    | 1   | 2   | 3   | 4 |   |
| Initial Check-Out Testing                                    |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Design Safety Certification                                  |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Sub-System Hardware and Software Testing                     |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Module Development and Fabrication                           |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| System At-Sea Testing  |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Complete System Integration                                  |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Positioning System for Deep Ocean<br>Navigation (POSYDON)    |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Program Initiation   |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Conduct at-sea data collections                              |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Conduct real-time ranging demonstrations                     |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Mobile Offboard Command, Control and Attack (MOCCA)          |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Program Initiation   |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Evaluation testing of UUV mobile sonar                       |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Virtual Acoustic Microphone Systems<br>(VAMS)                |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Program Initiation   |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   | 1 |
| System development and design review                         |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Cross Domain Maritime Surveillance and<br>Targeting (CDMaST) |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Program Initiation   |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Concept design for test bed environment                      |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Distributed Agile Submarine Hunting (DASH)                   |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| At sea sonar demonstrations                                  |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |
| Node Design Validations                                      |      |      |      |      |      |      |      |      |     |       |     |                                    |      |     |    |      |     |   |   |    |     |   |   |   |     |               |      |     |     |     |   |   |

PE 0603766E: *NETWORK-CENTRIC WARFARE TECHNOLOGY* Defense Advanced Research Projects Agency UNCLASSIFIED Page 28 of 32

Volume 1 - 292

| Exhibit R-4, RDT&E Schedule Profile: PB 2017 | Defer | nse / | Adva | ance | d R | esea | arch | Proj | ects | Age  | ency |      |    |      |               |   |   |      |     |   |   | Dat | e: Fe       | ebrua | ary 2 | 2016 | 6    |   |
|--|-------|-------|------|------|-----|------|------|------|------|------|------|------|----|------|---------------|---|---|------|-----|---|---|-----|-------------|-------|-------|------|------|---|
| Appropriation/Budget Activity<br>0400 / 3    |       |       |      |      |     |      |      | PE ( | 0603 |      | EIN  | VETN | NÒ | RK-0 | ber/N<br>CENT |   |   |      | -   |   | • |     | er/N<br>TIM |       |       | EMS  |      |   |
|  |       | FY 2  | 2015 | 5    |     | FY   | 2016 | ;    |      | FY 2 | 2017 |      |    | FY 2 | 018           |   | F | Y 20 | )19 |   |   | FY  | 2020        | )     |       | FY 2 | 2021 |   |
|  | 1     | 2     | 3    | 4    | 1   | 2    | 3    | 4    | 1    | 2    | 3    | 4    | 1  | 2    | 3 4           | 1 | 1 | 2    | 3   | 4 | 1 | 2   | 3           | 4     | 1     | 2    | 3    | 4 |
| At sea mobile active sonar demonstrations    |       |       |      |      | ·   |      |      |      |      |      |      |      |    |      |               |   |   |      |     | · |   |     |             |       |       |      |      |   |
| Node System demonstration                    |       |       |      |      |     |      |      |      |      |      |      |      |    |      |               |   |   |      |     |   |   |     |             |       |       |      |      |   |

| Appropriation/Budget Activity       R-1 Program Element (Number/Name)       Project (Number/Name)         0400 / 3       PE 0603766E / NETWORK-CENTRIC       NET-02 / MARITIME SYSTEMS         WARFARE TECHNOLOGY       WARFARE TECHNOLOGY       NET-02 / MARITIME SYSTEMS | Exhibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Resear | Date: February 2016           |  |
|--|---|-------------------------------|--|
|  |   | PE 0603766E I NETWORK-CENTRIC |  |

# Schedule Details

|  | Sta     | art  | Er      | d    |
|--|---------|------|---------|------|
| Events by Sub Project  | Quarter | Year | Quarter | Year |
| Hydra  |         |      |         |      |
| Modular Enclosure Preliminary Design Review                                | 3       | 2015 | 3       | 2015 |
| Air Vehicle Initial Flight Test  | 4       | 2015 | 4       | 2015 |
| Test Prototype Modular Enclosure   | 1       | 2016 | 1       | 2016 |
| Critical Design Review of Undersea Payload and Air Vehicle Payload         | 2       | 2016 | 2       | 2016 |
| Complete a Full Air Vehicle Flight Test                                    | 2       | 2017 | 2       | 2017 |
| Demonstrate Full Undersea Payload Demonstration from the Modular Enclosure | 4       | 2017 | 4       | 2017 |
| Hybrid Multi Material Rotor Full Scale Demonstration (HyDem)               |         |      | · · ·   |      |
| Preliminary Design Review  | 2       | 2015 | 2       | 2015 |
| Critical Design Review   | 3       | 2015 | 3       | 2015 |
| Deliver Full Scale Component to Navy                                       | 3       | 2016 | 3       | 2016 |
| Support Propulsor Integration on VIRGINIA Class Submarine                  | 3       | 2016 | 2       | 2017 |
| Support Propulsor Testing  | 3       | 2017 | 4       | 2017 |
| Tactical Undersea Network Architecture                                     |         |      |         |      |
| System architecture design studies   | 2       | 2015 | 2       | 2015 |
| Preliminary Design Review of system architecture                           | 1       | 2016 | 1       | 2016 |
| Component Testing  | 1       | 2016 | 1       | 2016 |
| Software Design Review (SDR)   | 2       | 2017 | 2       | 2017 |
| At-sea demonstration   | 4       | 2017 | 4       | 2017 |
| Blue Wolf  |         |      | · · ·   |      |
| Initial Check-Out Testing  | 2       | 2015 | 2       | 2015 |
| Design Safety Certification  | 4       | 2015 | 4       | 2017 |

PE 0603766E: *NETWORK-CENTRIC WARFARE TECHNOLOGY* Defense Advanced Research Projects Agency

| oropriation/Budget Activity<br>0 / 3                      | R-1 Program Element (Nun<br>PE 0603766E / NETWORK-<br>WARFARE TECHNOLOGY |       | Project (Number/Nam<br>NET-02 / MARITIME S |      |
|---|--|-------|--|------|
|   |  | Start | E  | nd   |
| Events by Sub Project                                     | Quarter  | Year  | Quarter                                    | Year |
| Sub-System Hardware and Software Testing                  | 2  | 2016  | 4  | 2017 |
| Module Development and Fabrication                        | 3  | 2016  | 4  | 2017 |
| System At-Sea Testing                                     | 2  | 2017  | 2  | 2017 |
| Complete System Integration                               | 3  | 2017  | 3  | 2017 |
| Positioning System for Deep Ocean Navigation (POSYDON)    |  |       |  |      |
| Program Initiation  | 1  | 2016  | 1  | 2016 |
| Conduct at-sea data collections                           | 1  | 2016  | 3  | 2016 |
| Conduct real-time ranging demonstrations                  | 1  | 2017  | 4  | 2017 |
| Mobile Offboard Command, Control and Attack (MOCCA)       |  |       |  |      |
| Program Initiation  | 3  | 2016  | 3  | 2016 |
| Evaluation testing of UUV mobile sonar                    | 4  | 2017  | 4  | 2017 |
| Virtual Acoustic Microphone Systems (VAMS)                |  |       |  |      |
| Program Initiation  | 3  | 2016  | 3  | 2016 |
| System development and design review                      | 4  | 2017  | 4  | 2017 |
| Cross Domain Maritime Surveillance and Targeting (CDMaST) |  |       |  |      |
| Program Initiation  | 3  | 2016  | 3  | 2016 |
| Concept design for test bed environment                   | 3  | 2017  | 3  | 2017 |
| Distributed Agile Submarine Hunting (DASH)                |  | · ·   | · · · · · · · · · · · · · · · · · · ·      |      |
| At sea sonar demonstrations                               | 2  | 2015  | 2  | 2015 |
| Node Design Validations                                   | 3  | 2015  | 1  | 2016 |
| At sea mobile active sonar demonstrations                 | 2  | 2016  | 4  | 2016 |
| Node System demonstration                                 | 4  | 2016  | 4  | 2016 |

| COST (\$ in Millions)   |                            |                |           |                 |                | 6E I NETW<br>TECHNOL | ′ORK-CENT<br>.OGY | <i>TRIC</i> | NET-06 / N<br>TECHNOL |             | -CÉNTRIC            | NARFARE       |
|---|----------------------------|----------------|-----------|-----------------|----------------|----------------------|-------------------|-------------|-----------------------|-------------|---------------------|---------------|
|   | Prior<br>Years             | FY 2015        | FY 2016   | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total     | FY 2018           | FY 2019     | FY 2020               | FY 2021     | Cost To<br>Complete | Total<br>Cost |
| ET-06: NETWORK-CENTRIC<br>/ARFARE TECHNOLOGY  | -                          | 231.559        | 240.241   | 217.675         | -              | 217.675              | 172.150           | 85.796      | 63.000                | 0.000       | -                   | -             |
| . Mission Description and Bud<br>This project funds classified DAF<br>Annual Report to Congress.  | -                          |                |           | accordance      | with Title 1   | 0, United St         | ates Code,        | Section 11  | 9(a)(1) in th         | e Special / | Access Prog         | ram           |
| . Accomplishments/Planned I   | Programs (S                | \$ in Millions | <u>s)</u> |                 |                |                      |                   |             | FY                    | 2015        | FY 2016             | FY 2017       |
| Description: This project funds (<br>TY 2015 Accomplishments:<br>Details will be provided under se<br>TY 2016 Plans:<br>Details will be provided under se<br>TY 2017 Plans: | parate cove<br>parate cove | r.<br>r.       |           |                 |                |                      |                   |             |                       |             |                     |               |
| etails will be provided under se  | parate cove                | r.             |           |                 | Accomplis      | shments/Pla          | anned Proc        | arams Subt  | totals 3              | 231.559     | 240.241             | 217.67        |
| <u>. Other Program Funding Sun</u><br>J/A<br><u>emarks</u><br><u>. Acquisition Strategy</u><br>J/A<br><u>. Performance Metrics</u><br>Details will be provided under se     |                            |                |           |                 |                |                      |                   |             |                       |             |                     |               |

| Exhibit R-2, RDT&E Budget Iten   | n Justificat   | ion: PB 20   | 17 Defense  | Advanced        | Research P     | rojects Age                     | ncy     |         |         | Date: Febr | uary 2016           |               |
|--|----------------|--------------|-------------|-----------------|----------------|---------------------------------|---------|---------|---------|------------|---------------------|---------------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Te<br>Advanced Technology Developme |                | ation, Defen | se-Wide I B | SA 3:           | -              | a <b>m Elemen</b><br>37E / SENS | •       | •       |         |            |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015      | FY 2016     | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total                | FY 2018 | FY 2019 | FY 2020 | FY 2021    | Cost To<br>Complete | Total<br>Cost |
| Total Program Element  | -              | 283.905      | 240.127     | 241.288         | -              | 241.288                         | 207.325 | 197.278 | 236.505 | 270.554    | -                   | -             |
| SEN-01: SURVEILLANCE<br>AND COUNTERMEASURES<br>TECHNOLOGY  | -              | 32.266       | 18.121      | 19.027          | -              | 19.027                          | 11.331  | 11.527  | 16.401  | 16.401     | -                   | -             |
| SEN-02: SENSORS AND<br>PROCESSING SYSTEMS  | -              | 115.315      | 116.396     | 145.732         | -              | 145.732                         | 149.194 | 167.876 | 215.104 | 254.153    | -                   | -             |
| SEN-03: EXPLOITATION<br>SYSTEMS  | -              | 48.924       | 13.411      | 0.000           | -              | 0.000                           | 0.000   | 0.000   | 0.000   | 0.000      | -                   | -             |
| SEN-06: SENSOR<br>TECHNOLOGY   | -              | 87.400       | 92.199      | 76.529          | -              | 76.529                          | 46.800  | 17.875  | 5.000   | 0.000      | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Sensor Technology program element is budgeted in the Advanced Technology Development Budget Activity because it funds sensor efforts that will improve the accuracy and timeliness of our surveillance and targeting systems for improved battlefield awareness, strike capability and battle damage assessment.

The Surveillance and Countermeasures Technology project will improve the accuracy and timeliness of our surveillance and targeting systems for improved battlefield awareness, strike capability, and battle damage assessment. Timely surveillance of enemy territory under all weather conditions is critical to providing our forces with the tactical information needed to succeed in future wars. This operational surveillance capability must continue to perform during enemy efforts to deny and deceive the sensor systems, and operate, at times, in a clandestine manner. This project will exploit recent advances in multispectral target phenomenology, signal processing, low-power high-performance computing, and low-cost microelectronics to develop advanced surveillance and targeting systems. In addition, this project encompasses several advanced technologies related to the development of techniques to counter advanced battlefield threats.

The Sensors and Processing Systems project develops and demonstrates the advanced sensor processing technologies and systems necessary for intelligence surveillance and reconnaissance (ISR) missions. The project is primarily driven by four needs: 1) providing day-night ISR capabilities against the entire range of potential targets; 2) countering camouflage, concealment, and deception of mobile ground targets; 3) detecting and identifying objects of interest/targets across wide geographic areas in near-real-time; and 4) enabling reliable identification, precision fire control tracking, timely engagement, and accurate battle damage assessment of ground targets.

The Exploitation Systems project develops algorithms, software, and information processing systems to extract information from massive intelligence, surveillance, and reconnaissance (ISR) datasets. In particular, it develops new technologies for detection and discrimination of targets from clutter, classification and fingerprinting of high value targets, localization and tracking over wide areas, and threat network identification and analysis.

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 D  | efense Advanced     | Research Projects | s Agency            | Date:       | February 2016 |
|--|---------------------|-------------------|---------------------|-------------|---------------|
| Appropriation/Budget Activity  |                     | R-1 Program Ele   | ement (Number/Name) |             |               |
| 0400: Research, Development, Test & Evaluation, Defense-N<br>Advanced Technology Development (ATD) | <i>Vide I</i> BA 3: | PE 0603767E / S   | SENSOR TECHNOLOGY   |             |               |
| B. Program Change Summary (\$ in Millions)   | FY 2015             | FY 2016           | FY 2017 Base        | FY 2017 OCO | FY 2017 Total |
| Previous President's Budget  | 302.821             | 257.127           | 275.921             | -           | 275.921       |
| Current President's Budget   | 283.905             | 240.127           | 241.288             | -           | 241.288       |
| Total Adjustments  | -18.916             | -17.000           | -34.633             | -           | -34.633       |
| <ul> <li>Congressional General Reductions</li> </ul>   | 0.000               | -6.000            |                     |             |               |
| <ul> <li>Congressional Directed Reductions</li> </ul>  | 0.000               | -11.000           |                     |             |               |
| <ul> <li>Congressional Rescissions</li> </ul>  | 0.000               | 0.000             |                     |             |               |
| <ul> <li>Congressional Adds</li> </ul>   | 0.000               | 0.000             |                     |             |               |
| <ul> <li>Congressional Directed Transfers</li> </ul>   | 0.000               | 0.000             |                     |             |               |
| Reprogrammings   | -9.693              | 0.000             |                     |             |               |
| SBIR/STTR Transfer   | -9.223              | 0.000             |                     |             |               |
| <ul> <li>TotalOtherAdjustments</li> </ul>  | -                   | -                 | -34.633             | -           | -34.633       |

#### **Change Summary Explanation**

FY 2015: Decrease reflects reprogrammings and the SBIR/STTR transfer. FY 2016: Decrease reflects congressional reduction.

FY 2017: Decrease reflects completion of Insight and drawdown of classified programs.

| Exhibit R-2A, RDT&E Project Ju  | ustification  | : PB 2017 [  | Defense Ad  | vanced Res   | search Proje   | ects Agency   | ,  |  |                           | Date: Feb                    | oruary 2016         |               |
|---|---|--|---|--|--|---|--|--|---------------------------|------------------------------|---------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 3   | PE 0603767E I SENSOR TECHNOLOGY OST (\$ in Millions) Prior Years FY 2015 FY 2016 FY 2017 Base FY 2017 FY 2017 FY 2017 FY 2017 FY 2017 Total FY 2018 FY 2018 FY 2019 SURVEILLANCE OLOGY IDENTIFY AND A BUDGET INTERVIEWS IDENTIFY AND A BUDGET INTERVIEWS IDENTIFY AND A BUDGET INTERVIEWS IDENTIFY AND A BUDGET INTERVIEWS IDENTIFY AND A BUDGET INTERVIEWS IDENTIFY AND A BUDGET INTERVIEWS IDENTIFY IDENTIFY |  |   | SEN-01 /   |  | <b>me)</b><br>ANCE AND<br>ES TECHNO   | OLOGY  |  |                           |                              |                     |               |
| COST (\$ in Millions)   |   | FY 2015  | FY 2016   |  |  |   | FY 2018  | FY 2019  | FY 2020                   | FY 2021                      | Cost To<br>Complete | Total<br>Cost |
| SEN-01: SURVEILLANCE<br>AND COUNTERMEASURES<br>TECHNOLOGY   | -   | 32.266   | 18.121  | 19.027   | -  | 19.027  | 11.331   | 11.527   | 16.40                     | 1 16.40                      | 1 -                 | -             |
| A. Mission Description and Bud  | dget Item J   | ustification   | <u>1</u>  |  |  |   |  |  |                           |                              |                     |               |
| systems, and operate, at times, i<br>high-performance computing, an<br>advanced technologies related to   | n a clandes<br>d low-cost r<br>o the develo   | tine mannel<br>nicroelectro<br>opment of te  | r. This proje<br>nics to deve<br>chniques to  | ect will expl<br>elop advand   | loit recent a  | dvances in i<br>ance and tar  | multispectra   | al target phe  | enomenolo<br>dition, this | gy, signal p<br>project enco | rocessing, lo       | ow-power      |
| <i>Title:</i> Multi-Optical Sensing (MOS  | <u> </u>  |  | <u>st</u>   |  |  |   |  |  | F                         | 18.060                       | 18.121              | 19.027        |
|   | ,   | al Sensing (   | (MOS)   |  |  |   |  |  |                           |                              | -                   |               |
| challenges to the effectiveness of<br>to detecting, tracking, and perform<br>long-range strike aircraft. This pri<br>laser systems technology in the ri-<br>Technical challenges include the<br>receivers and their integration intra<br>advance the state of the art of co | f data senso<br>ming non-co<br>ogram leve<br>near/mid/lon<br>demonstrat<br>o a multi-op<br>mponents a   | ors. The Mu<br>poperative ta<br>rages emer-<br>ig-wave infra-<br>tion of inexp<br>tical sensor<br>and technolo | Iti-Optical S<br>arget identif<br>ging high-se<br>ared bands<br>pensive, mu<br>suite comp<br>ogy to suppo | Sensing (Me<br>ication, as y<br>ensitivity for<br>to enable t<br>ltiband, larg<br>patible with<br>port an all-op | OS) prograr<br>well as prov<br>cal plane an<br>he developr<br>ge-format, pl<br>airborne as<br>otical airborr | n will enable<br>iding fire co<br>ray (FPA) a<br>nent of a mu<br>hoton-count<br>sets. The M<br>ne system th | e an alterna<br>ntrol for figh<br>nd compact<br>ulti-optical s<br>ting, high-ba<br>10S program | tive approa<br>nter class and<br>, multiband<br>sensing syst<br>andwidth<br>m seeks to | ch<br>nd<br>tem.          |                              |                     |               |
| <ul> <li>Initiated the development of the</li> <li>Incorporated advanced data pr</li> <li>Demonstrated capability of acti</li> </ul>  | e first-gener<br>ocessing ar<br>ve focal pla  | ation prototy<br>nd target tra<br>ne arrays a  | ype system<br>cking algori<br>nd variable   | thms into th<br>waveform I   | ne sensor pr<br>asers to me  | rocessing ch<br>et the desire   | nain.<br>ed detectior  |  |                           |                              |                     |               |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency  |        | Date: Fe | ebruary 2016                          | 5       |
|--|---|--------|----------|---------------------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603767E / SENSOR TECHNOLOGY | SEN-01 |          | <b>ame)</b><br>LANCE AND<br>RES TECHN |         |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   |        | FY 2015  | FY 2016                               | FY 2017 |
| <ul> <li>Developed a hardware traceability strategy for the second-generation prototy<br/>development of a fully operational system.</li> </ul>  | ype sensor, which will be part of a roadmap for                             | the    |          |                                       |         |
| <ul> <li>FY 2016 Plans:</li> <li>Complete the development of the first-generation prototype system.</li> <li>Perform air-to-air demonstrations with the first-generation prototype system.</li> <li>Initiate the development of a second-generation prototype system, which will ranges.</li> </ul>  | I demonstrate the full capability out to operatio                           | nal    |          |                                       |         |
| <ul> <li>FY 2017 Plans:</li> <li>Complete the development of the second-generation prototype system and i</li> <li>Perform air-to-air demonstrations with the second-generation prototype system</li> <li>Demonstrate the full capability of the second-generation prototype system of</li> </ul>  | em.   |        |          |                                       |         |
| Title: Adaptable Navigation Systems (ANS)  |   |        | 11.482   | -                                     | -       |
| <b>Description:</b> The Adaptable Navigation Systems (ANS) program provided the navigate challenging environments including when Global Positioning System or blockage by structures, foliage, or other environmental obstacles. The ANS innovations. The first was the development of a new type of inertial measurem fixes. Using cold atom technology, this IMU exceeds the performance of strate and power (SWaP). The second innovation used Signals of Opportunity (SoO sources, as well as natural SoOps to reduce dependency on GPS position fixe based position information to be combined with inertial and other sensors to er reconfigured in the field to support any platform or environment. This capabilit for positioning, navigation, and timing (PNT) emerging from other programs in devices, clocks, and new aiding sensors. Recent advances in mathematics, d upon these capabilities by enabling "plug-and-play" integration of both existing to allow real-time reconfiguration of navigation systems. Major improvements realized. Potential transition partners include all Services, with emphasis on p environments, such as Naval forces. | n<br>jsed<br>Dp-<br>ogy<br>sing<br>ilso                                     |        |          |                                       |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Designed, built, and evaluated components of a cold atom interferometer for</li> <li>Demonstrated the navigation performance, independent of GPS, of the integ<br/>including IMUs and SoOp receivers, and a sensor fusion processor, on multiple</li> </ul>   | rated ANS system, comprised of various sens                                 | ors,   |          |                                       |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |             | Date: Fe                               | ebruary 2016 | i       |
|---|---|-------------|--|--------------|---------|
| Appropriation/Budget Activity<br>0400 / 3   | PE 0603767E I SENSOR TECHNOLOGY   | SEN-0       | t (Number/N<br>1 / SURVEIL<br>TERMEASU | LANĊE AND    |         |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |             | FY 2015                                | FY 2016      | FY 2017 |
| <ul> <li>Integrated additional ship-based non-navigation sensors into an ANS system<br/>sea to effect transition to the Navy.</li> </ul>  | and demonstrated GPS-independent navigation   | on at       |  |              |         |
| Title: Adaptable, Low Cost Sensors (ADAPT)  |   |             | 2.724                                  | -            | -       |
| <ul> <li>Description: The objective of the Adaptable, Low Cost Sensors (ADAPT) progrand manufacturing techniques to improve the development time and significant systems. Currently, military sensors are designed and developed with unique, requirements in a single, fully integrated device. This approach significantly incontinuously changing requirements and upgrades. Commercial processes, succreate reference designs for common system functions and features to acceler changing requirements and completing upgrades far simpler. Adopting these of independent, designed-to-cost "commercial smart core" that can be combined to provide low-cost, independently upgradable, and previously infeasible sensor. Smart Munitions effort has applied ADAPT's sensing, processing, communicati identification and man-in-the-loop control of distributed, unattended ground ser to demonstrate capability and develop tactics for unattended sensors. This proceed to the sensor of the sensor of the sensor of the sensor of the sensor of the sensor of the sensor.</li> <li>Field tested and demonstrated mobile coordinated device operation using AE UAVs).</li> <li>Investigated alternative low-cost sensor designs for other small form factor upper sensor.</li> </ul> | Ity reduce the cost of sensors and sensor<br>mission-specific hardware and software capab<br>creases both the cost and difficulty of meeting<br>uch as those used in the smart phone industry,<br>ate system development time. This makes<br>commercial processes enables a mission-<br>with an appliqué of mission-specific hardware<br>or system distribution capabilities. The ADAPT<br>ons, and location capabilities to provide positiv<br>noor systems. It also developed a reference de<br>ogram will transition to the Army and Navy. | ve<br>esign |  |              |         |
| - Completed development and testing of the ADAPT reference designs.   |   |             |  |              |         |
| - Transitioned reference designs to the Army and Navy.  | Accomplishments/Planned Programs Subt   | totals      | 32.266                                 | 18.121       | 19.027  |
| <ul> <li><u>C. Other Program Funding Summary (\$ in Millions)</u></li> <li>N/A</li> <li><u>Remarks</u></li> <li><u>D. Acquisition Strategy</u></li> <li>N/A</li> <li><u>E. Performance Metrics</u></li> <li>Specific programmatic performance metrics are listed above in the program according to the programmatic performance metrics are listed above in the programmatic</li> </ul>   | complishments and plans section.  |             | · · · · · · · · · · · · · · · · · · ·  |              |         |

| Exhibit R-3, RDT&E                      | Project C                    | ost Analysis: PB 2                   | 2017 Defe      | ense Adva | anced Re      | search Pr          | ojects Ag     | gency              |               |      |               | Date:                         | February            | 2016          |                                |
|---|------------------------------|--------------------------------------|----------------|-----------|---------------|--------------------|---------------|--------------------|---------------|------|---------------|-------------------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budg<br>0400 / 3          | et Activity                  | /                                    |                |           |               |                    |               | ement (N<br>SENSOR |               |      | SEN-01        | (Number<br>I SURVE<br>TERMEAS | ILLANĆE             |               | OGY                            |
| Product Developme                       | ent (\$ in M                 | illions)                             |                | FY 2      | 2015          | FY 2               | 016           | FY 2<br>Ba         | -             |      | 2017<br>CO    | FY 2017<br>Total              |                     |               |                                |
| Cost Category Item                      | Contract<br>Method<br>& Type | Performing<br>Activity & Location    | Prior<br>Years | Cost      | Award<br>Date | Cost               | Award<br>Date | Cost               | Award<br>Date | Cost | Award<br>Date | Cost                          | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| ADAPT                                   | C/Various                    | Various : Various                    | -              | 1.755     |               | 0.000              |               | 0.000              |               | -    |               | 0.000                         | 0                   | 1.755         | C                              |
| Adaptable Navigation<br>Systems         | C/Various                    | Various : Various                    | -              | 7.692     |               | 0.000              |               | 0.000              |               | -    |               | 0.000                         | 0                   | 7.692         | C                              |
| Multi Optical Sensing                   | C/CPFF                       | Various : Various                    | -              | 2.547     |               | 5.475              |               | 5.655              |               | -    |               | 5.655                         | Continuing          | Continuing    | Continuin                      |
| Multi Optical Sensing                   | C/CPFF                       | BAE SYSTEMS<br>INTEGRATION I :<br>NH | -              | 7.014     | Mar 2015      | 0.000              |               | 0.000              |               | -    |               | 0.000                         | 0                   | 7.014         | C                              |
| Multi Optical Sensing                   | C/CPFF                       | RAYTHEON<br>COMPANY : CA             | -              | 7.729     | Sep 2015      | 10.624             |               | 11.660             |               | -    |               | 11.660                        | Continuing          | Continuing    | Continuin                      |
|   |                              | Subtotal                             | -              | 26.737    |               | 16.099             |               | 17.315             |               | -    |               | 17.315                        | -                   | -             | -                              |
| Support (\$ in Millio                   | າຣ)                          |                                      |                | FY 2      | 2015          | FY 2               | 016           | FY 2<br>Ba         |               |      | 2017<br>CO    | FY 2017<br>Total              |                     |               |                                |
| Cost Category Item                      | Contract<br>Method<br>& Type | Performing<br>Activity & Location    | Prior<br>Years | Cost      | Award<br>Date | Cost               | Award<br>Date | Cost               | Award<br>Date | Cost | Award<br>Date | Cost                          | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Government Support                      | C/Various                    |                                      | -              | 1.291     | 2410          | 0.725              | 2410          | 0.761              |               | -    | 2410          | 0.761                         |                     | Continuing    |                                |
|   |                              | Subtotal                             | -              | 1.291     |               | 0.725              |               | 0.761              |               | -    |               | 0.761                         | -                   | -             | -                              |
| Test and Evaluation                     | (\$ in Milli                 | ons)                                 |                | FY 2      | 2015          | FY 2               | 016           | FY 2<br>Ba         |               |      | 2017<br>CO    | FY 2017<br>Total              |                     | 1             | 1                              |
| Cost Category Item                      | Contract<br>Method<br>& Type | Performing<br>Activity & Location    | Prior<br>Years | Cost      | Award<br>Date | Cost               | Award<br>Date | Cost               | Award<br>Date | Cost | Award<br>Date | Cost                          | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| ADAPT                                   | MIPR                         | Various : Various                    | -              | 0.186     |               | 0.000              |               | 0.000              |               | -    |               | 0.000                         | 0                   | 0.186         | C                              |
| Adaptable Navigation<br>Systems         | MIPR                         | Various : Various                    | -              | 1.945     |               | 0.000              |               | 0.000              |               | -    |               | 0.000                         | 0                   | 1.945         | C                              |
| Multi Optical Sensing                   | SS/CPFF                      | MIT LINCOLN<br>LABORATORY : MA       | -              | 0.494     | Apr 2015      | 0.391              |               | 0.000              |               | -    |               | 0.000                         | 0                   | 0.885         | C                              |
|   |                              | Subtotal                             | -              | 2.625     |               | 0.391              |               | 0.000              |               | -    |               | 0.000                         | 0.000               | 3.016         | 0.000                          |
| PE 0603767E: SENS<br>Defense Advanced R |                              |                                      |                |           |               | ICLASS<br>Page 6 o |               |                    |               |      |               |                               |                     | Volum         | e 1 - 302                      |

| Exhibit R-3, RDT&E             | Project Co  | ost Analysis: PB 2  | 017 Defe       | ense Adva      | anced Re      | search Pr             | ojects Ag     | jency                |                               |         |               | Date:                      | February            | 2016          |                                |
|--------------------------------|-------------|---------------------|----------------|----------------|---------------|-----------------------|---------------|----------------------|-------------------------------|---------|---------------|----------------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budg<br>0400 / 3 |             |                     |                | -              | -             | umber/N<br>TECHNC     | •             | SEN-01               | (Number<br>/ SURVE<br>FERMEAS | ILLANĆE |               | .OGY                       |                     |               |                                |
| Management Servic              | es (\$ in M | illions)            |                | FY 2           | 2015          | FY 2                  | 016           | FY 2<br>Ba           | 2017<br>Ise                   |         | 2017<br>CO    | FY 2017<br>Total           |                     |               |                                |
| Cost Category Item             |             |                     |                | Cost           | Award<br>Date | Cost                  | Award<br>Date | Cost                 | Award<br>Date                 | Cost    | Award<br>Date | Cost                       | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Management Support             | C/Various   | Various : Various   | -              | 1.613          |               | 0.906                 |               | 0.951                |                               | -       |               | 0.951                      | Continuing          | Continuing    | g Continuing                   |
|                                |             | Subtotal            | -              | 1.613          |               | 0.906                 |               | 0.951                |                               | -       |               | 0.951                      | -                   | -             | -                              |
|                                |             | Project Cost Totals | Prior<br>Years | FY 2<br>32.266 | 2015          | <b>FY 2</b><br>18.121 | 016           | FY 2<br>Ba<br>19.027 | 2017<br>Ise                   |         | 2017<br>CO    | FY 2017<br>Total<br>19.027 | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |

Remarks

|   |   |    |     |   |   |    | earch | n Pro | ojects     | s A | Ager | псу |   |   |    |     |     |   |   |      |      |     |                              | D  | ate  | Fe   | brua | ary 2 | 2016 | 6    |     |   |
|---|---|----|-----|---|---|----|-------|-------|------------|-----|------|-----|---|---|----|-----|-----|---|---|------|------|-----|------------------------------|----|------|------|------|-------|------|------|-----|---|
| ppropriation/Budget Activity<br>400 / 3                                   |   |    |     |   |   |    |       |       | <b>Pro</b> | -   |      |     |   |   | •  |     |     |   |   | /    | SE   | Ň-0 | <b>:t (N</b><br>1 / 3<br>TEF | SU | RVE  | EILL | .AN  | ĊE .  |      |      | ٥G١ | / |
|   |   | FY | 201 | 5 |   | FY | 201   | 6     |            | F   | Y 20 | 017 |   |   | FY | 201 | 8   |   |   | FY 2 | 2019 | 9   |                              | F  | Y 20 | 020  |      |       | FY   | 2021 | 1   | ] |
|   | 1 | 2  | 3   | 4 | 1 | 2  | 3     | 4     | 1          |     | 2    | 3   | 4 | 1 | 2  | 3   | ; 4 | 4 | 1 | 2    | 3    | 4   | 1                            |    | 2    | 3    | 4    | 1     | 2    | 3    | 4   | 1 |
| Multi-Optical Sensing (MOS)   |   | ·  | ·   |   | ÷ | ÷  |       |       |            |     |      |     | ÷ |   |    |     |     |   |   |      |      |     |                              |    | ·    | ·    |      |       |      |      |     | 1 |
| Prototype System Development  |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      |     | 1 |
| Prototype air-to-air demonstrations                                       |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      |     | 1 |
| Prototype system integration  |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      |     |   |
| Full Prototype demonstration  |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      |     | 1 |
| Adaptable Navigation Systems (ANS)  |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      |     | 1 |
| GPS-independent navigation demonstrations on air, land, and sea platforms |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      |     |   |
| Cold atom IMU testing   |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      |     | 1 |
| Adaptable, Low Cost Sensors (ADAPT)                                       |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      |     | 1 |
| Field testing and demonstrating   |   |    |     |   |   |    |       |       |            |     |      |     |   |   |    |     |     |   |   |      |      |     |                              |    |      |      |      |       |      |      | _   | 1 |

| hibit R-4A, RDT&E Schedule Details: PB 2017 Defense A                     | Date: February 2016 |                                      |   |         |      |
|---|---------------------|--------------------------------------|---|---------|------|
| propriation/Budget Activity R-  |                     | n Element (Number<br>E / SENSOR TECH | Project (Number/Name)<br>SEN-01 / SURVEILLANCE AND<br>COUNTERMEASURES TECHNOLOG |         |      |
|   | Schedule Deta       | ils                                  |   |         |      |
|   |                     | Start                                |   | End     |      |
| Events by Sub Project   |                     | Quarter                              | Year  | Quarter | Year |
| Multi-Optical Sensing (MOS)   |                     |                                      |   |         |      |
| Prototype System Development  |                     | 1                                    | 2015  | 3       | 2016 |
| Prototype air-to-air demonstrations                                       |                     | 3                                    | 2016  | 3       | 2016 |
| Prototype system integration  |                     | 4                                    | 2016  | 3       | 2017 |
| Full Prototype demonstration  |                     | 4                                    | 2017  | 4       | 2017 |
| Adaptable Navigation Systems (ANS)  |                     |                                      |   |         | I.   |
| GPS-independent navigation demonstrations on air, land, and sea platforms |                     | 1                                    | 2015  | 1       | 2015 |
| Cold atom IMU testing   |                     | 3                                    | 2015  | 3       | 2015 |
| Adaptable, Low Cost Sensors (ADAPT)                                       |                     |                                      |   |         | 1    |
| Field testing and demonstrating   |                     | 4                                    | 2015  | 4       | 2015 |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency |                |         |         |                 |                |                  |  | Date: February 2016 |         |         |                     |               |
|--|----------------|---------|---------|-----------------|----------------|------------------|--|---------------------|---------|---------|---------------------|---------------|
|  |                |         |         |                 |                |                  | <b>Project (Number/Name)</b><br>SEN-02 / SENSORS AND PROCESSING<br>SYSTEMS |                     |         |         |                     |               |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015 | FY 2016 | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total | FY 2018  | FY 2019             | FY 2020 | FY 2021 | Cost To<br>Complete | Total<br>Cost |
| SEN-02: SENSORS AND<br>PROCESSING SYSTEMS  | -              | 115.315 | 116.396 | 145.732         | -              | 145.732          | 149.194  | 167.876             | 215.104 | 254.153 | -                   | -             |

#### A. Mission Description and Budget Item Justification

The Sensors and Processing Systems project develops and demonstrates the advanced sensor and processing technologies and systems necessary for intelligence, surveillance, and reconnaissance (ISR) missions. Future battlefields will continue to be populated with targets that use mobility and concealment as key survival tactics, and high-value targets will range from specific individual insurgents and vehicles to groups of individuals and large platforms such as mobile missile launchers and artillery. The Sensors and Processing Systems Project is primarily driven by four needs: (a) providing day-night ISR capabilities against the entire range of potential targets; (b) countering camouflage, concealment, and deception of mobile ground targets; (c) detecting and identifying objects of interest/targets across wide geographic areas in near-real-time; and (d) enabling reliable identification, precision fire control tracking, timely engagement, and accurate battle damage assessment of ground targets. The Sensors and Processing Systems Project develops and demonstrates technologies and system concepts that combine novel approaches to sensing with emerging sensor technologies and advanced sensor and image processing algorithms, software, and hardware to enable comprehensive knowledge of the battlespace and detection, identification, tracking, engagement, and battle damage assessment for high-value targets in all weather conditions and combat environments.

| B. Accomplishments/Planned Programs (\$ in Millions)   | FY 2015 | FY 2016 | FY 2017 |
|--|---------|---------|---------|
| Title: Adaptive Radar Countermeasures (ARC)  | 26.475  | 20.512  | 19.487  |
| <b>Description:</b> The goal of the Adaptive Radar Countermeasures (ARC) program is to provide effective electronic countermeasure (ECM) techniques against new or unknown threat radars. Current airborne electronic warfare (EW) systems rely on the ability to uniquely identify a threat radar system to apply an appropriate preprogrammed countermeasure technique which can take many months to develop. Countering radar systems is increasingly challenging as digitally programmed radars exhibit novel behaviors and agile waveform characteristics. ARC will develop new processing techniques and algorithms that adapt in real-time to generate suitable countermeasures. Using techniques such as state modeling, machine learning, and system probing, ARC will learn the behavior of the threat system, then choose and implement an appropriate countermeasure strategy. The program is planned for transition to Air Force, Navy, and Marine Corps airborne EW systems. |         |         |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Refined and integrated component algorithms for end-to-end system testing in simulation.</li> <li>Developed adaptive radar threat models for use in testing which emulate future adversary radar capabilities that are expected to challenge current baseline EW systems.</li> <li>Began porting software algorithms onto transition partner provided baseline EW systems to demonstrate enhanced performance against unknown or ambiguous threat radars.</li> </ul>  |         |         |         |
| FY 2016 Plans:   |         |         |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |                 | Date: F   | ebruary 2016 |         |  |  |
|---|---|-----------------|---|--------------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603767E / SENSOR TECHNOLOGY   |                 | Project (Number/Name)<br>SEN-02 / SENSORS AND PROCESSING<br>SYSTEMS |              |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   |                 | 2015  | FY 2016      | FY 2017 |  |  |
| <ul> <li>Complete real-time software and firmware implementation of all major algorit<br/>EW systems.</li> <li>Refine adaptive radar threat models for use in testing which emulate future a<br/>challenge current baseline EW systems.</li> <li>Demonstrate real-time prototype systems by effectively operating against un<br/>hardware-in-the-loop laboratory environment.</li> </ul>  | adversary radar capabilities that are expected  |                 |   |              |         |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Develop detailed flight test plans in concert with relevant programs of record</li> <li>Identify test ranges and relevant assets which can emulate modern unanticip testing environment.</li> <li>Update software algorithms testing robustness to realistic RF test conditions stationary open-air tests.</li> </ul>  | pated and ambiguous radar signals in an open  |                 |   |              |         |  |  |
| Title: Spatial, Temporal and Orientation Information for Contested Environmen   | nts (STOIC)   |                 | 18.425  | 23.500       | 21.365  |  |  |
| <b>Description:</b> Building on technologies developed in the Adaptable Navigation 0603767E, Project SEN-01, the Spatial, Temporal and Orientation Information will enable precision cooperative effects by developing global time transfer and As a corollary to time synchronization, this program will also enable GPS-indep synchronization between collaborating mobile users. Key attributes of this proinfrastructure; anti-jamming capability; and performance equal to or better than and time transfer. Demonstrations on relevant platforms in relevant environme program will transition to the Services, emphasizing platforms that operate in C | for Contested Environments (STOIC) program<br>d synchronization systems independent of GPS<br>pendent positioning to maintain precise time<br>gram are global availability; minimal and low c<br>n GPS through recent advances in optical clock<br>nts will be used to validate the technology. The | S.<br>ost<br>ks |   |              |         |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Began developing a compact optical clock that maintains GPS-level time for</li> <li>Began developing a wireless precision time transfer system that provides be links.</li> <li>Began developing jam-proof PNT systems that provide GPS-level performance</li> </ul>   | tter than GPS-level performance using tactica   | data            |   |              |         |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete prototype components of optical clocks.</li> <li>Complete detailed design and begin development of compact optical clocks.</li> <li>Develop prototype components and systems for enabling precision time tran</li> <li>Complete detailed design and begin development of GPS-independent precision</li> </ul>   | sfer independent of GPS.  |                 |   |              |         |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | search Projects Agency  |   | Date: Fe | ebruary 2016 | ;       |  |
|--|---|---|----------|--------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 3  | SEN-0   | i <b>ject (Number/Name)</b><br>N-02 I SENSORS AND PROCESSI<br>STEMS |          |              |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | Γ   | FY 2015  | FY 2016      | FY 2017 |  |
| <ul> <li>Develop prototype jam-proof PNT system components (signal transmit and reperformance in contested environments.</li> <li>Complete detailed design and begin development of jam-proof PNT system I waveforms.</li> </ul>   | ,   | rs and  |          |              |         |  |
| <ul> <li>FY 2017 Plans:</li> <li>Commence integration and testing of compact optical clocks.</li> <li>Complete development of prototype GPS-independent precision time transfe</li> <li>Complete development of jam-proof PNT system and conduct tests to validation</li> </ul>  |   |   |          |              |         |  |
| Title: Automatic Target Recognition (ATR) Technology   |   |   | 11.500   | 18.000       | 24.759  |  |
| <b>Description:</b> Automatic Target Recognition (ATR) systems provide the capabilities from collected sensor data. Current ATRs are typically designed for specific sellists and operating mode, limiting mission execution capabilities. Extending AT or include new emerging targets can be costly and time consuming. The object technologies that reduce operation limitations while also providing significant p development times, and reduced life cycle maintenance costs. Recent breakth manifold learning, and embedded systems offer promise for dramatic improver on three core areas: (1) development of on-line adaptive algorithms that enable (2) recognition technology that enables rapid incorporation of new targets; and data rates, processing times, and the overall hardware and software footprint of the program is planned for transition to the Services. | ensors and static due to pre-programmed targ<br>R Technology to accommodate sensor upgra<br>trive of the ATR Technology program is to dev<br>erformance improvements, dramatically reduc<br>troughs in deep learning, sparse representation<br>nents in ATR Technology. The program will for<br>e performance-driven sensing and ATR technologies that dramatically reduce required<br>(3) technologies that dramatically reduce required | et<br>des<br>elop<br>æd<br>ons,<br>ocus<br>ology;<br>uired          |          |              |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Developed a modeling and simulation framework for testing and evaluating p</li> <li>Established baseline performance for existing radar ATR algorithms against</li> <li>Designed and executed a data collection experiment to provide additional da</li> <li>Initiated development of advanced algorithms that support signature general</li> </ul>   | challenge problem data sets.<br>ta for algorithm development and testing.   | lexity.   |          |              |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Initiate design of an embedded real-time, low-cost radar ATR processor that commercial mobile embedded computing platforms.</li> <li>Design and execute additional data collection experiments for continued algorithm performance, including decoy rejection and execute additional data collection experiments for continued algorithm performance.</li> </ul>  | prithm development and testing.   | ses   |          |              |         |  |
| FY 2017 Plans:   |   |   |          |              |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Ad  | dvanced Research Projects Agency  | Date:   | ebruary 2016 |         |  |  |  |
|--|---|---|--------------|---------|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3  |   | <b>Project (Number/Name)</b><br>SEN-02 I SENSORS AND PROCESSIN<br>SYSTEMS |              |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015   | FY 2016      | FY 2017 |  |  |  |
| <ul> <li>Develop adaptable ATR algorithms to rapidly learn new targets wrate.</li> <li>Continue to improve ATR algorithm performance, focusing on false</li> <li>Complete design and begin development of a flightworthy, low-performance</li> </ul>   | se-alarm performance.   | ng  |              |         |  |  |  |
| Title: Advanced Scanning Technology for Imaging Radars (ASTIR)   | )   | -   | 9.988        | 13.985  |  |  |  |
| <b>Description:</b> The Advanced Scanning Technology for Imaging Rad<br>applications that are constrained by power, weight, and the comple-<br>technologies developed under the Multifunction RF (MFRF) progra<br>new imaging radar architecture using an electronically scanned sub<br>sensor solution that does not require platform or target motion. Key<br>for enhanced identification and targeting, independent of platform or<br>well-focused images even when there is platform or target motion;<br>system complexity resulting in lower cost, power, and weight; and (<br>component advancements from other DARPA programs for transm<br>result in a more readily available, cost-effective imaging radar techn<br>system to provide target identification at video frame rates in all con<br>military applications include efficient terminal seekers, imaging syst<br>base perimeter monitoring, and screening of personnel passing thr<br>transition to Special Operations Command and the Navy. | exity limits of production. The goal of this program, buildir<br>im which is budgeted in this PE/Project, is to demonstrate<br>b-reflector to produce a more readily available, cost-effect<br>by system attributes will: (1) provide high-resolution 3D im-<br>or target motion; (2) produce video frame rates to provide<br>(3) beam steer with a single transmit/receive chain to red<br>(4) integrate millimeter-wave (mmW)/terahertz (THz) elec-<br>nit and receive functions. The completion of this program<br>nology that will work in concert with a wide area surveillar<br>nditions where existing sensors will not work. Candidate<br>tems for defense of shipping in ports and littoral environm | e a<br>tive<br>aging<br>uce<br>tronic<br>will<br>nce<br>nents,            |              |         |  |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Develop sensor design concepts and define processing requirem</li> <li>Build prototype electronic sub-reflector beam-steering systems a approach.</li> <li>Conduct mission studies and determine the system performance applications.</li> </ul>  | nd conduct tests to characterize performance and validat  | e   |              |         |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Complete assessments of candidate military applications and she</li> <li>Complete electronically scanned sub-reflector sensor requirement</li> <li>Design imaging radar system utilizing technologies developed un</li> </ul>   | nts.  |   |              |         |  |  |  |
| Title: Small Satellite Sensors   |   |   | 8.000        | 24.478  |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |                                      | Date: F | ebruary 2016 | 5       |  |  |  |  |  |
|---|---|--------------------------------------|---------|--------------|---------|--|--|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3   | Budget Activity         R-1 Program Element (Number/Name)         Project (Number/Name)           PE 0603767E / SENSOR TECHNOLOGY         SEN-02 / SENSORS AND PROCESS           SYSTEMS  |                                      |         |              |         |  |  |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | F١                                   | 2015    | FY 2016      | FY 2017 |  |  |  |  |  |
| <ul> <li>Description: Building upon low-cost and small form factor sensor research con Sensors (ADAPT) and Multi-Optical Sensing (MOS) programs (budgeted in PE Sensors program will develop and space-qualify electro-optical and infrared (E technologies, and establish feasibility that new DoD tactical capabilities can be Experimental payloads will be flown on small satellites, and data will be collected Small satellites provide a low-cost and quick-turnaround capability for testing n Operationally, small and low-cost satellites enable the deployment of larger corpersistence, and survivability compared to a small number of more expensive s demand. This program seeks to leverage rapid progress being made by the coras well as investments being made by DoD and industry on low-cost launch an satellites. The program will focus on developing, demonstrating, and validating not currently being developed for commercial space applications. Technologie Air Force.</li> <li>FY 2016 Plans:         <ul> <li>Develop conceptual designs for EO/IR sensor and inter-satellite communicate</li> <li>Develop software performance models for candidate sensor systems, and permodel fidelity and assist in design of flight hardware.</li> <li>Begin design of experimental sensor payloads compatible with a small satellite</li> <li>Begin development of lightweight and low-power inter-satellite communicatio crosslinks for 100 lb class satellites.</li> <li>Investigate alternative low-cost payloads suitable for integration on a small satellite</li> </ul> </li> </ul> | 0603767E, Project SEN-01), the Small Satell<br>O/IR) sensor and inter-satellite communication<br>implemented on small (< 100 lb) satellites.<br>ed to validate new operational concepts.<br>ew technologies and experimental payloads.<br>instellations which can provide greater coverage<br>satellites, as well as the possibility for launch-ocommercial sector on small satellite bus technologies<br>d launch-on-demand capabilities for small<br>g key payload technologies needed by DoD that<br>is developed under this program will transition | e,<br>n-<br>ogy,<br>at are<br>to the |         |              |         |  |  |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Complete detailed design of small satellite EO/IR sensor, and complete a pre-</li> <li>Complete construction of the small EO/IR payload and satellite bus.</li> <li>Build inter-satellite communications link hardware for integration into satellite</li> <li>Develop and test mission data processing software.</li> <li>Develop detailed plan for on-orbit operations.</li> </ul>   |   |                                      |         |              |         |  |  |  |  |  |
| <i>Title:</i> Seeker Cost Transformation (SECTR)*   |   |                                      | -       | 8.000        | 20.658  |  |  |  |  |  |
| Description: *Formerly Low Cost Seeker  |   |                                      |         |              |         |  |  |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res  | A, RDT&E Project Justification: PB 2017 Defense Advanced Research Projects Agency  |   | Date: February 2016 |         |  |  |  |
|--|--|---|---------------------|---------|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3  | <b>R-1 Program Element (Number/Name)</b><br>PE 0603767E / SENSOR TECHNOLOGY  | Project (Number/Name)<br>SEN-02 / SENSORS AND PROCESSING<br>SYSTEMS |                     |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  | FY 2015   | FY 2016             | FY 2017 |  |  |  |
| The Seeker Cost Transformation (SECTR) program will develop novel weapon<br>systems, for air-launched and air-delivered weapons, that can: (1) find and acq<br>external support; (2) achieve high navigation accuracy in a GPS-denied enviror<br>and potentially low cost. The development objectives are technologies and sys<br>low recurring cost, applicability to a wide range of weapons and missions such<br>defenses, precision strike, and time-sensitive targets. The technical approach<br>passive electro-optical infrared (EO/IR) sensors, which have evolved into very s<br>market, and a reconfigurable processing architecture, such as the architecture<br>in PE 0603767E, Project SEN-01). The program will also develop a Governme<br>standardized interfaces between components (both hardware and software). T<br>start from "deep learning" and 2D/3D machine vision algorithms pioneered for f<br>image features. Technologies developed under this program will transition to the<br><b>FY 2016 Plans:</b><br>- Initiate development of core seeker system engineering design.<br>- Initiate development of open seeker standard interfaces.<br>- Develop small size, weight, and power (SWaP) and cost sensor and process | uire fixed and moving targets with only minim<br>ment; and (3) have very small size and weig<br>stems with small size, weight and power (SWa<br>as small unit operations, suppression of ener<br>for the sensing/processing hardware is to use<br>small and inexpensive devices in the commer<br>developed in DARPA's ADAPT program (buc<br>ent-owned open architecture for the seeker wi<br>The technical approach to target recognition we<br>facial recognition and the identification of critic<br>the Services. | al<br>ht,<br>aP),<br>my air<br>e both<br>rcial<br>Igeted<br>th      |                     |         |  |  |  |
| <ul> <li>Design novel target recognition algorithms.</li> <li>Design GPS-free image navigation and processing sensor and algorithm.</li> <li>Perform initial hardware-in-the-loop (HWIL) test for GPS-free navigation unit.</li> <li>Perform initial HWIL test for target recognition algorithms.</li> </ul>   |  |   |                     |         |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Conduct laboratory demonstrations of sensor/processing unit.</li> <li>Conduct captive flight test of small SWaP sensor/processing unit.</li> <li>Conduct laboratory demonstrations of GPS-free navigation algorithms.</li> <li>Conduct laboratory demonstration of target recognition algorithms.</li> <li>Integrate GPS-free navigation algorithm and target recognition algorithms integrate and distribute seeker open standard interfaces.</li> </ul>   | o the small SWaP sensors/processing unit.  |   |                     |         |  |  |  |
| Title: Unbanded SPectrum operatioNs (U-SPIN)   |  | -   | -                   | 7.000   |  |  |  |
| <b>Description:</b> The goal of the Unbanded SPectrum operatIoNs (U-SPIN) progratinteroperability of multiple spectrum objectives simultaneously. Currently, U.S. to deconflict specific functions which are considered incompatible with one ano warfare, signals intelligence, and RADAR). This approach relies on a static RF   | forces divide the RF spectrum into "bands"<br>ther (for example, communications, electronic  |   |                     |         |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense A  | Advanced Research Projects Agency  | Date: F                               | ebruary 2016   | 6       |  |  |  |
|---|--|---------------------------------------|--|---------|--|--|--|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603767E / SENSOR TECHNOLOGY  |                                       | Project (Number/Name)<br>SEN-02 / SENSORS AND PROCESSIN<br>SYSTEMS |         |  |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015                               | FY 2016  | FY 2017 |  |  |  |
| the opposing force's spectrum capabilities and allocations. It also<br>spectrum functions will be. U-SPIN will demonstrate the ability to<br>the-fly using learned knowledge about the RF environment.  |  |                                       |  |         |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Initiate algorithmic study of techniques that can achieve multiple</li> <li>Select best of breed application of technology (achieving at leas<br/>concept in a laboratory setting.</li> </ul>  |  |                                       |  |         |  |  |  |
| Title: Dynamically Composed RF Systems  |  | -                                     | -  | 14.000  |  |  |  |
| <b>Description:</b> Dominance of the RF spectrum is critical to success (EW) systems, and communication systems require custom softwintegrate onto platforms. Expanding on ideas developed under the Composed RF Systems program addresses these challenges by enhanced operational capability by dynamically adapting the systematic converged manner. This program will design and develop: (1) a (2) advanced techniques for RF apertures and their associated air converged missions over those apertures; and (4) software tools payloads at the element level to maximize overall task performant. Technology developed under this program will transition to the Set | vare and hardware that is costly and time consuming to buil<br>ne Multifunction RF program, also in this PE, the Dynamical<br>developing adaptive, converged RF array systems. This e<br>em for tasks to support radar, communications, and EW in<br>a modular architecture for collaborative, agile RF systems;<br>inframe integration; (3) wide-band agile electronics to suppor<br>for the control, coordination, and scheduling of RF function<br>ce. This capability can be adapted to address diverse miss | d and<br>ly<br>nables<br>ort<br>s and |  |         |  |  |  |
| <ul> <li>FY 2017 Plans:</li> <li>Assemble requirements to provide an abstraction of underlying</li> <li>Commence design of modular architecture for agile, collaborativ</li> <li>Commence design of RF apertures and associated airframe interfor an RF payload on low-cost platforms/UAVs.</li> <li>Commence development of software for controlling and schedue RF functions.</li> </ul>  | ve converged RF systems.<br>egration, and agile low-power wide-band RF electronics su  |                                       |  |         |  |  |  |
| <i>Title:</i> Multifunction RF (MFRF)   |  | 12.075                                | 6.385  | -       |  |  |  |
| <b>Description:</b> The Multifunction RF (MFRF) program goal is to en-<br>forms of severely Degraded Visual Environments (DVE) when ou<br>in DVE to address all elements of combat to include landing, take<br>Building on previous RF sensors advancements, the program will   | r adversaries cannot. The program goes beyond landing a coff, hover/taxi, enroute navigation, lethality, and survivabilit  | ids<br>y.                             |  |         |  |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Re  | search Projects Agency  | Date                                       | February 2016 | 6       |
|---|---|--|---------------|---------|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603767E / SENSOR TECHNOLOGY   | Project (Numbe<br>SEN-02 / SENS<br>SYSTEMS |               | CESSING |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015                                    | FY 2016       | FY 2017 |
| independently developed situational and combat support systems to provide m<br>mission functions. This will reduce the overall size, weight, power, and cost (S<br>antennas on military aircraft, enabling greater mission capability with reduced<br>approach includes: (1) development of synthetic vision for pilots that fuses ser<br>(2) development of Advanced Rotary Multifunction Sensor (ARMS), utilizing sil<br>scanning technology at low SWAP-C; and (3) implementation of software deve<br>mission or platform needs, and ease of adding new modes via software withou<br>for transition to the Army and Marines.   | SWaP-C) of subsystems and protrusive exterio<br>vehicle system integration burden. The progra<br>nsor data with high-resolution terrain databases<br>licon-based tile arrays, for agile electronically<br>elopment kit to re-define modes as required by  | r<br>m<br>s;                               |               |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Demonstrated utility of software development kit through third-party program</li> <li>Selected test platform and began modifications on Army helicopter for flight</li> <li>Investigated alternative imaging radar architectures to further reduce size, w</li> <li>Successfully built two unique tile prototype designs and selected final design</li> </ul>  | testing ARMS sensor.<br>reight, power, and cost.  |  |               |         |
| <ul> <li>FY 2016 Plans:</li> <li>Conduct laboratory and field demonstrations with integrated ARMS, synthetia avoidance sensors and multifunction software development kit.</li> <li>Demonstrate DVE landing, takeoff, Ground Moving Target Indicator (GMTI), operation.</li> <li>Conduct flight tests of ARMS integrated with synthetic vision system on an A AMRDEC.</li> <li>Transition DVE system to the Army.</li> <li>Further explore RF technologies to determine feasibility of capability convergence.</li> </ul>   | and Synthetic Aperture Radar (SAR) modes o<br>Army helicopter in cooperation with CERDEC a  |  |               |         |
| Title: Video-rate Synthetic Aperture Radar (ViSAR)  |   | 18.84                                      | 7 12.250      | -       |
| <b>Description:</b> Recent conflicts have demonstrated the need for close air support<br>AC-130J aircraft in support of ground forces. Under clear conditions, targets a<br>but in degraded environments, the atmosphere can inhibit traditional optical set<br>in order to avoid anti-aircraft fire, negating optical targeting sensors. Similarly,<br>copious amounts of dust that prevent circling assets from supplying cover fire<br>Aperture Radar (ViSAR) program seeks to develop a real-time spotlight synthe<br>provide imagery of a region to allow high-resolution fire direction in conditions<br>from this program is planned to transition to Air Force Special Operations Com | are easily identified and engaged quite effective<br>ensors. The AC-130J must fly above cloud dec<br>rotary/wing blades in urban operations genera<br>for ground forces. The Video-rate Synthetic<br>etic aperture radar (SAR) imaging sensor that v<br>where optical sensors do not function. Techno | ks<br>ate<br>vill                          |               |         |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Re   | esearch Projects Agency   | Date:  | February 2016 | 6       |  |  |
|--|---|--|---------------|---------|--|--|
| Appropriation/Budget Activity<br>0400 / 3  |   | o <b>ject (Number/Name)</b><br>N-02 I SENSORS AND PROCESSIN<br>STEMS |               |         |  |  |
| B. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015  | FY 2016       | FY 2017 |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed development and testing of prototype high power amplifier.</li> <li>Demonstrated the integration of low power transmitter and receiver comport to validate system performance.</li> <li>Integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated data for the integrated phenomenology data into scene simulator and generated phenomenology data into scen</li></ul> |   | iting  |               |         |  |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete development and unit-level testing of flightworthy high power am</li> <li>Integrate hardware into a sensor control system (gimbal) and demonstrate the-air testing against calibration targets.</li> <li>Integrate hardware and gimbal on a surrogate aircraft.</li> <li>Begin flight tests to demonstrate ViSAR performance in comparison to Elect</li> <li>Conduct flight demonstrations in cooperation with AFRL and AFSOC.</li> </ul>  | performance in a laboratory scenario, and in ov   |  |               |         |  |  |
| Title: Military Imaging and Surveillance Technology (MIST)   |   | 22.493   | 9.761         | -       |  |  |
| <b>Description:</b> The Military Imaging and Surveillance Technology (MIST) progra<br>Intelligence, Surveillance, and Reconnaissance (ISR) capability that can prove<br>a target at much longer ranges than is possible with existing optical systems.<br>surveillance and observation systems are being developed that: (1) demonstra<br>at distances sufficient to allow stand-off engagement; (2) overcome atmospher<br>resolution optics; and (3) increase target identification confidence to reduce fre<br>will develop and integrate the necessary component technologies including h<br>have a field of view and depth of field that obviates the need for steering or for<br>algorithms to improve system resolution, and data exploitation and analysis to<br>novel image processing algorithms will be leveraged to reduce the overall siz<br>allow for soldier portable and Unmanned Aerial Vehicle (UAV) platform integra<br>ISR technology to the Services and SOCOM.  | ide high-resolution 3-D images to locate and ide<br>Short, moderate, and long-range prototype op<br>rate probabilities of recognition and identification<br>eric turbulence, which now limits the ability of his<br>ratricide and/or collateral damage. The program<br>igh-energy pulsed lasers, receiver telescopes the<br>cusing the optical system, computational imagin<br>pols. Advances in laser systems, digital imager<br>e, weight, and power (SWaP) of imaging system | ical<br>gh-<br>at<br>s, and<br>is to                                 |               |         |  |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Continued the development of a short-range 3-D imaging system.</li> <li>Completed ground demonstrations of the moderate and long-range 3-D imacritical subsystem components.</li> <li>Completed a packaging study and testing of the MIST high-energy pulsed I</li> </ul>   |   | ion of   |               |         |  |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advanced Res   | search Projects Agency  |                      | Date: F  | ebruary 2016 |         |  |
|---|---|----------------------|--|--------------|---------|--|
| Appropriation/Budget Activity<br>0400 / 3   | <b>R-1 Program Element (Number/Name)</b><br>PE 0603767E / SENSOR TECHNOLOGY   |                      | ect (Number/Name)<br>02 / SENSORS AND PROCESSING<br>TEMS |              |         |  |
| B. Accomplishments/Planned Programs (\$ in Millions)  |   | F                    | 2015   | FY 2016      | FY 2017 |  |
| <ul> <li>Initiated the development of a mountain-to-ground demonstration capability to</li> </ul>   | for the moderate-range 3-D imaging system.  |                      |  |              |         |  |
| <ul> <li>FY 2016 Plans:</li> <li>Complete the development of the short-range 3-D imaging system.</li> <li>Demonstrate the capabilities of the completed short-range 3-D imaging syste</li> <li>Complete the development of the mountain-to-ground demonstration capabi</li> <li>Conduct mountain-to-ground demonstrations of the moderate-range 3-D imaging system to the S</li> </ul>  | lity for the moderate-range 3-D imaging systen aging system.  | 1.                   |  |              |         |  |
| <i>Title:</i> Behavioral Learning for Adaptive Electronic Warfare (BLADE)   |   |                      | 5.500  | -            | -       |  |
| <b>Description:</b> The Behavioral Learning for Adaptive Electronic Warfare (BLADI<br>and rapidly evolving wireless communication threats in tactical environments a<br>changed the paradigm for responding to evolving threats from lab-based manu<br>approach. When an unknown or adaptive communication threat appears in the<br>communication network, synthesized an effective countering technique, and ev<br>probing, learning, and adapting to the threat. An optimization process tailored<br>countermeasure waveform that maximizes jam effectiveness while minimizing<br>the rapid defeat of new communication threats and provided the warfighter with<br>program transitioned to the U.S. Army Communications-Electronic RDT&E Ce<br>Directorate for further maturation and hardening. | and at tactically-relevant timescales. This has<br>ual development to an adaptive in-the-field syst<br>eater, BLADE dynamically characterized the<br>valuated jamming effectiveness by iteratively<br>real-time responses to specific threats, produc<br>the required jamming resources. BLADE enable<br>h real-time feedback on jam effectiveness. The | ems<br>ing a<br>bled |  |              |         |  |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Tested and evaluated ground-based and airborne prototype systems in an of featuring agile and commercial communications threat networks.</li> <li>Quantified the minimum hardware requirements, including processing and mon transition platforms.</li> <li>Transitioned BLADE components to U.S. Army Communications-Electronic I Directorate.</li> <li>Executed an Airborne demonstration against tactically relevant threat network AZ, to transition partners.</li> </ul>  | nemory, necessary to execute the BLADE algor<br>RDT&E Center Intelligence and Information Wa  | arfare               |  |              |         |  |
|   | Accomplishments/Planned Programs Sub  | totals               | 115.315  | 116.396      | 145.732 |  |
| <u>C. Other Program Funding Summary (\$ in Millions)</u><br>N/A   |   |                      |  |              |         |  |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 | Date: February 2016  |   |  |  |
|--|--|---|--|--|
| Appropriation/Budget Activity<br>1400 / 3          | R-1 Program Element (Number/Name)<br>PE 0603767E / SENSOR TECHNOLOGY | Project (Number/Name)<br>SEN-02 / SENSORS AND PROCESSING<br>SYSTEMS |  |  |
| C. Other Program Funding Summary (\$ in Millions)  |  |   |  |  |
| lemarks  |  |   |  |  |
| D. Acquisition Strategy                            |  |   |  |  |
| N/A  |  |   |  |  |
| . Performance Metrics                              |  |   |  |  |
|  | above in the program accomplishments and plans section.              |   |  |  |
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| Exhibit R-3, RDT&E   | Project C                                 | ost Analysis: PB 2                         | 2017 Dete      | ense Adv | anced Res     | search Pr | ojects Ag     | gency           |                   |      | -             | Date:            | February            | / 2016        |                                |
|--|---|--|----------------|----------|---------------|-----------|---------------|-----------------|-------------------|------|---------------|------------------|---------------------|---------------|--------------------------------|
| Appropriation/Budge<br>0400 / 3  | Appropriation/Budget Activity<br>0400 / 3 |  |                |          |               |           |               |                 | umber/N<br>TECHNC |      |               |                  |                     |               |                                |
| Product Developmer   | nt (\$ in Mi                              | illions)                                   |                | FY       | 2015          | FY 2      | 016           | FY 2017<br>Base |                   |      | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item   | Contract<br>Method<br>& Type              | Performing<br>Activity & Location          | Prior<br>Years | Cost     | Award<br>Date | Cost      | Award<br>Date | Cost            | Award<br>Date     | Cost | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Adaptive Radar<br>Countermeasures (ARC)  | C/CPFF                                    | Leidos : VA                                | -              | 8.450    | Dec 2015      | 8.456     |               | 9.265           |                   | -    |               | 9.265            | Continuing          | Continuing    | Continuing                     |
| Adaptive Radar<br>Countermeasures (ARC)  | C/CPFF                                    | BAE : NH                                   | -              | 9.350    | Nov 2015      | 0.224     |               | 0.520           |                   | -    |               | 0.520            | Continuing          | Continuing    | Continuing                     |
| Adaptive Radar<br>Countermeasures (ARC)  | C/CPFF                                    | Various : Various                          | -              | 7.403    |               | 9.692     |               | 7.742           |                   | -    |               | 7.742            | Continuing          | Continuing    | Continuing                     |
| Spatial, Temporal and<br>Orientation Information for<br>Contested Environments | C/Various                                 | Various : Various                          | -              | 12.128   |               | 20.226    |               | 16.829          |                   | -    |               | 16.829           | Continuing          | Continuing    | ) Continuing                   |
| Spatial, Temporal and<br>Orientation Information for<br>Contested Environments | C/CPFF                                    | ROCKWELL<br>COLLINS,INC. : IA              | -              | 5.391    | Apr 2015      | 0.000     |               | 0.000           |                   | -    |               | 0.000            | 0                   | 5.391         | 0                              |
| Automatic Target<br>Recognition (ATR)<br>Technology                            | C/Various                                 | Various : Various                          | -              | 8.934    |               | 15.853    |               | 22.730          |                   | -    |               | 22.730           | Continuing          | Continuing    | ) Continuing                   |
| Advanced Scanning<br>Technology for Imaging<br>Radars (ASTIR)                  | C/Various                                 | Various : Various                          | -              | 0.000    |               | 9.694     |               | 11.903          |                   | -    |               | 11.903           | Continuing          | Continuing    | Continuing                     |
| Small Satellite Sensors  | C/Various                                 | Various : Various                          | -              | 0.000    |               | 7.823     |               | 22.763          |                   | -    |               | 22.763           | Continuing          | Continuing    | Continuing                     |
| Seeker Cost<br>Transformation (SECTR)*   | C/CPFF                                    | Various : Various                          | -              | 0.000    |               | 6.888     |               | 17.935          |                   | -    |               | 17.935           | Continuing          | Continuing    | Continuing                     |
| Unbanded SPectrum<br>operatioNs (U-SPIN)                                       | C/TBD                                     | Various : Various                          | -              | 0.000    |               | 0.000     |               | 6.568           |                   | -    |               | 6.568            | Continuing          | Continuing    | Continuing                     |
| Dynamically Composed<br>RF Systems   | C/TBD                                     | Various : Various                          | -              | 0.000    |               | 0.000     |               | 13.212          |                   | -    |               | 13.212           | Continuing          | Continuing    | Continuing                     |
| Multifunction RF (MFRF)  | C/Various                                 | Various : Various                          | -              | 9.702    |               | 4.801     |               | 0.000           |                   | -    |               | 0.000            | 0                   | 14.503        | 0                              |
| Video-rate Synthetic<br>Aperture Radar (ViSAR)                                 | C/Various                                 | Various : Various                          | -              | 16.586   |               | 10.965    |               | 0.000           |                   | -    |               | 0.000            | 0                   | 27.551        | 0                              |
| Military Imaging and<br>Surveillance Technology<br>(MIST)                      | C/CPFF                                    | TREX<br>ENTERPRISES<br>CORPORATION :<br>CA | -              | 19.315   | Mar 2015      | 7.835     |               | 0.000           |                   | -    |               | 0.000            | 0                   | 27.150        | 0                              |

| Exhibit R-3, RDT&E   | Project C                                 | ost Analysis: PB 2                        | 017 Defe       | ense Adva | anced Re      | search Pr               | rojects Ag    | gency              |                              |                  |  | Date:            | February            | / 2016        |                                |  |
|--|---|---|----------------|-----------|---------------|-------------------------|---------------|--------------------|------------------------------|------------------|--|------------------|---------------------|---------------|--------------------------------|--|
| Appropriation/Budge<br>0400 / 3  | Appropriation/Budget Activity<br>0400 / 3 |   |                |           |               |                         |               | ement (N<br>SENSOR |                              |                  | <b>Project (Number/Name)</b><br>SEN-02 / SENSORS AND PROCESSING<br>SYSTEMS |                  |                     |               |                                |  |
| Product Development (\$ in Millions)   |   |   |                | FY 2      | 2015          | FY 2                    | 2016          | FY 2017<br>Base    |                              | FY 2017<br>OCO   |  | FY 2017<br>Total | ]                   |               |                                |  |
| Cost Category Item   | Contract<br>Method<br>& Type              | Performing<br>Activity & Location         | Prior<br>Years | Cost      | Award<br>Date | Cost                    | Award<br>Date | Cost               | Award<br>Date                | Cost             | Award<br>Date  | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |  |
| Behavioral Learning<br>for Adaptive Electronic<br>Warfare (BLADE)              | C/CPFF                                    | LOCKHEED<br>MARTIN<br>CORPORATION :<br>CA | -              | 5.148     |               | 0.000                   |               | 0.000              |                              | -                |  | 0.000            | 0                   | 5.148         | 0                              |  |
|  | <u>.</u>                                  | Subtotal                                  | -              | 102.407   |               | 102.457                 |               | 129.467            |                              | -                |  | 129.467          | -                   | -             | -                              |  |
| Support (\$ in Millions)   |   | FY 2                                      | 2015           | FY 2      | 2016          |                         | 2017<br>Ise   |                    | 2017<br>CO                   | FY 2017<br>Total |  |                  |                     |               |                                |  |
| Cost Category Item   | Contract<br>Method<br>& Type              | Performing<br>Activity & Location         | Prior<br>Years | Cost      | Award<br>Date | Cost                    | Award<br>Date | Cost               | Award<br>Date                | Cost             | Award<br>Date  | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |  |
| Government Support   | MIPR                                      | Various : Various                         | -              | 4.613     |               | 4.656                   |               | 5.829              |                              | -                |  | 5.829            | Continuing          | Continuing    | Continuing                     |  |
|  |   | Subtotal                                  | -              | 4.613     |               | 4.656                   |               | 5.829              |                              | -                |  | 5.829            | -                   | -             | -                              |  |
| Test and Evaluation  | (\$ in Milli                              | ions)                                     |                | FY 2      | 2015          | FY 2017<br>FY 2016 Base |               |                    | FY 2017 FY 2017<br>OCO Total |                  | ]  |                  |                     |               |                                |  |
| Cost Category Item   | Contract<br>Method<br>& Type              | Performing<br>Activity & Location         | Prior<br>Years | Cost      | Award<br>Date | Cost                    | Award<br>Date | Cost               | Award<br>Date                | Cost             | Award<br>Date  | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |  |
| Spatial, Temporal and<br>Orientation Information for<br>Contested Environments |   | Various : Various                         | -              | 0.457     |               | 0.440                   |               | 1.321              |                              | -                |  |                  |                     | Continuing    |                                |  |
| Automatic Target<br>Recognition (ATR)<br>Technology                            | C/Various                                 | Various : Various                         | -              | 1.635     |               | 0.000                   |               | 0.000              |                              | -                |  | 0.000            | 0                   | 1.635         | 0                              |  |
| Advanced Scanning<br>Technology for Imaging<br>Radars (ASTIR)                  | C/Various                                 | Various : Various                         | -              | 0.000     |               | 0.000                   |               | 0.350              |                              | -                |  | 0.350            | Continuing          | Continuing    | Continuing                     |  |
| Small Satellite Sensors  | C/Various                                 | Various : Various                         | -              | 0.000     |               | 0.250                   |               | 0.737              |                              | -                |  | 0.737            | Continuing          | Continuing    | Continuing                     |  |
| Seeker Cost<br>Transformation (SECTR)*   | C/Various                                 | Various : Various                         | -              | 0.000     |               | 0.969                   |               | 0.741              |                              | -                |  | 0.741            | Continuing          | Continuing    | Continuing                     |  |
| Multifunction RF (MFRF)  | C/Various                                 | Various : Various                         | -              | 0.437     |               | 0.533                   |               | 0.000              |                              | -                |  | 0.000            | 0                   | 0.970         | 0                              |  |
| Video-rate Synthetic<br>Aperture Radar (ViSAR)                                 | C/Various                                 | Various : Various                         | -              | 0.000     |               | 0.831                   |               | 0.000              |                              | -                |  | 0.000            | 0                   | 0.831         | 0                              |  |

| Exhibit R-3, RDT&E  | Project C                    | ost Analysis: PB 2                | 2017 Defe      | ense Adva | anced Re      | search Pr | ojects Ag     | gency              |               |      |               | Date:            | February                   | 2016          |                                |
|---|------------------------------|-----------------------------------|----------------|-----------|---------------|-----------|---------------|--------------------|---------------|------|---------------|------------------|----------------------------|---------------|--------------------------------|
| Appropriation/Budg<br>0400 / 3                            | et Activity                  | 1                                 |                |           |               |           | -             | ement (N<br>SENSOR |               |      | -             |                  | r/ <b>Name)</b><br>DRS AND | PROCES        | SSING                          |
| Test and Evaluation                                       | (\$ in Milli                 | ions)                             |                | FY 2      | 2015          | FY 2      | 2016          | FY 2<br>Ba         |               |      | 2017<br>CO    | FY 2017<br>Total | ]                          |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost               | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete        | Total<br>Cost | Target<br>Value of<br>Contract |
| Military Imaging and<br>Surveillance Technology<br>(MIST) | SS/CPFF                      | LEIDOS,INC. : OH                  | -              | 0.000     |               | 0.440     |               | 0.000              |               | -    |               | 0.000            | 0                          | 0.440         | C                              |
|   |                              | Subtotal                          | -              | 2.529     |               | 3.463     |               | 3.149              |               | -    |               | 3.149            | -                          | -             | -                              |
| Management Servic   | es (\$ in M                  | illions)                          |                | FY 2      | 2015          | FY 2      | 2016          | FY 2<br>Ba         |               |      | 2017<br>CO    | FY 2017<br>Total |                            |               |                                |
| Cost Category Item  | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost      | Award<br>Date | Cost      | Award<br>Date | Cost               | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete        | Total<br>Cost | Target<br>Value of<br>Contract |
| Management Support  | C/Various                    | Various : Various                 | -              | 5.766     |               | 5.820     |               | 7.287              |               | -    |               | 7.287            | Continuing                 | Continuing    | Continuin                      |
|   |                              | Subtotal                          | -              | 5.766     |               | 5.820     |               | 7.287              |               | -    |               | 7.287            | -                          | -             | -                              |
|   |                              |                                   | Prior<br>Years | FY 2      | 2015          | FY 2      | 2016          | FY 2<br>Ba         |               |      | 2017<br>CO    | FY 2017<br>Total | Cost To<br>Complete        | Total<br>Cost | Target<br>Value of<br>Contract |
|   |                              | Project Cost Totals               | -              | 115.315   |               | 116.396   |               | 145.732            |               | -    |               | 145.732          | -                          | -             | -                              |

Remarks

| xhibit R-4, RDT&E Schedule Profile: PB 2017 [  | Defe | ense | e Ao | dvai | nce | d Re | esea | arch | n Pro | jects | s Ag | ency        |   |   |    |     |   |      |      |      |                     |    | D  | ate: | Fe  | bru | ary | 201 | 6   |    |      |
|--|------|------|------|------|-----|------|------|------|-------|-------|------|-------------|---|---|----|-----|---|------|------|------|---------------------|----|----|------|-----|-----|-----|-----|-----|----|------|
| ppropriation/Budget Activity<br>400 / 3  |      |      |      |      |     |      |      |      |       |       |      | mEl<br>/E/3 |   |   |    |     |   |      | /    | SE   | ojec<br>N-0<br>'STE | 2Ì | SE |      |     |     |     | PRC | CE  | SS | SING |
|  |      | F١   | 1 20 | )15  |     |      | FY   | 201  | 6     |       | FY   | 2017        | , |   | FY | 201 | 8 | F    | =Y 2 | 2019 | 9                   |    | F  | Y 20 | )20 |     |     | FY  | 202 | 1  |      |
|  | 1    |      | 2    | 3    | 4   | 1    | 2    | 3    | 4     | 1     | 2    | 3           | 4 | 1 | 2  | 3   | 4 | 1    | 2    | 3    | 4                   | 1  |    | 2    | 3   | 4   | 1   | 2   | 3   | 4  | 4    |
| Adaptive Radar Countermeasures   |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Develop Adaptive Radar Threat models for use in testing  |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Refine and integrate component algorithms for end-to-end system testing in simulation                      |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Port software algorithms onto transition<br>platform baseline EW systems                                   |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Demonstrate real-time prototype systems in hardware-in-the-loop laboratory environment                     |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Complete realtime software and firmware<br>implementation of major algortihm modules<br>on transition plan |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Spatial, Temporal and Orientation<br>Information for Contested Environments<br>(STOIC)                     |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| System concept design and analysis   |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Optical clock design and development   |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Optical clock lab verification and validation  |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Navigation system demonstration  |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Automatic Target Recognition (ATR)<br>Technology   |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Design experiment and conduct data collection for baseline algorithm assessment                            |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Design experiment and conduct data collection for adaptive algorithm assessment                            |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   | <br> |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Conduct baseline algorithm assessment  |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   |      |      |      |                     |    |    |      |     |     |     |     |     |    |      |
| Evaluate algorithm adaptability  |      |      |      |      |     |      |      |      |       |       |      |             |   |   |    |     |   | <br> |      |      |                     |    |    |      |     |     |     |     |     |    |      |

| xhibit R-4, RDT&E Schedule Profile: PB 2017 [                                      | Def | ens | e Ao | dvan | ced | Res | searc | h Pr | oject | ts A | Agenc                  | у |   |    |      |   |   |    |                    |      | I   | Date | e: Fe | bru | ary | 2016 | ;    |      |
|--|-----|-----|------|------|-----|-----|-------|------|-------|------|------------------------|---|---|----|------|---|---|----|--------------------|------|-----|------|-------|-----|-----|------|------|------|
| ppropriation/Budget Activity<br>400 / 3  |     |     |      |      |     |     |       |      |       |      | <b>ram E</b><br>'67E / |   |   |    |      |   |   |    | Proj<br>Sen<br>Sys | I-02 | ÌSI |      |       |     |     | PRO  | CESS | SING |
|  |     | F   | Y 20 | )15  |     | F   | Y 20  | 16   |       | F    | Y 201                  | 7 |   | FY | 2018 | B |   | FY | 2019               |      |     |      | 2020  | )   |     | FY 2 | 2021 |      |
|  | •   | 1   | 2    | 3    | 4   | 1   | 2 3   | 3 4  | 4   1 |      | 2 3                    | 4 | 1 | 2  | 3    | 4 | 1 | 2  | 3                  | 4    | 1   | 2    | 3     | 4   | 1   | 2    | 3    | 4    |
| Design experiment and conduct data collection for counter decoy assessment         |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Conduct Preliminary Design Review (PDR)  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Evaluate algorithm ability to counter decoys                                       |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Advanced Scanning Technology for<br>Imaging Radars (ASTIR)                         |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Program Initiation   |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Mission application studies  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Prototype development  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Military application assessments   |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Small Satellite Sensors  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Program initiation   |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Preliminary design review  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Final design review  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Assembly, integration and testing  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Seeker Cost Transformation (SECTR)   |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Program Initiation   |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Hardware-in-the-loop system testing  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Laboratory demonstrations  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Critical design review   |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Unbanded Spectrum operations (U-SPIN)  |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Program initiation   |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Initiate algorithmic study of techniques that achieve multiple spectrum operations |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Dynamically Composed RF Systems  |     | _   |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |
| Program initiation   |     |     |      |      |     |     |       |      |       |      |                        |   |   |    |      |   |   |    |                    |      |     |      |       |     |     |      |      |      |

| xhibit R-4, RDT&E Schedule Profile: PB 2017 D   | Defe | ense | e Ac | lvan | ced | Re | sea  | rch  | Pro | jects | s Ag | ency | /           |   |   |             |    |   |   |    |      |   |       | Da  | te: I | Feb | orua       | ry 2 | 2016 | ;   |       |   |
|---|------|------|------|------|-----|----|------|------|-----|-------|------|------|-------------|---|---|-------------|----|---|---|----|------|---|-------|-----|-------|-----|------------|------|------|-----|-------|---|
| ppropriation/Budget Activity<br>400 / 3   |      |      |      |      |     |    |      |      |     |       |      |      | leme<br>SEN |   |   |             |    |   |   | (  | SE   |   | 2 Ì S | SEN |       |     | me)<br>ANI |      | PRO  | CES | SSING | ; |
|   |      |      | Y 20 | 15   |     | F  | FY 2 | 2016 | 6   |       |      | 2017 | 7           |   |   | <b>′</b> 20 | 18 |   | I | FY | 2019 | ) |       |     | 202   |     |            |      | FY 2 |     |       |   |
|   | 1    |      | 2    | 3    | 4   | 1  | 2    | 3    | 4   | 1     | 2    | 3    | 4           | 1 | 2 | 2 (         | 3  | 4 | 1 | 2  | 3    | 4 | 1     | 2   | 3     | 3   | 4          | 1    | 2    | 3   | 4     |   |
| Software development  |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Multifunction RF (MFRF)   |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Test platform modifications   |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Tower demonstration of prototype sensor   |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Prototype flight demonstrations   |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Video-rate Synthetic Aperture Radar<br>(ViSAR)  |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Prototype high power amplifier development and testing  |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Integrate phenomenology data  |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Integrate components in gimbal in laboratory  |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Conduct flight tests  |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Military Imaging and Surveillance<br>Technology (MIST)  |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Ground demonstrations of moderate range imaging system capability                                       |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Demonstrations of short-range imaging system  |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Mountain-to-ground demonstrations of moderate range imaging system                                      |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Behavioral Learning for Adaptive Electronic Warfare (BLADE)   |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Test & evaluate ground-based & airborne<br>prototype system in an operationally relevant<br>environment |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |
| Quantify minimum hardware requirements to execute the BLADE algorithms on transition platforms          |      |      |      |      |     |    |      |      |     |       |      |      |             |   |   |             |    |   |   |    |      |   |       |     |       |     |            |      |      |     |       |   |

| Exhibit R-4, RDT&E Schedule Profile: PB 2017 D                     | efer | nse | Adva | ance | d R | esea | rch l | Proj | ects | s Ag | ency           | , |   |    |      |   |   |      |      |      |    | Date | e: Fe | ebrua       | ary : | 2016 | 3    |       |   |
|--|------|-----|------|------|-----|------|-------|------|------|------|----------------|---|---|----|------|---|---|------|------|------|----|------|-------|-------------|-------|------|------|-------|---|
| Appropriation/Budget Activity<br>0400 / 3                          |      |     |      |      |     |      |       |      |      | -    | m El<br>7E / 3 |   | • |    |      |   |   |      |      | v-02 | ÌS |      |       | ame<br>S AN |       | PRO  | CES  | SSING | 3 |
|  |      | FY  | 201  | 5    |     | FY 2 | 2016  | i    |      | FY   | 2017           | 7 |   | FY | 2018 |   |   | FY 2 | 2019 |      |    | FY 2 | 2020  | )           |       | FY 2 | 2021 |       |   |
|  | 1    | 2   | 3    | 4    | 1   | 2    | 3     | 4    | 1    | 2    | 3              | 4 | 1 | 2  | 3    | 4 | 1 | 2    | 3    | 4    | 1  | 2    | 3     | 4           | 1     | 2    | 3    | 4     |   |
| Conduct airborne demonstration against tactically relevant threats |      |     |      |      |     |      |       |      |      |      |                |   |   |    |      |   |   |      |      |      |    |      |       |             |       |      |      |       |   |
| Transition BLADE to US Army CERDEC<br>I2WD                         |      |     |      |      |     |      |       |      |      |      |                |   |   |    |      |   |   |      |      |      |    |      |       |             |       |      |      |       |   |

| Exhibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Research | ch Projects Agency   | Date: February 2016                   |
|---|--|---------------------------------------|
|   | R-1 Program Element (Number/Name)<br>PE 0603767E / SENSOR TECHNOLOGY | umber/Name)<br>SENSORS AND PROCESSING |

# Schedule Details

|  | Sta     | art  | En      | d    |
|--|---------|------|---------|------|
| Events by Sub Project  | Quarter | Year | Quarter | Year |
| Adaptive Radar Countermeasures   | L       |      |         |      |
| Develop Adaptive Radar Threat models for use in testing  | 1       | 2015 | 4       | 2015 |
| Refine and integrate component algorithms for end-to-end system testing in simulation                | 3       | 2015 | 3       | 2016 |
| Port software algorithms onto transition platform baseline EW systems                                | 3       | 2015 | 3       | 2015 |
| Demonstrate real-time prototype systems in hardware-in-the-loop laboratory environment               | 4       | 2015 | 4       | 2016 |
| Complete realtime software and firmware implementation of major algorithm modules on transition plan | 3       | 2016 | 1       | 2017 |
| Spatial, Temporal and Orientation Information for Contested Environments (STOIC)                     | L       |      | ,<br>,  |      |
| System concept design and analysis   | 4       | 2015 | 3       | 2016 |
| Optical clock design and development   | 4       | 2015 | 2       | 2016 |
| Optical clock lab verification and validation  | 4       | 2016 | 4       | 2017 |
| Navigation system demonstration  | 4       | 2017 | 4       | 2017 |
| Automatic Target Recognition (ATR) Technology  | L       |      |         |      |
| Design experiment and conduct data collection for baseline algorithm assessment                      | 3       | 2015 | 3       | 2015 |
| Design experiment and conduct data collection for adaptive algorithm assessment                      | 3       | 2015 | 3       | 2015 |
| Conduct baseline algorithm assessment  | 2       | 2016 | 2       | 2016 |
| Evaluate algorithm adaptability  | 1       | 2017 | 1       | 2017 |
| Design experiment and conduct data collection for counter decoy assessment                           | 1       | 2017 | 1       | 2017 |
| Conduct Preliminary Design Review (PDR)  | 2       | 2017 | 2       | 2017 |
| Evaluate algorithm ability to counter decoys   | 3       | 2017 | 3       | 2017 |

| ibit R-4A, RDT&E Schedule Details: PB 2017 Defense Adva<br>ropriation/Budget Activity<br>) / 3 | R-1 Program           | Element (Number<br>I SENSOR TECHI |      | Project (Number/Nau<br>SEN-02 / SENSORS<br>SYSTEMS |      |
|--|-----------------------|-----------------------------------|------|--|------|
|  |                       | Sta                               | art  | E  | Ind  |
| Events by Sub Project  |                       | Quarter                           | Year | Quarter  | Year |
| Program Initiation   |                       | 1                                 | 2016 | 1  | 2016 |
| Mission application studies  |                       | 1                                 | 2016 | 1  | 2016 |
| Prototype development  |                       | 1                                 | 2016 | 3  | 2017 |
| Military application assessments   |                       | 4                                 | 2017 | 4  | 2017 |
| Small Satellite Sensors  |                       |                                   |      |  |      |
| Program initiation   |                       | 1                                 | 2016 | 1  | 2016 |
| Preliminary design review  |                       | 2                                 | 2016 | 3  | 2016 |
| Final design review  |                       | 3                                 | 2016 | 1  | 2017 |
| Assembly, integration and testing  |                       | 1                                 | 2017 | 4  | 2017 |
| Seeker Cost Transformation (SECTR)   |                       | 1                                 |      |  |      |
| Program Initiation   |                       | 1                                 | 2016 | 1  | 2016 |
| Hardware-in-the-loop system testing  |                       | 3                                 | 2016 | 4  | 2016 |
| Laboratory demonstrations  |                       | 2                                 | 2017 | 3  | 2017 |
| Critical design review   |                       | 3                                 | 2017 | 4  | 2017 |
| Unbanded Spectrum operatioNs (U-SPIN)  |                       |                                   |      |  |      |
| Program initiation   |                       | 1                                 | 2017 | 1  | 2017 |
| Initiate algorithmic study of techniques that achieve multiple                                 | e spectrum operations | 1                                 | 2017 | 1  | 2017 |
| Dynamically Composed RF Systems  |                       |                                   |      |  |      |
| Program initiation   |                       | 1                                 | 2017 | 1  | 2017 |
| Software development   |                       | 2                                 | 2017 | 2  | 2017 |
| Multifunction RF (MFRF)  |                       |                                   |      | 1  |      |
| Test platform modifications  |                       | 4                                 | 2015 | 4  | 2015 |
| Tower demonstration of prototype sensor  |                       | 4                                 | 2016 | 4  | 2016 |
| Prototype flight demonstrations  |                       | 4                                 | 2016 | 4  | 2016 |

| hibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced Research F                     | Projects Agency                                |       | Date:  | February 2016                 |
|---|--|-------|--|-------------------------------|
|   | I Program Element (Nui<br>0603767E / SENSOR Ti |       | Project (Number<br>SEN-02 / SENSC<br>SYSTEMS | r/Name)<br>DRS AND PROCESSING |
|   |  | Start |  | End                           |
| Events by Sub Project   | Quarter  | Year  | Quarte                                       | r Year                        |
| Prototype high power amplifier development and testing                                      | 1  | 2015  | 3  | 2015                          |
| Integrate phenomenology data  | 4  | 2015  | 4  | 2015                          |
| Integrate components in gimbal in laboratory  | 2  | 2016  | 3  | 2016                          |
| Conduct flight tests  | 4  | 2016  | 4  | 2016                          |
| Military Imaging and Surveillance Technology (MIST)   |  | I     |  |                               |
| Ground demonstrations of moderate range imaging system capability                           | 3  | 2015  | 4  | 2015                          |
| Demonstrations of short-range imaging system  | 4  | 2016  | 4  | 2016                          |
| Mountain-to-ground demonstrations of moderate range imaging system                          | 4  | 2016  | 4  | 2016                          |
| Behavioral Learning for Adaptive Electronic Warfare (BLADE)                                 |  |       |  |                               |
| Test & evaluate ground-based & airborne prototype system in an operational environment      | ly relevant 2                                  | 2015  | 4  | 2015                          |
| Quantify minimum hardware requirements to execute the BLADE algorithms transition platforms | on 4   | 2015  | 4  | 2015                          |
| Conduct airborne demonstration against tactically relevant threats                          | 4  | 2015  | 4  | 2015                          |
| Transition BLADE to US Army CERDEC I2WD   | 4  | 2015  | 4  | 2015                          |

| Exhibit R-2A, RDT&E Project Ju  | stification   | : PB 2017 E  | Defense Adv   | anced Res  | earch Proje  | ects Agency   |  |  |                              | Date: Feb                   | ruary 2016                 |                        |
|---|---|--|---|--|--|---|--|--|------------------------------|-----------------------------|----------------------------|------------------------|
| Appropriation/Budget Activity 0400 / 3  |   |  |   |  |  | <b>am Elemen</b><br>67E / SENS  |  |  | Project (N<br>SEN-03 / E     |                             |                            | EMS                    |
| COST (\$ in Millions)   | Prior<br>Years  | FY 2015  | FY 2016   | FY 2017<br>Base  | FY 2017<br>OCO   | FY 2017<br>Total  | FY 2018  | FY 2019  | FY 2020                      | FY 2021                     | Cost To<br>Complete        |                        |
| SEN-03: EXPLOITATION<br>SYSTEMS   | -   | 48.924   | 13.411  | 0.000  | -  | 0.000   | 0.000  | 0.000  | 0.000                        | 0.000                       | -                          | -                      |
| A. Mission Description and Buc<br>The Exploitation Systems project<br>reconnaissance (ISR) datasets.<br>value targets, localization and tra<br>as trustworthiness and provenan-<br>sensor, human, and open source   | develops a<br>In particular<br>cking over<br>ce. The res  | algorithms, s<br>r, it develop<br>wide areas,<br>sulting techr   | software, an<br>s new techr<br>and threat<br>nology will e  | ologies for<br>network ide   | detection a<br>ntification a   | nd discrimin  | nation of tar<br>. Interest e  | gets from c<br>xtends to o   | lutter, classi<br>pen source | fication and<br>information | d fingerprin<br>and issues | ting of high<br>s such |
| B. Accomplishments/Planned P  | rograms (S  | \$ in Million  | <u>s)</u>   |  |  |   |  |  | FY                           | 2015 I                      | TY 2016                    | FY 2017                |
| <b>Description:</b> Insight is developing<br>new exploitation capabilities throu<br>theater applicability. Insight will e-<br>imaging sensors and other source<br>threat network analysis tools, a un<br>analysis methodologies, and tools<br>and on-line learning. Insight deve<br>test bed enables evaluation of alte<br>test bed enables live testing under<br>systems. Insight technology deve<br>- Intelligence, Electronic Warfare<br>Common Ground System - Army,<br>Insight provides a unified archited | igh an integ<br>mable threa<br>es. The tec<br>nified data r<br>s to integrat<br>elopment ac<br>ernative ser<br>r realistic o<br>elopment is<br>& Sensors,<br>Air Staff, N | grated, stand<br>the detection<br>management<br>the human are<br>ctivities leve<br>nsor mixes a<br>perational co<br>coordinated<br>United Stat<br>lational Air a | dards-based<br>through con<br>bach empha<br>at and proce<br>ad machine<br>rage both vi<br>and algorith<br>conditions us<br>d with the fo<br>tes Army Int<br>and Space I | I system that<br>nbination are<br>sizes graph<br>ssing envir<br>processing<br>rtual and pl<br>ms under e<br>sing current<br>llowing tran<br>elligence C<br>ntelligence | at is design<br>nd analysis<br>n-based cor<br>onment, no<br>, including v<br>hysical test<br>xtended op<br>and next g<br>sition spons<br>enter of Exc<br>Center, and | ed for missic<br>of information<br>relation, ad-<br>vel exploitat<br>visualization<br>bed environ<br>erating conce<br>eneration se<br>sors: Army F<br>cellence, Pro-<br>d Air Force F | on flexibility<br>on from ima<br>versary beh<br>tion algorith<br>, hypothesis<br>ments. The<br>ditions. The<br>ensing and<br>Program Ex<br>oject Manag<br>Research L | and cross-<br>iging and no<br>inavior mode<br>ms and<br>s manipulat<br>e virtual<br>processing<br>recutive Off<br>ger Distribu<br>aboratory. | on-<br>eling,<br>ion,<br>ice |                             |                            |                        |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Completed initial software deliv.</li> <li>Adapted capabilities to emergin information sources.</li> <li>Tested and matured advanced</li> <li>Executed a live field test in coor capabilities in a dynamic operatio</li> </ul>   | g operation<br>fusion and a<br>rdination wi   | nal environm<br>analytic tecl<br>th a military   | nents, incluc<br>hnologies in   | ling integrat  | tion of addit  | ional non-tra   | aditional se   |  | m                            |                             |                            |                        |

| Exhibit R-2A, RDT&E Project Justification: PB 2017 Defense Advan   | nced Research Projects Agency  |         | Date: F                | ebruary 2016               |         |
|--|--|---------|------------------------|----------------------------|---------|
| Appropriation/Budget Activity<br>0400 / 3  | R-1 Program Element (Number/Name)<br>PE 0603767E / SENSOR TECHNOLOGY |         | (Number/N<br>I EXPLOIT | <b>lame)</b><br>ATION SYST | EMS     |
| B. Accomplishments/Planned Programs (\$ in Millions)   |  |         | FY 2015                | FY 2016                    | FY 2017 |
| - Delivered refined, advanced and integrated capabilities to transition parameters and are aligned with their software release cycles.   | partner programs of record that address key performa                 | ince    |                        |                            |         |
| <b>FY 2016 Plans:</b> - Test advanced fusion and analytic technologies, and demonstrate in capabilities.   |  | ation   |                        |                            |         |
| <ul> <li>Tailor final component and system level capabilities to specific trans</li> <li>Deliver final integrated capabilities that address key performance pa<br/>for insertion into software baselines.</li> <li>Prepare and finalize software packages and documentation for trans</li> </ul> | rameters required by transition partner programs of re               | ecord   |                        |                            |         |
|  | Accomplishments/Planned Programs Sul                                 | ototals | 48.924                 | 13.411                     | -       |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A<br>Remarks<br>D. Acquisition Strategy<br>N/A<br>E. Performance Metrics<br>Specific programmatic performance metrics are listed above in the pro   | ogram accomplishments and plans section.                             |         |                        |                            |         |

| Exhibit R-3, RDT&E<br>Appropriation/Budg | -                            |                                   |                |        |               |        |               | ement (N   | umbor/N       | amo) | Project       | : (Numbei        | February            |               |                                |
|--|------------------------------|-----------------------------------|----------------|--------|---------------|--------|---------------|------------|---------------|------|---------------|------------------|---------------------|---------------|--------------------------------|
|  | el Activity                  |                                   |                |        |               |        |               | SENSOR     |               |      |               |                  | ITATION S           | SYSTEM        | IS                             |
| Product Developme                        | nt (\$ in M                  | illions)                          |                | FY 2   | 015           | FY 2   | 016           | FY 2<br>Ba | 2017<br>Ise   |      | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item                       | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost   | Award<br>Date | Cost       | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Insight                                  | C/CPFF                       | BAE : MA                          | -              | 27.008 | Oct 2014      | 0.000  |               | 0.000      |               | -    |               | 0.000            | 0                   | 27.008        |                                |
| Insight                                  | C/Various                    | Various : Various                 | -              | 15.014 |               | 11.283 |               | 0.000      |               | -    |               | 0.000            | 0                   | 26.297        |                                |
|  |                              | Subtotal                          | -              | 42.022 |               | 11.283 |               | 0.000      |               | -    |               | 0.000            | 0.000               | 53.305        | 0.00                           |
| Support (\$ in Millior                   | ıs)                          |                                   |                | FY 2   | 015           | FY 2   | 016           | FY 2<br>Ba | 2017<br>Ise   |      | 2017<br>CO    | FY 2017<br>Total |                     |               | 1                              |
| Cost Category Item                       | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost   | Award<br>Date | Cost       | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Insight                                  | MIPR                         | Various : Various                 | -              | 1.956  |               | 0.536  |               | 0.000      |               | -    |               | 0.000            | 0                   | 2.492         |                                |
|  |                              | Subtotal                          | -              | 1.956  |               | 0.536  |               | 0.000      |               | -    |               | 0.000            | 0.000               | 2.492         | 0.00                           |
| Test and Evaluation                      | (\$ in Milli                 | ons)                              |                | FY 2   | :015          | FY 2   | 016           | FY 2<br>Ba | 2017<br>Ise   |      | 2017<br>CO    | FY 2017<br>Total |                     |               |                                |
| Cost Category Item                       | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost   | Award<br>Date | Cost       | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Insight                                  | C/Various                    | Various : Various                 | -              | 2.500  |               | 0.921  |               | 0.000      |               | -    |               | 0.000            | 0                   | 3.421         |                                |
|  |                              | Subtotal                          | -              | 2.500  |               | 0.921  |               | 0.000      |               | -    |               | 0.000            | 0.000               | 3.421         | 0.00                           |
| Management Servic                        | es (\$ in M                  | illions)                          |                | FY 2   | 015           | FY 2   | 016           | FY 2<br>Ba | 2017<br>Ise   |      | 2017<br>CO    | FY 2017<br>Total |                     |               | ·                              |
| Cost Category Item                       | Contract<br>Method<br>& Type | Performing<br>Activity & Location | Prior<br>Years | Cost   | Award<br>Date | Cost   | Award<br>Date | Cost       | Award<br>Date | Cost | Award<br>Date | Cost             | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
| Insight                                  | C/CPFF                       | Various : Various                 | -              | 2.446  |               | 0.671  |               | 0.000      |               | -    |               | 0.000            | 0                   | 3.117         |                                |
|  |                              | Subtotal                          | -              | 2.446  |               | 0.671  |               | 0.000      |               | -    |               | 0.000            | 0.000               | 3.117         | 0.00                           |
|  |                              |                                   | Prior<br>Years | FY 2   | :015          | FY 2   | 016           | FY 2<br>Ba | 2017<br>Ise   |      | 2017<br>CO    | FY 2017<br>Total | Cost To<br>Complete | Total<br>Cost | Target<br>Value of<br>Contract |
|  |                              | Project Cost Totals               |                | 48.924 |               | 13.411 |               | 0.000      |               | -    |               | 0.000            | 0.000               | 62.335        | 0.00                           |

| Exhibit R-4, RDT&E Schedule Profile: PB 2017 [               | Defe | ense | Adv | anc | ed F | Rese | arch | Proj | ects                | Age  | ency |   |   |    |      |   |   |      |      |   |   | Dat | e: Fo | ebru         | ary : | 2016 | 6    |   |
|--|------|------|-----|-----|------|------|------|------|---------------------|------|------|---|---|----|------|---|---|------|------|---|---|-----|-------|--------------|-------|------|------|---|
| Appropriation/Budget Activity<br>0400 / 3                    |      |      |     |     |      |      |      |      | <b>Prog</b><br>0603 | -    |      |   | • | •  |      |   |   |      |      |   | • |     |       | lame<br>AT/C |       | SYST | EM   | S |
|  |      | FY   | 201 | 5   |      | FY   | 201  | 6    |                     | FY 2 | 2017 |   |   | FY | 2018 | 3 |   | FY 2 | 2019 | ) |   | FY  | 2020  | )            |       | FY 2 | 2021 |   |
|  | 1    | 2    | 3   | 4   | 1    | 2    | 3    | 4    | 1                   | 2    | 3    | 4 | 1 | 2  | 3    | 4 | 1 | 2    | 3    | 4 | 1 | 2   | 3     | 4            | 1     | 2    | 3    | 4 |
| Insight  |      |      |     |     |      |      |      |      |                     |      |      |   |   |    |      |   |   |      |      |   |   |     |       |              |       |      |      |   |
| Delivery of Insight System to Army I2WD in<br>support of MOA |      |      |     |     |      |      |      |      |                     |      |      |   |   |    |      |   |   |      |      |   |   |     |       |              |       |      |      |   |
| Field Test 5 at National Training Center, Ft<br>Irwin, CA    |      |      |     |     |      |      |      |      |                     |      |      |   |   |    |      |   |   |      |      |   |   |     |       |              |       |      |      |   |
| Delivery to National Air and Space<br>Intelligence Center    |      |      |     |     |      |      |      |      |                     |      |      |   |   |    |      |   |   |      |      |   |   |     |       |              |       |      |      |   |
| Deliveries to additional transition partners                 |      |      |     |     |      |      |      |      |                     |      |      |   |   |    |      |   |   |      |      |   |   |     |       |              |       |      |      |   |

| hibit R-4A, RDT&E Schedule Details: PB 2017 Defense Advanced R  | Research Projects Agency                                       |      | Dat                            | e: February 2016              |
|---|--|------|--------------------------------|-------------------------------|
| propriation/Budget Activity<br>00 / 3   | <b>R-1 Program Element (Numbe</b><br>PE 0603767E / SENSOR TECH |      | Project (Numb<br>SEN-03 / EXPL | er/Name)<br>.OITATION SYSTEMS |
|   | Schedule Details   |      |                                |                               |
|   | S  | art  |                                | End                           |
| Events by Sub Project   | Quarter  | Year | Quart                          | ter Year                      |
| Insight   |  |      |                                |                               |
| Delivery of Insight System to Army I2WD in support of MOA   | 1  | 2015 | 1                              | 2016                          |
|   |  | 0045 | 4                              | 2015                          |
| Field Test 5 at National Training Center, Ft Irwin, CA  | 1  | 2015 | 4                              | 2015                          |
| Field Test 5 at National Training Center, Ft Irwin, CA           Delivery to National Air and Space Intelligence Center | 3  | 2015 |                                | 2015                          |

| Exhibit R-2A, RDT&E Project Ju   | ustification   | : PB 2017 [      | Defense Adv | anced Res       | earch Proje    | ects Agency                      |             |             |                          | Date: Fe   | bruary 2016                    |               |
|--|----------------|------------------|-------------|-----------------|----------------|----------------------------------|-------------|-------------|--------------------------|------------|--------------------------------|---------------|
| Appropriation/Budget Activity<br>0400 / 3  |                |                  |             |                 |                | r <b>am Elemen</b><br>67E / SENS |             |             | Project (N<br>SEN-06 / S |            | a <mark>me)</mark><br>TECHNOLO | GY            |
| COST (\$ in Millions)  | Prior<br>Years | FY 2015          | FY 2016     | FY 2017<br>Base | FY 2017<br>OCO | FY 2017<br>Total                 | FY 2018     | FY 2019     | FY 2020                  | FY 2021    | Cost To<br>Complete            | Total<br>Cost |
| SEN-06: SENSOR<br>TECHNOLOGY   | -              | 87.400           | 92.199      | 76.529          | -              | 76.529                           | 46.800      | 17.875      | 5.000                    | 0.00       | - 00                           | -             |
| <u>A. Mission Description and Bud</u><br>This project funds classified DAF<br>Annual Report to Congress.   | -              |                  |             | accordance      | with Title 1   | I0, United S <sup>-</sup>        | tates Code, | Section 119 | 9(a)(1) in th            | ie Special | Access Pro                     | gram          |
| B. Accomplishments/Planned F   | Programs (S    | \$ in Million    | <u>s)</u>   |                 |                |                                  |             |             | FY                       | 2015       | FY 2016                        | FY 2017       |
| Title: Classified DARPA Program  |                |                  | ,           |                 |                |                                  |             |             |                          | 87.400     | 92.199                         | 76.529        |
| Description: This project funds (  | Classified D   | ARPA Prog        | rams. Deta  | ils of this su  | ubmission a    | are classified                   | ł.          |             |                          |            |                                |               |
| Details will be provided under sep<br><b>FY 2016 Plans:</b><br>Details will be provided under sep<br><b>FY 2017 Plans:</b><br>Details will be provided under sep | oarate cove    | r.               |             |                 |                |                                  |             |             |                          |            |                                |               |
|  |                |                  |             |                 | Accomplis      | shments/PI                       | anned Prog  | grams Subt  | otals                    | 87.400     | 92.199                         | 76.529        |
| <u>C. Other Program Funding Sum</u><br>N/A<br><u>Remarks</u>   | imary (\$ in   | <u>Millions)</u> |             |                 |                |                                  |             |             |                          |            |                                |               |
| <u>D. Acquisition Strategy</u><br>N/A  |                |                  |             |                 |                |                                  |             |             |                          |            |                                |               |
| E. Performance Metrics   |                |                  |             |                 |                |                                  |             |             |                          |            |                                |               |
| Details will be provided under se  | parate cove    | er.              |             |                 |                |                                  |             |             |                          |            |                                |               |
|  |                |                  |             |                 |                |                                  |             |             |                          |            |                                |               |
|  |                |                  |             |                 |                |                                  |             |             |                          |            |                                |               |
|  |                |                  |             |                 |                |                                  |             |             |                          |            |                                |               |

| Exhibit R-2, RDT&E Budget Iter  | n Justincat                  | .IOII. FD 20                  | Tr Delense   | Advanced                   | 1                            |                               |                                |                              |                             | Dale: Feb                  | ruary 2016                  |                       |
|---|------------------------------|-------------------------------|--------------|----------------------------|------------------------------|-------------------------------|--------------------------------|------------------------------|-----------------------------|----------------------------|-----------------------------|-----------------------|
| Appropriation/Budget Activity<br>0400: Research, Development, Te<br>RDT&E Management Support      | est & Evalua                 | ation, Defen                  | se-Wide I B  | BA 6:                      |                              |                               | <b>t (Number</b> /<br>ON SUPPO |                              |                             |                            |                             |                       |
| COST (\$ in Millions)   | Prior<br>Years               | FY 2015                       | FY 2016      | FY 2017<br>Base            | FY 2017<br>OCO               | FY 2017<br>Total              | FY 2018                        | FY 2019                      | FY 2020                     | FY 2021                    | Cost To<br>Complete         | Total<br>Cost         |
| Fotal Program Element   | -                            | 0.000                         | 0.000        | 69.244                     | -                            | 69.244                        | 71.293                         | 72.930                       | 73.134                      | 72.995                     | -                           |                       |
| MST-01: MISSION SUPPORT   | -                            | 0.000                         | 0.000        | 69.244                     | -                            | 69.244                        | 71.293                         | 72.930                       | 73.134                      | 72.995                     | -                           |                       |
| Quantity of RDT&E Articles  | -                            | -                             | -            | -                          | -                            | -                             | -                              | -                            | -                           | -                          |                             |                       |
| This program element is budgete<br>Advanced Research Projects Ag<br>supplies and equipment, commu | ency. The f<br>nications, pi | funds provid<br>rinting and r | le personne  | l compensa<br>n. Mission s | ation for mis<br>support adm | sion suppor<br>ninistrative c | t civilians as<br>costs were p | s well as co<br>previously b | sts for build<br>udgeted in | ling rent, pl<br>PE 060589 | nysical secu<br>8E, Project | rity, trave<br>MH-01. |
| <u>3. Program Change Summary (</u>  |                              | <u>s)</u>                     |              | <u>FY 2015</u>             | <u>FY 201</u>                |                               | Y 2017 Bas                     |                              | FY 2017 OC                  | <u>00</u>                  | FY 2017 To                  |                       |
| Previous President's Budg   |                              |                               |              | 0.000                      | 0.00                         |                               | 0.00                           |                              |                             | -                          |                             | 000                   |
| Current President's Budge   | et                           |                               |              | 0.000                      | 0.00                         |                               | 69.24                          |                              |                             | -                          | 69.2                        |                       |
| Total Adjustments   |                              |                               |              | 0.000                      | 0.00                         |                               | 69.24                          | 14                           |                             | -                          | 69.2                        | 244                   |
| Congressional G   |                              |                               |              | 0.000                      | 0.00<br>0.00                 |                               |                                |                              |                             |                            |                             |                       |
| <ul> <li>Congressional E</li> <li>Congressional F</li> </ul>                                      |                              | Juctions                      |              | 0.000<br>0.000             | 0.00                         |                               |                                |                              |                             |                            |                             |                       |
| Congressional A   |                              |                               |              | 0.000                      | 0.00                         |                               |                                |                              |                             |                            |                             |                       |
| Congressional E   |                              | nsfers                        |              | 0.000                      | 0.00                         |                               |                                |                              |                             |                            |                             |                       |
| Reprogramming   |                              |                               |              | 0.000                      | 0.00                         |                               |                                |                              |                             |                            |                             |                       |
| • SBIR/STTR Trai  |                              |                               |              | 0.000                      | 0.00                         |                               |                                |                              |                             |                            |                             |                       |
| <ul> <li>TotalOtherAdjus</li> </ul>   |                              |                               |              | -                          | -                            | -                             | 69.24                          | 14                           |                             | -                          | 69.2                        | 244                   |
| <u>Change Summary Expla</u><br>FY 2015: N/A<br>FY 2016: N/A<br>FY 2017: Increase reflect          |                              | ental implem                  | nentation of | congressio                 | nal directior                | 1.                            |                                |                              |                             |                            |                             |                       |
| C. Accomplishments/Planned F  | Programs (\$                 | in Million                    | <u>s)</u>    |                            |                              |                               |                                |                              | FY                          | 2015 F                     | FY 2016                     | FY 201                |
| Title: Mission Support  |                              |                               |              |                            |                              |                               |                                |                              |                             | -                          | -                           | 69.2                  |
| Description: Mission Support  |                              |                               |              |                            |                              |                               |                                |                              |                             |                            |                             |                       |
|   |                              |                               |              |                            |                              |                               |                                |                              | 1                           | 1                          |                             |                       |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advance   | ed Research Projects Agency  | Date: F | ebruary 2016 | 6       |
|---|--|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 6:<br>RDT&E Management Support  | R-1 Program Element (Number/Name)<br>PE 0605001E / MISSION SUPPORT |         |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |  | FY 2015 | FY 2016      | FY 2017 |
| <ul> <li>Fund mission support civilian salaries and benefits, and administrative sup</li> <li>Fund travel, rent and other infrastructure support costs.</li> <li>Fund security costs to continue access controls, uniformed guards, and bu</li> <li>Fund CFO Act compliance costs.</li> </ul> |  |         |              |         |
|   | Accomplishments/Planned Programs Subtotals                         | _       | -            | 69.24   |
| N/A<br><u>Remarks</u><br><u>E. Acquisition Strategy</u><br>N/A<br><u>F. Performance Metrics</u><br>N/A  |  |         |              |         |
|   |  |         |              |         |

| Exhibit R-2, RDT&E Budget Ite  |                               | ion: PB 20                | 17 Defense                 | Advanced                   | 1                           |                            | -                           |                           |                             | Date: Feb   | ruary 2016          |               |
|--|-------------------------------|---------------------------|----------------------------|----------------------------|-----------------------------|----------------------------|-----------------------------|---------------------------|-----------------------------|-------------|---------------------|---------------|
| Appropriation/Budget Activity  |                               |                           |                            |                            |                             | am Element                 |                             |                           |                             |             |                     |               |
| 0400: Research, Development, 1<br>RDT&E Management Support   | lest & Evalua                 | ation, Defen              | ise-Wide I E               | 3A 6:                      | PE 060550                   | 2E / SMALI                 | LBUSINES                    | SINNOVA                   | ION RESE                    | ARCH        |                     |               |
| COST (\$ in Millions)  | Prior<br>Years                | FY 2015                   | FY 2016                    | FY 2017<br>Base            | FY 2017<br>OCO              | FY 2017<br>Total           | FY 2018                     | FY 2019                   | FY 2020                     | FY 2021     | Cost To<br>Complete | Total<br>Cost |
| Total Program Element  | -                             | 85.266                    | 0.000                      | 0.000                      | -                           | 0.000                      | 0.000                       | 0.000                     | 0.000                       | 0.000       | ) -                 |               |
| SB-01: SMALL BUSINESS  | -                             | 85.266                    | 0.000                      | 0.000                      | -                           | 0.000                      | 0.000                       | 0.000                     | 0.000                       | 0.000       | ) -                 |               |
| Quantity of RDT&E Articles   | -                             | -                         | -                          | -                          | -                           | -                          | -                           | -                         | -                           | -           |                     |               |
| A. Mission Description and Bu  | Idaat Itam II                 | ustification              |                            |                            |                             |                            |                             |                           |                             |             |                     |               |
| In accordance with Public Law N<br>Small Business Innovation Rese<br>academic institutions the opport<br>DARPA's overall strategy to ena | earch (SBIR)<br>unity to prop | and Small<br>ose radical, | Business Te<br>innovative, | echnology ⊺<br>high-risk a | Fransfer (ST<br>pproaches t | TR) prograi<br>o address e | ms are desi<br>existing and | gned to pro<br>emerging r | vide small,<br>national sec | high-tech l | ousinesses a        | and           |
| 3. Program Change Summary  | (\$ in Million                | s)                        |                            | FY 2015                    | <u>FY 201</u>               | <u>6</u> <u>F</u>          | Y 2017 Ba                   | <u>se</u>                 | FY 2017 O                   | <u>00</u>   | <u>FY 2017 T</u>    | otal          |
| Previous President's Buc   | •                             |                           |                            | 0.000                      | 0.00                        | 00                         | 0.0                         | 00                        |                             | -           | 0.                  | 000           |
| Current President's Budg   | <b>Q</b>                      |                           |                            | 85.266                     | 0.00                        | 00                         | 0.0                         | 00                        |                             | -           | 0.                  | 000           |
| Total Adjustments  |                               |                           |                            | 85.266                     | 0.00                        | 00                         | 0.0                         | 00                        |                             | -           | 0.                  | 000           |
| Congressional  | General Red                   | uctions                   |                            | 0.000                      | 0.00                        | 00                         |                             |                           |                             |             |                     |               |
| <ul> <li>Congressional</li> </ul>  | Directed Rec                  | ductions                  |                            | 0.000                      | 0.00                        | 00                         |                             |                           |                             |             |                     |               |
| <ul> <li>Congressional</li> </ul>  |                               |                           |                            | 0.000                      | 0.00                        |                            |                             |                           |                             |             |                     |               |
| <ul> <li>Congressional</li> </ul>  |                               |                           |                            | 0.000                      | 0.00                        |                            |                             |                           |                             |             |                     |               |
| <ul> <li>Congressional</li> </ul>  |                               | nsfers                    |                            | 0.000                      | 0.00                        |                            |                             |                           |                             |             |                     |               |
| <ul> <li>Reprogramming</li> </ul>  |                               |                           |                            | 0.000                      | 0.00                        |                            |                             |                           |                             |             |                     |               |
| SBIR/STTR Tra  | ansfer                        |                           |                            | 85.266                     | 0.00                        | 00                         |                             |                           |                             |             |                     |               |
| Change Summary Expl  | anation                       |                           |                            |                            |                             |                            |                             |                           |                             |             |                     |               |
| FY 2015: Increase reflec   | ts the SBIR/S                 | STTR transf               | er.                        |                            |                             |                            |                             |                           |                             |             |                     |               |
| FY 2016: N/A   |                               |                           |                            |                            |                             |                            |                             |                           |                             |             |                     |               |
| FY 2017: N/A   |                               |                           |                            |                            |                             |                            |                             |                           |                             |             |                     |               |
| C. Accomplishments/Planned   | Programs (\$                  | in Million                | <u>s)</u>                  |                            |                             |                            |                             |                           | FY                          | 2015        | FY 2016             | FY 2017       |
|  | Research                      |                           |                            |                            |                             |                            |                             |                           |                             | 85.266      | -                   |               |
| Title: Small Business Innovation   |                               |                           |                            |                            |                             |                            |                             |                           |                             |             |                     |               |
| <b>Description:</b> The Small Business   | ss Innovation                 | Research                  | (SBIR) and                 | Small Busir                | ness Techno                 | ology Transt               | fer (STTR)                  | orograms a                | re                          |             |                     |               |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced  | Research Projects Agency  | Date: F | ebruary 2016 | 6       |
|---|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 6:<br>RDT&E Management Support  | <b>R-1 Program Element (Number/Name)</b><br>PE 0605502E / SMALL BUSINESS INNOVATION R | ESEARCH |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)  |   | FY 2015 | FY 2016      | FY 2017 |
| designed to provide small, high-tech businesses and academic institutions the approaches to address existing and emerging national security threats; thereb fundamental discoveries and technological breakthroughs that provide new mi | y supporting DARPA's overall strategy to enable                                       |         |              |         |
| FY 2015 Accomplishments:<br>- The DARPA SBIR and STTR were executed within OSD guidelines.  |   |         |              |         |
|   | Accomplishments/Planned Programs Subtotals  | 85.266  | -            |         |
| E. Acquisition Strategy<br>N/A<br>F. Performance Metrics<br>Not applicable.   |   |         |              |         |
|   |   |         |              |         |

| Exhibit R-2, RDT&E Budget Iter   | n Justificat   | ion: PB 20                   | 17 Defense   | Advanced                     | Research P                | rojects Age                     | ncy                         |                             | -                        | Date: Feb                 | ruary 2016                      |                              |
|--|--|------------------------------|--------------|------------------------------|---------------------------|---------------------------------|-----------------------------|-----------------------------|--------------------------|---------------------------|---------------------------------|------------------------------|
| Appropriation/Budget Activity<br>0400: Research, Development, Te<br>RDT&E Management Support   | est & Evalua   | ation, Defen                 | se-Wide I B  | A 6:                         |                           | am Elemen<br>98E / MANA         |                             |                             |                          |                           |                                 |                              |
| COST (\$ in Millions)  | Prior<br>Years   | FY 2015                      | FY 2016      | FY 2017<br>Base              | FY 2017<br>OCO            | FY 2017<br>Total                | FY 2018                     | FY 2019                     | FY 2020                  | FY 2021                   | Cost To<br>Complete             | Total<br>Cost                |
| Total Program Element  | -  | 71.362                       | 71.571       | 4.759                        | -                         | 4.759                           | 4.835                       | 4.449                       | 4.300                    | 4.389                     |                                 |                              |
| MH-01: <i>MANAGEMENT HQ -</i><br>R&D   | -  | 71.362                       | 71.571       | 4.759                        | -                         | 4.759                           | 4.835                       | 4.449                       | 4.300                    | 4.389                     | _                               |                              |
| Quantity of RDT&E Articles   | -  | -                            | -            | -                            | -                         | -                               | -                           | -                           | -                        | -                         |                                 |                              |
| This program element is budgete<br>Research Projects Agency. In F<br>supplies and equipment, commu<br>(MHA) of DARPA only. The fund<br>reflected in PE 0605001E, Project                   | Y 2015 and<br>nications, pi<br>Is provide p                              | FY 2016, th<br>rinting and r | e PE funds   | personnel<br>. Beginnin      | compensati<br>g in FY 201 | on for civilia<br>7, this proje | ans as well<br>ect provides | as costs for<br>funding for | building real the Manage | nt, physical<br>ement Hea | l security, tra<br>dquarters Ac | ivel,<br>ctivities           |
| 3. Program Change Summary (  | \$ in Million  | <u>s)</u>                    |              | <u>FY 2015</u>               | <u>FY 201</u>             | <u>6</u> F                      | Y 2017 Ba                   | <u>se</u>                   | FY 2017 O                | <u>00</u>                 | <u>FY 2017 To</u>               | otal                         |
| Previous President's Budg  |  |                              |              | 71.362                       | 71.57                     |                                 | 73.5                        |                             |                          | -                         | 73.5                            |                              |
| Current President's Budge  | et   |                              |              | 71.362                       | 71.57                     |                                 | 4.7                         |                             |                          | -                         |                                 | 759                          |
| Total Adjustments  |  |                              |              | 0.000                        | 0.00                      |                                 | -68.7                       | 30                          |                          | -                         | -68.7                           | 780                          |
| Congressional C  |  |                              |              | 0.000                        | 0.00                      |                                 |                             |                             |                          |                           |                                 |                              |
| Congressional E  |  | luctions                     |              | 0.000                        | 0.00                      |                                 |                             |                             |                          |                           |                                 |                              |
| Congressional F  |  |                              |              | 0.000                        | 0.00                      |                                 |                             |                             |                          |                           |                                 |                              |
|  | 7uuc   |                              |              | 0.000                        | 0.00                      |                                 |                             |                             |                          |                           |                                 |                              |
| Congressional A  |  |                              |              |                              |                           |                                 |                             |                             |                          |                           |                                 |                              |
| Congressional E  | Directed Trai  | nsfers                       |              | 0.000                        | 0.00                      | 00                              |                             |                             |                          |                           |                                 |                              |
| <ul> <li>Congressional E</li> <li>Reprogramming</li> </ul>   | Directed Trai  | nsfers                       |              | 0.000<br>0.000               | 0.00<br>0.00              | )0<br>)0                        |                             |                             |                          |                           |                                 |                              |
| Congressional E  | Directed Trai<br>Is<br>nsfer   | nsfers                       |              | 0.000                        | 0.00                      | )0<br>)0                        | -68.7                       | 30                          |                          | _                         | -68.7                           | 780                          |
| <ul> <li>Congressional E</li> <li>Reprogramming</li> <li>SBIR/STTR Trail</li> <li>TotalOtherAdjus</li> <li>Change Summary Expla</li> <li>FY 2015: N/A</li> <li>FY 2016: N/A</li> </ul>     | Directed Trai<br>is<br>nsfer<br>stments<br>i <b>nation</b>               |                              | nentation of | 0.000<br>0.000<br>0.000<br>- | 0.00<br>0.00<br>0.00      | )0<br>)0<br>)0                  | -68.7                       | 30                          |                          | -                         | -68.7                           | 780                          |
| Congressional E     Reprogramming     SBIR/STTR Tran     TotalOtherAdjus     Change Summary Expla     FY 2015: N/A     FY 2016: N/A     FY 2017: Decrease reflect                          | Directed Tran<br>Is<br>Insfer<br>Stments<br>Ination<br>Cts Departm       | ental impler                 |              | 0.000<br>0.000<br>0.000<br>- | 0.00<br>0.00<br>0.00      | )0<br>)0<br>)0                  | -68.7                       | 30                          | Ev                       | - 2015                    |                                 |                              |
| <ul> <li>Congressional E</li> <li>Reprogramming</li> <li>SBIR/STTR Trail</li> <li>TotalOtherAdjus</li> <li>Change Summary Explation</li> <li>FY 2015: N/A</li> <li>FY 2016: N/A</li> </ul> | Directed Trans<br>Is<br>Insfer<br>Ination<br>Cts Departm<br>Programs (\$ | ental impler                 |              | 0.000<br>0.000<br>0.000<br>- | 0.00<br>0.00<br>0.00      | )0<br>)0<br>)0                  | -68.7                       | 30                          | FY                       | -<br>2015  <br>71.362     |                                 | 780<br><b>FY 2017</b><br>4.7 |

| Exhibit R-2, RDT&E Budget Item Justification: PB 2017 Defense Advanced   | Research Projects Agency  | Date: F | ebruary 2016 |         |
|--|---|---------|--------------|---------|
| <b>Appropriation/Budget Activity</b><br>0400: Research, Development, Test & Evaluation, Defense-Wide I BA 6:<br>RDT&E Management Support   | <b>R-1 Program Element (Number/Name)</b><br>PE 0605898E / MANAGEMENT HQ - R&D | i       |              |         |
| C. Accomplishments/Planned Programs (\$ in Millions)   |   | FY 2015 | FY 2016      | FY 2017 |
| Description: Management Headquarters   |   |         |              |         |
| <ul> <li>FY 2015 Accomplishments:</li> <li>Funded civilian salaries and benefits, and administrative support costs.</li> <li>Funded travel, rent and other infrastructure support costs.</li> <li>Funded security costs to continue access controls, uniformed guards, and bu</li> <li>Funded CFO Act compliance costs.</li> </ul> | uilding security requirements.  |         |              |         |
| <ul> <li>FY 2016 Plans:</li> <li>Fund civilian salaries and benefits, and administrative support costs.</li> <li>Fund travel, rent and other infrastructure support costs.</li> <li>Fund security costs to continue access controls, uniformed guards, and build</li> <li>Fund CFO Act compliance costs.</li> </ul>                | ling security requirements.   |         |              |         |
| FY 2017 Plans:   |   |         |              |         |
| - Fund management headquarters civilian salaries, benefits, and travel costs.  |   | 74.000  | 74 574       | 4 750   |
|  | Accomplishments/Planned Programs Subtotals                                    | 71.362  | 71.571       | 4.759   |
| <ul> <li>D. Other Program Funding Summary (\$ in Millions)<br/>N/A</li> <li>Remarks</li> <li>E. Acquisition Strategy<br/>N/A</li> <li>F. Performance Metrics<br/>Specific programmatic performance metrics are listed above in the program action</li> </ul>   | ccomplishments and plans section.   |         |              |         |
|  |   |         |              |         |